

# Prognostic role of galectins expression in patients with hepatic cancer

## A meta-analysis

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### Abstract

**Objective:** The objective of this study was to illustrate the prognostic value of diversified galectins in patients with hepatic cancer via meta-analysis.

**Methods:** We conducted a systematic search on PubMed, Embase, The Cochrane Library, Web of Science, the Chinese National Knowledge Infrastructure (CNKI) database, and Wanfang Data for studies that reported associations between galectin expression and the prognosis for hepatic cancer patients, from the inception of each database to March 20, 2019. The combined hazard ratio (HR) and 95% confidence interval (CI) were estimated to investigate the prognosis.

**Results:** We collected 11 studies of 1957 patients in our meta-analysis. The pooled results indicated that overall galectin expression was not correlated with OS (HR = 1.23, 95% CI = 0.84–1.79,  $P = .29$ ) or DFS/RFS (HR = 0.808, 95% CI = 0.376–1.735,  $P = .42$ ) in liver cancer patients. In stratified analyses, we observed that high galectin-1 and galectin-3 expression was significantly associated with poor OS. The pooled HR of galectin-4 and galectin-9 was correlated with improved OS.

**Conclusion:** Our results indicate that the high expression of galectin-1 and -3 and the low expression of galectin-4 and -9 may be predictive prognostic factors for poor OS in liver cancer patients.

**Abbreviations:** CI = confidence interval, CRD = carbohydrate recognition domains, CSS = cancer-specific survival, DFS = disease-free survival, HCC = hepatocellular carcinoma, HR = hazard ratio, NOS = Newcastle-Ottawa Scale, OS = overall survival, PFS = progression-free survival, RFS = recurrence-free survival, TNM = tumor, node, metastasis.

**Keywords:** galectin, hepatic cancer, meta-analysis, prognostic biomarker

## 1. Introduction

The prevalence of hepatocellular carcinoma (HCC) is becoming a critical global health issue, as its sixth leading cancer

death for the estimated cancer types worldwide and even most common cancer mortality in some African and Asian countries.<sup>[1]</sup> Although many therapeutic approaches have entered clinical practice, including surgical resection, radio-frequency ablation, anhydrous alcohol tumor intravenous injection, hepatic artery interventional embolization chemotherapy and other local treatment means, the local recurrence and distant metastasis remain occurring ranging from 40% to 70% in patients.<sup>[2–4]</sup> Patients with local or distant progression could still benefit from early treatment, so it is an urgent need to identify the high-risk patients with poor prognosis and start a new intensive program to improve their survival as soon as possible.

The galectins family, defined by their carbohydrate recognition domains (CRDs) with specific  $\beta$ -galactoside-binding affinity, is widely distributed in mammalian tissues.<sup>[5]</sup> They are involved in the control of cell apoptosis, cell cycle, cell division, pre-mRNA splicing and metastasis.<sup>[6]</sup> In humans, the galectins family contains galectin-1, -2, -3, -4, -7, -8, -9, -10, -12, -13, -14, and -16. Recent studies suggest that different kinds of galectins expressed in HCC, as potential prognostic roles, are associated with different outcomes of survival and clinical characteristics. According to their reports, their views on the prognostic role of various galectins remain controversial.

Meta-analysis is regarded as a useful tool that can combine the existing different studies on variety mixtures. So we conducted this meta-analysis to systematically and comprehensively evaluate the prognostic value of different types of galectins in HCC.

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## 2. Methods

### 2.1. Literature search strategy

We performed a systematic search through the following databases: PubMed, Embase, The Cochrane Library, Web of Science, the Chinese National Knowledge Infrastructure (CNKI) database, and Wanfang Data (Chinese). The search included available data up to March 20, 2019. The main search terms included: (“galectin” or “galectins” or “beta galactoside binding lectin” or “D galactoside binding lectin” or “beta D galactosyl specific lectin” or “S type lectin” or “galactose binding lectin”) and (“liver neoplasm” or “hepatic neoplasm” or “hepatic cancer” or “hepatic carcinoma” or “liver Cancer,” liver carcinoma”). The reference list was also checked for relevant articles. The study protocol was approved by the Ethics Committee of the Third Affiliated Hospital of Soochow University.

### 2.2. Inclusion and exclusion criteria

Inclusion criteria were as follows:

- (1) studied patients with hepatic cancer were confirmed by pathological examination;
- (2) galectin expression was measured by immunohistochemical methods in cancer tissues;
- (3) correlation of galectin expression with overall survival (OS) and/or progression-free survival (PFS) and/or recurrence-free survival (RFS) and/or disease-free survival (DFS) were reported;
- (4) sufficient data were available for direct or indirect estimation of the hazard ratio (HR) and CI.

The exclusion criteria were as follows:

- (1) abstracts, case reports, letters, reviews, or nonclinical studies;
- (2) studies were not written in English or Chinese;
- (3) when studies had duplicated data or repeated patient cohorts, we used the most informative or up-to-date publication;
- (4) sample sizes were less than 50.

### 2.3. Data collection and quality assessment

All the candidate articles were assessed and collected respectively by two authors (Qi Shao and Jing He). If disagreements were present, the 2 authors discussed and reached a consensus with a third author (Zhiming Chen). For each study, the data were extracted and listed as follows: first author, year of publication, country, sample size, gender, galectin type, TNM (tumor, node, metastasis) stage, cut-off value, expression ratio, treatment strategy, HRs with 95% CIs. If HRs were not provided directly, we extracted the survival data from the Kaplan-Meier curves using the software Engauge Digitizer 4.1. The Newcastle-Ottawa Scale (NOS) was used to evaluate each study. NOS scores of 6 were designated as high-quality studies.

### 2.4. Statistical analysis

All the statistical analyses were performed using the statistical software STATA version 15.1 (Stata Corporation, College Station, TX). The correlation between galectins and clinical outcomes was evaluated by the HR and 95% CI. The pooled HRs and 95% CIs were used to assess the relationship between the

galectin type and OS or RFS/DFS. The heterogeneity of the included studies was assessed using Cochran's  $Q$  test and Higgins  $I^2$  test. Heterogeneity  $<0.10$  or  $I^2 > 50\%$  suggested significant heterogeneity in the literature and a random-effects model was used. When heterogeneity was not significant, a fixed-effects model was used. Subgroup analysis and sensitivity analysis were conducted to explore the origin of heterogeneity. Publication bias was assessed by Begg and Egger tests. All  $P$  values were 2-sided. A  $P < .05$  was considered statistically significant.

## 3. Results

### 3.1. Study selection

A total of 557 studies were obtained from the 6 databases by following the systematic search strategy. A total of 307 studies were excluded by comprehensively screening the titles, abstracts, and publication types, and 338 studies remained after removing duplicates. Eventually, 11 retrospective studies<sup>[7–17]</sup> involving of 13 cohorts and consisting of 1957 patients that were published between 2008 and 2017 were included in our meta-analysis. The flow diagram summarizes the study selection process (Fig. 1).

### 3.2. Study characteristics

From the 11 selected studies, 9 studies were conducted on participants from China, 1 study was conducted on participants from Japan, and 1 study was conducted on participants from the Netherlands. Four studies reported the prognostic role of galectin-1, while 1 study reported the same for galectin-4, 3 studies reported the same for galectin-3, and 5 studies reported the same for galectin-9. All the studies reported OS or Kaplan-Meier curves, while RFS or DFS was assessed in 3 studies. We selected OS as the major survival outcome for all the available studies. HRs and 95% CIs were reported directly in 7 studies. In another 4 studies, the data was extracted from graphical survival plots. All selected studies used immunohistochemistry staining as the test method. The cut-off values for staining intensity differed between studies, although most studies choose score values of 3+ (or more) to designate the positive or high expression group. The baseline characteristics of the selected studies are summarized in Table 1.

### 3.3. Correlation between galectins expression and OS

All studies evaluated the prognostic value of different types of galectins. Since the studies had significant statistical heterogeneity ( $I^2 = 88.5\%$ ,  $P < .001$ ), we used the random-effects model to pool HRs. This pooled meta-analysis revealed that different galectin types had no significant association with OS in patients with hepatic tumors (HR = 1.23, 95% CI = 0.84 to 1.79,  $P = .29$ ), as shown in Figure 2.

Subsequently, we employed a subgroup analysis according to galectin type to further explore the potential sources of heterogeneity. Galectins could be classified into 3 groups according to their molecular-structure characteristics: “prototype” galectins (galectin-1, -2, -5, -7, -10, -11, -13, -14, -15), “chimera-type” galectins (galectin-3), and “chimera-type tandem repeat-type” galectins (galectin-4, -6, -8, -9, -12).<sup>[18]</sup> Subgroup analysis for galectin types indicated that increased galectin-1 expression was significantly correlated with poor OS for patients with HCC (HR = 1.87, 95% CI = 1.61 to 2.16,  $P < .001$ ). Inter-

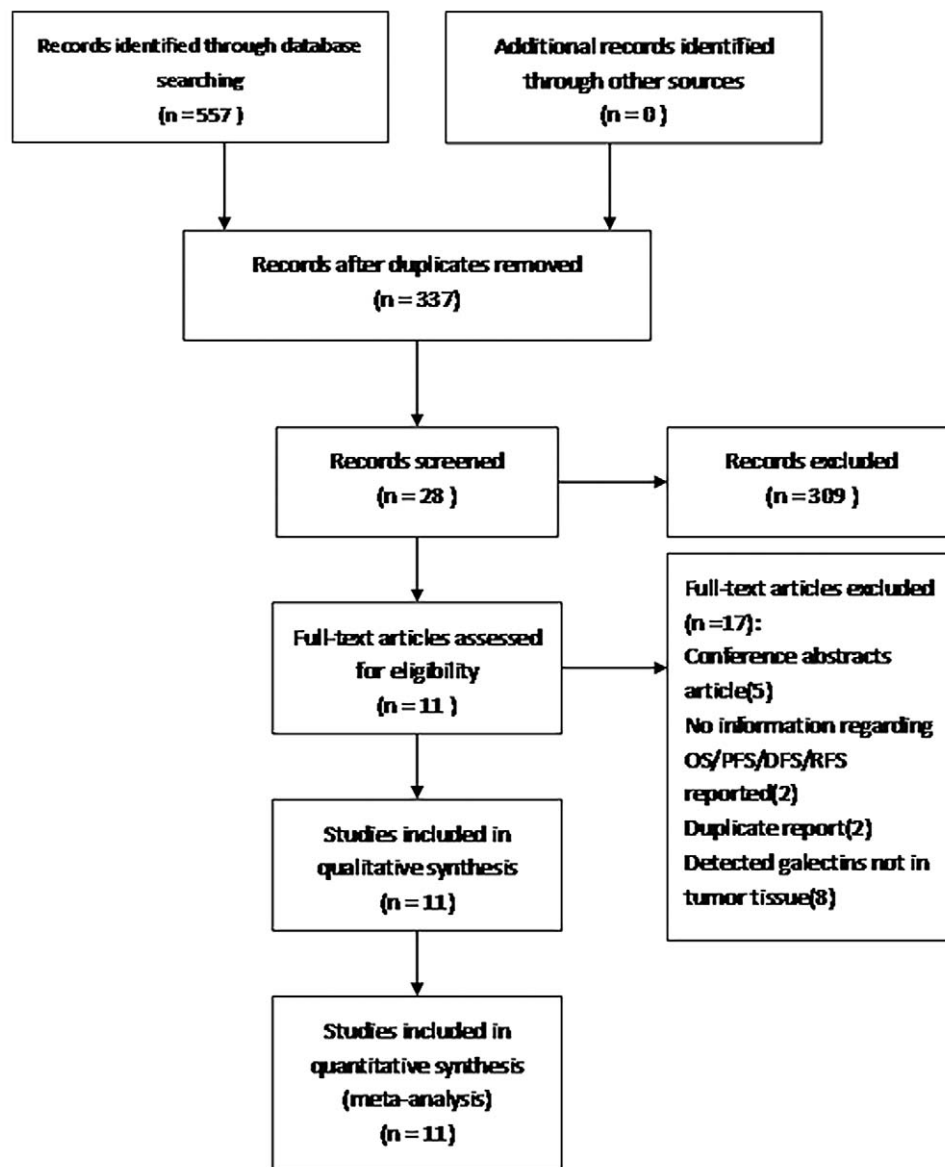


Figure 1. Flow chart of the included studies.

**Table 1**

Main clinical characteristics of all the studies included in the meta-analysis.

Study	Year	Country	Sample size	Sex (M/F)	Galectin types	IHC positive	Expression ratio	Outcome	HR 95%CI	NOS score
Sideras(a) <sup>[7]</sup>	2017	Netherlands	94	63/31	Galectin-9	2+or 3+	73(77.7%)	OS	R	6
Sideras (b) <sup>[7]</sup>	2017	Netherlands	60	48/12	Galectin-9	2+or 3+	46 (77.7%)	OS	SC	6
Lj <sup>[8]</sup>	2016	China	84	NA	Galectin-1	2+or 3+	48 (57.1%)	OS	R	6
You <sup>[9]</sup>	2016	China	162	137/35	Galectin-1	2+or 3+	105 (64.8%)	OS	R	7
Zhang <sup>[10]</sup>	2016	China	209	179/30	Galectin-1	moderate or strong	128 (61.2%)	OS	SC	6
Cai <sup>[11]</sup>	2014	China	201	174/27	Galectin-4	2+or 3+	112 (55.7%)	OS/RFS	R	7
Jiang <sup>[12]</sup>	2014	China	165	133/32	Galectin-3	2+or 3+	135 (81.8%)	OS	SC	7
Kong(a) <sup>[13]</sup>	2014	China	197	156/41	Galectin-9	score > 100	106 (53.8%)	OS	R	7
Kong(b) <sup>[13]</sup>	2014	China	197	156/41	Galectin-3	score > 100	77 (39.1%)	OS	R	7
Gu <sup>[14]</sup>	2013	China	147	134/13	Galectin-9	NA	130 (88.4%)	OS/RFS	R	7
Wu <sup>[15]</sup>	2012	China	386	341/45	Galectin-1	NA	315 (81.6%)	OS/RFS	R	6
Zhang <sup>[16]</sup>	2012	China	200	144/56	Galectin-9	Score > 2	113 (56.5%)	OS	R	7
Matsuda <sup>[17]</sup>	2008	Japan	52	37/15	Galectin-3	NA	34 (65.4%)	OS	SC	8

DFS=disease-free survival, HR = hazard ratio, IHC=immunohistochemistry staining, NA=not available, NOS=Newcastle-Ottawa Scale, OS=overall survival, R=reported, RFS=recurrence-free survival, SC=survival curve.

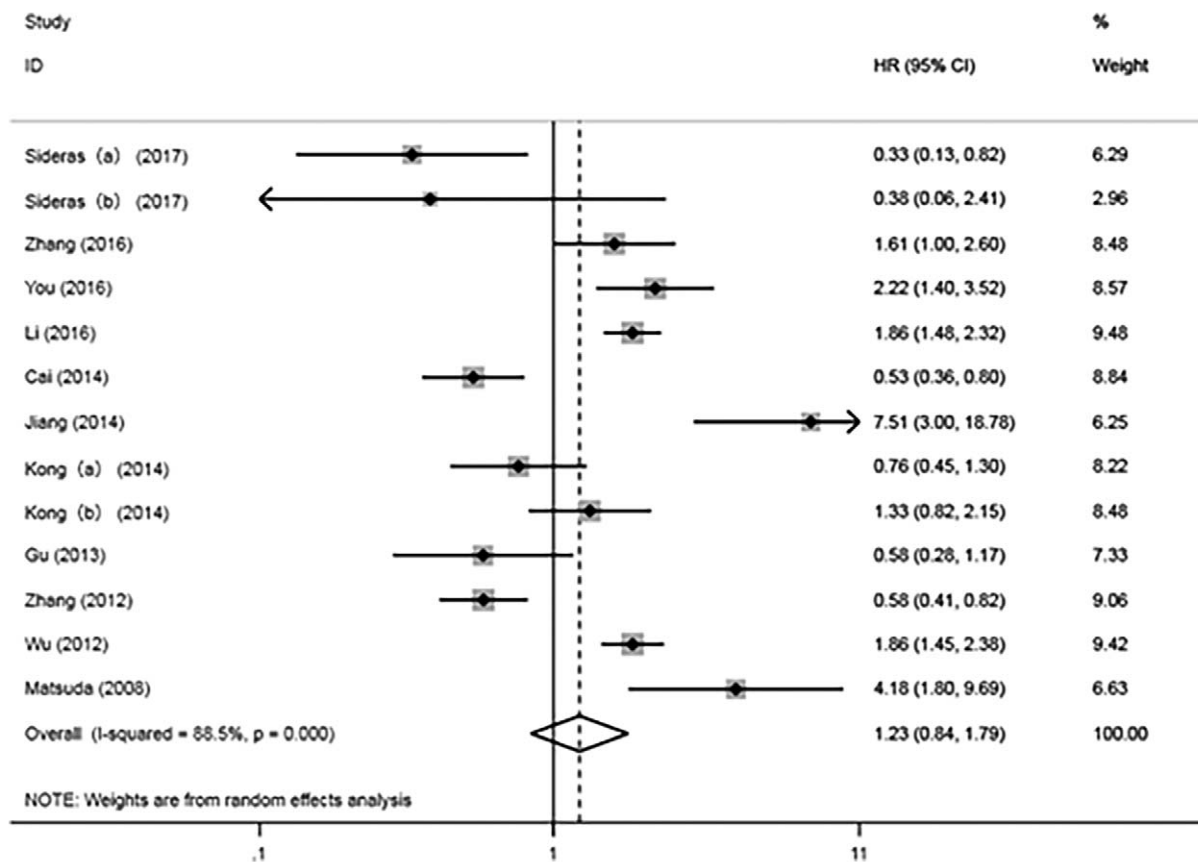


Figure 2. Forest plots for the correlation between galectins expression and overall survival among patients with hepatic cancer.

study heterogeneity ( $I^2=0.0\%$ ,  $P=.82$ ) was not found, and a fixed-effects model was used (Fig. 3A). Patients with higher expression of galectin-3 had poor survival outcomes compared to patient's lower expression levels (HR = 3.29, 95% CI = 1.10–9.83,  $P=.03$ ). We used the random-effects model to pool HRs based on significant statistical heterogeneity ( $I^2=85.0\%$ ,  $P=.001$ ; Fig. 3B). In contrast, galectin-4 and galectin-9 expression predicted beneficial outcomes in HCC patients (HR = 0.57, 95% CI = 0.46–0.71,  $P<.001$ ), and a fixed-effects model was carried out as no heterogeneity was present ( $I^2=0.0\%$ ,  $P=.73$ ; Fig. 3C, Table 2).

### 3.4. Correlation between galectins expression and DFS/RFS

DFS and RFS, considered as another clinical outcome and given their similar meaning, were used as a single united parameter. Three studies reported a relationship between DFS/RFS and galectin expression. A random-effects model ( $I^2=93.0\%$ ,  $P<.001$ ) was adopted. Combined data revealed that galectin expression was not associated with DFS/RFS given the resulting pooled HR of 0.808 (95% CI = 0.376 to 1.735,  $P=.42$ ; Fig. 4).

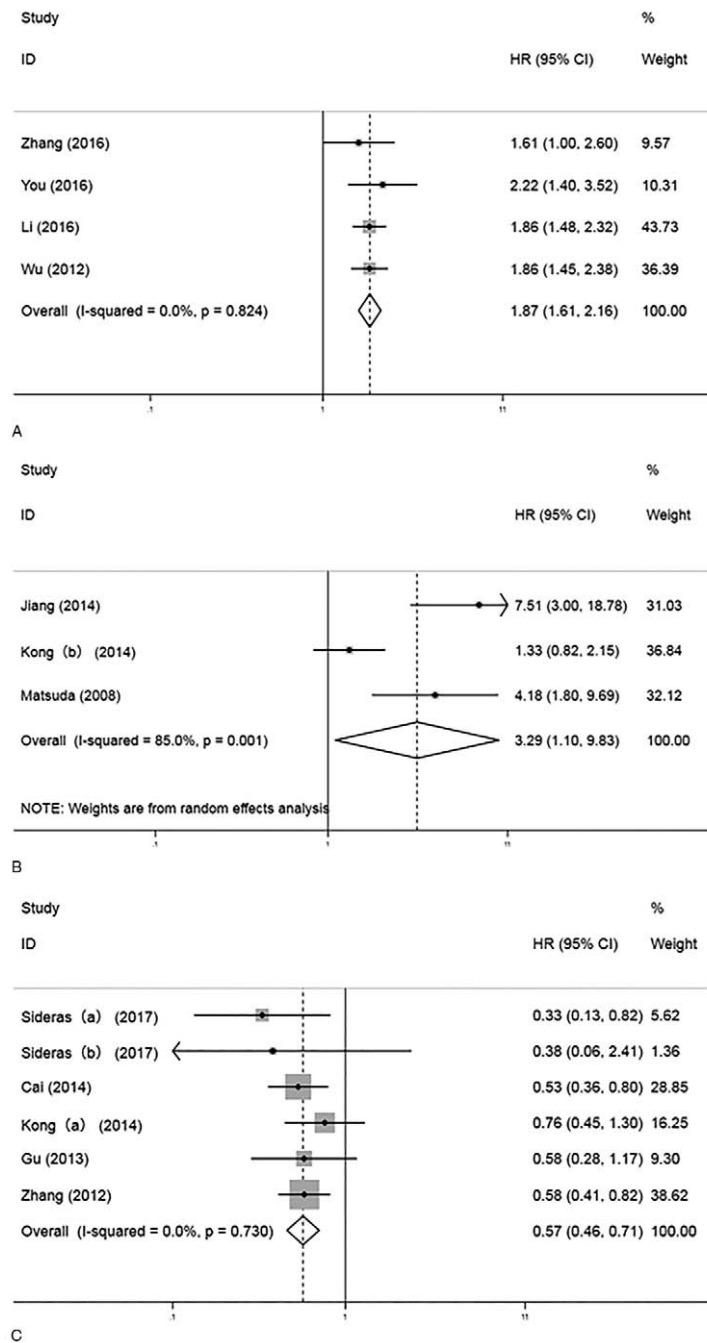
### 3.5. Sensitivity analysis and publication bias

We performed a sensitivity analysis to evaluate the stability of the combined HR and its 95% CI. As presented in Figure 5, credible results from the sensitivity analysis indicated that there was no

significant heterogeneity among the included studies. Both Begg funnel plot and Egger test were used to assess the publication bias for OS. As presented in Figure 6, the results of Begg test ( $P=.76$ ) and Egger test ( $P=.5$ ) suggested that significant publication bias was not observed in this meta-analysis.

## 4. Discussion

A great number of studies have researched the effect of tissue galectin expression on the prognosis of HCC patients; however, conclusions regarding the prognostic role of galectin expression remained controversial. So we reviewed published studies and performed a meta-analysis to generate a more accurate estimate of the prognostic value of various galectin types. Our meta-analysis combined the outcomes of 1957 HCC patients and 4 types of galectins (galectin-1, -3, -4, -9) from 11 studies, and indicated that the 4 galectin types as a whole did not suggest any significant associations between OS (HR = 1.23, 95% CI = 0.84–1.79,  $P=.29$ ) and RFS/DFS (HR = 0.808, 95% CI = 0.376–1.735,  $P=.42$ ). Consequently, we conducted a subgroup analysis to find potential sources of heterogeneity. The subgroup analysis revealed that increased galectin-1 and galectin-3 expression were significantly correlated with poor OS, while the combined effects of galectin-4 and galectin-9 expression produced the opposite result. However, given that only 3 studies reported the relevant RFS/DFS data, further subgroup analyses could not be performed. Many enrolled studies analyzed the relationship between galectin expression and pathological parameters. Some



**Figure 3.** A, Forest plots for the relationship between the high expression of galectin-1 and OS. B, Forest plots for the relationship between the high expression of galectin-3 and OS. C, Forest plots for the relationship between the high expression of galectin-4, -9 and OS. OS = overall survival.

results showed that galectins were related to cell differentiation, TNM stage, distant metastasis and recurrence, but the results were not consistent. As the parameters they selected were not exactly the same, we did not conduct statistical analysis. There are many similar and recent studies that support our findings. Wu<sup>[19]</sup> observed that high galectin-1 expression was associated with poor OS in digestive cancers. Wang<sup>[20]</sup> suggested that galectin-3 plays an oncogenic role, and is expressed in colorectal cancer, non-small cell lung cancer and ovarian cancer. In addition, Wang<sup>[21]</sup> reported that high galectin-9 expression in cancer tissue was correlated with improved CSS (cancer-specific

survival) and weakly improved OS or DFS/RFS in cancer patients.

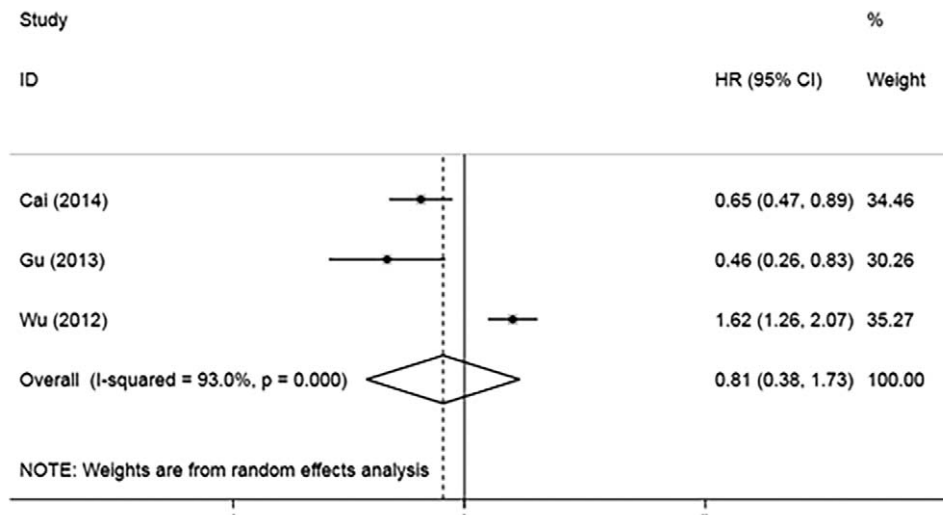
The family of galectins plays an important role in the control of apoptotic signaling pathways by regulating the immune response, inflammation, and angiogenesis.<sup>[22]</sup> Strikingly, very little attention has been given to understanding the molecular details behind this key regulatory network. Galectins families were found at both intracellular and extracellular sites. Galectin-1 and galectin-4 were expressed in both intracellular and extracellular compartments,<sup>[11]</sup> while galectin-3 and galectin-9 were mostly expressed in cytoplasm and a few expressed in the membrane and



**Table 2**  
Summary of HRs of galectins expressions.

Galectin types	No. of patients	Effects model	HR (95%CI)	Z, P	Heterogeneity (Higgins I <sup>2</sup> )
Galectin-1	841	Fix	1.87 (1.61–2.16)	Z=8.25 P=.000	Q=0.90,df=3 (P=.824) I <sup>2</sup> =0.0%
Galectin-3	414	Radom	3.29 (1.10–9.83)	Z=8.252.13 P=.033	Q=13.31,df=2 (P=.001) I <sup>2</sup> =85.0%
Galectin-4,9	899	Fix	0.57 (0.46–0.71)	Z=8.255.14 P=.000	Q=2.80,df=5 (P=.730) I <sup>2</sup> =0.0%

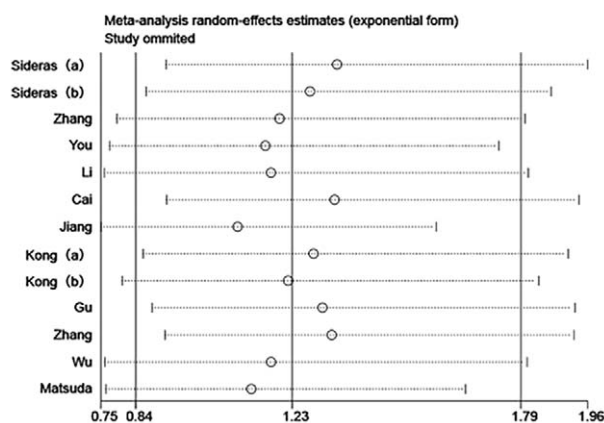
HR = hazard ratio.



**Figure 4.** Forest plots for the correlation between galectins expression and disease-free survival/recurrence-free survival among hepatocellular carcinoma patients.

cytoplasm. Galectins family could serve as a tumor suppressor intracellularly and promote tumor metastases extracellularly during cancer development, which might confer opposing roles for galectins in cancer progression.<sup>[111]</sup> Galectin-1 is a prototype galectin with one carbohydrate recognition domain.<sup>[6]</sup> Recent studies showed that forced galectin-1 expression could trigger continuous activation of the MEK-ERK pathway and promote

cell transformation.<sup>[23]</sup> This pathway works synergistically with other pathways to promote the expression of EMT-related genes.<sup>[24]</sup> Moreover, galectin-1 activates NF-κB in kidney cancer, inducing CXCR4 expression,<sup>[25]</sup> which may be a potential cause of galectin-1-induced HCC progression. Galectin-3 is the only chimera galectin.<sup>[6]</sup> It can be both antiapoptotic and proapoptotic. Galectin-3 can, like galectin-1, induces T-cell apoptosis by activating caspase-9 through the N-terminal end<sup>[26]</sup> and the coordination of CRDs.<sup>[27]</sup> Its antiapoptotic effect is dependent on caspase-3 activation<sup>[28]</sup> and the prevention of cytochrome c release.<sup>[29]</sup> Galectin-4 and -9 are tandem-repeat galectins with 2 CRDs joined by a linker sequence. Galectin-4 is mainly expressed in the gastrointestinal tract of healthy individuals,<sup>[30]</sup> and it reduces the production of proinflammatory cytokines in the intestine mucosa in a colitis model.<sup>[31]</sup> However, it can also promote intestinal inflammation by stimulating CD4<sup>+</sup> T-cells to produce IL-6.<sup>[32,33]</sup> Galectin-9 plays an important role in cancer immunotherapy.<sup>[34]</sup> The galectin-9/Tim-3 pathway is a key resistance mechanism to anti-PD-1.<sup>[35]</sup> Moreover, it mediates the close correlation of IgM and CD22, and Cao<sup>[36]</sup> suggested that the loss of this association provided an enhanced mechanism for the activation of galectin-9-deficient B cells. This meta-analysis was conducted to explore the relationship between expression level of galectins and survival of liver cancer patients. We think that different prognosis caused by different galectins was formed by their respective mechanisms. Additionally, owing to the limited number of studies, the prognostic accuracy and specificity



**Figure 5.** Sensitivity analysis for the stability of the pooled hazard ratio and its 95% confidence interval.

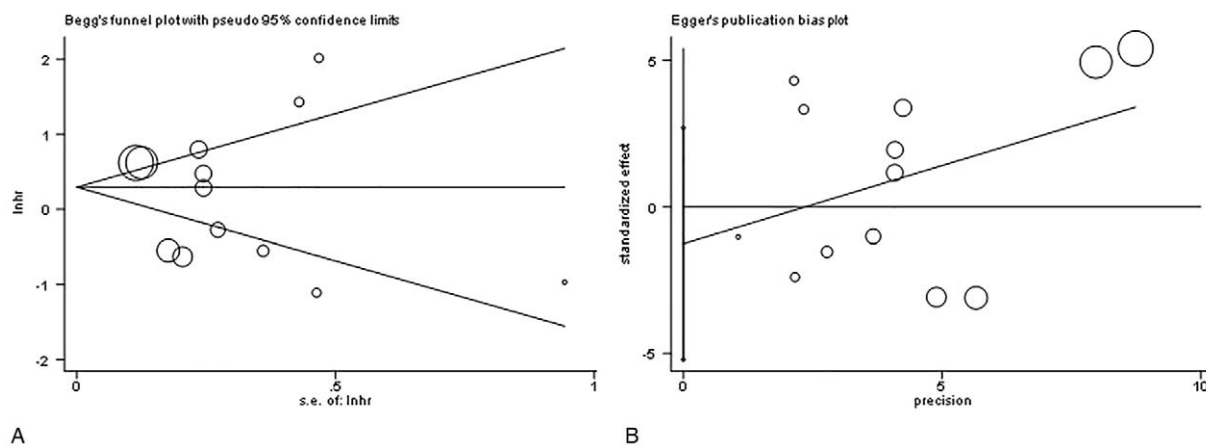


Figure 6. Begg and Egger test for the publication bias.

of galectins remain controversial. In consequence, we look forward to more experimental studies and clinical trials on galectins.

This article was the first study to summarize the prognostic role of overall galectins for liver cancer patients. However, there were several limitations in this paper that need to be carefully considered. First, because China has a high incidence of liver cancer, most of the selected studies came from China and all selected studies were retrospective in design. The main limitation of our research is that the quality of the published data was relatively low. Second, significant heterogeneity existed in the selected studies. Given that the 11 studies we selected were mostly representative of Asian patients, many clinical features such as treatment plans and follow-up times were not reported; therefore, advanced subgroup analysis based on study type, ethnicity, cut-off values, and other principal features could not be performed. In particular, only 3 studies were included in the RFS/DFS analysis, resulting in insufficient data for the subgroup analysis. The heterogeneity could not be completely traced despite the utility of the sensitivity analysis. Third, 4 studies did not report HR values directly and we extracted survival data from the survival curves through the Engauge software, which might inevitably invite statistical bias in the pooled HR.

In summary, this meta-analysis demonstrated that galectin-1 and -3 might be negative prognostic factors and that galectin-4 and -9 might be positive prognostic factors for HCC patients. Future studies with well-designed, large-scale, prospective, randomized, controlled tests, and mechanism-based research are needed to confirm our conclusion.

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