

Version 1.6 – 10 January 2022

**Statistical Analysis Plan**

**Study M16-100**

**The Efficacy of Testosterone Replacement Therapy in Correcting Anemia in  
Middle-aged and Older Hypogonadal Men (The Anemia Substudy)**

Date: January 10, 2022

Version 1.6

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List of Abbreviations

|       |                                               |
|-------|-----------------------------------------------|
| ACI   | Anemia of Chronic Inflammation                |
| CBC   | Complete Blood Count                          |
| CKD   | Chronic Kidney Disease                        |
| CRP   | C-Reactive Protein                            |
| CV    | Cardiovascular                                |
| eGFR  | Estimated Glomerular Filtration Rate          |
| ESC   | Executive Steering Committee                  |
| FAS   | Full Analysis Set                             |
| GFR   | Glomerular filtration rate                    |
| HIS-Q | Hypogonadism Impact of Symptoms Questionnaire |
| IDA   | Iron Deficiency Anemia                        |
| IgA   | Immunoglobulin A                              |
| IgG   | Immunoglobulin G                              |
| IgM   | Immunoglobulin M                              |
| IRT   | Interactive Response Technology               |
| LDH   | Lactate Dehydrogenase                         |
| MACE  | Major Adverse Cardiac Event                   |
| MCV   | Mean Corpuscular Volume                       |
| MDRD  | Modification of Diet in Renal Disease         |
| MDS   | Myelodysplastic Syndromes                     |
| SAP   | Statistical analysis plan                     |

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|          |                                                                                                                             |
|----------|-----------------------------------------------------------------------------------------------------------------------------|
| SAS      | Statistical Analysis System                                                                                                 |
| SD       | Standard Deviation                                                                                                          |
| SPEP     | Serum Protein Electrophoresis                                                                                               |
| TRAVERSE | Testosterone Replacement Therapy for Assessment of Long-Term Vascular Events and Efficacy Response in Hypogonadal Men Study |
| TRT      | Testosterone Replacement Therapy                                                                                            |
| UPEP     | Urine Protein Electrophoresis                                                                                               |
| VTE      | Venous Thromboembolic Events                                                                                                |

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## **1.0 Introduction**

This statistical analysis plan supplement (SAP) describes the statistical methods for the analyses of data collected for The Anemia Sub-study of the TRAVERSE Trial (Study M16-100) and provides the analysis plan to guide the statistical programming work. The scope of this SAP is limited to only the Anemia sub-study.

All analyses will be performed using SAS Version 9.3 or later (SAS Institute, Inc., Cary, NC 27513) and/or R version 3.6.0 or later (R Foundation for Statistical Computing, Vienna). The SAP will be signed off before the study database is locked.

## **2.0 Study Background**

Although several different definitions of anemia exist in the literature, anemia is currently defined by low hemoglobin levels below 12.7 g/dL using contemporary assays for hemoglobin measurement (1). Anemia is highly prevalent in older adults affecting nearly 10 to 12% of adults, 65 years or older, or 3 to 4 million persons in the United States alone (2-14). The prevalence of anemia rises with advancing age to 20 to 30% among those who are 85 years or older. In about one third of community-dwelling older adults, a clearly definable cause of anemia is not identified; these individuals meet the definition of the unexplained anemia of aging (1).

Unexplained anemia of aging is characterized by mild to moderate decrease in hemoglobin level (hemoglobin levels typically between 10 g/dL to 12.7 g/dL in men) with normocytic red cell indices. The pathophysiology of unexplained anemia of

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aging is complex and multifactorial, and involves dysregulated erythropoiesis, reduced response to erythropoietin, reduced stem or progenitor cell proliferative capacity, inhibitory effect of inflammation, and ineffective erythropoiesis. The role of age-related decline in testosterone levels as a contributor to unexplained anemia of aging is incompletely understood.

Unexplained anemia of aging is associated with adverse health outcomes, including impaired quality of life, fatigue, functional limitations, mobility problems, falls, and increased risk of mortality (15-19). Currently, there is no approved therapy for the unexplained anemia of aging.

Testosterone deficiency due to either the disorders of the testis, pituitary and the hypothalamus or to administration of androgen deprivation therapy is associated with a decrease in hemoglobin and hematocrit (20-23). In observational studies, the age-related decline in testosterone levels has been associated with anemia in older men (19-20). Testosterone treatment increases hemoglobin and hematocrit in both young and older men and women (21-23). Erythrocytosis is the most frequent adverse event associated with testosterone treatment (22, 25-26). The older men are more sensitive to the effects of testosterone and exhibit greater increments in hemoglobin and hematocrit than young men (24).

The mechanisms by which testosterone increases hemoglobin and hematocrit are not fully understood. Testosterone stimulates iron-dependent erythropoiesis (27). Testosterone increases iron availability for erythropoiesis through suppression of hepcidin (27-29). Testosterone also stimulates erythropoietin secretion, but the effects of testosterone treatment on serum erythropoietin levels are transient (24,

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27, 29). With continued testosterone treatment, serum erythropoietin levels return towards baseline, but are not suppressed below baseline in spite of increased hemoglobin level (24, 29), suggesting that testosterone treatment alters the set point that regulates the hemoglobin – erythropoietin ratio (29). It is unknown whether testosterone increases the sensitivity of bone marrow erythropoietic progenitors to erythropoietin. Testosterone also appears to have direct effect on the bone marrow hematopoietic progenitor cells (30); it promotes the differentiation of hematopoietic progenitors into common myeloid progenitors. Older adults often suffer from a number of comorbid conditions which are associated with high burden of chronic inflammation, which also may contribute to anemia.

We have shown that testosterone can effectively correct anemia in a mouse model of anemia of inflammation induced by repeated injections of low doses of heat-killed *Brucella abortus* (31). In this mouse model of anemia of inflammation, testosterone administration reduces ineffective erythropoiesis (31).

Testosterone administration corrects anemia in a preclinical mouse model of aging (32). Although relatively small trials have provided preliminary evidence that testosterone can increase hemoglobin and hematocrit in unexplained anemia of aging (29, 33), a large randomized trial in men with unexplained anemia of aging has not been conducted. The large sample size of the TRAVERSE Trial offers an outstanding opportunity to determine the efficacy of testosterone replacement therapy in correcting unexplained anemia.

In epidemiologic studies, higher levels of hematocrit are associated with increased risk of myocardial infarction, ischemic stroke, and hypertension in both men and

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women 34-38). However, this relation between hematocrit and cardiovascular risk is complex and varies with age and sex (34). the data on the relation of hematocrit levels with the risk of venous thromboembolic events are less consistent across studies (39-40). However, none of the previous randomized trials of testosterone treatment has been large enough or long enough to determine whether increases in hematocrit during testosterone treatment are associated with increased risk of myocardial infarction, stroke, or venous thromboembolic events. The TRAVERSE Trial because of its large sample size and rigorous adjudication of MACE, including stroke and venous thromboembolic events offers an outstanding opportunity to address these important questions.

## **2.1 Objective**

Some of the proposed aims, described below, will require analyses of the enrolled participants who are anemic at baseline while other aims will require analyses of other groups of participants.

### **2.1.1 Primary Aim:**

1. The primary aim of the anemia sub-study is to determine the efficacy of testosterone replacement therapy relative to placebo in correcting anemia in middle-aged and older hypogonadal men.

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### **2.1.2 Secondary Aims:**

2. To determine whether testosterone replacement therapy in middle-aged and older hypogonadal men with anemia is more efficacious than placebo in increasing the proportion of men whose hemoglobin increases by more than 1.0 g/dL above baseline
3. To determine whether the changes in hemoglobin levels during the intervention are associated with improvements in energy, ascertained using the energy domain of HIS-Q in all randomized participants
4. To determine whether the changes in hemoglobin levels during the intervention are associated with improvements in cognition, ascertained using the cognition domain of HIS-Q in all in all randomized participants
5. To determine whether testosterone treatment, compared to placebo, is associated with greater changes in platelet count, neutrophil, monocyte, and lymphocyte counts
6. To determine whether increases in hemoglobin, RDW and red cell counts during testosterone treatment are associated with an increased risk of MACE relative to placebo
7. To determine whether increases in hemoglobin and red cell counts during testosterone treatment are associated with an increased risk of having a cerebrovascular event or MACE relative to placebo
8. To determine whether increases in hemoglobin and red cell counts during testosterone treatment are associated with increased risk of venous thromboembolic events (VTE)

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9. To determine whether increases in platelet counts during testosterone treatment are associated with increased risk of venous thromboembolic events (VTE), MACE or cerebrovascular events.
10. To determine whether increases in total WBC count, or neutrophil or monocyte counts are associated with increased risk of VTE, MACE or cerebrovascular events
11. To characterize the participant-level factors (such as age, race, baseline body weight and body mass index, smoking, baseline hemoglobin, baseline creatinine, baseline testosterone level, and increase from baseline in testosterone level) that are associated with the level of increase in hemoglobin, red cell count, and hematocrit
12. To determine whether testosterone administration, relative to placebo, is associated with a differential risk of developing incident anemia among non-anemic men
13. To determine whether testosterone administration, relative to placebo, is associated with a differential change in serum hemoglobin level among non-anemic men

### **2.1.3 Hypotheses:**

Primary

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1. Among hypogonadal men with anemia, testosterone replacement therapy will be associated with a greater likelihood of correction of anemia relative to placebo.

Secondary

2. Compared to placebo, testosterone replacement therapy will be associated with a greater proportion of middle-aged and older hypogonadal men with anemia whose hemoglobin level increases by more than 1.0 g/dL above baseline.
3. Change from baseline in energy score, measured using the HIS-Q, will be associated with change from baseline in hemoglobin levels.
4. Change in cognition score, ascertained using the cognition domain of HIS-Q will be associated with change in hemoglobin levels.
5. Testosterone treatment relative to placebo will be associated with greater increase in the circulating numbers of platelets, total white blood cells, neutrophils and monocytes but not lymphocytes or other circulating white blood cell types.
6. Change in red cell count, RDW and hemoglobin levels during testosterone treatment will be associated with increased risk of MACE.
7. Change in hemoglobin, red cell count, RDW during testosterone treatment will be associated with increased risk of cerebrovascular accident.
8. Change in red cell count and hemoglobin levels, and RDW during testosterone treatment will be associated with increased risk of venous thromboembolic events (VTE).

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9. Change in platelet count during testosterone treatment will be associated with increased risk of VTE, MACE, and cerebrovascular events.
10. Change in total WBC count, and neutrophil and monocyte counts will be associated with increased risk of VTE, MACE, and cerebrovascular events.
11. In analyses of all randomized participants, participant level factors such as age, race, baseline body weight and body mass index, smoking, baseline hemoglobin, baseline creatinine, baseline testosterone level, and increase from baseline in testosterone level will be associated with the level of increase above baseline in hemoglobin, hematocrit, and red cell count.
12. Testosterone administration relative to placebo will be associated with lesser risk of incident anemia in participants who are not anemic at baseline.
13. Testosterone administration relative to placebo will be associated with change in hemoglobin levels among individuals who are not anemic at baseline.

## **2.2 Study Design**

The anemia sub-study will be nested within the parent trial. The complete blood counts are being performed in the parent trial for safety monitoring. The data for hemoglobin, hematocrit and red cell indices will be analyzed at the end of the trial for the proposed analyses. Additional serum samples will be stored for biomarker analyses at the end of the trial. All the outcome variables, such as MACE, VTE, and thrombotic stroke, are being collected as a part of the parent trial. Thus, the

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anemia substudy will impose no additional burden on the study staff or the participants.

### **2.2.1 Study Design and Design Diagram**

The TRAVERSE parent trial is a Phase 4, randomized, double-blind, placebo-controlled, multicenter study of topical TRT in symptomatic hypogonadal men with increased risk for CV disease. The initial planned study enrollment is approximately 6,000 subjects based on the projected timing when 256 MACE will occur under initial assumptions of the annual event rate, subject accrual rate, and study discontinuation rate. There will be approximately 400 sites in North America and possibly Puerto Rico. An Interactive Response Technology (IRT) system will randomize subjects to receive either topical testosterone or placebo in a 1:1 ratio. Randomization will be stratified by pre-existing CV disease (Yes/No). Titration of testosterone dose will occur in subjects receiving active testosterone, while sham dosage titrations will occur in subjects receiving placebo gel via the non-blinded central IRT system. The Screening Period is up to 50 days prior to first dose of study drug. Once subjects meet all of the eligibility criteria during Screening, they will be randomized (1:1 ratio) to active study drug or placebo and will be followed until the study ends. Importantly, randomized subjects who elect to discontinue study drug will also be followed until the study ends unless the subject withdraws from the study completely (withdrawal of informed consent). Subjects who discontinue study drug will still be asked to follow their regularly scheduled protocol visits. Subjects who interrupt study drug will be allowed to restart study drug at any time.

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The aim of this anemia sub-study is to determine the benefits of testosterone intervention on correcting anemia of aging in middle-aged men, and whether the benefits in older men can be seen with respect to CV occurrence. Furthermore, anemia sub-study will determine whether the changes in hemoglobin levels during the intervention are associated with improvements in energy, ascertained using the energy domain of HIS-Q in all randomized participants.

### **2.2.2 Variables Used for Stratification at Randomization**

Randomization will descend from the parent trial; no additional randomization or stratification will be imposed in this sub-study. In the parent trial, randomization will be stratified by pre-existing CV disease (Yes/No). It is expected that in the parent trial at least 30% of the randomized subjects will satisfy inclusion criteria for pre-existing CV disease criteria (secondary prevention), and the remaining 70% will satisfy CV risk factors criteria (primary prevention) combined. Analyses in this PDD sub-study will acknowledge stratified randomization.

### **2.2.3 Eligibility Criteria**

All participants enrolled in the parent TRAVERSE trial will be eligible for analyses supporting one or more of the Aims listed above. Participants will be restricted from inclusion in specific analyses on the basis of Aim-specific exclusion criteria given below.

Exclusion Criteria for analyses supporting Aims 1 and 2:

- Hemoglobin at least 12.7 g/dL

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- Baseline use of erythropoietic stimulating agents, such as erythropoietin, if known

Exclusion criteria for analyses supporting Aims 12 and 13

- Hemoglobin less than 12.7 g/dL (i.e. participants non-anemic at baseline)

Analyses in Aims 3-11 will be performed on the entire parent trial population.

## **2.3 Outcomes**

### **2.3.1 Primary outcome**

- Correction of anemia, defined as blood hemoglobin levels at least 12.7 g/dL. This endpoint can vary by study visit.

### **2.3.2 Secondary outcomes**

Unless otherwise noted, each outcome can vary by study visit

- Treatment response, as indicated by increase in blood hemoglobin from baseline by more than 1 g/dL at any time.
- Continuous hemoglobin levels following randomization
- Cumulative likelihood of correction of anemia at each timepoint
- Cumulative likelihood of treatment response at each timepoint
- Change in the energy domain score of HIS-Q
- Change in the cognition domain score of HIS-Q

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- Change from baseline in platelet count, total white blood cell count, and the numbers of circulating neutrophils, monocytes, and lymphocytes
- Adjudicated MACE, including myocardial infarction and thrombotic stroke, and venous thromboembolic events (VTE)
- Cerebrovascular accident
- VTE
- Cumulative likelihood of incident anemia by time

### **2.3.3 Other measurements**

As dictated by availability, pretreatment laboratory results, including red blood cell indices and other components of the complete blood count (CBC) may be employed to characterize participants and their anemia status. Stored serum may be used to perform the following analyses at the end of the trial in men who are deemed anemic, if possible: serum iron, total iron binding capacity, serum ferritin; B12 and folate levels; serum creatinine and estimated GFR using MDRD; LDH and haptoglobin level; CRP, inflammatory cytokines; SPEP/UPEP. Description of these analyses are provided in Appendix 1.

## **2.4 Statistical Analyses and Power**

### **2.4.1 Statistical Analyses**

The primary and secondary hypotheses in the anemia sub-study will be addressed using intention to treat principles. Descriptive characteristics will be summarized by

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each treatment group. Summary statistics (N, mean, SD, median, quartile range, minimum and maximum) will be provided for continuous variables and the number and percentage of subjects within each category will be presented for categorical data. Exploratory analyses will assess the pattern of change in endpoints and functional form of association between calendar time, events, and continuous measures. Following this, formal analysis will proceed as described below. All statistical estimates will be accompanied by 95% confidence intervals. Hypothesis testing will be conducted at the 0.05 level.

**Longitudinal models** will compare risk of incident remission of anemia at all timepoints using a mixed model repeated measures (MMRM) analysis. Estimation of the risk of remission in testosterone relative to placebo will be obtained using log-binomial regression (Bernoulli variance function with log link) and robust variance estimation for standard errors and confidence intervals. If this model fails to converge, the modified Poisson (Poisson likelihood equation with robust variance estimator) will be employed as fit by Generalized Estimating Equations (GEE).

Baseline measurements will be incorporated as outcome measures in a model that includes effects for visit and visit by treatment interaction terms, where the latter quantify the effects of interest at each measurement. Linearity will not be assumed for the effect of time unless this is consistent with exploratory analysis (above). Models will also control for stratification factors. The treatment effect will be estimated by relative risk and risk differences derived from the interaction terms, along with accompanying confidence intervals, at each measurement of endpoints.

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Statistical significance will be evaluated using an omnibus test of difference between testosterone and placebo groups at all measurement points simultaneously.

Analyses of **secondary aims and outcomes** will proceed in parallel fashion. For continuous endpoints (e.g. HIS-Q subscores and blood biomarker measures), a normal likelihood equation and identify link function will be employed in estimation. For binary endpoints (e.g. MACE, VTE), an approach identical to that described for the primary outcome will be used.

For the purposes of estimating the relative likelihood of correction of anemia and relative likelihood of treatment response at any time point, a discrete-time survival analysis (e.g. proportional hazards model) will be employed. For this analysis, individuals achieving correction of anemia or treatment response at a given visit will no longer be considered at risk of that outcome going forward.

For analyses of incident anemia (conducted among non-anemic participants in support of Aims 12 and 13), methods paralleling those described above for analyses of correction of anemia will be employed.

For other analyses characterizing individuals according to biomarker of anemia, subgroup analysis and regression models contrasting participant subgroups may be employed.

## 2.5 Interim Analysis

No interim analysis is planned for this sub-study.

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## **2.6 Multiplicity Testing Procedures for Type-I Error Control**

Type-I error adjustments for multiple comparisons are not planned for efficacy endpoints, subgroup analyses, supportive analyses or sensitivity analyses for this sub-study.

## **2.7 Missing Data**

We have no intention to impute data in this sub-study.

If required (e.g. by referees in publication), multiple imputation of endpoints and covariates by the MICE methodology for the report of anemia sub-study results may be considered where appropriate (i.e. for data reported in the manuscripts). This method is notable for being able to handle clustering of repeated measures at the participant level, a feature of the design of this trial and sub-study. Under such circumstances we would consider whether to impute data for all sub-studies simultaneously.

## **3.0 Analysis Populations and Important Subgroups**

### **3.1 Analysis Population**

The Analysis Set pre-specified for anemia sub-study will be a subset of the FAS (Full Analysis Set) comprising all randomized subjects in the main CV study. Eligible subjects from the main study who satisfy the criteria for anemia sub-study will be included in the analysis. The FAS for the analysis of anemia sub-study will include subjects with anemia at baseline.

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Subjects will be categorized according to treatment assigned at randomization. The EAS will be mainly used for the summary of subjects' disposition and summary of subjects' demographics and baseline characteristics for the sub-study.

Statistical analyses of treatment effect over time will comprise all subjects from the sub-study, who have baseline and at least one post-randomization measurement.

A modified analysis set for participants censored at any time (and subsequently) that they are deemed treatment noncompliant per the parent trial protocol<sup>1</sup>, will be also considered and used for sensitivity analyses of efficacy endpoints.

Data from eligible measurements will be included, where eligible is defined as being obtained from questionnaires or scores for which at least 80% of items are non-missing.

### 3.2 Subgroup analyses

The following subgroup analysis will be carried out for the main study and may be also considered for anemia efficacy sub-study:

- by race
- by age (< 65 year, ≥ 65 years)
- by prior CV disease status (yes, no)
- by baseline total testosterone levels (< 250 mg/dl, ≥ 250 mg/dl)

To assess potential heterogeneity of effects in hemoglobin changes over time additional sub-group analyses might be considered:

- MCV (mean corpuscular volume) levels: < 100 fL or ≥ 100 fL,

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- MCV < 70 fL
- MCV < 70 fL and red blood cell count 4.35 million per microliter or greater CRP levels: < 10 mg/L or  $\geq$  10 mg/L,
- GFR levels: < 30 ml/min or  $\geq$  30 ml/min
- RDW levels below or above median

## **4.0 Analysis Conventions**

### **4.1 Definition of Baseline**

Baseline on each outcome measure will be defined as the last available measurement obtained prior to the first dose of study drug (defined as on or before Day 1) (Protocol Appendix C).

### **4.2 Definition of Final Observation**

Final observation for each analysis will be the final assessment affiliated with the relevant visit. For example, for twelve-month assessment of hemoglobin levels, the final observation of each relevant measurement affiliated with the 12-month visit will be included in analyses.

### **4.3 Definition of Visit Windows**

Definitions of the visit windows (baseline and on-treatment) are presented in Section 10.0 (Tables 10.1) and schedule of sub-study activities is presented in Section 9.0. These will be harmonized to those of the parent trial, and decisions made for the parent trial will be considered controlling where they deviate from those described here.

## **5.0 Demographics, Baseline Characteristics, Medical History and Study Drug Exposure**

### **5.1 Baseline Characteristics**

Data collected in this sub-study will be documented using summary tables. Statistics for continuous variables will include mean, median, standard deviation, minimum, maximum, and sample size for each treatment group, and two-sided 95% confidence intervals of the mean difference between the treatment groups. Binary variables will be described with frequencies, percentages, and two-sided 95% confidence intervals of the difference in percentages between treatments.

Medical history will mirror the presentation in the parent TRAVERSE trial, and will include at minimum history of depression, CV history; nicotine and alcohol use; and testosterone use.

### **5.2 Report of Treatment Exposure and Compliance**

Continuous summaries of subjects' total duration of treatment with study drug, among participants recruited in anemia sub-study, will be provided for analyzed time intervals.

Total patient-month of exposure will be calculated by summing the duration of treatment (separately for 1- and 2-year follow-up) for all subjects in the analysis set and dividing this sum by 365.25 (= 1 year). In addition, the number and percentage of subjects exposed to study drug will be summarized for the following categories

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of exposure duration:  $\leq 1$  month, 1 to 3 months, 3 to 6 months, 6 months to 1 year, 1 to 2 years, etc.

Study drug compliance will be computed for anemia sub-study participants, separately for all relevant intervals.

## **7.0 Summary of Changes**

### **7.1 Summary of Changes Between the Previous Version and the Current Version**

Not applicable.

### **7.2 Summary of Changes in Previous Version**

Not applicable

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## 9.0 Partial List of Tables with Schedule of Activities

### 9.1 Schedule of Study Assessments

| Assessment                                  | Screening | Baseline | 6 months | 12 months | 24 months | 36 months | 48 months | 60 months |
|---------------------------------------------|-----------|----------|----------|-----------|-----------|-----------|-----------|-----------|
| Complete blood count as in the parent trial | X         | X        | X        | X         | X         | X         | X         | X         |
| Blood stored for biomarker analyses*        |           | X        |          | X         |           | X         |           | X         |
| His-Q energy and cognition domain**         |           | X        | X        | X         | X         | X         | X         | X         |

\* If available

\*\*HIS-Q questionnaire is being administered at these time points as a part of the Anemia Substudy. MACE and thromboembolic events are being recorded as they occur, as part of the parent trial.

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## 10.0 Efficacy Analysis Time Windows

### 10.1 Visit window for Anemia sub-study

| Scheduled Visit | Nominal Day<br>(Study Day) | Time Window<br>(Study Days Range)                       |
|-----------------|----------------------------|---------------------------------------------------------|
| Day 1           | 1                          | $\leq 1$                                                |
| M6              | 182                        | 92 - 273                                                |
| M12             | 364                        | 274 - 546                                               |
| M24             | 728                        | 547 - 910                                               |
| M36             | 1092                       | 911 - 1274                                              |
| M48             | 1456                       | 1275 - 1638                                             |
| M60             | 1820                       | 1639 – 2180                                             |
| Final Visit     |                            | 2 to $\leq 2$ days after the last dose<br>of study drug |

**Appendix 1. Description of potential biomarker characterizations of anemia**

For the purposes of this substudy, participants are classified as anemic if meeting the basic criterion of blood hemoglobin less than 12.7 g/dL. Depending on the availability of additional biomarkers, other analyses may employ characterization of participants by cause of anemia according to the following specification. It is acknowledged that these categories may result in overlapping participant subgroups, which will be acknowledged in analysis and any publication.

Anemic participants may be classified as having a known cause if they had a serum creatinine level of 2.2 mg/dL (to convert to  $\mu\text{mol/L}$  multiply by 76.25) or higher (renal insufficiency); either a mean corpuscular volume (MCV) of 105 fL or more and platelet count of 120 000/  $\text{mCL}$  (to convert to  $\times 10^9/\text{L}$  multiply by 1) or less or an MCV of 105 fL or more and an absolute neutrophil count less than 1200/  $\text{mCL}$  (myelodysplasia); a ferritin level less than 40 ng/mL (iron deficiency) (to convert to  $\text{pmol/L}$  multiply by 2.247); folate levels less than 3.4 ng/mL (folate deficiency) (to convert to  $\text{nmol/L}$  multiply by 2.266); vitamin B<sub>12</sub> less than 200 pg/mL (B<sub>12</sub> deficiency) (to convert to  $\text{pmol/L}$  multiply by 0.7378); ferritin levels higher than 500 ng/mL and transferrin saturation less than 50% or ferritin levels higher than 40 ng/mL and a history of a medical condition or medication indicating chronic disease or inflammation (anemia of inflammation); haptoglobin levels less than 14 mg/dL (to convert to  $\text{mg/L}$  multiply by 10) and MCV greater than 100 fL (hemolytic anemia); and IgG, IgA or IgM levels higher than 1.0 g/dL (plasma cell dyscrasia and/or monoclonal gammopathy).

Iron deficiency anemia: Serum ferritin <50 ng/dL, or % transferrin saturation <20%  
Recommend < 40 ng/mL

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Anemia of chronic inflammation: Serum iron <60 ug/dL, saturation >20%, serum ferritin >50 ng/dl without evidence for iron deficiency (Chart information would be helpful. This is reasonable for lab criteria if we don't have any else. We should summarize the CRP values though in this group to confirm ACI represents patients with ACI). Chronic kidney Disease: eGFR <30 mL/min

Myelodysplastic syndromes: MCV >100 fl, platelet count <120 K/uL, or neutrophil count < 1200 K/uL, not attributable to another cause

Vitamin B12 deficiency: B12 levels <200 pg/mL (if low, further confirmation by measurement of methyl malonic acid

Folate deficiency: Low folate levels (serum folate level < 3.4 ng/L)

Hemolytic anemia: Normocytic or macrocytic anemia associated with elevated LDH and low haptoglobin level

Thalassemia trait: MCV <80 fL and red blood cell count within the normal reference range without iron deficiency

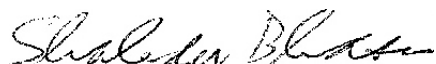
Anemia of aging: Does not meet criteria for IDA, ACI, Mixed IDA/ACI, CKD, and MDS

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February 6, 2022

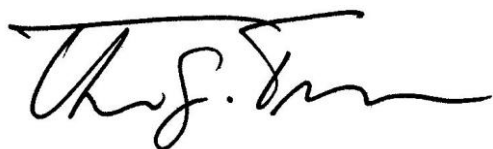
Shalender Bhasin, MD  
Co-Principal Investigator  
Brigham and Women's Hospital/Harvard Medical School



Feb 9, 2022

Panagiotis Flevaris, MD, MS  
Medical Director  
AbbVie Inc.

Date



10 January 2022

Thomas G. Travison, Ph.D.  
Biostatistician  
Harvard Medical School



February 6, 2022

Karol M. Pencina, Ph.D.  
Biostatistician  
Brigham and Women's Hospital/Harvard Medical School

Date



February 9, 2022

Xue Li, PhD  
Biostatistician  
AbbVie Inc.

Date

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