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## Exacerbated inflammatory cellular immune response characteristics of HAM/TSP is observed in a large proportion of HTLV-I asymptomatic carriers

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### Abstract

**Background:** A small fraction of Human T cell Leukemia Virus type-I (HTLV-I) infected subjects develop a severe form of myelopathy. It has been established that patients with HTLV-I associated myelopathy/tropical spastic paraparesis (HAM/TSP) show an exaggerated immune response when compared with the immunological response observed in HTLV-I asymptomatic carriers. In this study the immunological responses in HAM/TSP patients and in HTLV-I asymptomatic carriers were compared using several immunological assays to identify immunological markers associated with progression from infection to disease.

**Methods:** Immunoproliferation assays, cytokine levels of unstimulated cultures, and flow cytometry analysis were used to evaluate the studied groups. Nonparametric tests (Mann-Whitney U test and Wilcoxon matched-pairs signed ranks) were used to compare the difference between the groups.

**Results:** Although both groups showed great variability, HAM/TSP patients had higher spontaneous lymphoproliferation as well as higher IFN- $\gamma$  levels in unstimulated supernatants when compared with asymptomatic carriers. Flow cytometry studies demonstrated a high frequency of inflammatory cytokine (IFN- $\gamma$  and TNF- $\alpha$ ) producing lymphocytes in HAM/TSP as compared to the asymptomatic group. This difference was accounted for mainly by an increase in CD8 cell production of these cytokines. Moreover, the HAM/TSP patients also expressed an increased frequency of CD28-/CD8+ T cells. Since forty percent of the asymptomatic carriers had spontaneous lymphoproliferation and IFN- $\gamma$  production similar to HAM/TSP patients, IFN- $\gamma$  levels were measured eight months after the first evaluation in some of these patients to observe if this was a transient or a persistent situation. No significant difference was observed between the means of IFN- $\gamma$  levels in the first and second evaluation.

**Conclusions:** The finding that a large proportion of HTLV-I carriers present similar immunological responses to those observed in HAM/TSP, strongly argues for further studies to evaluate these parameters as markers of HAM/TSP progression.

## Background

Human T cell leukemia virus-type 1 (HTLV-I) infects an estimated 10 to 20 million people worldwide, making it a serious public health problem. The HTLV-1 infection has a high prevalence in Brazil, and Salvador, the capital of the state of Bahia, has the highest prevalence of HTLV-1 in the country in blood donors (1,35%) [1,2]. It is estimated that 95% of HTLV-I infected individuals are asymptomatic carriers. A small percentage of infected individuals (2 to 5%) develop adult T cell leukemia/lymphoma (ATL) [3,4] or a chronic inflammatory disease, involving the central nervous system, termed HTLV-I associated myelopathy/tropical spastic paraparesis (HAM/TSP) [5,6]. One of the most important immunological observations of HTLV-I infection is the demonstration that lymphocytes spontaneously proliferate *in vitro* in the absence of stimulus [7]. It has been shown that both infected CD4+ and CD8+ lymphocytes infiltrate spinal cord and peripheral blood and produce cytokines such as IFN- $\gamma$ , TNF- $\alpha$  and IL-6, which are considered important inflammatory mediators of the tissue damage in HAM/TSP [8-10]. Extensive previous studies have compared the immunological response of asymptomatic HTLV-I carriers to that of HAM/TSP patients [11,12]. Additionally, a recent study [13] has emphasized that the percentage of HTLV-I carriers that develop other immunological abnormalities, including HAM/TSP, is much higher than that previously cited in the literature. The aim of the present study is to compare in HTLV-I asymptomatic carriers, and in HAM/TSP patients, the spontaneous lymphoproliferative response and cytokine production, the overall *ex vivo* T cell activation states, and the production of immunoregulatory cytokines by CD4+ and CD8+ T cells. The documentation that some HTLV-I carriers have immunological alteration similar to that observed in HAM/TSP suggests that potential markers of disease progression may be determined in HTLV-I infection.

## Methods

### Patients selection and neurological exam

Patients were selected from the HTLV-I clinic of the Hospital Universitário Professor Edgard Santos, Federal University of Bahia, Brazil. The diagnosis was confirmed by Western blot (HTLV blot 2.4, Genelabs, Singapore). Seventeen patients with HAM/TSP were selected based on WHO criteria and thirty-six HTLV-I asymptomatic carriers were referred from two blood banks. Exclusion criteria included the use of antiviral drugs or immunomodulators in the previous 90 days, helminth infection, co-infection with HIV, HCV or hepatitis B and presence of other neurologic diseases. Motor dysfunction was determined by Osame's Motor Disability Score (OMDS) [14] and Expanded Disability Status Scale (EDSS) [15]. Patients with HAM/TSP had a marked neurological impairment with EDSS  $\geq 3$  and OMDS  $\geq 1$  and all asymptomatic sub-

jects had OMDS and EDSS of zero. Seronegative normal donors were also referred from the same blood banks and used as negative controls. The Ethical Committee of the Hospital Universitário Professor Edgard Santos approved this study and informed consent was obtained from all prospectively enrolled patients.

### Cell preparation and proliferation assay

Peripheral blood mononuclear cells (PBMC) were isolated and cultivated in RPMI 1640 (Gibco, Grand Island, NY, USA) plus 10 % heat inactivated human AB Rh+ serum (Sigma Chemical Co., St. Louis, MO), antibiotics and glutamine. A total of  $2 \times 10^5$  cells/mL were incubated at 37°C in 5 % CO<sub>2</sub> atmosphere in a 96 well flat-bottom microtiter plates. The cells were kept unstimulated (media alone) and after 5 days, the cultures were pulsed with <sup>3</sup>H-Thymidine (1  $\mu$ Ci/well) for a final 6–16 hours period, and then harvested. The <sup>3</sup>H-Thymidine uptake was measured using a LKB beta scintillation counter. The average of counts per minute (cpm) was plotted and PHA (1.0  $\mu$ g/mL, Sigma) was used as a positive control in the proliferation assay.

### Cytokine determination

PBMC were adjusted to  $3 \times 10^6$  cells/mL in RPMI 1640 plus 10 % serum AB Rh+. The cells were cultured unstimulated or stimulated with PHA (5  $\mu$ g/mL) and all cultures were incubated at 37°C in 5 % CO<sub>2</sub> atmosphere for 72 hours until supernatants were collected. IFN- $\gamma$ , TNF- $\alpha$ , IL-5 and IL-10 levels were measured by sandwich ELISA technique (R & D system, Minneapolis, MN).

### Ex vivo staining of lymphocyte profiles

$2 \times 10^5$  PBMC from HTLV-I patients were incubated with FITC, PE, or Cychrome-labeled antibody solutions for 20 minutes at 4°C. After staining, preparations were washed with 0.1% sodium-azide PBS, fixed with 2% formaldehyde in PBS and kept at 4°C until data acquisition using a FACScalibur (Becton Dickinson, San Jose, CA). The antibodies used were all directly conjugated either for FITC, PE, or Cychrome and consisted of: Ig controls, anti-CD4, CD8, CD28, CD69 (PharMingen, San Diego, CA) and anti-CD62L (Caltag, Burlingame, CA).

### Single cell cytoplasmic cytokine staining

Briefly,  $2 \times 10^5$  PBMC were cultured in RPMI 1640 plus 5% AB Rh+ serum in 96 well plates. Based on preliminary results all the cytokine staining was performed after 20 hours of incubation. During the last 4 hours of culture, Brefeldin-A (1  $\mu$ g/mL) was added to the culture. The cells were then washed and centrifuged using ice-cold PBS plus sodium-azide, stained for surface markers and fixed using 2% formaldehyde. The fixed cells were then permeabilized with a solution of Saponin and stained, for 30 minutes at 4°C, using anti-cytokine mAbs directly conjugated with

PE (IFN- $\gamma$ , TNF- $\alpha$ , IL-4 and IL-10) (Pharmingen). Preparations were then washed, fixed and analyzed using a FAC-Scalibur. In all cases the cells were double stained for cytokine and for cell surface markers. In all cases, 30,000 gated events were acquired for later analysis due to the low frequency of positive events being analyzed.

### Statistical analysis

A nonparametric Mann-Whitney U test and Wilcoxon matched-pairs signed rank tests were used to evaluate differences between the groups. An alpha ( $\alpha$ ) of 5% ( $p < 0.05$ ) was considered for statistical significance. Lymphocytes were analyzed for their intracellular cytokine expression patterns and frequencies and for surface markers using the program Cell Quest. Statistical analysis was performed using the ANOVA "comparison of all pairs" contained in the statistical program from SAS, JMP.

### Results

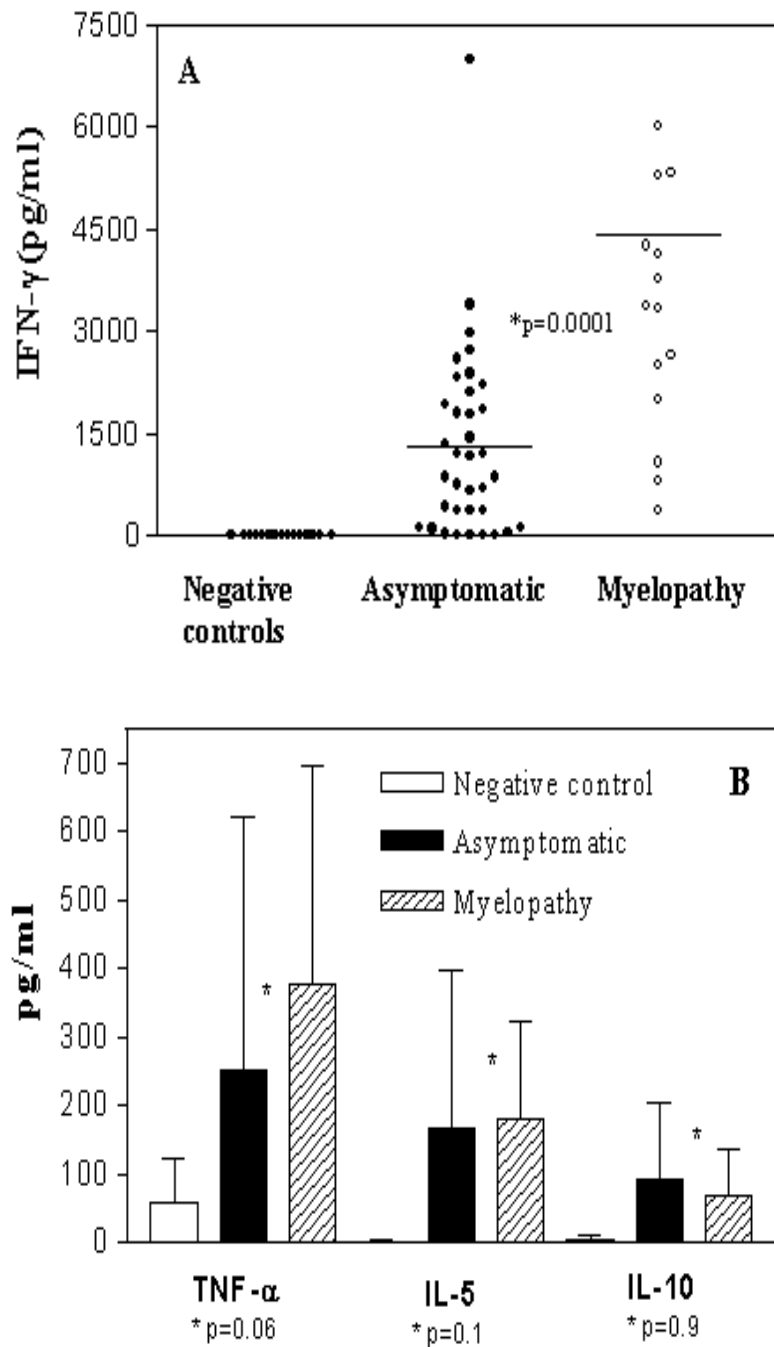
The mean age of the seventeen myelopathy patients was  $53 \pm 16$  (mean  $\pm$  SD) years and of the thirty-six HTLV-I healthy carriers was  $39 \pm 11$  years. To determine if HAM/TSP patients and asymptomatic subjects produce different levels of secreted cytokines, IFN- $\gamma$ , TNF- $\alpha$ , IL-10 and IL-5 were measured in supernatants of unstimulated cultures of HTLV-I infected groups and compared with negative controls. There was a high variability in IFN- $\gamma$  levels in asymptomatic carriers (Figure 1A). The mean and SD of IFN- $\gamma$  levels in myelopathy patients ( $4,246 \pm 2,924$  pg/mL, range: 375 to 10,750), was higher than that observed in asymptomatic carriers ( $1,362 \pm 1,408$  pg/mL range: 15 to 6,995) or in negative controls ( $1 \pm 4$  pg/mL),  $p = 0.0001$ , Mann-Whitney U test. There was also a tendency for higher TNF- $\alpha$  levels in HAM/TSP patients ( $378 \pm 316$  pg/mL) when compared with levels observed in asymptomatic carriers ( $259 \pm 366$  pg/mL) or in negative controls ( $60 \pm 63$  pg/mL),  $p = 0.06$ . No differences between IL-5 levels ( $151 \pm 141$  versus  $166 \pm 231$  pg/mL),  $p = 0.17$  and IL-10 levels ( $70 \pm 66$  versus  $94 \pm 110$  pg/mL),  $p = 0.9$ , were observed between HTLV-I infected groups or negative controls ( $2 \pm 2$  versus  $2.6 \pm 10$ ), Figure 1B.

The lymphoproliferative assays performed using PBMC from ten HAM/TSP patients and eleven asymptomatic individuals showed that lymphoproliferation was higher in HAM/TSP than HTLV-I asymptomatic carriers. The five day spontaneous proliferation of the HAM/TSP group gave a mean and SD of  $21,404 \pm 30,859$  cpm (range: 919 to 102,242), while the asymptomatic HTLV-I group had a mean and SD of  $3,365 \pm 5,188$  cpm (range: 139 to 18,169). The magnitude of the responses was higher in HAM/TSP than in HTLV-I carriers ( $p = 0.006$ ) although a great variability of the spontaneous lymphoproliferation had been observed in both groups (date not shown).

Based on IFN- $\gamma$  production, the immunological responses in 40% of the HTLV-I carriers were similar to that observed in patients with HAM/TSP ( $p > 0.05$ ). These individuals had IFN- $\gamma$  levels higher than 1,322 pg/mL, representing the mean minus one standard deviation of the IFN- $\gamma$  levels obtained in HAM/TSP patients. To determine if the cytokine levels in HTLV-I carriers was reflecting a transient or persistent situation, IFN- $\gamma$  levels were measured between 6 months to one year (with a mean of eight months) after the first evaluation. No significant differences were observed between the means of IFN- $\gamma$  levels from fourteen asymptomatic carriers in the first and second evaluation ( $1,723 \pm 450$  and  $1,670 \pm 1,396$ , respectively),  $p = 0.67$ . HAM/TSP patients ( $n = 11$ ) also had similar levels in the first and second evaluation ( $5,771 \pm 3,365$  versus  $4,718 \pm 2,427$ , respectively),  $p = 0.17$ , Wilcoxon matched-pairs signed ranks test (Figure 2).

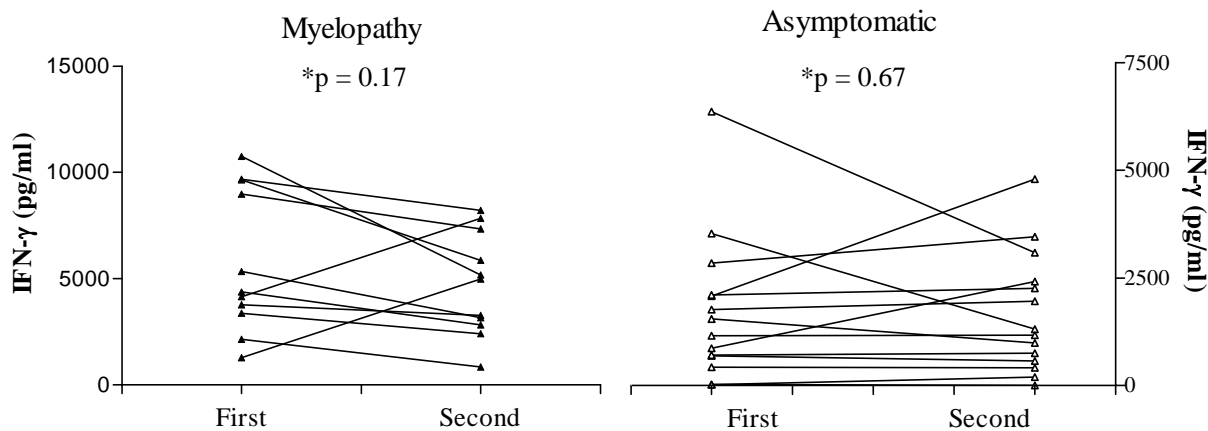
To determine the activation states and relative lymphocyte proportions, as well as the cellular sources of immunoregulatory cytokines, four HAM/TSP patients and eight asymptomatic carriers were randomly selected and analyzed using flow cytometry. To measure lymphocyte activation and regulation, the markers CD69 and CD28 respectively, were used in conjunction with CD4 and CD8 in separate staining protocols. The relative proportions of CD4 and CD8 cells expressing the adhesion molecule, CD62L was also determined for both groups. Figure 3A demonstrates that the HAM/TSP group expressed a significantly higher frequency of CD8+ T cells ( $21.4 \pm 3.3$ ) than the asymptomatic group ( $9.8 \pm 1.7$ ). A higher frequency ( $51.9 \pm 3.5$ ) of the hyper-activated, CD28-/CD8+ T cells within the CD8+ T cell population in HAM/TSP than in asymptomatic group ( $35.2 \pm 7.4$ ) was also observed. There was no difference in CD69 and CD62L lymphocyte populations between both HTLV-I infected groups (data not shown).

To further investigate the differences in cytokine profile between the HAM/TSP and asymptomatic groups, flow cytometry was performed to determine the frequency of T cells producing IFN- $\gamma$ , TNF- $\alpha$ , IL-4 and IL-10. A significant increase in the frequency of lymphocytes expressing TNF- $\alpha$  and IFN- $\gamma$  was seen in the HAM/TSP group ( $12 \pm 6$  and  $5 \pm 0.3$ , respectively) as compared to the asymptomatic group ( $4 \pm 2$  and  $1 \pm 0.5$ , respectively) (Figure 3B). Moreover, CD8+ T cells were the major cellular source responsible for the difference in IFN- $\gamma$  producing cells between the two groups. The frequency of CD8+ T cells producing TNF- $\alpha$  was higher in HAM/TSP as compared to asymptomatic carriers, with both CD4+ and CD8+ T cells contributing equally to the difference seen in TNF- $\alpha$  production (Figure 3C). In contrast, no difference was seen for the frequency of cells producing IL-4 or IL-10 (data not shown). While there was no difference regarding the frequency of



**Figure 1**

HAM/TSP patients display higher production of IFN- $\gamma$  as compared with others cytokines synthesis. Unstimulated cultures supernatants of PBMC from 17 HAM/TSP patients and 36 asymptomatic carriers were compared with negative controls (n = 15) and assayed by ELISA to observe IFN- $\gamma$ , TNF- $\alpha$ , IL-5 and IL-10 synthesis. Figure 1A shows IFN- $\gamma$  levels (pg/ml) in HAM/TSP patients as compared with asymptomatic carries or negative controls. Figure 1B shows TNF- $\alpha$ , IL-5 and IL-10 levels in both groups. The bars represent the median of IFN- $\gamma$  concentrations and the difference were considered significant when  $p < 0.05$  (Mann-Whitney U Test).



**Figure 2**

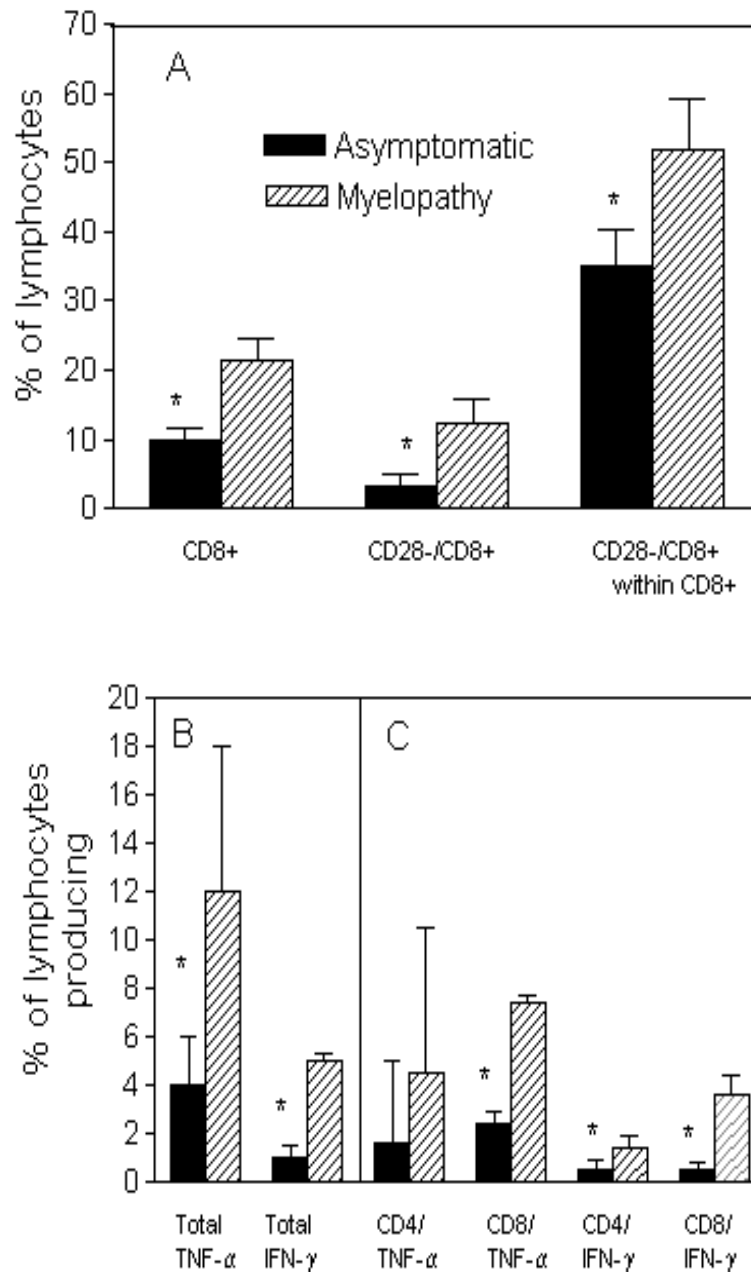
*IFN-γ* levels are relatively constant when evaluated in two different periods. Unstimulated cultures supernatants of PBMC from 11 HAM/TSP patients and 14 asymptomatic carriers were assayed by ELISA and analyzed at the initial evaluation and eight months following the first evaluation. The data represent *IFN-γ* levels (pg/ml) of each patient in first and second evaluation. The differences were not statistically significant ( $p > 0.05$ , Wilcoxon matched-pairs signed ranks test).

CD4+ and CD8+ T cells producing *IFN-γ* within asymptomatic carriers ( $0.5 \pm 0.4$  vs.  $0.5 \pm 0.3$ ), there was a significant difference ( $p < 0.05$ ) in the frequency of CD4 cells producing *IFN-γ* in HAM/TSP ( $1.4 \pm 0.5$ ) compared to the CD8+ T cells ( $3.6 \pm 0.5$ ).

### Discussion

The present study shows that lymphocytes from patients with HAM/TSP displayed higher spontaneous proliferation and *IFN-γ* synthesis, a higher frequency of TNF- $\alpha$  and *IFN-γ* producing lymphocytes and a significant increase in the deregulated T cell population, CD28-/CD8+, as compared to those from HTLV-I asymptomatic carriers.

The pathogenesis of HAM/TSP is not completely understood. Although initially considered a rare and late complication of infection, the disease has been identified in children, and in some cases, a rapidly progressive disease has been observed [16]. Increased proviral load, pro-inflammatory cytokines and the expansion of HTLV-I tax-specific CD8+ cytotoxic T lymphocytes, both in cerebrospinal fluid and in peripheral blood, have been associated with the central nervous system involvement in patients with HAM/TSP [17-21]. A recent report [13] established a cohort of HTLV-I asymptomatic carriers to study clinical events and documented an increased frequency of abnormalities, including a case of HAM/TSP.



**Figure 3**

*HAM/TSP patients display a higher frequency of CD28-/CD8+ T cells and inflammatory cytokine producing T cells than do asymptomatic carriers. PBMC from 4 HAM/TSP and 8 asymptomatic carriers were analyzed ex vivo or following a 20 hour media alone culture for the expression of CD4+ and CD8+ T cell subpopulations using flow cytometry. Figure 3A shows the frequency of CD8 cells and the subpopulations defined by CD28 expression. Figure 3B shows the frequency of total lymphocytes producing TNF- $\alpha$  and IFN- $\gamma$ . Figure 3C shows the relative contribution of CD4+ or CD8+ T cells to the overall cytokine producing population shown in Figure 3B. The data represent the mean  $\pm$  S.D and the asterisk represents differences with a  $p < 0.05$  (Mann-Whitney U Test).*

Evaluation of immunological responses in patients with HAM/TSP and asymptomatic carriers is important for the understanding of the pathogenesis of the HAM/TSP, and to identify early immunological markers associated with progression from infection to disease. This study indicates a higher and significant spontaneous lymphoproliferation and IFN- $\gamma$  production in HAM/TSP patients as compared to HTLV-I asymptomatic carriers. Additionally, lymphocyte responses were quite variable, and in some asymptomatic carriers the lymphocyte proliferation and IFN- $\gamma$  production were similar to those found in patients with myelopathy. These data induce us to observe if the parameters could be considered good markers of disease progression. This is in agreement with our previous observations that asymptomatic carriers can be divided in high and low producers according to IFN- $\gamma$  levels [22]. A great variability of immune response observed in about 40% of HTLV-I infected subjects was not reflecting a temporary situation, since the IFN- $\gamma$  levels were relatively constant within an individual over time (eight months). During this period of time no neurological manifestation was documented and no other disease that could alter the immune response was observed.

Many studies have demonstrated that IFN- $\gamma$  and TNF- $\alpha$  produced in large quantities contribute to tissue damage of the central nervous system [23,24], and are likely involved in the pathogenesis of several infections and non-infections disease. The flow cytometric determination of cytokine producing lymphocytes extend the observations made in supernatants of lymphocytes cultures showing a significant increase in the frequency of IFN- $\gamma$  and TNF- $\alpha$  producing cells in patients with HAM/TSP. This difference was accounted for both CD4+ and CD8+ T cells for the TNF- $\alpha$  producing cells, and mainly by CD8+ T cells for IFN- $\gamma$  producing lymphocytes. Since CD4+ T cells are the main source of IFN- $\gamma$  in HTLV-I carriers [22,25,26] this data may indicate that during the evolution from asymptomatic to myelopathy there is a switch from CD4+ to CD8+ in relation to the main cell producing IFN- $\gamma$ . These findings support studies suggesting that CD8+ T cells may play an important role in the pathogenesis of HAM/TSP [27].

Previous studies have shown that CD28-/CD8+ cells display high cytotoxic activity, playing an important role in the pathology associated with viral diseases [28,29]. Additionally, recent studies have shown that HIV-1 can incorporate CD28 and the acquisition of this specific host surface glycoprotein modulates the virus life cycle [30]. Moreover, the role of CD28-/CD8+ T cells in HAM/TSP needs to be further investigated since these cells may induce cell damage and / or death in infections disease [31].

In conclusion, these results show an exacerbated type 1 immune response in HAM/TSP patients, characterized by elevated IFN- $\gamma$  production, an increased frequency of TNF- $\alpha$  and IFN- $\gamma$  producing lymphocytes, and by an increase in the frequency of CD28-/CD8+ T cells. Given that cellular activation and pro-inflammatory cytokine production are likely directly involved in the pathogenesis of HAM/TSP disease, longitudinal studies of HTLV-I infected asymptomatic carriers who present with high lymphoproliferative response, high production of IFN- $\gamma$  and TNF- $\alpha$  and high expression of CD28-/CD8+ T cells should be conducted to determine the frequency of disease progression in this group. The identification of markers of HAM/TSP progression will allow for earlier initiation of current therapeutic interventions, and hopefully delay the fast and progressive development of the motor disability observed in HTLV-I infected individuals.

### Competing interests

None declared.

### Authors' contributions

SBS carried out the immunological studies, performed statistical analysis and drafted the manuscript. ALM, EM and AM participated in the coordination of neurological evaluations. AFP was involved in clinical evaluation of the patients. ARJ participated in the design and statistical analysis. WOD and KJG carried out FACS analysis and EMC conceived the study and participated in its design and coordination.

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