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# Evaluation of the synergistic impact of needle and forceps biopsy with electromagnetic navigation bronchoscopy: the CONFIDENT-ENB trial design

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# **Abstract**

**Background:** Electromagnetic navigation bronchoscopy (ENB) is an emerging advanced imaging-guided bronchoscopy technique for diagnosing peripheral lung lesions. However, the selection strategy for the optimal biopsy device and whether adopting a multi-tool strategy increases the diagnostic yield remains undetermined. The CONFIDENT-ENB trial (NCT05110131) is a prospective randomized study on ENB, performed in a least-invasive setting. The primary aim is to evaluate whether a combination of needle aspiration and forceps biopsy improves the diagnostic performance, and assess the comparative diagnostic value and discordance of the two devices.

**Methods:** The trial will recruit 142 participants with lung lesions suspected of malignancy who are eligible for an elective ENB procedure under moderate sedation. Participants will undergo ENB-guided needle aspiration and forceps biopsy in a randomized order without the use of any complementary techniques. All participants will be followed up subsequently for up to 12 months to conclude the final diagnosis of the biopsied lesions. Primary outcomes include the diagnostic yield and sensitivity of each biopsy modality and the diagnostic yield of the combined modalities.

**Discussion:** The CONFIDENT-ENB trial will prospectively evaluate the synergistic effectiveness and comparative accuracy of ENB-guided needle aspiration and forceps biopsy in a least-invasive setting. The results are expected to improve our understanding of the optimal tool-selection strategy for ENB.

Trial registration: Clinical Trials.gov (NCT05110131). Prospectively registered on 5 November 2021.

Keywords: Electromagnetic navigation bronchoscopy, Lung neoplasms, Diagnostic imaging, Pulmonary medicine

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# **Background**

Lung cancer remains the common most cause of cancerrelated deaths among both men and women globally [1]. Early and accurate diagnosis is important to facilitate curative intent. Recently, widespread efforts for early detection and implementation of lung cancer screening with low-dose chest computed tomography (CT) have resulted in a considerable increase in the identification

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of peripheral lung lesions, suspected of being lung cancer, which require diagnostic evaluation [2–4]. The histopathological diagnosis of pulmonary lesions is a challenging process that has been attempted using various techniques. According to current clinical practice guidelines, the least invasive approach with the highest yield is recommended [5–7]. For peripheral lesions that are difficult to reach with conventional bronchoscopy, transthoracic needle aspiration (TTNA) is commonly used. However, despite a good sensitivity of approximately 90%, TTNA carries a reported pneumothorax rate of > 18% of the biopsied cases [8, 9].

Recently, in an attempt to improve the yield and safety of flexible bronchoscopy, several image-guided technologies have been developed [10]. Emerging bronchoscopy techniques include electromagnetic navigation bronchoscopy (ENB), which involves generating an electromagnetic field around the patient. This technique allows physicians to access peripheral lung lesions through a minimally invasive technique using an image-guided flexible catheter and a dedicated navigation software system. Although the reported diagnostic performance of ENB varies between studies, it is fairly accepted to yield good accuracy in diagnosing malignancy with a yield of over 70% and a markedly lower complication rate (<3% for pneumothorax) than TTNA [11-13]. According to current guidelines, ENB is recommended for peripheral lung lesions beyond the reach of conventional flexible bronchoscopy alone [4, 5].

For diagnostic bronchoscopic procedures, including ENB, the most commonly used sampling methods are forceps biopsy and needle aspiration [14, 15]. Previous studies suggest that the diagnostic yield may be related to the choice of biopsy tool [16–18]. Particularly, several studies have reported a better diagnostic yield with the aspirating needle than with other transbronchial techniques [16, 17, 19, 20]. Furthermore, a few studies suggest that the use of a multi-tool strategy can improve the diagnostic yield [19, 21, 22]. However, multiple studies that have published real-world data on ENB have reported the use of a single-tool strategy with low use of the aspirating needle, which may have contributed to the overall low diagnostic yield of ENB [16, 23-25]. A disparity exists in the context of a higher reported diagnostic yield with the aspiration needle and the actual use in clinical practice. Therefore, the synergistic benefits of needle aspiration and forceps biopsy possibly derived from the relative accuracy and discordance of needle aspiration and forceps biopsy with ENB warrant further examination in a prospective, controlled study. The CONFI-DENT-ENB ("COmparison and synergistic evaluation of Needle aspiration and Forceps blopsy for Diagnosing pulmonary lEsioNs wiTh Electromagnetic Navigation Bronchoscopy") trial is a prospective trial that aims to evaluate whether combining ENB-guided needle aspiration and forceps biopsy provides synergistic benefits, with comparison of the diagnostic performance of the two methods.

# Methods/design

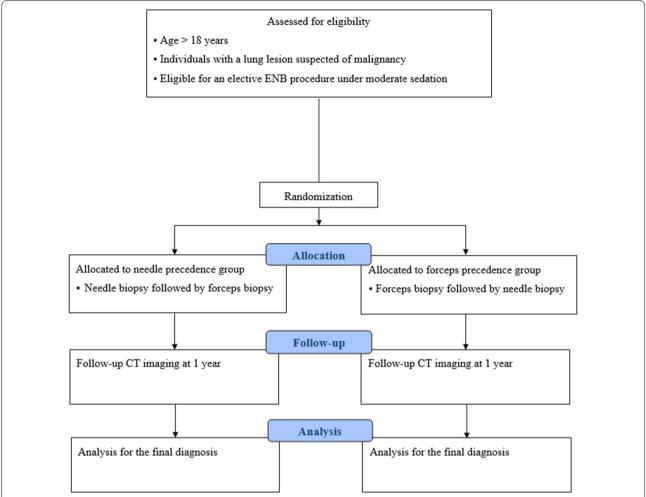
# Study design and subject eligibility

We designed a prospective, randomized trial to evaluate the synergistic diagnostic yield of ENB-guided needle aspiration biopsy and ENB-guided forceps biopsy by performing biopsy with both the devices in a random order (Fig. 1). This study aims to enroll approximately 142 participants from a tertiary center in South Korea by 11 pulmonary physicians. All consecutive patients over the age of 18 years who are candidates for an elective ENB procedure for histopathological evaluation of peripheral lung lesions suspected of malignancy but predicted to be unreachable with conventional bronchoscopy alone are eligible for enrollment. The exclusion criteria are: (1) patients unable or unwilling to provide informed consent or comply with the follow-up schedule; (2) patients who have participated in an investigational drug or device research study within 90 days of enrollment, with possible interference with this study; (3) patients with a history of intolerance to moderate sedation or allergies to any of the sedatives planned to use, those with comorbidities contraindicating the bronchoscopy procedure, those on mechanical ventilation or who underwent a tracheostomy, or those who are pregnant; (4) patients with multiple lesions that need to be sampled with ENBguidance; and (5) patients who were initially planned to undergo ENB but successfully underwent biopsy from a visible endobronchial tumor lesion, which precluded the need for electromagnetic navigation, or those who failed to perform ENB-guided biopsy with both modalities.

This study is being conducted in accordance with the Declaration of Helsinki and all regulatory requirements. The protocol was approved by the institutional review board of Seoul National University Bundang Hospital (IRB no: B-2112-715-302), which is where patient enrollment and ENB procedures will be conducted. Informed consent will be obtained from all participants. The study is registered at ClinicalTrials.gov (NCT05110131). The results will be reported according to the Standards for Reporting Diagnostic Accuracy Studies (STARD) and the Consolidated Standards of Reporting Trials (CONSORT) statements.

# Randomization and baseline evaluation

After providing informed consent, the participants will be randomly allocated to a study group (needle precedence group or forceps precedence group). They will Kim et al. BMC Pulmonary Medicine (2022) 22:319 Page 3 of 8



**Fig. 1** A Schematic figure depicting the study design of the CONFIDENT-ENB trial. ENB, electromagnetic navigation bronchoscopy; CT, computed tomography; CONFIDENT-ENB, COmparison and synergistic evaluation of Needle aspiration and Forceps blopsy for Diagnosing pulmonary lEsioNs wiTh Electromagnetic Navigation Bronchoscopy

initially undergo ENB-guided biopsy with the modality of the allocated group (needle or forceps) followed by a biopsy with the other modality. Randomization will be performed by an independent statistician using a computer-generated list using SAS version 9.4 (SAS Institute, Cary, NC, USA). The attending physicians have no role in the assignment process and will be blinded to the allocation. The physicians who will perform the ENB procedure are also blinded to the allocation until the preparation of ENB on the day of the procedure.

The enrolled participants will be evaluated for demographic, clinical, and radiological characteristics at baseline (within 30 days of the procedure) and on the procedure day. The complete list of baseline demographic data and lesion characteristics evaluated with the preprocedural chest CT is listed in Table 1. Additionally, the

pre-procedural probability of malignancy of the lesion will be evaluated for each lesion using the Brock model [3].

# **Procedures**

ENB procedures will be performed using the Spin Thoracic Navigation System (SYS-4230 K; Veran Medical, St. Louis, MO, USA) by one of nine pulmonologists (HIY, YJC, JSP, YJL, SYL, BSK, YWK, MJS, and HJK, each having at least 3 years of experience with bronchoscopic procedures). On the day of the procedure, the patients will undergo inspiration/expiration chest CT before the procedure for the correlation with the navigation platforms and reconstruct virtual airway routes. The ENB procedures will be performed according to the product instructions under moderate sedation with intravenous

**Table 1** Basic characteristics of the participants

### Demographics

Age (years)

Sex

Race

Smoking status

Pack-years smoked

Family history of lung cancer

Clinical characteristics

Performance status

History of lung cancer diagnosis

History of malignancies other than lung cancer

Prior invasive lung procedures and surgery

Radiological characteristics

Lesion location (lung lobe)

Lesion type (solidity)

Lesion size

Size of solid proportion

Lesion shape (speculated margin, cavity formation, bubble lucency)

Pre-procedural probability of malignancy

Distance from lesion to pleura

Emphysema surrounding lesion

Interstitial fibrosis surrounding lesion

Bronchiectasis surrounding lesion

Presence and type of bronchus sign

Combined lymphadenopathy

Positivity on positron emission tomography (if applicable)

Procedural characteristics

Preceded modality

Capability to navigate and localize the lesion

Number of biopsy attempts (for each modality)

Acquisition of a core tissue available for histologic examination (for each modality)

Procedure duration

Sequential linear EBUS for nodal staging

Total dose of sedatives used

EBUS Endobronchial ultrasound

administration of 2–3 mg midazolam and 25–50  $\mu$ g fentanyl at the procedure onset. The performing physician may administer additional midazolam or fentanyl during the procedure to achieve adequate sedation. A bronchoscope with an outer diameter of 5.9 mm (BF-1TQ290), 4.9 mm (BF-260), or 4.0 mm (BF-P260F) (all Olympus Corporation, Tokyo, Japan) is used for ENB procedures. According to the allocated group, ENB-guided biopsy will be first attempted at least three times with a 22 G or 21 G aspirating needle or forceps followed by the same procedure with the other modality with at least three attempts. There are no protocol-specific restrictions on the maximum attempts and the number of attempts is

subjective to the performing physician's discretion. Successful localization and approach to the lesion, number of biopsy attempts, total procedure time, and successful acquisition of a core tissue for histological examination for each modality will be recorded.

Following biopsy using both the methods, bronchoalveolar lavage (BAL) will be performed by placing the bronchoscope into the lung segment in which the biopsy was performed. After wedging, 30 mL sterile normal saline will be instilled followed by the recovery of the specimen in a sterile container. If the retrieved lavage fluid is insufficient for cytological examination, the performing physician may additionally perform BAL. All ENB procedures will be controlled and will not include any other complementary imaging techniques, such as radial endobronchial ultrasonography (EBUS), fluoroscopy, and rapid on-site examination (ROSE). Lymph node staging using linear EBUS may be performed after the ENB procedure at the discretion of the attending physician.

Using the ENB procedure, biopsy samples from the needle and forceps biopsies, cytology samples from needle aspiration, and cytology samples from BAL are expected from each participant. All samples will be evaluated by experienced pulmonary pathologists who are blinded to the results of other sampling methods and clinical information of the patient.

# Diagnosis and follow-up

All cases with histopathologically confirmed malignancy based on either ENB-guided needle biopsy or cytology, forceps biopsy, or BAL cytology are determined as positive for malignancy. The histopathological results of the biopsied samples that reveal no malignancy or indeterminate findings are initially considered negative. In such cases, the attending physician will conduct follow-up for at least 12 months and make decisions to perform additional invasive procedures to determine the true diagnosis (gold standard) accordingly. The final diagnosis will be determined at 12 months from the procedure. The following cases will be defined as false negatives: (1) malignancy based on a repeat biopsy (e.g., surgical biopsy, CT-guided TTNA, and bronchoscopic); (2) growth of the lesion observed on serial follow-up CT within 12 months; (3) treated as lung cancer without pathological confirmation; and (4) lung cancer diagnosed at other sites (including non-index lesions or lymph nodes diagnosed using linear EBUS during or after the index procedure) within 6 months. The following cases will be defined as true negatives: (1) subsequent diagnostic procedures confirm a non-malignant diagnosis; (2) an initial non-malignant lesion resolved without cancer treatment (e.g., improved proven infectious disease or transient inflammation); or (3) no lesion progression observed on radiographic follow-up for 12 months.

# Objectives and endpoints

The primary endpoint is the diagnostic performance of ENB-guided needle biopsy and forceps biopsy and the yield achieved by combining the modalities based on the 12-month clinical follow-up. Secondary endpoints include a comparison of successful approach to the lesion, duration of sampling time, number of attempts, and successful acquisition of core tissue between the needle and forceps biopsy techniques. Additionally, all adverse events related to the ENB procedure or sedatives will be captured and classified according to the Common Terminology Criteria for Adverse Events (CTCAE) scale, version 5.0 [26]. Pneumothorax will be confirmed using chest X-rays performed post-procedurally and the day after the procedure. All participants will be followed up at 2 weeks post-procedure to evaluate the presence of delayed complications. The detailed definitions of outcomes are presented in Table 2.

# Primary statistical plan and sample size considerations

The sample size was calculated in order to determine a 15% difference in the diagnostic yield between the individual modalities and their combined use owing to the expected better and synergistic diagnostic yield. The estimated difference in the diagnostic yield (67% vs. 82%) is based on previously conducted large-scaled observational studies and meta-analyses that suggested an improved diagnostic yield with the use of needle aspiration and a combination of both modalities [11, 12, 14, 16]. The calculation was based on this difference in the diagnostic accuracy for equality of paired proportions with a two-sided significance level of 0.05 and 80% power. Assuming that the response with needle biopsy is independent of the response with forceps biopsy, the percentage of participants with discordant results for the two modalities was estimated to be 39%. With an estimated dropout rate of 5% due to the loss of patients during follow-up, we estimated a sample size of 142 participants (71 in each group, and 142 biopsies for each modality and combination). The sample size was calculated using PASS 2021 (NCSS, LLC., Kaysville, UT, USA).

Baseline demographic, clinical, and radiological characteristics of the participants as well as the procedural details and outcomes will be presented as means with standard deviation (SD) for continuous data and frequencies with proportions for categorical data. Characteristics will be analyzed using the *t*-test (or Wilcoxon's rank-sum test) for continuous variables and the chi-square test (or Fisher's exact test) for categorical variables. Since each patient will have two results from separate modalities, it

should be considered that two outcomes from the same patient are likely to be more similar than two results from different patients. To compare the paired proportions, McNemar's test will be used. A *p*-value < 0.05 indicates statistical significance. All analyses will be performed using STATA version 16.0 (StataCorp., College Station, TX, USA). A preliminary analysis is prespecified when all the enrolled participants undergo ENB with available initial pathological reports and 1-month follow-up.

# **Trial status**

Enrollment began in December 2021 and is currently in progress with the aim of completing enrollment by mid-2023. Analysis following initial procedural results is planned for end-2023. Completion of 12 months of follow-up with the final collection of data is expected in 2024.

## Discussion

The choice of the biopsy method for diagnosing pulmonary lesions suspected of malignancy should be based on the location, size, invasiveness of the procedure, and the risk of possible complications [4]. For peripheral lesions that are difficult to reach with conventional bronchoscopy, the options include TTNA or guided bronchoscopy. Among the available techniques, the least invasive method with the highest expected yield should be preferred [6, 7]. While TTNA was traditionally preferred due to its high diagnostic yield, its complications are not rare, and the possible risk of pleural recurrence must be considered [27, 28]. Meanwhile, recent advances in imageguided bronchoscopy technologies, including ENB, radial EBUS, and virtual bronchoscopy, have demonstrated high diagnostic yield and safety, which have rendered the transbronchial approach more feasible [29, 30]. Among the advanced guided-bronchoscopy techniques, ENB was evaluated in various studies with most studies reporting a diagnostic yield of 67-84% [31, 32]. Recent metaanalyses have demonstrated that ENB-guided diagnosis provides good accuracy in diagnosing malignancies with a yield of approximately 75% and a procedural complication rate lower than 3% for pneumothorax [12]. Previous studies have revealed that the use of complementary techniques such as radial EBUS, fluoroscopy, and ROSE and the method of anesthesia are not associated with better diagnostic yield [11, 12]. These findings indicate that ENB alone without general anesthesia can achieve a good diagnostic performance and safety profiles in diagnosing peripheral lung lesions, which would be the least-invasive setting for a guided-bronchoscopy procedure that is accessible to the maximum number of physicians [33].

When performing ENB, the decision to choose the relevant biopsy tool(s) is important. Several studies have

# **Table 2** Definitions and overview of study endpoints

# Primary endpoint: diagnostic values

- Primary endpoints will be calculated separately for needle aspiration, forceps biopsy, and the combination of the two. For initially negative results, the final diagnosis will be confirmed based on the 12 months of clinical follow-up
- Diagnostic accuracy:

Proportion of subjects in whom the ENB-guided biopsy yielded a definite diagnosis

- = (malignant and benign diagnosis by modality)/(biopsied lung lesions)
- Sensitivity
- = (Malignancy confirmed by modality)/(Number of malignancies confirmed at 12-month follow-up)
- Specificity
- = (Benign confirmed by modality)/(Number of benign lesions confirmed at 12-month follow-up)
- Positive predictive value:
- =(True malignant lesions)/(Number of malignant lesions by modality)
- Negative predictive value:
- = (True benign lesions)/(Number of benign lesions by modality)

# Secondary endpoints

- Secondary outcomes will be calculated separately for needle aspiration and forceps biopsy
- Navigation success:

Target lesion successfully reached with the biopsy tool; identified by the dedicated navigation software

- Procedure time:

Duration from the initial introduction of the tool to the final removal of the biopsy tool

- Time per biopsy attempt:

Procedure time divided by the number of biopsy attempts

- Successful acquisition of core tissue:

Acquisition of a fresh tissue available for histological examination

Procedure-related adverse events

-Pneumothorax

Grade 1: asymptomatic; clinical or diagnostic observations only; intervention not indicated

Grade 2: symptomatic; intervention indicated (e.g., thoracic tube insertion without pleurodesis)

Grade 3: pleurodesis and/or operative intervention indicated; hospitalization indicated

Grade 4: life-threatening consequences; urgent intervention indicated

Grade 5: death

Bronchopulmonary hemorrhage

Grade 1: mild symptoms: intervention not indicated

Grade 2: moderate symptoms: invasive intervention not indicated

Grade 3: transfusion indicated; invasive intervention indicated; hospitalization

Grade 4: life-threatening consequences; intubation or urgent intervention indicated

Grade 5: death

Respiratory failure:

Life-threatening situations with urgent intubation or ventilator support indicated

ENB-guided biopsy Electromagnetic navigation bronchoscopy-guided biopsy

suggested that transbronchial needle aspiration (TBNA) can increase the diagnostic yield of conventional bronchoscopy and guided bronchoscopy [16, 18, 20, 34]. However, TBNA also includes limitations in approaching lesions in the upper lobes or superior segment of the lower lobes because the needle may not be able to pass through sharp angles. Despite the possible benefits, even in expert centers, TBNA is underused in real-world practice [15, 16, 23]. Similarly, for ENB, transbronchial

forceps biopsy remains the mainstream modality for tissue sampling followed by needle aspiration [14, 24, 25]. Several studies have suggested a higher diagnostic yield with ENB-guided TBNA than forceps biopsy, while few studies have suggested that using multiple tools may be more effective than single-tool strategies [11, 12, 19]. When performing ENB, multiple factors such as its usage patterns, market availability, and reimbursement factors influence the choice of the biopsy tool other than the

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expected efficacy of any individual tool or combinations of multiple tools. Especially, the cost of using an additional tool is an important limiting factor in choosing a multi-tool strategy without clear benefits. Therefore, relevant comparisons are required between the diagnostic performances of forceps and aspirating needle biopsy, which are the two modalities that are expected to provide the highest efficacy and are most commonly used in clinical practice. Furthermore, data regarding whether a combination of the two modalities would have synergistic effects on the overall diagnostic yield would be particularly important. These needs were the essential driving forces behind the planning and design of the current trial. To minimize possible biases and inconclusiveness resulting from the use of other complementary imaging or biopsy tools and different sedation methods, we designed this study such that the procedure can be performed in a least-invasive setting under moderate sedation without the use of additional techniques other than ENB. Our study settings reflect the target circumstances of minimal invasiveness in diagnosing lung lesions using guided bronchoscopy. Furthermore, our design reflects the setting accessible to the maximum number of physicians who perform ENB in clinical settings with limited access to general anesthesia or other techniques, such as ROSE. The navigation system used for ENB will also be controlled and unified into the Spin Thoracic Navigation System.

The major limitation of our study design will be the inclusion of participants from a single center comprising only Asian ethnicity. Conducting a multi-center trial is difficult considering that ENB is not yet widely utilized in Asian countries and the investigator-initiated nature of this trial without an external profit-related corporate sponsor. To address this limitation, we planned to enroll eligible participants by over 10 independent pulmonary physicians who will be blinded to the enrollments from other physicians. The ENB procedure will also be performed independently by various physicians. Additionally, the use of multiple biopsy tools in each participant will preclude the specific analysis of procedure-related complication rates associated with individual tools. The design prioritizes evaluation of the possible synergy and differences of biopsy forceps and aspirating needle used in ENB-guided biopsy.

In conclusion, the CONFIDENT-ENB trial is a unique, investigator-initiated trial aimed to identify the optimal tool-selection strategy in ENB-guided lung lesion biopsy, particularly in a least-invasive setting. This study should provide answer to whether the combined use of the two modalities provides synergistic benefits and improve our understanding of the differences between TBNA and forceps biopsy. The CONFIDENT-ENB trial will have a

substantial impact on both pulmonology and thoracic oncology specialties and will provide important information regarding the optimal profile of the utility of ENB. Additionally, outcomes of this study will be instructive in designing future comparative studies in the field of guided bronchoscopy in diagnosing lung lesions.

### **Abbreviations**

CT: Computed tomography; TTNA: Transthoracic needle aspiration; ENB: Electromagnetic navigational bronchoscopy; CONFIDENT-ENB: COmparison and synergistic evaluation of Needle aspiration and Forceps blopsy for Diagnosing pulmonary IEsioNs wiTh Electromagnetic Navigation Bronchoscopy; BAL: Bronchoalveolar lavage; EBUS: Endobronchial ultrasonography; ROSE: Rapid on-site examination; CTCAE: Common terminology criteria for adverse events; SD: Standard deviation; TBNA: Transbronchial needle aspiration.

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### **Author contributions**

All authors qualify for authorship under the ICMJE Recommendations (www. icmje.org). YWK, HJK, SHY, KHL, YMP, SYA contributed to the concept and design of the study, manuscript preparation, revision, and final approval of the manuscript. MJS, BSK, SYL, YJL, JSP, YJC, HIY, JHL, and CTL contributed to critical revisions, and final approval of the manuscript. All authors read and approved the final manuscript.

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# Availability of data and materials

The datasets generated and/or analyzed during the current study will be available from the corresponding author on reasonable request.

# **Declarations**

# Ethics approval and consent to participate

The design of this study was approved by the ethics committee of the Seoul National University Bundang Hospital Institutional Review Board (IRB number: B-2112-715-302). Written informed consent will be obtained from all participants.

# Consent for publication

Not applicable.

# Competing interests

The authors declare that they have no competing interests.

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