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DATABASE ANALYSIS





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Background

Nuclear transcription factor Y subunit alpha (NFYA), together with NFYB and NFYC, form a sequence-specific heterotrimeric nuclear transcription factor (NFY), which is also known as CCAAT-binding factor (CBF) [1]. This factor specifically recognizes a 5'-CCAAT-3' box motif in the promoters of its target genes. NFYA, the regulatory subunit of the trimer, contains a DNA-binding domain and is required for CCAAT binding [1]. The dysregulation of these subunit genes causes carcinogenesis and the development of multiple cancers [1,2]. NFYA upregulation was observed in lung adenocarcinoma [3], squamous cell carcinoma [4], head and neck squamous cell carcinoma [5], and cervical cancer [6] and was associated with the malignant behaviors of these tumors. Aberrantly expressed NFYB in colorectal cancer can induce the upregulation of E2F1 and the downstream checkpoint kinase 1 (CHK1), leading to acquired oxaliplatin resistance in colorectal cancer cells [7].

One recent study explored the expression profile of these 3 subunits genes in hepatocellular carcinoma based on data from TCGA and confirmed the upregulation of all these genes [8]. Available evidence showed that NFY factors are critical players in regulating cell proliferation and drug resistance of hepatocellular carcinoma (HCC) [9]. Zinc-fingers and homeoboxes 2 (ZHX2) can interact with NFYA and enhance its binding to the *multidrug resistance 1 (MDR1)* promoter and activate its transcription [10]. NFY can also recruit histone acetyltransferase coactivator E1A-binding protein p300 (p300) or CREB-binding protein (CBP) to the promoter region of promoter of *PSMD10* (encoding gankyrin) transcription, which is a novel oncogene during inflammation-enhanced hepatocarcinogenesis [11]. Therefore, several efforts have been made to inhibit NFY activity as an anti-cancer strategy [1].

NFYA has 2 splicing variants: a long-form (NFYAv1, NM_002505.5/ENST00000341376.11) and a short-form (NFYAv2, NM_021705.4/ENST00000353205.5). These 2 isoforms encode 2 different proteins. NFYAv1 has 347 amino acids, while NFYAv2 has 318 acids (missing 29 amino acids encoded by exon 3). These 2 isoforms showed different regulatory effects on cancer behaviors. NFYAv1, but not NFYAv2, promotes the malignant behavior of triple-negative breast cancer by enhancing lipogenesis [12]. A recent study showed that NFYAv2 could increase the expression of a gluconeogenic enzyme phosphoenolpyruvate carboxykinase 1 (PCK1), which subsequently induces high ROS generation and stimulate cell death of HCC cells [13]. However, the prognostic and functional differences between the 2 transcripts in HCC are still unclear.

In this study, we first explored the association between the NFY subunit genes and the survival of primary HCC patients in TCGA and found that only high *NFYA* expression was associated

with poor prognosis. Therefore, we decided to investigate the prognostic value of the 2 *NFYA* splicing transcripts and the functional role of NFYAv1 in HCC cell proliferation.

Material and Methods

Gene Expression and Survival Analysis Using Online Databases

RNA-seq data (gene and transcript expression) from Genotype-Tissue Expression Project (GTEx) and TCGA were analyzed in combination, using the platform provided by UCSC Xena Browser [14]. The data of 110 cases of normal liver tissues were extracted from GTEx. Only the primary HCC cases and corresponding adjacent normal tissues were extracted from TCGA-Liver Hepatocellular Carcinoma (LIHC). The cases of hepatocholangiocarcinoma and fibrolamellar carcinoma were removed. The survival data of the primary HCC cases were extracted for Kaplan-Meier (K-M) survival analysis, by median gene separation.

Retrieving Online Immunohistochemistry (IHC) Data

The expression of NFYA and MCM7 at the protein levels in normal liver and HCC tissues was assessed using IHC data from the Human Protein Atlas (<u>https://www.proteinatlas.org</u>/) [15].

Gene Set Enrichment Analysis (GSEA)

GSEA was performed to assess the difference in the hallmark gene sets (Molecular Signatures Database v7.4) between primary HCC patients with high and low NFYAv1 transcript (NM_002505.5) expression in TCGA, using the software provided by BROAD Institute [16]. The permutation number was set to 1000. The gene sets were considered statistically significant when normalized enrichment score (NES) >1, the nominal (NOM) *P* value ≤0.05, and FDR q value ≤0.25.

Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) Enrichment Analysis

NFYAv1- and NFYAv2-correlated genes in primary HCC cases in TCGA-LIHC were identified by setting |Pearson's r \geq 0.6| as the cutoff. Then, the genes were subjected to GO and KEGG analysis to identify the pathway terms enriched. Analysis was performed using an R package "clusterProfiler" [17]. Only the terms/pathways with a false discovery rate (FDR) <0.05 and q value (corrected *P* value) \leq 0.05 were considered significantly enriched.

Cell Culture and Trreatment

HCC cell lines (SK-Hep-1 and Hep3B) were purchased from Procell (Wuhan, China). Cells were cultured following the recommended

conditions according to the providers. Cells were grown in a humidified incubator with 5% CO, at 37°C. To knockdown NYFA, lentivirus (pLKO.1-puro) carrying NFYA shRNA sequences were generated (shNFYA#1, 5'-TGGTGTCACTGCTGTGCAGTT-3', shNFYA#2, 5'-CGAGCTAAACTAGAGGCAGAA-3', and shNFYA#3, 5'-CCATCGTCTATCAACCAGTTA-3'). The scramble sequence (scr.) (5'-CAACAAGATGAAGAGCACCAA-3') that does not target any gene served as the negative control. Among the 3 shRNAs, shNFYA#1 only targets NFYAv1, while the rest target both NFYAv1 and NFYAv2. Lentiviruses were produced by cotransfecting the lentiviral particles and packaging plasmids (psPAX2 and pMD2.G) into the HEK293T cells, following protocols described previously [18]. Transfection was performed using Lipofectamine 3000 Reagent (Thermo Fisher Scientific, Waltham, MA, USA). The viral supernatant was collected 48 h after transfection, filtered through a 0.45-µm filter, and stored at -80°C before further use. SK-Hep-1 and Hep3B cells were infected with lentivirus at a multiplicity of infection (MOI) of 10.

Quantitative Reverse Transcription PCR (RT-qPCR)

Total RNA was extracted from cell samples using TRIzol (Thermo Fisher Scientific) and then reversely transcribed into cDNA using a High-Capacity cDNA Reverse Transcription Kit (Thermo Fisher Scientific). RT-qPCR was performed using Power SYBR Green PCR Master Mix (Bio-Rad, Hercules, CA, USA) with a Bio-Rad CFX96 Touch Real-Time PCR Detection System. The following primers validated by OriGene (https://www.origene.com/) were used for amplification: *NFYA*, F, 5'-GGCAGACCATCGTCTATCAACC-3', R, 5'-ATCTGTGCTCCTGCCAAACTGG-3' (CAT#: HP206179); and *GAPDH*, F, 5'-GTCTCCTCTGACTTCAACAGCG-3', R, 5'-ACCACCCTGTTGCTGAGCCAA-3' (CAT#: HP205798). Relative mRNA expression was normalized to that of *GAPDH* by the $2^{-\Delta CT}$ method. Data are expressed as mean±SD (n=3) of 3 biological repeats.

Western Blotting

Total proteins from cells were extracted using ice-cold RIPA lysis buffer (Beyotime, Shanghai, China) according to the manufacturer's instructions. Then, the protein concentration was measured using a BCA Kit (P0012, Beyotime, Shanghai, China). Proteins (30 µg) were separated by 10% SDS-PAGE and then transferred onto polyvinylidene fluoride (PVDF) membranes. The membranes were incubated overnight at 4°C with antibodies against NFYA (1: 2000, ab264275, Abcam, Cambridge, UK) and β -actin (1: 2000, 20536-1-AP, Proteintech, Wuhan, China). Then, the membranes were washed with Tris-buffered saline with 0.1% Tween 20 and incubated with HRP-conjugated IgG at room temperature for 1 h. Protein band signals were developed using an ECL substrate (Millipore, Billerica, MA, USA).

Flow Cytometric Analysis of Cell Cycle

Cell cycle distribution was performed by PI staining. In brief, cells were harvested, washed in PBS, and fixed in cold 70% ethanol for 30 min at 4°C. Then, cells were washed in PBS and treated with ribonuclease. Then, cells were incubated with PI solution (200 μ l from 50 μ g/ml stock solution) for 30 min at 4°C. Then, PI staining was detected on a NovoCyte flow cytometer (Agilent, Santa Clara, CA, USA) and analyzed using NovoExpress software (Agilent).

Statistical Analysis

All statistical analyses were performed with GraphPad Prism 8.1, using data obtained from at least 3 independent experiments. Data are presented as the mean and standard deviation (SD). Correlation analysis was performed by calculating Pearson's correlation coefficient (r value). Welch's unpaired t test was conducted for two-group comparison. One-way ANOVA with post hoc Tukey's multiple comparisons test was conducted for multiple-group comparison. The statistical difference between K-M survival curves was assessed by log-rank (Mantel-Cox) test. The level of statistical significance set was at P<0.05.

Results

HCC Patients with Elevated NFYA Expression Had Significantly Worse Survival

Since all NFY subunit genes are upregulated in HCC tissues compared to adjacent normal tissues [8], we assessed their correlation with the survival of patients with primary HCC in TCGA by generating K-M survival curves. The differences in DSS and PFS were compared between patients with the higher 50% and lower 50% of gene expression. Log-rank test results indicated that only the group with the top 50% of *NFYA* expression, but not *NFYB* or *NFYC*, had significantly shorter DSS (*P*=0.014) and PFS (*P*=0.042) compared to the respective lower 50% gene expression controls (**Figure 1A-F**). IHC staining of both normal liver and HCC tissues revealed that NFYA protein expression is rather low in normal liver tissue, mainly in the cholangiocytes (**Figure 1G, left panel**). In comparison, HCC tissues usually had medium NFYA expression in the nucleus (**Figure 1G, right panel**).

NFYAv1, But Not NFYAv2, is Associated with Poor Prognosis in Patients with HCC

Since the 2 isoforms of *NFYA* might have distinct regulatory effects on cancer cells, we checked their expression composition and relative expression. Isoform percentage analysis indicated that NFYAv1 is the dominant isoform in both normal



Figure 1. HCC patients with elevated NFYA expression had significantly worse survival. (A-F) K-M analysis for DSS (B, D, F) and PFS (A, C, E) comparison was performed. Patients with primary HCC in TCGA were classified into 2 groups by the median NFYA (A, B), NFYB (C, D) or NFYC (E, F) expression. (G) NFYA protein expression in normal liver and HCC tissues. IHC staining images were obtained from the HPA, from the following links: <u>https://www.proteinatlas.org/ENSG0000001167-NFYA/tissue/liver</u> and <u>https://www.proteinatlas.org/ENSG00000001167-NFYA/tissue/liver</u>

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Figure 2. NFYAv1, but not NFYAv2, is associated with poor prognosis in patients with HCC. (A, B) Comparison of NFYAv1 and NFYAv2 isoform expression percentage (A) and relative expression (B) in normal liver tissues in GTEx (n=110) and HCC tissues in TCGA (n=359). (C-F) K-M analysis for PFS (C, D) and DSS (E, F) comparison was performed. Patients with primary HCC in TCGA were classified into 2 groups by the median NFYAv2 (C, E) or NFYAv1 (D, F) expression. The log-rank test was performed to evaluate the survival difference. ***, P<0.001.</p>

liver and HCC tissues, with a median isoform percentage higher than 80% (Figure 2A). Expression analysis confirmed that both transcripts were significantly upregulated in HCC tissues (Figure 2B). K-M survival analysis showed that high NFYAv1 expression (Figure 2D, 2F), but not NFYAv2 expression (Figure 2C, 2E), was associated with significantly worse PFS (HR: 1.657, 95% CI: 1.228-2.235, *P*<0.001) and DSS (HR: 1.986, 95% CI: 1.269-3.108, *P*<0.001). These findings suggest that these 2 isoforms have distinct regulatory effects on HCC.

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Figure 3. GO/KEGG analysis identified distinct pathways of NFYAv1 and NFYAv2 co-expressed genes. (A) A Venn diagram showing the common and unique genes highly correlated (|Pearson's r≥0.6|) with NFYAv1 and NFYAv2 expression in primary HCC cases in TCGA. (B, C) Bubble plots were generated to show the top enriched terms in GO/KEGG pathway enrichment analysis of genes highly correlated (|Pearson's r≥0.6|) with NFYAv1 (B) and NFYAv2 (C) expression. P values are represented by colors, while gene counts are represented by bubble size.

GO/KEGG Analysis Identified Distinct Enrichments of NFYAv1 and NFYAv2 Co-Expressed Genes

To explore the potential functional differences between NFYAv1 and NFYAv2, we identified their correlated genes in primary HCC patients in TCGA separately. Only the genes strongly co-expressed (|Pearson's r| ≥ 0.6) with each transcript were extracted. Then, their enrichment in the GO/KEGG pathways was analyzed. Results showed that 61 genes were co-expressed with NFYAv1, while 495 genes were co-expressed with NFYAv1, while 495 genes were co-expressed with NFYAv1, while 495 genes were co-expressed with NFYAv2 in primary HCC patients in TCGA (**Figure 3A**). Among these genes, only 16 genes are in the overlap set (**Figure 3A**). GO/KEGG analysis showed that only histone bindings were the common GO term in the Molecular Function (MF) (**Figure 3B, 3C, Tables 1, 2**).

These findings suggest these NFYAv1 and NFYAv2 regulate different signaling pathways in HCC.

Knockdown of NFYAv1 Impaired HCC Cell Growth and Cell Cycle Progression

To explore the association between NFYAv1 expression and the malignant behaviors of HCC tumors, we performed GSEA to assess differences in gene set enrichment between patients with higher 50% and lower 50% of NFYAv1 expression within the HALLMARK GENESET (**Figure 4A**). Only the gene sets with NOM *P*<0.05 and FDR q<0.25 were included. Results showed that the high NFYAv1 expression group had increased gene expression enriched in the mitotic spindle and G2/M checkpoint gene sets

Ontology	ID	Description	Gene ratio	Bg ratio	p value	p. adjust	q value
BP	GO: 0006614	SRP-dependent cotranslational protein targeting to membrane	12/54	105/18670	1.48e-16	1.22e-13	1.10e-13
BP	GO: 0006613	Cotranslational protein targeting to membrane	12/54	109/18670	2.35e-16	1.22e-13	1.10e-13
BP	GO: 0045047	Protein targeting to ER	12/54	118/18670	6.29e-16	1.97e-13	1.77e-13
СС	GO: 0022626	Cytosolic ribosome	12/56	112/19717	2.79e-16	3.60e-14	2.73e-14
СС	GO: 0022625	Cytosolic large ribosomal subunit	10/56	63/19717	1.67e-15	1.07e-13	8.15e-14
СС	GO: 0044391	Ribosomal subunit	13/56	190/19717	5.37e-15	2.31e-13	1.75e-13
MF	GO: 0003735	Structural constituent of ribosome	13/56	202/17697	4.65e-14	6.33e-12	5.44e-12
MF	GO: 0043023	Ribosomal large subunit binding	2/56	13/17697	7.50e-04	0.051	0.044
KEGG	hsa03010	Ribosome	12/31	158/8076	2.12e-13	2.18e-11	2.18e-11

Table 1. GO and KEGG analysis of NFYAv1-correlated genes in HCC cases in TCGA-LIHC.

Table 2. GO and KEGG analysis of NFYAv2-correlated genes in HCC cases in TCGA-LIHC.

Ontology	ID	Description	Gene ratio	Bg ratio	p value	p. adjust	q value
BP	GO: 0016570	Histone modification	46/412	454/18670	4.90e-18	1.66e-14	1.51e-14
BP	GO: 0016569	Covalent chromatin modification	46/412	474/18670	2.66e-17	3.57e-14	3.24e-14
BP	GO: 0018205	Peptidyl-lysine modification	42/412	397/18670	3.17e-17	3.57e-14	3.24e-14
CC	GO: 0070469	Respiratory chain	20/422	100/19717	2.90e-14	1.30e-11	1.01e-11
CC	GO: 0098803	Respiratory chain complex	17/422	85/19717	2.54e-12	5.69e-10	4.42e-10
СС	GO: 0005746	Mitochondrial respiratory chain	17/422	88/19717	4.60e-12	6.87e-10	5.34e-10
MF	GO: 0042393	Histone binding	25/414	197/17697	6.22e-12	3.01e-09	2.43e-09
MF	GO: 0003713	Transcription coactivator activity	29/414	319/17697	4.78e-10	1.16e-07	9.36e-08
MF	GO: 0061733	Peptide-lysine-N- acetyltransferase activity	13/414	66/17697	3.46e-09	5.20e-07	4.20e-07
KEGG	hsa04714	Thermogenesis	27/177	231/8076	6.08e-13	1.22e-10	1.08e-10
KEGG	hsa05020	Prion disease	28/177	273/8076	5.57e-12	4.28e-10	3.81e-10
KEGG	hsa00190	Oxidative phosphorylation	20/177	133/8076	7.18e-12	4.28e-10	3.81e-10

(**Figure 4A, 4B**). These findings suggest that NFYAv1can regulate the cell cycle progression of HCC cells.

Then, we generated lentiviral shRNA targeting exon 3 of NFYAv1 (shNFYA#1) and the other 2 shRNAs targeting both NFYAv1 and NFYAv2. SK-Hep-1 and Hep3B were infected with the lentiviral shNFYA particles for knockdown (**Figure 4C**). At 48 h

after lentiviral infection, the cells were lysed and subjected to qRT-PCR (**Figure 4C, upper panel**) and western blot assays (**Figure 4C, bottom panel**). shNFYA#1 could specifically knockdown NFYAv1 at the mRNA and protein levels, while shNFYA#2 and shNFYA#3 suppressed the expression of both NFYAv1 and NFYAv2 (**Figure 4C**). CCK-8 assays indicated that SK-Hep-1 and Hep3B cells with *NFYA* knockdown had significantly lower

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viability than the scramble control (scr.) group (**Figure 4D, 4E**). Noticeably, shNFYA#1 showed a more substantial growth-inhibiting effect than shNFYA#3 (**Figure 4D, 4E**). By performing flow cytometric analysis of the cell cycle using both SK-Hep-1 and Hep3B cells, we found that *NFYA* knockdown significantly increased the ratio of cells in G0/G1 phases and reduced the cells in S and G2/M phases (**Figure 4G, 4H**). These data suggest that both shNFYA#1 and shNFYA#3 induced cell cycle arrest at the G1/S phase and inhibited G2/M transition.

Discussion

Bioinformatic analysis based on RNA-seq and survival data from TCGA revealed that elevated *NFYA*, but not *NFYB* or *NFYC* expression, was associated with poor prognosis of patients with primary HCC. Our subsequent transcript-specific analysis further revealed that NFYAv1, but not NFYAv2, expression was associated with significantly worse PFS (HR: 1.657, 95% CI: 1.228-2.235, *P*<0.001) and DSS (HR: 1.986, 95% CI: 1.269-3.108, *P*<0.001). A recent study indicated that mammalian NFYA alternative splicing depends on the cell type, developmental stage, and physiopathology [19]. Compared to NFYAv2, NFYAv1 contains an additional 29 amino acids encoded by exon 3. This region has glutamines, hydrophobic residues, and a scarcity



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Figure 4. Knockdown of NFYAv1 impaired HCC cell growth and cell cycle progression. (A) A schematic chart showing the strategy used to perform single-gene GSEA and a summary of the gene sets associated with high NFYAv1 expression. (B) GSEA plots showing the graphical view of the enrichment score for the mitotic spindle and G2/M checkpoint gene sets associated with high NFYAv1 expression. (C) RT-qPCR (upper panel) and western blot (bottom panel) assays were performed to detect the expression of *NFYA* at the mRNA and protein levels in SK-Hep-1 and Hep3B cells 48 h after infection with lentiviral shNFYA. (D, E) CCK-8 assays were conducted to measure the viability of SK-Hep-1 and Hep3B cells with or without *NFYA* knockdown. (F-H) Cell cycle analysis was performed through PI staining and following flow cytometry for SK-Hep-1 (F upper panel and G) and Hep3B (F bottom panel and G) cells after lentiviral shNFYA infection. Quantitative measurements (F, G) of the cell cycle phase were conducted. Data are mean±SD (n=3) of 3 biological repeats. **, P<0.01; ***, P<0.001.

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of charged amino acids [19]. Their composition is similar to the surrounding transcriptional activation domain, suggesting that these 2 isoforms exert distinct regulatory effects [19]. High NFYAv1/NFYAv2 ratio (>0.9) was associated with significantly worse PFS in patients with breast cancer [20]. NFYAv1, but not NFYAv2, promotes the malignant behavior of triplenegative breast cancer by enhancing lipogenesis [12]. In prostate cancer, NFYAv2 can enhance cancer cell proliferation in vitro and in vivo [21]. In HCC, NFYAv2 can enhance the antitumor effects of gluconeogenesis by elevating the expression of PCK1 and inducing a higher level of ROS generation [13]. However, the functional role of NFYAv1 in HCC is still unclear.

Our GO/KEGG analysis confirmed that NFYAv1 and NFYAv2 co-expressed genes are enriched in different GO/KEGG terms. GSEA assay indicated that the high NFYAv1 expression group had increased expression of genes enriched in the mitotic spindle and G2/M checkpoint gene sets, which is closely related to tumor cell proliferation. To validate this regulation, we further designed NFYAv1-specific shRNA and conducted CCK-8 assays and cell cycle analysis. Our findings imply that NFYAv1 knockdown significantly decreased cell viability and increased G0/ G1 cell cycle arrest. In addition, we observed that the shRNA targeting NFYAv1 had a significantly stronger growth-inhibiting effect than shRNA targeting both NFYAv1 and NFYAv2. This difference might be explained by a compensation associated with NFYAv2 knockdown, since it might be a tumor-suppressive transcript in HCC [13]. These findings are consistent with a previous review concluding that NFYA binds to and regulates the transcription of a series of cell cycle-related genes, thereby playing a fundamental role in cell proliferation [1].

Since NFYB and NFYC subunits are mainly ubiquitously expressed and NFYA is a DNA-binding subunit, NFYA might be a

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limiting subunit of the transcription trimer [1]. Available evidence shows that the transcriptional activating effects of the NFY complex are tissue- and cell-dependent [22-24]. In HCC, only several downstream targets of the NFY complex were validated, including *MDR1* [10], a LIN28B tumor-specific transcript (TST) [25] and *PSMD10* [11]. MDR1 upregulation is associated with enhanced chemoresistance of HCC cells [10]. LIN28B-TST encodes a long protein isoform of LIN28B, which contributes to HCC tumorigenesis and cell proliferation [25]. Gankyrin can promote tumorigenesis, metastasis, and drug resistance of HCC via activating STAT3 signaling, beta-catenin/c-Myc signaling, and PI3K-Akt signaling [26-28].

This study also has some limitations. Firstly, the downstream regulation of NFYAv1 in HCC was not explored. Transcription factor regulation mainly relies on the downstream effectors. To further understand the functional role of NFYA in HCC, the isoform-specific downstream targets need to be explored. Secondly, only preliminary in vitro studies were conducted to validate the functional role of NFYAv1. Future in vivo studies should be conducted to understand the details of molecular regulations.

Conclusions

In summary, this study revealed that among the 2 NFYA splicing transcripts, only NFYAv1 is a tumor-promoting transcript associated with poor prognosis of HCC.

Declaration of Figures' Authenticity

All figures submitted have been created by the authors, who confirm that the images are original with no duplication and have not been previously published in whole or in part.

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