



# Neonatal sepsis-causing bacterial pathogens and outcome of trends of their antimicrobial susceptibility a 20-year period at a neonatal intensive care unit

Woo Sun Song, MD<sup>1</sup>, Hye Won Park, MD, PhD<sup>2</sup>, Moon Youn Oh, MD<sup>3</sup>, Jae Young Jo, MD<sup>4</sup>, Chae Young Kim, MD<sup>5</sup>, Jung Ju Lee, MD, PhD<sup>6</sup>, Euseok Jung, MD<sup>3</sup>, Byong Sop Lee, MD, PhD<sup>3</sup>, Ki-Soo Kim, MD, PhD<sup>3</sup>, Ellen Ai-Rhan Kim, MD, PhD<sup>3</sup>

<sup>1</sup>Department of Medicine, Kyungpook National University, College of Medicine, Daegu, Korea; <sup>2</sup>Department of Pediatrics, Konkuk University Medical Center, Seoul, Korea; <sup>3</sup>Division of Neonatology, Department of Pediatrics, Asan Medical Center Children's Hospital, University of Ulsan College of Medicine, Seoul, Korea; <sup>4</sup>Department of Pediatrics, Gyeongsang National University Hospital, Jinju, Korea; <sup>5</sup>Department of Pediatrics, Kyung Hee University School of Medicine, Seoul, Korea; <sup>6</sup>I & Mom Pediatrics Clinic, Gangneung, Korea

**Background:** Due to increases in the number of infants born with younger gestational age (GA) and lower birth weight, the incidence of neonatal sepsis is increasing.

**Purpose:** We investigated the changes in the prevalence of bacterial pathogens, their antimicrobial susceptibility, and sepsis-related mortality during 20 years at a neonatal intensive care unit.

**Methods:** The study period was divided into two 10-year phases (1998–2007 vs. 2008–2017). Medical records were reviewed to gather data on demographics, causative microbial pathogens, incidence of multidrug-resistant organisms, antimicrobial susceptibility, and rates of sepsis-related mortality.

**Results:** In both study phases, the most common pathogens for neonatal sepsis were coagulase-negative *Staphylococcus* (CoNS) (28.6%) and *Enterobacter cloacae* (16.1%) for early-onset sepsis (EOS,  $\leq 72$  hours after birth) and CoNS (54.7%) and *Staphylococcus aureus* (12.9%) for late-onset sepsis (LOS,  $> 72$  hours after birth). CoNS and *S. aureus* showed 100% sensitivity to vancomycin in both phases. The susceptibility of *S. aureus* to oxacillin increased from 19.2% to 57.9% in phase II than phase I. *K. pneumoniae* and *E. cloacae* showed increases in its susceptibility to gentamicin, cefotaxime and ceftriaxone in phase II than phase I. In both phases, the most common pathogens that caused sepsis-related death were *K. pneumoniae* (18.2%) and *Pseudomonas aeruginosa* (13.6%). Sepsis-related mortality rate was higher in infants with GA  $< 37$  weeks than those with GA over 37 weeks ( $P=0.016$ ). In addition, the mortality rate of neonatal sepsis caused by gram-negative bacteria was significantly higher than that caused by gram-positive bacteria ( $P<0.001$ ).

**Conclusions:** CoNS was the most common pathogen for EOS and LOS. While we found significant changes in antimicrobial sensitivities over time. GA below 37 weeks and gram-negative organisms are associated with mortality rate.

**Key words:** Neonatal sepsis, Causative organism, Antibiotic sensitivity

## Key message

**Question:** What is prevalence of bacterial pathogens causing sepsis and their antimicrobial susceptibility over 20 years?

**Finding:** Coagulase-negative remains most common causative organism. The most common gram-negative organism was *Klebsiella pneumoniae*. The susceptibility of *Staphylococcus aureus* and *K. pneumoniae* showed increased susceptibility to oxacillin, cefotaxime and amikacin, gentamicin, respectively.

**Meaning:** Answers to the question asked is important in choosing antimicrobials and to monitor emergence of multi-drug-resistant organisms.

## Introduction

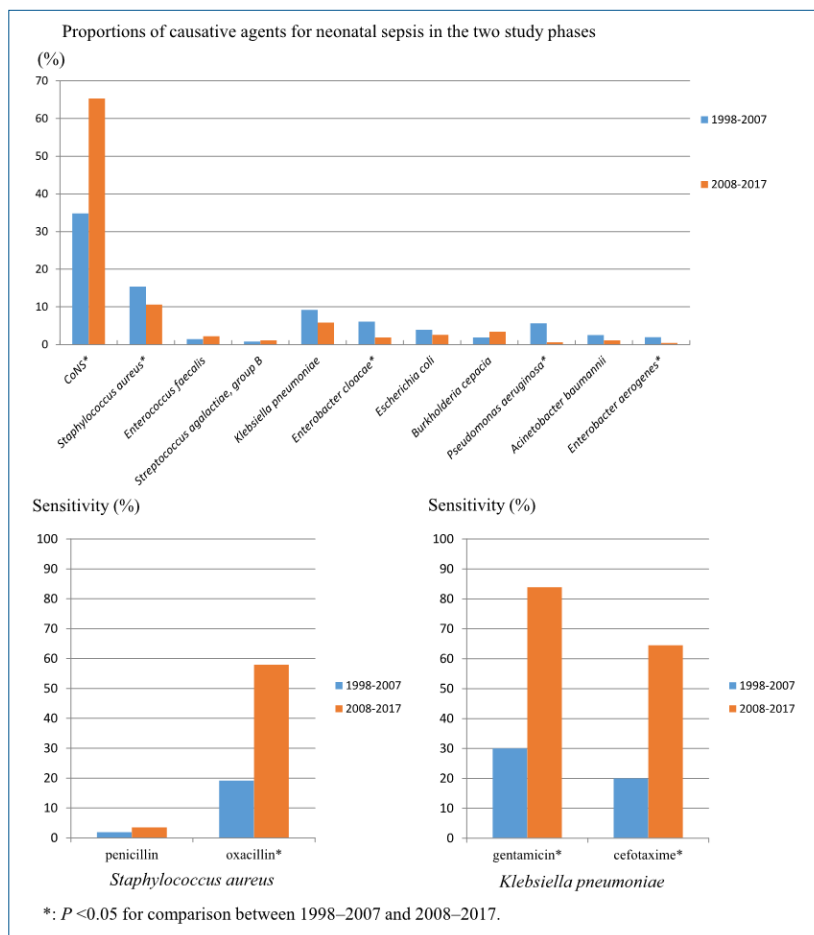
With the development of knowledge, skills, and technologies related to neonatology and perinatology, the mortality rate of hospitalized neonates, including premature infants, in the neonatal intensive care unit (NICU) has been decreasing. However, along with such improvements came increases in the number of infants born with younger gestational age (GA) and lower birth weight as well as morbidities such as bronchopulmonary dysplasia, necrotizing enterocolitis, intraventricular hemorrhage, and retinopathy of prematurity. As a result, we are witnessing increases in the incidence of neonatal sepsis,<sup>1-3</sup> which is closely associated with unfavorable long-term neurodevelopmental outcomes including cerebral palsy among preterm infants<sup>4</sup> as well as extremely low-birth-weight infants regardless of the causative organism.<sup>5</sup>

The development in neonatal intensive care technologies and the advancement of infection control have reduced the

Corresponding author: Ellen Ai-Rhan Kim, MD. Division of Neonatology, Department of Pediatrics, Asan Medical Center Children's Hospital, University of Ulsan College of Medicine, 88, Olympic-ro 43-gil, Songpa-gu, Seoul 05505, Korea  
✉ Email: [arkim@amc.seoul.kr](mailto:arkim@amc.seoul.kr); <https://orcid.org/0000-0002-9859-3021>

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**Graphical abstract**

incidence rate of sepsis and the resulting mortality; nevertheless, neonatal sepsis still needs to be quickly recognized at NICUs and carefully managed.<sup>6,7</sup> In this study, we thoroughly analyzed the records from the last 20 years of our NICU and divided them into two 10-year phases to investigate and compare the causative organisms of neonatal sepsis and identify the changes in antibiotic susceptibility.

## Methods

### 1. Study design and population

We reviewed the medical records of infants with positive blood culture who were admitted to the NICU of Asan Medical Center (Seoul, Korea) between January 1998 and October 2017. For the purpose of comparison, the study period was divided into 2 phases—phase I (January 1998 to December 2007) and phase II (January 2008 to October 2017). We identified the demographic characteristics of the infants, the frequency of sepsis episodes according to birth weight and GA, antibiotic resistance, causative organisms, risk factors associated with death, multidrug resistance, and antimicrobial susceptibility of the cultured gram-positive and gram-negative bacteria. Multidrug-resistant microorganisms are defined as acquired nonsusceptibility to at least

one agent in 3 or more antimicrobial categories.<sup>8</sup> Cases of fungal sepsis and bacterial sepsis resulting from the central line-associated bloodstream infections were excluded.<sup>9</sup>

Sepsis occurring within 72 hours after birth and that occurring after 72 hours were defined as early-onset sepsis (EOS) and late-onset sepsis (LOS), respectively.<sup>10</sup>

Ethical approval for the study was obtained from the Institutional Review Board (IRB) of Asan Medical Center (IRB number: 2017-1159).

### 2. Blood specimen

Blood cultures were obtained when the infants displayed signs indicative of sepsis, including axillary and rectal temperature above 37.5°C, decrease in tissue perfusion as evidenced by increased in capillary refill time (above 3 seconds), bradycardia (heart rate of under 100 beat per minute), tachycardia (heart rate above 180 beat per minute), apnea (no respiration longer than 20 seconds), tachypnea (respiration rate above 60 rate per minute), metabolic acidosis (arterial blood pH <7.2), hypoglycemia (serum glucose <50 mg/dL) and hyperglycemia (serum glucose >140 mg/dL).<sup>1,11-13</sup> Aseptically obtained blood (0.5–1.0 mL) was inoculated into a BACTEC PED PLUS/F bottle (Becton Dickinson, Sparks, MD, USA) and a lytic/10 Anaerobic/F bottle. The inoculated blood was sent to a microbiology lab within 24

hours and was incubated for 5 days at 35°C in a BACTEC 9420 (Becton Dickinson) incubator.

To exclude the possibility of skin contamination or contamination during blood sample collection, we defined gram-positive bacteria including coagulase-negative *Staphylococcus* (CoNS) as causative pathogens for sepsis only in the following cases: (1) appearance of the clinical features of sepsis included temperature instability, lethargy, poor cry, refusal to suck, prolonged capillary refill time, bradycardia, tachycardia, apnea, tachypnea, hypoglycaemia, hyperglycemia, and metabolic acidosis,<sup>1,13</sup> (2) use of antibiotics for more than 5 days after blood culture tests or before death, (3) elevation of C-reactive protein levels (>1 mg/dL).<sup>14</sup>

### 3. Statistical analysis

Chi-square test and Fisher exact test were performed using IBM SPSS Statistics ver. 21.0 (IBM Co., Armonk, NY, USA) to compare the frequencies of sepsis-related bacteria between phase I and phase II and the risk factors related to sepsis-related mortality. In addition, Chi-square test and Fisher exact test were used to compare the causative organisms between the 2 phases of the study period. *P* values smaller than 0.05 were considered statistically significant. Incidence of sepsis is cases per 1,000 births including inborn and outborn infants.

## RESULTS

### 1. Characteristics of the infants

Between January 1998 and October 2017, a total of 14,059 children were admitted to the NICU of Asan Medical Center. Of them, a total of 741 cases of bacteremia were found in the blood cultures of patients who were treated for clinical symptoms of sepsis. Table 1 shows the characteristics of patients.

### 2. Incidence of sepsis according to birth weight and GA

Of the 741 cases of bacteremia, 269 (36.3%), 140 (18.9%), 129 (17.4%), and 203 infants (27.4%) were birth weight of <1,000 g (extremely low birth weight), 1,000–1,499 g (very low birth weight), 1,500–2,499 g (low birth weight), and ≥2,500 g (normal birth weight) (Supplemental Table 1). In terms of the GA, sepsis was observed in 136 infants (18.4%) with a GA of ≤26<sup>+0</sup> weeks, 319 (43.0%) with a GA between 26 weeks and 34 weeks, 100 (13.5%) with GA between 34 weeks and 37 week, and 186 (25.1%) with a GA of more than 37 weeks (Supplemental Table 2).

### 3. Incidence of early- and late-onset neonatal sepsis

Overall, 876 cases of sepsis were found in 741 infants, and a total of 895 strains were detected in these cases. Fifty-two cases of EOS were found in 50 infants, of whom 2 infants had sepsis more than 2 times. No cases of combined bacteremia were observed. As for LOS, 824 cases were found in 691 newborn infants, in whom 843 strains were detected. Twenty-one cases of combined bacteremia were found in LOS. The incidences of EOS and LOS was 6.2% and 93.8%, respectively; during the entire study period, the incidence rates of EOS and LOS were 3.8 and 48.8 per 1,000 live births, respectively.

### 4. Causative pathogens of neonatal sepsis

Of the 895 strains cultured from the infants, 674 strains (75.3%) were gram-positive and CoNS and *Staphylococcus aureus* were found in 475 (53.0%) and 112 cases (12.5%), respectively. Among the gram-negative bacteria, *Klebsiella pneumoniae* was the most common pathogen (64 cases, 7.1%), followed by *Enterobacter cloacae*, *E. coli*, *Burkholderia cepacia*, and *Pseudomonas aeruginosa* (Table 2). Of the CoNS 475 cases, *Staphylococcus capitis* and *Staphylococcus epidermidis* were found 91 and 347 cases, respectively. *S. capitis* were identified in 34 cases (7.1%) in infants with GA under 26 weeks, 43 cases (9.0%) between 26 weeks and 34 weeks, and 14 cases (2.9%) over 34 weeks. *S. capitis* was the most common species in infants with

**Table 1. Characteristics of the study patients between the 2 phases**

Characteristic	Phase I (n=315) (1998–2007)	Phase II (n=426) (2008–2017)	<i>P</i> value
Gestational age	31 <sup>+3</sup> (23 <sup>+0</sup> –42 <sup>+1</sup> )	30 <sup>+3</sup> (22 <sup>+3</sup> –40 <sup>+0</sup> )	
<26 weeks	40/315 (12.7)	89/426 (20.9)	<b>0.004<sup>a)</sup></b>
26–35 weeks	153/315 (48.6)	197/426 (32.9)	<b>&lt;0.001<sup>a)</sup></b>
≥35 weeks	122/315 (38.7)	140/426 (32.9)	0.099 <sup>a)</sup>
Male sex	173/315 (54.9)	229/426 (53.8)	0.753 <sup>a)</sup>
Birth weight (g)	1,468 (469–4,900)	1,290 (282–4,125)	
<1,500	160/315 (50.8)	246/426 (57.7)	0.060 <sup>a)</sup>
1,500–2,500	58/315 (18.4)	73/426 (17.1)	0.652 <sup>a)</sup>
≥2,500	97/315 (30.8)	107/426 (25.1)	0.087 <sup>a)</sup>
Delivery mode (cesarean section)	191/315 (60.6)	294/426 (69.0)	0.018 <sup>a)</sup>
Inborn	226/315 (71.7)	407/426 (85.4)	<b>&lt;0.001<sup>a)</sup></b>
Multiple gestation	30/315 (9.5)	174/426 (27.5)	<b>&lt;0.001<sup>a)</sup></b>

Values are presented as median (range) or number (%).

<sup>a)</sup>Indicating chi-square test.

Boldface indicates a statistically significant difference with *P*<0.05.

**Table 2. Comparison of distribution of causative bacteria between the 2 phases**

Variable	EOS				LOS			
	Phase I (n=35) (1998–2007)	Phase II (n=17) (2008–2017)	P value	OR (95% CI)	Phase I (n=324) (1998–2007)	Phase II (n=519) (2008–2017)	P value	OR (95% CI)
Gram-positive bacteria	26 (74.3)	8 (47.1)	0.053 <sup>a)</sup>	0.308 (0.091–1.039)	212 (65.4)	428 (82.5)	<b>&lt;0.001<sup>a)</sup></b>	2.485 (1.801–3.428)
CoNS	11 (31.4)	5 (29.4)	0.882 <sup>a)</sup>	0.909 (0.257–3.218)	114 (35.2)	345 (66.5)	<b>&lt;0.001<sup>a)</sup></b>	3.652 (2.728–4.890)
<i>Staphylococcus aureus</i>	4 (11.4)	0 (0)	0.290 <sup>b)</sup>	-	51 (15.7)	57 (11.0)	<b>0.044<sup>a)</sup></b>	0.660 (0.440–0.991)
<i>Enterococcus faecalis</i>	0 (0)	1 (5.9)	0.327 <sup>b)</sup>	-	5 (1.5)	11 (2.1)	0.551 <sup>a)</sup>	1.381 (0.476–4.013)
<i>Streptococcus agalactiae</i> , group B	2 (5.7)	2 (11.8)	0.589 <sup>b)</sup>	2.200 (0.282–17.137)	1 (0.3)	4 (0.8)	0.654 <sup>b)</sup>	2.509 (0.279–22.545)
Others <sup>c)</sup>	9 (25.7)	0 (0)	<b>0.023<sup>b)</sup></b>	-	41 (12.7)	11 (2.1)	<b>&lt;0.001<sup>a)</sup></b>	0.149 (0.076–0.295)
Gram-negative bacteria	9 (25.7)	9 (52.9)	0.053 <sup>a)</sup>	3.250 (0.962–10.978)	112 (34.6)	91 (17.5)	<b>&lt;0.001<sup>a)</sup></b>	0.402 (0.292–0.555)
<i>Klebsiella pneumoniae</i>	1 (2.9)	2 (11.8)	0.246 <sup>b)</sup>	4.533 (0.381–53.925)	32 (9.9)	29 (5.6)	<b>0.019<sup>a)</sup></b>	0.540 (0.320–0.911)
<i>Enterobacter cloacae</i>	4 (11.4)	1 (5.9)	1.000 <sup>b)</sup>	0.484 (0.050–4.702)	18 (5.6)	9 (1.7)	<b>0.002<sup>a)</sup></b>	0.300 (0.133–0.676)
<i>Escherichia coli</i>	1 (2.9)	2 (11.8)	0.246 <sup>b)</sup>	4.533 (0.381–53.925)	13 (4.0)	12 (2.3)	0.157 <sup>a)</sup>	0.566 (0.255–1.257)
<i>Burkholderia cepacia</i>	0 (0.0)	1 (5.9)	0.327 <sup>b)</sup>	-	7 (2.2)	17 (3.3)	0.344 <sup>a)</sup>	1.534 (0.629–3.740)
<i>Pseudomonas aeruginosa</i>	2 (5.7)	0 (0)	1.000 <sup>b)</sup>	-	18 (5.6)	3 (0.6)	<b>&lt;0.001<sup>a)</sup></b>	0.099 (0.029–0.338)
<i>Acinetobacter baumannii</i>	0 (0)	2 (11.8)	0.103 <sup>b)</sup>	-	9 (2.8)	4 (0.8)	<b>0.040<sup>b)</sup></b>	0.272 (0.083–0.890)
<i>Serratia marcescens</i>	0 (0)	0 (0)	-	-	6 (1.9)	7 (1.3)	0.576 <sup>b)</sup>	0.725 (0.241–2.175)
<i>Enterobacter aerogenes</i>	1 (2.9)	0 (0)	1.000 <sup>b)</sup>	-	6 (1.9)	2 (0.4)	0.060 <sup>b)</sup>	0.205 (0.041–1.022)
<i>Klebsiella oxytoca</i>	0 (0)	0 (0)	-	-	3 (0.9)	5 (1.0)	1.000 <sup>b)</sup>	1.041 (0.247–4.385)
Others <sup>d)</sup>	0 (0)	1 (5.9)	0.327 <sup>b)</sup>	-	0 (0.0)	3 (0.4)	0.289 <sup>a)</sup>	-

Values are presented as number (%).

EOS, early-onset sepsis; LOS, late-onset sepsis; OR, odds ratio; CI, confidence interval; CoNS, coagulase-negative *Staphylococcus*.

<sup>a)</sup>Chi-square test. <sup>b)</sup>Fisher exact test. <sup>c)</sup>Others: *Listeria monocytogenes*, *Streptococcus species* (except *S. agalactiae*), *Enterococcus faecium*, *Bacillus species*, *Clostridium clostridioforme*, *Micrococcus species*. <sup>d)</sup>Others: *Haemophilus influenzae*, *Chryseobacterium meningosepticum*, *Enterobacter agglomerans*, *Salmonella* group C.

Boldface indicates a statistically significant difference with  $P < 0.05$ .

GA between 26 weeks and 34 weeks. In addition, *S. epidermis* was confirmed in 56 cases (11.7%) in infants with GA under 26 weeks, 153 cases (32.2%) in between 26 weeks and 34 weeks, and 138 cases (29.0%) over 34 weeks among the 475 cases of CoNS (Supplemental Tables 3,4).

### 5. Changes in the prevalence of pathogens between phase I and phase II

During the study period, the percentage of gram-positive bacteria contributing to sepsis increased from 66.3% in phase I to 81.2% in phase II. Particularly, the incidence of CoNS increased from 34.8% to 65.3% ( $P < 0.001$ ) and that of *S. aureus* decreased from 15.4% to 10.6% ( $P = 0.038$ ). The incidence of *Streptococcus genus* (*Streptococcus* spp.) also significantly decreased from 12.5% to 1.3% ( $P < 0.001$ ). Among the gram-negative bacteria, the incidence of *E. cloacae* decreased from 6.1% to 1.9% ( $P = 0.001$ ) and that of *P. aeruginosa* decreased from 5.7% to 0.6% ( $P < 0.001$ ). The incidence of *Enterobacter aerogenes* (*E. aerogenes*) also significantly decreased from 1.9% to 0.4% ( $P = 0.035$ ).

With respect to the timing of sepsis onset, the 3 most common organisms isolated in EOS (i.e., CoNS, *S. aureus*, and *Enterobacter cloacae*) did not show significant differences in their occurrence between the 2 phases. In contrast, the occurrence of LOS caused by CoNS was significantly increased in phase II ( $P < 0.001$ ), and those of *S. aureus* and *K. pneumoniae* were

significantly decreased in phase II ( $P = 0.044$  and  $0.019$ , respectively). The incidence of CoNS was the most common causative microorganism in both EOS and LOS during the entire study period (Table 2).

### 6. Sepsis-related mortality

Sepsis-related mortality is systemic response to infection leading to death. The most common organisms related to death were *K. pneumoniae* (18.2%), *P. aeruginosa* (13.6%), *S. aureus* (13.6%), *S. epidermidis* (12.1%), and *E. coli* (9.2%). The mortality rate of neonatal sepsis caused by gram-negative bacteria was significantly higher than that caused by gram-positive bacteria (19.5% vs. 3.4%,  $P < 0.001$ ) (Table 3, Fig. 1). The mortality rate based on birth weight was 7.1% and 7.8% for birth weights  $< 1,500$  g and  $\geq 1,500$ , respectively ( $P = 0.706$ ). And 7.1% and 8.1% for birth weights below 2,500 g, and birth weights over 2,500 g, respectively ( $P = 0.627$ ). In respect to GA, the mortality was observed in 50 of 554 infants (9.0%) with GA below 37 weeks and 16 of 341 infants (4.7%) with GA over 37 weeks ( $P = 0.016$ ). In addition, mortality rate due to methicillin-resistant *Staphylococcus aureus* (MRSA) and extended spectrum  $\beta$ -lactamases (ESBL)-producing *Enterobacteriaceae* sepsis were 9.0% and 21.9%, respectively (Table 4).

### 7. Changes in the susceptibility to antibiotics

The susceptibility of the gram-positive and gram-negative

**Table 3. Comparison of distribution of mortality between the 2 phases**

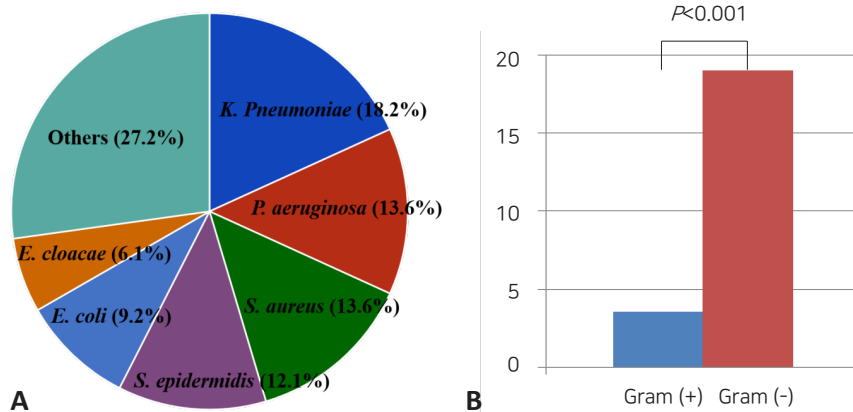
Microorganism	Phase I (1998–2007)	Phase II (2008–2017)	P value	OR (95% CI)
Mortality	39/315	27/426		
<i>Klebsiella pneumoniae</i>	5/33 (15.2)	7/31 (22.6)	0.447 <sup>a)</sup>	1.633 (0.458–5.819)
ESBL-producing	2/5 (40.0)	5/7 (71.4)		
<i>Pseudomonas aeruginosa</i>	7/20 (35.0)	2/3 (66.7)	0.538 <sup>b)</sup>	3.714 (0.284–48.545)
<i>Staphylococcus aureus</i>	6/55 (10.9)	3/57 (5.3)	0.317 <sup>b)</sup>	0.454 (0.108–1.913)
<i>Staphylococcus epidermidis</i>	5/122 (5.0)	3/125 (1.2)	<b>0.047<sup>b)</sup></b>	0.234 (0.055–0.997)
<i>Escherichia coli</i>	4/14 (28.6)	2/14 (14.3)	0.648 <sup>b)</sup>	0.417 (0.063–2.768)
<i>Enterobacter cloacae</i>	3/22 (13.6)	1/10 (10.0)	1.000 <sup>b)</sup>	0.704 (0.064–7.742)
Mortality of EOS	7/33 (21.2)	5/17 (29.4)	0.728 <sup>b)</sup>	1.548 (0.407–5.887)
Mortality of LOS	32/282 (11.3)	22/409 (5.4)	<b>0.004<sup>a)</sup></b>	0.444 (0.252–0.782)
Total mortality	39/315 (12.4)	27/426 (6.3)	<b>0.004<sup>a)</sup></b>	0.479 (0.286–0.801)

Values are presented as number (%).

OR, odds ratio; CI, confidence interval; ESBL, extended spectrum  $\beta$ -lactamases; EOS, early-onset sepsis; LOS, late-onset sepsis.

<sup>a)</sup>Chi-square test. <sup>b)</sup>Fisher exact test.

Boldface indicates a statistically significant difference with  $P < 0.05$ .



**Fig. 1.** Comparison of causative agents of neonatal sepsis resulting in death. (A) Proportions of microorganisms related to death. (B) Comparison between the proportions of gram-positive and gram-negative organisms associated with mortality.

bacteria to antibiotics was compared between phase I and phase II. The susceptibility of *S. aureus* to oxacillin increased from 19.2% to 57.9% in phase II from phase I ( $P < 0.001$ ). There was no statistical difference in the susceptibility of CoNS to oxacillin between 2 phases ( $P = 1.000$ ) (Table 5). Vancomycin-resistant strains were not detected in gram-positive bacteria including *S. aureus* and CoNS during the study period.

Among the gram-negative bacteria, *K. pneumoniae* showed increases in its susceptibility to gentamicin, cefotaxime and ceftriaxone in phase II than phase I (Table 5). *E. cloacae* showed increases in its susceptibility to gentamicin, cefotaxime, and ceftriaxone in phase II than phase I ( $P < 0.001$ ,  $P = 0.007$ ,  $P = 0.018$ ) (Table 5). The susceptibility of *E. coli* to gentamicin, cefotaxime and ceftriaxone showed no statistical difference between 2 phases (Table 5). Carbapenem-resistance was not observed in *K. pneumoniae*, *E. coli*, *B. species*, and *Enterobacter cloacae*.

## Discussion

In Korea, the number of infants admitted to the NICU has been increasing during the past 20 years owing to the increase in the number of births of premature infants and very low birth weight infants.<sup>15)</sup> In addition, the risk of neonatal sepsis resulting from invasive treatment and increased length of hospital stay has been increasing as well.<sup>16)</sup> In particular, CoNS emerged as the foremost pathogens of neonatal sepsis in developed countries.<sup>16,17)</sup> Accordingly, our current study also showed that CoNS was the most common pathogen in EOS as well as LOS. Despite the hospital infection control, CoNS remains the most common pathogen, which is likely due to the increased number of sick neonates and increased number of very low birth weight infants that require invasive procedures and increased hospital days.<sup>16)</sup>

Previous studies have reported varying incidence rates of EOS. Bhat et al.<sup>18)</sup> reported a high incidence of 104.6 per 1,000 patients, whereas Resch et al.<sup>19)</sup> reported a low incidence of 0.8 per 1,000 patients. In our current study, the incidence of EOS

was 3.8 per 1,000 patients during the entire study period. The incidence of EOS decreased from 5.2 per 1,000 infants in the phase I (1998 to 2007) to 2.6 per 1,000 infants in the phase II (2008 to 2017).

In a study by Cohen-Wolkowicz et al.,<sup>20)</sup> gram-positive bac-

teria accounted for 62% of all pathogens in EOS and the major pathogens included group B *Streptococcus* (GBS), *S. aureus*, and CoNS. Of note, the incidence of GBS as the causative organism for EOS increased in the latter phase in our study, resulting in an incidence of 0.28 per 1,000 live births during the entire study period; this result is in line with the reports from Western countries<sup>21)</sup> in which the incidence rate of GBS has been reported as 0.29 per 1,000 live births. In contrast, a report from Japan<sup>22)</sup> showed a relatively lower incidence of 0.08 per 1,000 live births. On the other hand, the mortality rates of EOS and LOS have been reported to be 1.7%–22.9% and 4.0%–18.0%, respectively.<sup>19–21,23,24)</sup> The results of our study are in line with those reports, as the mortality rates of EOS and LOS were 12.4% and 6.3%, respectively.

During the entire study period, the most common sepsis-causing pathogens were *S. epidermidis* and *S. aureus*. Overall, 75% of the pathogens showed sensitivities to trimethoprim-sulfamethoxazole and 100% of the pathogens were sensitive to vancomycin. When encountering multidrug-resistant *S. epidermidis* and *S. aureus*, trimethoprim-sulfamethoxazole could be used as an alternative antimicrobial agent or adjuvant therapy, although its use among neonate is very limited.

The susceptibility of CoNS to antibiotics reported by Ozkan et al.<sup>25)</sup> was similar to that reported in this study. With regard to sepsis-causing gram-negative bacteria, *K. pneumoniae* and *E. coli* including ESBL-producing isolates showed 100% susceptibility

**Table 4. Analysis of associated factors for mortality**

Factor	Episodes	P value	OR (95% CI)
GA (wk)		0.016 <sup>a)</sup>	0.496 (0.278–0.886)
<37	50/554 (9.0)		
≥37	16/341 (4.7)		
Birth weight (g)			
<1,500	36/508 (7.1)	0.706 <sup>a)</sup>	1.102 (0.666–1.823)
≥1,500	30/387 (7.8)		
<2,500	47/660 (7.1)	0.627 <sup>a)</sup>	1.147 (0.659–1.998)
≥2,500	19/235 (8.1)		
Gram-positive bacteria	23/674 (3.4)	<0.001	6.838 (4.014–11.649)
Gram-negative bacteria	43/221 (19.5)		
MRSA mortality rate	6/66 (9.0)		
ESBL <sup>b)</sup> mortality rate	9/41 (21.9)		

Values are presented as number (%).

OR, odds ratio; CI, confidence interval; GA, gestational age; MRSA, methicillin-resistant *Staphylococcus aureus*; ESBL, extended spectrum β-lactamases.

<sup>a)</sup>Chi-square test. <sup>b)</sup>ESBL: 28 cases of *Klebsiella pneumoniae*, 12 cases of *Escherichia coli*, 1 case of *Klebsiella oxytoca*; infants with 6 cases of *K. pneumoniae* and 3 cases of *E. coli* died, respectively.

**Table 5. Comparison of antibiotics susceptibility of causative agents between the 2 phases**

Microorganism	Phase I (1998–2007)	Phase II (2008–2017)	P value	OR (95% CI)
Gram-positive bacteria				
Staphylococcus aureus				
Penicillin	1/52 (1.9)	2/57 (3.5)	1.000 <sup>b)</sup>	1.855 (0.163–21.076)
Cefotaxime	12/52 (23.1)	8/12 (66.7)	<b>0.006<sup>b)</sup></b>	6.667 (1.707–26.042)
Oxacillin	10/52 (19.2)	33/57(57.9)	<b>&lt;0.001<sup>a)</sup></b>	5.775 (2.426–13.746)
CoNS				
Penicillin	1/114 (0.9)	0/350(0.0)	0.246 <sup>b)</sup>	0.991 (0.974–1.008)
Cefotaxime	3/105 (2.9)	1/51 (2.0)	1.000 <sup>b)</sup>	0.680 (0.069–6.704)
Oxacillin	3/114 (2.6)	10/350(2.9)	1.000 <sup>b)</sup>	1.088 (0.294–4.025)
Gram-negative bacteria				
<i>Klebsiella pneumoniae</i>				
Gentamicin	9/30 (30.0)	26/31 (83.9)	<b>&lt;0.001<sup>a)</sup></b>	12.133 (3.528–41.729)
Cefotaxime	6/30 (20.0)	20/31 (64.5)	<b>0.001<sup>a)</sup></b>	7.273 (2.284–23.160)
Ceftriaxone	6/30 (20.0)	16/20 (80.0)	<b>&lt;0.001<sup>a)</sup></b>	16.000 (3.889–65.830)
<i>Enterobacter cloacae</i>				
Gentamicin	5/20 (25.0)	10/10 (100.0)	<b>&lt;0.001<sup>a)</sup></b>	0.250 (0.117–0.534)
Cefotaxime	5/20 (25.0)	8/10 (80.0)	<b>0.007<sup>b)</sup></b>	12.000 (1.885–76.376)
Ceftriaxone	5/20 (25.0)	5/6 (83.3)	<b>0.018<sup>b)</sup></b>	15.000 (1.397–161.045)
<i>Escherichia coli</i>				
Gentamicin	5/14 (35.7)	7/14 (50.0)	0.704 <sup>a)</sup>	1.800 (0.396–8.182)
Cefotaxime	7/13 (53.8)	6/14 (42.9)	0.568 <sup>a)</sup>	0.643 (0.141–2.938)
Ceftriaxone	7/14 (50.0)	2/5 (40.0)	1.000 <sup>b)</sup>	0.667 (0.084–5.301)

Values are presented as number (%).

OR, odds ratio; CI, confidence interval; CoNS, coagulase-negative *Staphylococcus*.

<sup>a)</sup>Chi-square test. <sup>b)</sup>Fisher exact test.

Boldface indicates a statistically significant difference with  $P < 0.05$ .

to imipenem. In this study, there were 12 and 9 cases of ESBL-producing *K. pneumoniae* and *E. coli*, respectively. In addition, the susceptibility to amikacin was above 85% in the phase II of the study period.

As for multidrug-resistant organisms, MRSA was identified in 66 patients during the entire study period. Of these, 6 infants including 3 VLBW infants died, resulting in a mortality rate of 9.0%. The mortality rate in patients with MRSA sepsis with birth weights less than 1,500 g was 9.6%, which is lower than the 26.1% reported by Shane et al.<sup>26)</sup>

ESBL-producing microbes are also a type of multidrug-resistant bacterium that can unexpectedly spread rapidly in the NICU.<sup>27)</sup> During the entire study period, 9 patients died and the mortality from sepsis caused by ESBL-producers was 21.9%. Sharma et al.<sup>28)</sup> reported that the mortality rate of infants infected with ESBL-producing microbes was as high as 38.6%. In our study, we did not find significant differences between the 2 study phases in terms of the incidence and mortality of sepsis caused by ESBL-producers; however, we found 2 cases of EOS during phase II, resulting in an incidence of 11.7%, which is lower than the 44.7% reported by Sharma et al.<sup>28)</sup>

CoNS was the single most common organism that caused neonatal sepsis in our unit. Acknowledging this fact, our medical staff practice strict hand-washing, exercise aseptic procedures in the care of the central lines, promote early breast milk feeding, and utilize other preventive measures to decrease the incidence of sepsis; yet, we are still battling against sepsis, especially those related to CoNS. Due to the widespread resistance to beta-lactam in CoNS and MRSA, vancomycin is used as the first-line antibiotics for LOS in our unit. The use of vancomycin is strictly controlled by the hospital infection control team and we have yet to encounter cases of sepsis caused by vancomycin-resistant organisms. Dalal et al.<sup>29)</sup> reported that cyclic use of antibiotics is effective in preventing the emergence of multidrug-resistant bacteria. Accordingly, we have also practiced cyclic use of antibiotics (e.g., cefotaxime to amikacin, amikacin to tazobactam) for LOS, and have yet to observe an emergence of multidrug-resistant organisms during our 20-year history of antibiograms.

This study has limitations. As a retrospective study from a single tertiary NICU, it would be difficult to compare the results in between other NICUs of variable level of intensities.<sup>30)</sup>











In conclusion, we herein report the trends in antimicrobial susceptibility and bacterial pathogens causing neonatal sepsis during a 20-year period at a single neonatal care unit. Overall, the results of the etiologic organisms were similar to that of the Korean national registry of neonatal sepsis.<sup>31,32)</sup> However, as the incidence of multidrug-resistant microorganisms—especially those related to ESBL-producers—is increasing both in and out of the NICU, neonatologists must be more alert in dealing with such organisms when they become more frequently isolated, especially among delivering mothers.

## Footnotes

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## ORCID:

Woo Sun Song  <https://orcid.org/0000-0001-7894-5213>  
Hye Won Park  <https://orcid.org/0000-0002-2669-3621>  
Moon Youn Oh  <https://orcid.org/0000-0003-1444-6495>  
Jae Young Jo  <https://orcid.org/0000-0002-3654-067X>  
Chae Young Kim  <https://orcid.org/0000-0002-9905-6519>  
Jung Ju Lee  <https://orcid.org/0000-0001-7152-6294>  
Euseok Jung  <https://orcid.org/0000-0003-0693-5627>  
Byong Sop Lee  <https://orcid.org/0000-0002-1347-4200>  
Ki-Soo Kim  <https://orcid.org/0000-0003-1547-5220>  
Ellen Ai-Rhan Kim  <https://orcid.org/0000-0002-9859-3021>

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