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# Development of a fed-batch process for a recombinant *Pichia pastoris* $\Delta och1$ strain expressing a plant peroxidase

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## Abstract

*Pichia pastoris* is a prominent host for recombinant protein production, amongst other things due to its capability of glycosylation. However, N-linked glycans on recombinant proteins get hypermannosylated, causing problems in subsequent unit operations and medical applications. Hypermannosylation is triggered by an  $\alpha$ -1,6-mannosyltransferase called OCH1. In a recent study, we knocked out OCH1 in a recombinant *P. pastoris* CBS7435 Mut<sup>S</sup> strain ( $\Delta och1$ ) expressing the biopharmaceutically relevant enzyme horseradish peroxidase. We characterized the strain in the controlled environment of a bioreactor in dynamic batch cultivations and identified the strain to be physiologically impaired. We faced cell cluster formation, cell lysis and uncontrollable foam formation.

In the present study, we investigated the effects of the 3 process parameters temperature, pH and dissolved oxygen concentration on 1) cell physiology, 2) cell morphology, 3) cell lysis, 4) productivity and 5) product purity of the recombinant  $\Delta och1$  strain in a multivariate manner. Cultivation at 30°C resulted in low specific methanol uptake during adaptation and the risk of methanol accumulation during cultivation. Cell cluster formation was a function of the C-source rather than process parameters and went along with cell lysis. In terms of productivity and product purity a temperature of 20°C was highly beneficial. In summary, we determined cultivation conditions for a recombinant *P. pastoris*  $\Delta och1$  strain allowing high productivity and product purity.

**Keywords:** *Pichia pastoris*, Glycosylation, OCH1, Horseradish peroxidase, Bioreactor cultivation, Fed-batch, Design of Experiments

## Introduction

The methylotrophic yeast *Pichia pastoris* is an attractive host for the recombinant production of proteins and biopharmaceuticals (e.g. [1-3]). It can grow on inexpensive media to high cell densities [1], numerous molecular manipulation tools are available [4] and high production titers are possible [5,6]. Due to the capacity of performing posttranslational modifications, like glycosylation, *P. pastoris* is attractive for the production of eukaryotic proteins (e.g. [3,7-10]). However, the glycosylation capacity of this yeast also is a curse: native glycosyltransferases recognize the aminoacid motif N-X-S/T and link N-glycans

to the asparagine [11,12]. In contrast to mammals, however, no trimming reactions of the attached glycans happen, but the glycans are further extended, a phenomenon known as hyperglycosylation [13]. The first reaction of this cascade is catalyzed by an  $\alpha$ -1,6-mannosyltransferase (OCH1) localized in the Golgi apparatus [14,15]. Hyperglycosylation describes a huge problem since not only the physico-chemical properties of the target protein get masked leading to difficulties in the downstream process [16], but also yeast derived glycans are not compatible with the human organism and can cause immunogenic reactions [17]. Consequently, there have been numerous attempts to manipulate the native glycosylation machinery of *P. pastoris* (e.g. [18-22]). In a recent study, we deleted OCH1 in a recombinant *P. pastoris* strain ( $\Delta och1$ ) and physiologically characterized the strain in the controlled environment of a bioreactor [23]. We purified the recombinant product horseradish

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peroxidase (EC 1.11.1.7; HRP; e.g. [24]) and analyzed catalytic constants, thermal stability as well as protein glycosylation. Although the  $\Delta och1$  strain produced the recombinant protein with shorter glycans of considerably increased homogeneity, the strain was physiologically impaired and thus hard to cultivate. We faced cell cluster formation, cell lysis and uncontrollable foam formation [25,26].

In the present study, we investigated the effects of the 3 process parameters temperature, pH and dissolved oxygen concentration ( $dO_2$ ) on 1) cell physiology, 2) cell morphology, 3) cell lysis, 4) productivity and 5) product purity in a multivariate manner to identify fed-batch operating conditions for the recombinant  $\Delta och1$  strain which give both high productivity and product purity, and hamper methanol accumulation as well as cell lysis and consequent foam formation.

## Material and methods

### Microorganism

A *P. pastoris* CBS7435 Mut<sup>S</sup>  $\Delta och1$  strain carrying the gene coding for the HRP isoenzyme A2A was provided by Prof. Anton Glieder (University of Technology, Graz, Austria). Strain generation and isoenzyme characteristics were described previously [23,27]. A recombinant *P. pastoris* CBS7435 Mut<sup>S</sup> strain with intact OCH1 expressing HRP A2A, hereafter called wildtype OCH1 strain, was included as reference.

### Design of experiments

A 2<sup>3</sup>-level full factorial screening approach with 2 centre points was set up with the program MODDE (Umetrics, Sweden) to explore the influence of the 3 factors temperature (20–30°C), pH (5.0–7.0) and  $dO_2$  (10–30%) as well as their linear interactions on different response parameters resulting in a total of 10 fed-batch cultivations (Table 1). We chose the limits for temperature

**Table 1 Experimental plan for the multivariate analysis of the 3 factors temperature, pH and  $dO_2$  and their effects on different response parameters**

Cultivation	Run order	Temperature [°C]	pH	$dO_2$ [%]
DoE1	9	20	5	30
DoE2	1	20	5	10
DoE3	8	30	5	30
DoE4	2	30	7	30
DoE5	6	30	7	10
DoE6	3	25	6	20
DoE7	10	20	7	10
DoE8	4	20	7	30
DoE9	7	25	6	20
DoE10	5	30	5	10

with 20–30°C, since this temperature range is reported for yeasts (e.g. [28–31]). For pH we investigated values between pH 5.0 and 7.0 (e.g. [32]), since *P. pastoris* does not grow well at more acidic or alkaline conditions and also HRP exhibits high stability in this pH range [16]. Finally, we investigated  $dO_2$  levels between 10–30%, which is again a range which had been used for *P. pastoris* before (e.g. [30,32,33]).

We analyzed the effects of the 3 factors on 1) strain physiology (specific substrate uptake rate during methanol adaptation ( $q_{s, MeOH, adapt}$ ), methanol accumulation during fed-batch ( $MeOH_{accum}$ ), biomass yield ( $Y_{X/S}$ ) and  $CO_2$  yield ( $Y_{CO_2/S}$ )), 2) strain morphology (cell size distribution), 3) cell lysis (extracellular DNA content), 4) productivity (space-time-yield STY [ $U \cdot L^{-1} \cdot h^{-1}$ ], specific productivity  $q_p$  [ $U \cdot g^{-1} \cdot h^{-1}$ ]) and 5) product purity (defined as the amount of target protein in relation to the amount of total extracellular protein [ $U \cdot mg^{-1}$ ]).

### Bioreactor cultivations

The *P. pastoris*  $\Delta och1$  strain expressing HRP isoenzyme A2A was cultivated in the controlled environment of a bioreactor. Batch and fed-batch phase were performed on glycerol, followed by a methanol adaptation pulse. Afterwards, a methanol fed-batch with a controlled feed rate corresponding to a certain specific substrate uptake rate of methanol ( $q_{s, MeOH}$ ) was done.

### Culture media

Precultures were done in yeast nitrogen base medium (YNBM; 0.1 M potassium phosphate buffer pH 6.0, 3.4  $g \cdot L^{-1}$  YNB w/o amino acids and ammonia sulfate, 10  $g \cdot L^{-1}$   $(NH_4)_2SO_4$ , 400  $mg \cdot L^{-1}$  biotin, 20  $g \cdot L^{-1}$  glucose). Zeocine was added at a concentration of 100  $\mu g \cdot L^{-1}$ .

Batch and fed-batch cultivations were performed in 2-fold concentrated basal salt medium (BSM; 21.6  $mL \cdot L^{-1}$  85% phosphoric acid, 0.36  $g \cdot L^{-1}$   $CaSO_4 \cdot 2H_2O$ , 27.24  $g \cdot L^{-1}$   $K_2SO_4$ , 4.48  $g \cdot L^{-1}$   $MgSO_4 \cdot 7H_2O$ , 8.26  $g \cdot L^{-1}$  KOH, 0.3  $mL \cdot L^{-1}$  Antifoam Struktol J650, 4.35  $mL \cdot L^{-1}$  PTM1,  $NH_4OH$  as N-source). Trace element solution (PTM1) was made of 6.0  $g \cdot L^{-1}$   $CuSO_4 \cdot 5H_2O$ , 0.08  $g \cdot L^{-1}$  NaI, 3.0  $g \cdot L^{-1}$   $MnSO_4 \cdot H_2O$ , 0.2  $g \cdot L^{-1}$   $Na_2MoO_4 \cdot 2H_2O$ , 0.02  $g \cdot L^{-1}$   $H_3BO_3$ , 0.5  $g \cdot L^{-1}$   $CoCl_2$ , 20.0  $g \cdot L^{-1}$   $ZnCl_2$ , 65.0  $g \cdot L^{-1}$   $FeSO_4 \cdot 7H_2O$ , 0.2  $g \cdot L^{-1}$  biotin, 5  $mL \cdot L^{-1}$   $H_2SO_4$ . Induction was carried out in presence of the heme-precursor  $\Delta$ -aminolevulinic acid at a final concentration of 1 mM. The concentration of the base  $NH_4OH$  was determined by titration with 0.25 M potassium hydrogen phthalate.

### Preculture

Frozen stocks ( $-80^\circ C$ ) were cultivated in 100 mL YNBM-Zeocine in 1,000 mL shake flasks at 30°C and 230 rpm for 48 h. Then, the preculture was transferred

aseptically to the culture vessel. The inoculum volume was 10% of the final starting volume.

#### Batch and non-induced fed-batch

Batch cultivations were carried out in a 3 L working volume glass bioreactor (Infors, Switzerland). Basal salt medium was sterilized in the bioreactor and pH was adjusted to pH 6.0, which corresponds to the centre point of the subsequent DoE, by concentrated  $\text{NH}_4\text{OH}$  solution after autoclaving. Sterile filtered trace elements were transferred to the reactor. Dissolved oxygen ( $\text{dO}_2$ ) was measured with a sterilizable fluorescence dissolved oxygen electrode (Visiform DO425, Hamilton, Germany). The pH was measured with a sterilizable electrode (Easyferm™, Hamilton, Switzerland) and maintained constant with a PID controller using  $\text{NH}_4\text{OH}$  solution (2 to 3 M). Base consumption was determined gravimetrically. Cultivation temperature was set to 30°C and agitation was fixed to 900 rpm. The culture was aerated with 1.0 vvm dried air and off-gas of the culture was measured by using an infra-red cell for  $\text{CO}_2$  and a paramagnetic cell for  $\text{O}_2$  concentration (Servomax, Switzerland). Temperature, pH,  $\text{dO}_2$ , agitation as well as  $\text{CO}_2$  and  $\text{O}_2$  in the off-gas were measured online and logged in a process information management system (PIMS; Lucullus, Biospectra, Switzerland). After the complete consumption of the substrate glycerol, indicated by an increase of  $\text{dO}_2$  and a drop in off-gas activity, an exponential fed-batch phase on glycerol with a specific growth rate of  $\mu = 0.08 \text{ h}^{-1}$  was performed. Based on the amount of glycerol used in the batch and the biomass yield on glycerol of  $Y_{X/S} = 0.47 \text{ g}\cdot\text{g}^{-1}$ , we calculated the biomass concentration after the batch phase. The feed rate was then determined by equations 1 and 2 and controlled by the PIMS. The fed-batch on glycerol was stopped when the reactor volume reached 2.2 L.

$$F_0 = X \cdot V \cdot \mu \frac{\delta_{\text{Feed}}}{Y_{X/S} \cdot c_{\text{Feed}}} \quad (1)$$

$$F = F_0 \cdot e^{(\mu \cdot t)} \quad (2)$$

$F_0$  = initial feed rate [ $\text{g}\cdot\text{h}^{-1}$ ];  $X$  = calculated biomass concentration [ $\text{g}\cdot\text{L}^{-1}$ ];  $V$  = volume in the bioreactor [L];  $\mu$  = specific growth rate [ $\text{h}^{-1}$ ];  $\delta_{\text{Feed}}$  = density glycerol feed [ $\text{g}\cdot\text{L}^{-1}$ ];  $Y_{X/S}$  = biomass yield;  $c_{\text{Feed}}$  = concentration feed [ $\text{g}\cdot\text{L}^{-1}$ ];  $F$  = calculated feed rate [ $\text{g}\cdot\text{h}^{-1}$ ];  $e$ , Euler constant;  $t$  = time [h]

Before fed-batch experiments, a single batch cultivation with dynamic methanol pulses was performed to determine  $q_{\text{s,max MeOH}}$  at 20°C, 25°C and 30°C [25,26,34]. After complete consumption of glycerol, a methanol adaptation pulse (supplemented with 12  $\text{mL}\cdot\text{L}^{-1}$  PTM1) of a final concentration of 0.5% (v/v) was conducted. Following pulses were performed with 1% methanol (v/v). At least 3

consecutive methanol pulses were analyzed at each temperature. For each pulse, at least two samples were taken to determine the concentrations of substrate and product, as well as dry cell weight to calculate specific rates and yields. Furthermore, biomass was used to determine the correlation between dry cell weight (DCW) and optical density at 600 nm ( $\text{OD}_{600}$ ). The DCW of diluted samples (1:2, 1:4, 1:6, 1:8 and 1:10) was plotted against the corresponding  $\text{OD}_{600}$  values (Genesys 20; Thermo Scientific, Austria) resulting in a so-called  $\alpha$ -factor, which was used for biomass calculation in subsequent fed-batch cultivations (equation 3).

$$X = \text{OD}_{600} \cdot \alpha \quad (3)$$

$X$  = calculated biomass [ $\text{g}\cdot\text{L}^{-1}$ ];  $\text{OD}_{600}$  = optical density at 600 nm;  $\alpha$  = correlation factor between DCW and  $\text{OD}_{600}$  value.

#### Induction

After glycerol depletion, temperature, pH and  $\text{dO}_2$  were changed according to Table 1. To control  $\text{dO}_2$ , a PID controller was implemented regulating  $\text{dO}_2$  by aeration and not by stirrer speed. Thus, potential influences on cell cluster formation were omitted. After parameters reached the target values, a 0.5% (v/v) methanol adaptation pulse was applied. Concomitantly, the heme-precursor  $\Delta$ -aminolevulinic acid was aseptically added at a final concentration of 1 mM. When the culture was adapted to methanol, indicated by an increase of  $\text{dO}_2$  and a drop in off-gas activity, a methanol fed-batch at a feed rate corresponding to a  $q_{\text{s MeOH}}$  of 0.2  $\text{mmol}\cdot\text{g}^{-1}\cdot\text{h}^{-1}$  was performed for around 100 hours. The feed rate was determined by equation 4 and controlled by the PIMS.

$$F = \frac{X \cdot V \cdot q_{\text{s,MeOH}} \cdot \delta_{\text{Feed}}}{c_{\text{Feed}}} \quad (4)$$

$F$  = calculated feed rate [ $\text{g}\cdot\text{h}^{-1}$ ];  $X$  = biomass concentration calculated from  $\text{OD}_{600}$  [ $\text{g}\cdot\text{L}^{-1}$ ];  $V$  = volume in the bioreactor [L];  $q_{\text{s MeOH}}$  = specific methanol uptake rate [ $\text{mmol}\cdot\text{g}^{-1}\cdot\text{h}^{-1}$ ];  $c_{\text{Feed}}$  = density of methanol feed [ $\text{g}\cdot\text{L}^{-1}$ ];  $c_{\text{Feed}}$  = concentration of methanol feed [ $\text{g}\cdot\text{L}^{-1}$ ].

#### Sample analysis

Dry cell weight was determined by centrifugation of 5 mL culture broth (5,000 rpm, 4°C, 10 min) in a laboratory centrifuge (Sigma 4K15, rotor 11156), washing the pellet with 5 mL deionized water and subsequent drying at 105°C to a constant weight in an oven. The enzymatic activity of HRP was measured using an ABTS assay in a CuBiAn XC enzymatic robot (Innovatis, Germany). Ten  $\mu\text{L}$  of sample were mixed with 140  $\mu\text{L}$  1 mM ABTS solution (50 mM  $\text{KH}_2\text{PO}_4$ , pH 6.5). The reaction mixture was incubated at 37°C for 5 min before the reaction was started by the

addition of 20  $\mu\text{l}$  0.078%  $\text{H}_2\text{O}_2$  (v/v). Changes in absorbance at 415 nm were measured for 80 seconds and rates were calculated. The standard curve was prepared using a commercially available HRP preparation (Type VI-A, Sigma-Aldrich, USA) in the range from 0.02 to 2.0  $\text{U} \cdot \text{ml}^{-1}$ . Protein concentrations were determined at 595 nm using the Bradford Protein Assay Kit (Bio-Rad Laboratories GmbH, Austria) with bovine serum albumin as standard. Extracellular DNA content was measured by the Nanodrop 1000 device (ThermoScientific, Austria). Concentrations of methanol and potential metabolites were determined in cell-free samples by HPLC (Agilent Technologies, USA) equipped with an ion-exchange column (Supelcogel C-610H Sigma-Aldrich, USA) and a refractive index detector (Agilent Technologies, USA). The mobile phase was 0.1%  $\text{H}_3\text{PO}_4$  with a constant flow rate of 0.5  $\text{mL} \cdot \text{min}^{-1}$  and the system was run isocratically at 30°C. All measurements were done in duplicates.

### Strain morphology

Changes in the morphology of the recombinant *P. pastoris*  $\Delta\text{och1}$  strain during the bioprocess were monitored by a Malvern Mastersizer 2000, measuring the cell size distribution in all samples. Frozen cell pellets (stored at  $-20^\circ\text{C}$ ) with a biomass concentration of 10–20  $\text{g} \cdot \text{L}^{-1}$  were thawed and resuspended in 10 mL deionized water. After dispersing the samples with module Hydro2000S, 6 to 20 drops of cell suspension were dripped into the water tank of the Malvern Mastersizer 2000 until the laser diffraction reached a value between 10–12%. Samples were analyzed between 0 – 10,000  $\mu\text{m}$ . Furthermore, all samples were analyzed microscopically using a Zeiss Epifluorescence Axio Observer Z1 deconvolution microscope (Carl Zeiss, Germany) equipped with a LD Plan-Neofluar 63x objective (+10x ocular) and the LED illumination system Colibri.

### Electrophoresis

Electrophoresis was done with aliquots of supernatants obtained at the end of the cultivation. SDS-PAGE was performed using a 6% stacking gel and a 12% separating gel in 1x Tris-glycine buffer. Gels were run in the vertical electrophoresis Mini-PROTEAN Tetra Cell apparatus (Biorad; Austria) at 150 V for about 2 h. Gels were stained with Coomassie blue. The protein mass standard used was the PageRuler Prestained Ladder (Fermentas; Austria).

### Protein identification and glycopeptide analysis by LC-ESI-MS

Relevant protein bands were cut out and digested in gel. S-alkylation with iodoacetamide and digestion with sequencing grade modified trypsin (Promega) were performed. The peptide mixture was analysed using a Dionex Ultimate 3000 system directly linked to a QTOF instrument (maXis 4G ETD, Bruker) equipped with the

standard ESI source in the positive ion, DDA mode (= switching to MSMS mode for eluting peaks). MS-scans were recorded (range: 150–2200 Da) and the 6 highest peaks were selected for fragmentation. Instrument calibration was performed using ESICALIBRATION mixture (Agilent). For separation of the peptides a Thermo BioBasic C18 separation column (5  $\mu\text{m}$  particle size, 150  $\times$  0.360 mm) was used. A gradient from 95% solvent A and 5% solvent B (Solvent A: 65 mM ammonium formate buffer, B: 100% ACCN) to 32% B in 45 min was applied, followed by a 15 min gradient from 32% B to 75% B, at a flow rate of 6  $\mu\text{L} \cdot \text{min}^{-1}$ . The analysis files were converted using Data Analysis 4.0 (Bruker) to XML files, which are suitable to perform MS/MS ion searches with MASCOT (embedded in ProteinScape 3.0, Bruker) for protein identification. Only proteins identified with at least 2 peptides with a protein score higher than 80 were accepted. For searches the SwissProt database was used.

### Results and discussion

In the present study we developed a fed-batch bioprocess for a recombinant *P. pastoris*  $\Delta\text{och1}$  strain. We analyzed the effects of 3 process parameters (temperature, pH and  $\text{dO}_2$ ) on strain physiology and morphology [23], as well as on productivity and product purity in a multivariate manner.

#### Dynamic batch cultivation to determine $q_{\text{s max MeOH}}$

We performed a dynamic batch cultivation with methanol pulses to determine the maximum specific methanol uptake rate ( $q_{\text{s max MeOH}}$ ) of the  $\Delta\text{och1}$  strain at 20°C, 25°C and 30°C [25,26]. The average  $q_{\text{s max MeOH}}$  determined for at least 3 consecutive 1% (v/v) methanol pulses were 0.43  $\text{mmol} \cdot \text{g}^{-1} \cdot \text{h}^{-1}$  at 30°C, 0.74  $\text{mmol} \cdot \text{g}^{-1} \cdot \text{h}^{-1}$  at 25°C and 0.85  $\text{mmol} \cdot \text{g}^{-1} \cdot \text{h}^{-1}$  at 20°C. Interestingly,  $q_{\text{s max MeOH}}$  was strongly temperature dependent. At 20°C, cells specifically consumed the double amount of methanol per time compared to 30°C. In previous studies with different microorganisms it was shown that substrate uptake usually declines with lower temperature [35–37]. Thus, we also analyzed a reference strain with intact OCH1, hereafter referred to as wildtype OCH1 strain, and indeed observed decreasing  $q_{\text{s MeOH}}$  with decreasing temperature, namely 1.30  $\text{mmol} \cdot \text{g}^{-1} \cdot \text{h}^{-1}$  at 28°C, 1.20  $\text{mmol} \cdot \text{g}^{-1} \cdot \text{h}^{-1}$  at 24°C and 0.95  $\text{mmol} \cdot \text{g}^{-1} \cdot \text{h}^{-1}$  at 20°C. So far, we do not have a physiological explanation for the opposite behaviour of the recombinant  $\Delta\text{och1}$  strain. However, since the subsequent DoE covered a range from 20–30°C we designed the fed-batch strategy for the recombinant  $\Delta\text{och1}$  strain in a way to constantly feed at a rate corresponding to a rather low  $q_{\text{s MeOH}} = 0.2 \text{ mmol} \cdot \text{g}^{-1} \cdot \text{h}^{-1}$ . This was less than half of the lowest  $q_{\text{s max MeOH}}$  determined in the dynamic batch experiment, and thus guaranteed a certain safety margin to avoid methanol accumulation.

### DoE fed-batch cultivations

We analyzed the effects of the 3 process parameters temperature, pH and  $dO_2$  on 1) strain physiology, 2) strain morphology, 3) cell lysis, 4) productivity and 5) product purity of the recombinant  $\Delta och1$  strain. The goal of this multivariate study was to find operating conditions in fed-batch mode which give high productivity and product purity and concomitantly hamper methanol accumulation as well as cell lysis and consequent foam formation. Therefore, we conducted 10 fed-batch experiments in the controlled environment of a bioreactor (Table 1). The batch and the non-induced fed-batch were always performed under the same conditions. Only prior methanol adaptation (*i.e.* the phase during the *Pichia pastoris* cells get adapted to methanol) process parameters were changed. The carbon dioxide evolution rate (CER) depicting such a bioprocess is exemplarily shown for cultivation DoE6 in Figure 1.

### Effects on strain physiology

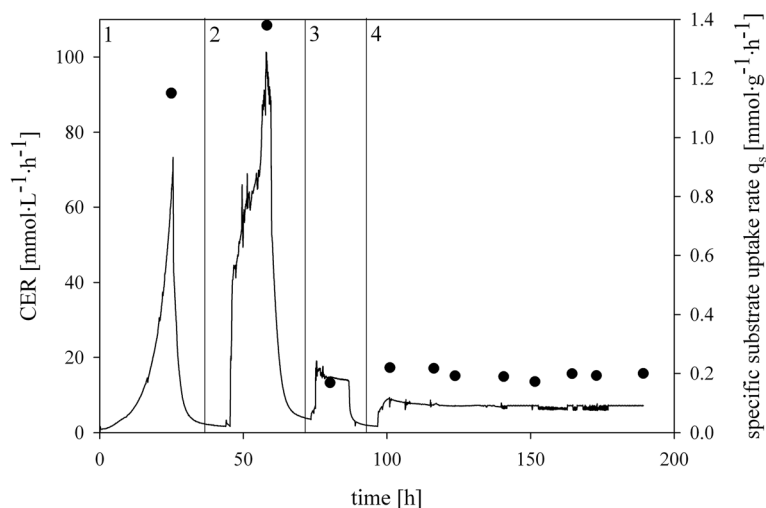
By frequent sampling and subsequent analyses, we physiologically characterized the recombinant  $\Delta och1$  strain. The most relevant strain characteristic parameters are summarized in Table 2. The specific glycerol uptake rate in the batch phase ( $q_{s \text{ Gly batch}}$ ) was very similar in all cultivations. Only during the induction phase strain characteristic parameters changed. The  $q_{s \text{ MeOH}}$  in the different fed-batches was close to the set value of  $0.2 \text{ mmol}\cdot\text{g}^{-1}\cdot\text{h}^{-1}$ , underlining the validity of the feeding strategy. Furthermore, closing C-balances underline the reliability of the data (Table 2).

**Specific substrate uptake rate during methanol adaptation ( $q_{s \text{ MeOH adapt}}$ )** As shown in Figure 2, all 3 factors significantly affected  $q_{s \text{ MeOH adapt}}$  with temperature

being the most significant one (p-values: temperature = 0.0011, pH = 0.0406,  $dO_2$  = 0.0055). The summary of fit plot indicated a valid model ( $R^2 = 0.99$ ,  $Q^2 = 0.90$ , Model Validity = 0.95, Reproducibility = 0.95). At  $30^\circ\text{C}$  and a  $dO_2$  of 30% the recombinant  $\Delta och1$  strain only consumed  $0.1 \text{ mmol}\cdot\text{g}^{-1}\cdot\text{h}^{-1}$ , whereas this value was more than doubled at  $20^\circ\text{C}$ . Interestingly, the wildtype OCH1 strain showed the opposite as at  $30^\circ\text{C}$   $0.37 \text{ mmol}\cdot\text{g}^{-1}\cdot\text{h}^{-1}$  methanol were consumed but only half was consumed at  $20^\circ\text{C}$  ( $0.18 \text{ mmol}\cdot\text{g}^{-1}\cdot\text{h}^{-1}$ ). Summarizing, the lower the temperature, pH and  $dO_2$ , the higher was  $q_{s \text{ MeOH adapt}}$  for the recombinant  $\Delta och1$  strain (Figure 2).

### Methanol accumulation during fed-batch ( $\text{MeOH}_{\text{accum}}$ )

During induction the feed rate was regulated to correspond to a constant  $q_{s \text{ MeOH}} = 0.2 \text{ mmol}\cdot\text{g}^{-1}\cdot\text{h}^{-1}$ , which was 2-fold lower than the lowest  $q_{s \text{ max MeOH}}$  at  $30^\circ\text{C}$  determined in the dynamic batch experiment. However, methanol still accumulated in cultivations DoE3, DoE4 and DoE10. For DoE3 methanol accumulation set on after around 80 h and for DoE10 after around 70 h of induction, whereas for DoE4 methanol accumulated right from the start. In Table 2 methanol concentrations at the end of cultivation are shown. Methanol only accumulated in cultivations at  $30^\circ\text{C}$  which is in agreement with both the results from the dynamic batch cultivation at  $30^\circ\text{C}$  where  $q_{s \text{ max}}$  for methanol was the lowest, as well as with the low  $q_{s \text{ MeOH adapt}}$  in fed batch cultivations at  $30^\circ\text{C}$  (Figure 2). However, we could not identify a significant factor causing this phenomenon since in DoE5 ( $30^\circ\text{C}$ , pH 7.0, 10%  $dO_2$ ) no methanol accumulated (Table 2). Apparently, induction at  $30^\circ\text{C}$  favours methanol accumulation over time, a phenomenon which was observed before [23]. However,



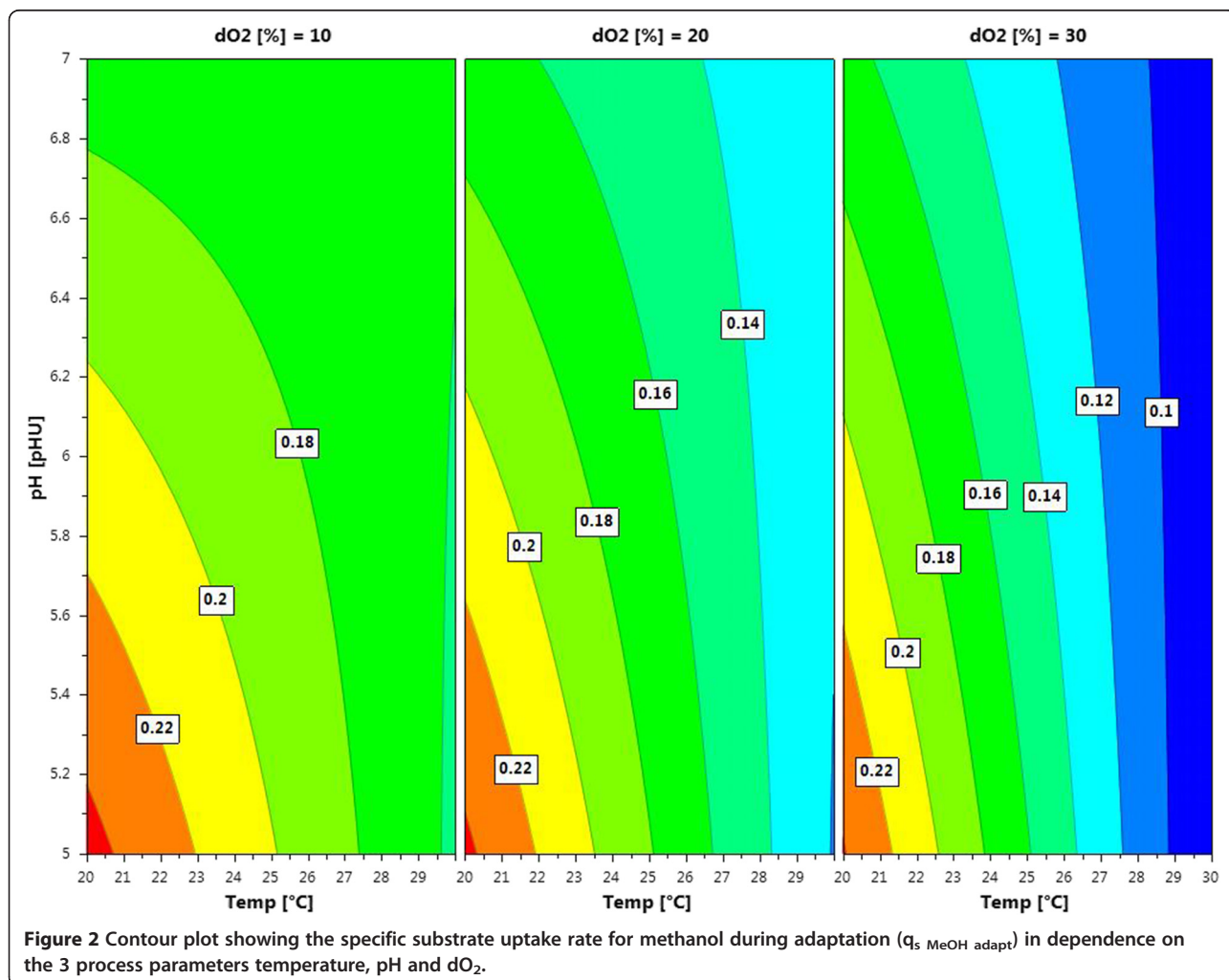
**Figure 1** Bioreactor cultivation DoE6. Black line, carbon dioxide evolution rate (CER) depicting metabolic activity; black dot, specific substrate uptake rate ( $q_s$ ). All cultivations ran in four phases: 1, batch on glycerol; 2, non-induced fed-batch on glycerol; 3, methanol adaptation pulse; 4, fed-batch on methanol.

**Table 2 Strain characteristic parameters of the recombinant *P. pastoris*  $\Delta och1$  strain induced under different conditions**

Cultivation	$q_s$ Gly batch [mmol·g <sup>-1</sup> ·h <sup>-1</sup> ]	$q_s$ MeOH adapt [mmol·g <sup>-1</sup> ·h <sup>-1</sup> ]	$q_s$ MeOH fed-batch [mmol·g <sup>-1</sup> ·h <sup>-1</sup> ]	MeOH <sub>accum</sub> [g·L <sup>-1</sup> ]	$Y_{CO_2/S}$ [C·mol·C·mol <sup>-1</sup> ]	$Y_{X/S}$ [C·mol·C·mol <sup>-1</sup> ]	C-balance
DoE1	1.42	0.23	0.20	-	0.80	0.21	1.01
DoE2	1.40	0.26	0.26	-	0.80	0.20	1.00
DoE3	1.38	0.08	0.18	0.68	0.82	0.23	1.05
DoE4	1.28	0.09	0.16	4.90	0.82	0.24	1.06
DoE5	1.26	0.16	0.21	-	0.83	0.26	1.09
DoE6	1.25	0.17	0.20	-	0.75	0.32	1.07
DoE7	1.39	0.16	0.20	-	0.71	0.29	1.00
DoE8	1.40	0.18	0.24	-	0.69	0.33	1.02
DoE9	1.42	0.15	0.20	-	0.75	0.28	1.03
DoE10	1.42	0.16	0.21	0.20	0.80	0.27	1.07

using the current DoE screening approach based on only 3 factors and the underlying linear regression model, we could not identify significant factors and their interactions causing methanol accumulation. Apparently, a temperature of 30°C favours changes in cell physiology and a reduction

of methanol uptake over time which is why a lower cultivation temperature of 20°C for fed-batch production processes with this  $\Delta och1$  strain is highly recommended. In contrast, the wildtype OCH1 strain can be cultivated at 30°C without experiencing changes in methanol uptake.



**Table 3 Cell-size distribution at different time points of the fed-batch cultivation. Single and budding cells were categorized with a size of < 15  $\mu\text{m}$ , whereas cell clusters were classified to be > 15  $\mu\text{m}$** 

Cultivation	Size distribution after batch [%]		Size distribution after non-induced fed-batch [%]		Size distribution after induced fed-batch [%]	
	<15 $\mu\text{m}$	>15 $\mu\text{m}$	< 15 $\mu\text{m}$	> 15 $\mu\text{m}$	< 15 $\mu\text{m}$	> 15 $\mu\text{m}$
DoE1	53.0	47.0	41.5	58.5	79.8	20.2
DoE2	56.8	43.2	64.8	35.2	79.9	20.1
DoE3	54.7	45.3	60.5	39.5	64.7	35.3
DoE4	38.3	61.7	57.1	42.9	80.6	19.4
DoE5	53.8	46.2	74.8	25.2	73.3	26.7
DoE6	55.7	44.3	70.9	29.1	81.0	19.0
DoE7	36.3	63.7	42.5	57.5	75.8	24.2
DoE8	40.8	59.2	47.0	53.0	71.0	29.0
DoE9	49.9	50.1	69.5	30.5	90.4	9.6
DoE10	48.2	51.8	69.4	30.6	89.1	10.9
average	48.8 $\pm$ 7.6	51.3 $\pm$ 7.6	59.8 $\pm$ 12.3	40.2 $\pm$ 12.3	78.6 $\pm$ 7.8	21.4 $\pm$ 7.8
wildtype OCH1 strain	87.5	12.5	87.3	12.7	86.5	13.5

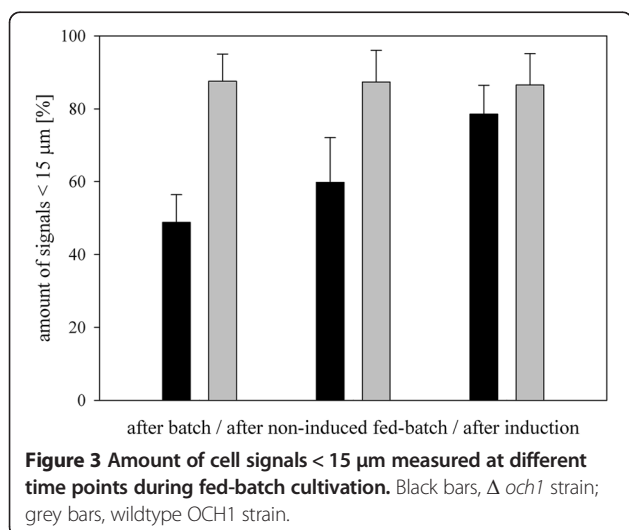
**Yields** We investigated the influence of the 3 process parameters on both biomass yield ( $Y_{X/s}$ ) and  $\text{CO}_2$  yield ( $Y_{\text{CO}_2/s}$ ). However, yields were not affected by varying the 3 process parameters in the design space (Table 2).

#### Effects on strain morphology

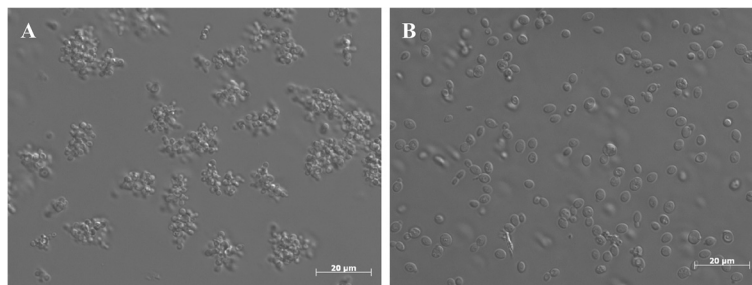
In a previous study, we had observed cluster formation of the recombinant  $\Delta och1$  strain during batch cultivation [23]. To analyze this phenomenon in more detail and monitor it in the different phases of a fed-batch, samples taken during the different fed-batch cultivations were analyzed for cell size distribution in a Malvern Mastersizer. The cell size of an average *P. pastoris* cell lies between 4–6  $\mu\text{m}$  [38]. Of course, budding cells, where two or more daughter cells are still attached to the mother cell, are larger, which is why we categorized all

signals < 15  $\mu\text{m}$  as single and budding cells and all signals > 15  $\mu\text{m}$  as cell clusters. We were especially interested in comparing the samples taken after batch and after non-induced fed-batch, which were both performed on glycerol, with the sample taken at the end of the induction phase on methanol (Table 3). We performed these measurements for all bioreactor cultivations, calculated mean values and standard deviations and compared the results with a wildtype OCH1 strain which was cultivated in fed-batch mode at 25°C, pH 6.0 and 20%  $\text{dO}_2$ , corresponding to the DoE centre point (Table 1).

As shown in Table 3, the size distribution of the cells/cell clusters at the chosen time points of the different DoE cultivations was quite similar. In fact, all 3 factors were not significant for cluster formation. In Figure 3 the average amount of signals < 15  $\mu\text{m}$  of the  $\Delta och1$  strain were compared to the signals of the wildtype OCH1 strain.

**Table 4 Extracellular DNA content measured at the end of cultivation**

Cultivation	DNA content [ $\text{ng} \cdot \mu\text{L}^{-1}$ ]
DoE1	451
DoE2	494
DoE3	519
DoE4	347
DoE5	485
DoE6	434
DoE7	339
DoE8	554
DoE9	429
DoE10	564



**Figure 4** Cell morphology after the fed-batch phase on glycerol of A, the recombinant  $\Delta och1$  strain; B, the wildtype OCH1 strain.

More than 80% of the signals for the wildtype OCH1 strain were smaller than 15  $\mu\text{m}$  during the whole bioprocess (grey bars in Figure 3), whereas the  $\Delta och1$  strain showed different size distributions dependent on the cultivation phase. After the batch on glycerol more than 50% of the signals indicated cell clusters. These clusters were still prominent after the glycerol fed-batch (40%). Only after switching the substrate to methanol, the clusters slowly disappeared. After 100 h of induction, around 80% of the signals were < 15  $\mu\text{m}$ , which was similar to the wildtype OCH1 strain. Cell cluster formation was also followed by light microscopy. In Figure 4, typical  $\Delta och1$  cell clusters [23] and budding cells for the control strain after the glycerol fed-batch are shown.

Apparently, cell cluster formation of the recombinant  $\Delta och1$  strain was a function of the C-source and not of any of the 3 investigated process parameters. When grown on methanol, cell clusters disappeared over time. However,  $\Delta och1$  cells stayed much smaller than wildtype OCH1 cells (Figure 4) which might result from the altered cell wall composition with enhanced chitin deposition [23].

#### Effects on cell lysis

Since we had observed intensive foam formation for the recombinant  $\Delta och1$  strain before [23], which describes a huge problem for potential scale up, we monitored cell lysis by measuring extracellular DNA content over time. In Table 4 the extracellular DNA contents at the end of the different cultivations are shown. Although the extracellular DNA content constantly increased over time, more than 80% of the final DNA amount was already present after the fed-batch on glycerol for all cultivations. This strongly indicated that cell lysis went along with cell cluster formation and was not affected by any of the 3 process parameters. We speculate that cells in the center of the clusters get limited in either nutrients or oxygen and thus lyse. Once these clusters disappear due to the switch from glycerol to methanol, cell lysis is diminished. Since none of the 3 process parameters affected lysis, we had to adapt the cultivation strategy during glycerol fed-batch otherwise to avoid extensive foam formation. Initially, agitation was fixed to 900 rpm and the culture was aerated

with 1.0 vvm dried air to guarantee  $dO_2$  values of > 30%. However, to avoid intensive foam formation, we decreased agitation to 600 rpm and aeration to 0.5 vvm, but added pure oxygen. Thus, although cells still lysed during glycerol fed-batch, intensive foam formation was avoided.

#### Effects on productivity and product purity

The effects of temperature, pH and  $dO_2$  on  $q_p$  (Additional file 1: Figure S1A), STY (Additional file 1: Figure S1B) and product purity (Additional file 1: Figure S1C) were analyzed and the respective data at the end of cultivation are summarized in Table 5.

The only significant factor for all 3 responses was the temperature, whereas pH and  $dO_2$  had no effect (p-values for  $q_p$ : temperature = 0.000072, pH = 0.77,  $dO_2$  = 0.33; p-values for STY: temperature = 0.000026, pH = 0.37,  $dO_2$  = 0.90; p-values for specific activity: temperature = 0.000024, pH = 0.49,  $dO_2$  = 0.45). The summary of fit plots indicated valid models (for  $q_p$ :  $R^2$  = 0.94,  $Q^2$  = 0.88, Model Validity = 0.77, Reproducibility = 0.97; for STY:  $R^2$  = 0.95,  $Q^2$  = 0.81, Model Validity = 0.55, Reproducibility = 0.99; for specific activity:  $R^2$  = 0.95,  $Q^2$  = 0.93, Model Validity = 0.87, Reproducibility = 0.95). To visualize the effects, we plotted the responses versus temperature and  $dO_2$  at pH 5.0 (Additional file 1: Figure S1). Summarizing, both highest productivity and product purity were obtained at 20°C and a low  $dO_2$  at pH 5.0. Apparently, at lower temperature the recombinant  $\Delta och1$  strain secreted more active HRP and less contaminating proteins compared to higher temperatures. This is not only important to increase the total amount of active product over time, but also for the subsequent downstream process. We observed a similar trend for the wildtype OCH1 strain where  $q_p$  and product purity were both higher at lower temperature: (20°C:  $q_p$  = 15  $\text{U}\cdot\text{g}^{-1}\cdot\text{h}^{-1}$ , specific activity = 95  $\text{U}\cdot\text{mg}^{-1}$ ; 24°C:  $q_p$  = 11  $\text{U}\cdot\text{g}^{-1}\cdot\text{h}^{-1}$ , specific activity = 50  $\text{U}\cdot\text{mg}^{-1}$ ; 28°C:  $q_p$  = 6  $\text{U}\cdot\text{g}^{-1}\cdot\text{h}^{-1}$ , specific activity = 35  $\text{U}\cdot\text{mg}^{-1}$ ).

#### Electrophoresis and glycopeptides analysis by LC-ESI-MS

Cell-free cultivation broths of the single fed-batch cultivations were analyzed by SDS-PAGE. Cell-free cultivation broth of a wildtype OCH1 strain as well as commercially

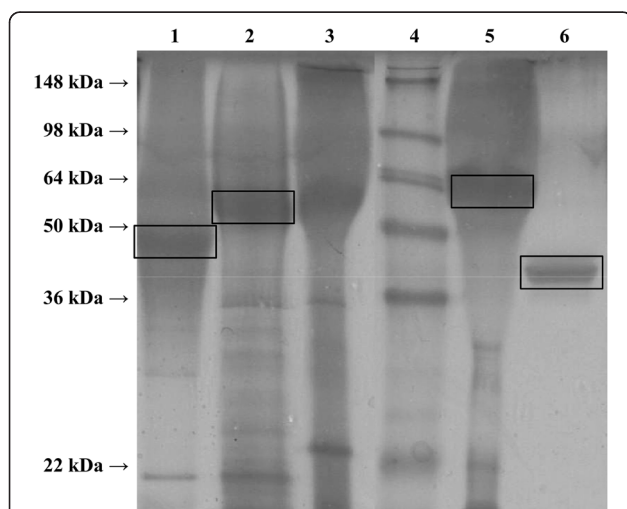


**Table 5 Specific productivity ( $q_p$ ), space-time-yield (STY) and specific enzyme activity at the end of cultivation**

Cultivation	$q_p$ [ $U \cdot g^{-1} \cdot h^{-1}$ ]	STY [ $U \cdot L^{-1} \cdot h^{-1}$ ]	Spec. activity [ $U \cdot mg^{-1}$ ]
DoE1	3.30	151	61.7
DoE2	3.46	153	56.5
DoE3	0.03	0.45	0.21
DoE4	0.01	1.12	0.20
DoE5	0.06	1.35	0.29
DoE6	0.56	32	7.41
DoE7	3.49	137	47.2
DoE8	6.19	194	38.7
DoE9	0.96	39	15.9
DoE10	0.07	0.66	0.54

available enzyme preparation from plant were included for comparison (Figure 5).

To identify the different HRP proteins, respective bands were excised (indicated in Figure 5) and glycopeptides were analyzed by HPLC-ESI-MS. In fact, we were able to identify the different HRP preparations (Additional file 2: Table S1). We observed apparent size differences between the 2 HRP A2A preparations from the  $\Delta och1$  strain and the wildtype OCH1 strain, which might result from the different degree of glycosylation (lanes 1 and 2), and between HRP A2A and HRP C1A from the wildtype OCH1 strains (lanes 2 and 5; Additional file 2: Table S1).



**Figure 5 SDS-PAGE gel of different HRP preparations.** Lane 1, cell free cultivation broth of cultivation DoE6 (HRP A2A from  $\Delta och1$  strain); lanes 2 and 3, cell free cultivation broth of a wildtype OCH1 strain expressing HRP A2A; lane 4, SeeBlue® Plus2 Pre-Stained Standard (Lifetechnologies; Austria); lane 5, cell free cultivation broth of a wildtype OCH1 strain expressing HRP C1A; lane 6, commercially available HRP preparation from plant (P8375; Sigma; Austria).

### Verification runs at 15°C

The results of the DoE revealed a low cultivation temperature to be highly beneficial for productivity and product purity. To check if both responses could be further increased, 2 additional fed-batch cultivations at 15°C, pH 5.0 and  $dO_2$  of 10% were done. The average  $q_s$  MeOH<sub>adapt</sub> was 0.18 mmol·g<sup>-1</sup>·h<sup>-1</sup>, which was comparable to the results at 20°C and 25°C (Table 2). Apparently, significant changes in  $q_s$  MeOH<sub>adapt</sub> of the recombinant  $\Delta och1$  strain only happened between 25°C and 30°C. Surprisingly, at 15°C productivity and product purity were only half compared to 20°C. We determined a  $q_p$  of 1.50 [ $U \cdot g^{-1} \cdot h^{-1}$ ], a STY of 66 [ $U \cdot L^{-1} \cdot h^{-1}$ ] and a specific activity of 23.3 [ $U \cdot mg^{-1}$ ] at 15°C, whereas in DoE2 (20°C, pH 5.0,  $dO_2$  10%),  $q_p$  of 3.46 [ $U \cdot g^{-1} \cdot h^{-1}$ ], a STY of 153 [ $U \cdot L^{-1} \cdot h^{-1}$ ] and a specific activity of 56.5 [ $U \cdot mg^{-1}$ ] were measured. Thus, we concluded that the highest values for productivity and product purity, which are the main target variables in production processes, might be obtained between 15°C and 20°C, a pH of 5.0 and a  $dO_2$  of 10%.

### Conclusion

In the present study we developed a fed-batch bioprocess for a recombinant *P. pastoris*  $\Delta och1$  strain. High productivity and product purity were reached when this strain was cultivated at pH 5.0,  $dO_2$  of 10% and a temperature of 20°C. At 30°C methanol accumulated over time due to apparent changes in cell metabolism. Cell cluster formation, which was accompanied by cell lysis, was dependent on the C-source. To avoid intensive foam formation during glycerol batch and fed-batch, aeration and stirrer speed had to be reduced.

Currently, we are investigating cell cluster formation on other C-sources, like glucose and sorbitol, and analyze the temperature range between 15-20°C in more detail to find the true optimum for the recombinant  $\Delta och1$  strain. However, the present study already describes a good basis for bioprocess engineers working with glyco-engineered  $\Delta och1$  yeast strains.

### Additional files

**Additional file 1: Figure S1.** Contour plot showing A, specific productivity; B, space-time-yield, and C, specific activity as an indicator for product purity at the end of cultivation in dependence on temperature and  $dO_2$ .

**Additional file 2: Table S1.** Results of the protein search with MASCOT (embedded in ProteinScape 3.0, Bruker) for protein identification of the excised bands. Only proteins identified with at least 2 peptides and a protein score higher than 80 were accepted. For searches the SwissProt database was used.

### Competing interests

The authors declare that they have no competing interests.

**Authors' contributions**

CG, AS and MF conducted bioreactor runs and biochemical experiments. SK helped with Malvern Mastersizer analyses. DM and FA conducted mass spectrometry analyses. CH helped in planning the study. CG, FA and OS analyzed the data. OS planned the study, supervised research and wrote the manuscript. All authors read and approved the final manuscript.

**Acknowledgements**

The authors thank the Austrian Science Fund FWF (project: P24861-B19) for financial support and Prof. Anton Glieder (University of Technology, Graz, Austria) for providing the recombinant *P. pastoris*  $\Delta och1$  strain. Furthermore we want to thank Simona Capone for great assistance in the lab.

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Received: 7 September 2014 Accepted: 18 December 2014

Published online: 08 January 2015

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