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REVIEW

**Vagal mechanisms as neuromodulatory targets for the treatment of metabolic disease**Hans-Rudolf Berthoud<sup>1</sup> and Winfried L. Neuhuber<sup>2</sup><sup>1</sup>Neurobiology of Nutrition and Metabolism Department, Pennington Biomedical Research Center, Louisiana State University System, Baton Rouge, Louisiana. <sup>2</sup>Institut für Anatomie und Zellbiologie, Friedrich-Alexander Universität Erlangen-Nürnberg, Erlangen, Germany

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With few effective treatments available, the global rise of metabolic diseases, including obesity, type 2 diabetes mellitus, and cardiovascular disease, seems unstoppable. Likely caused by an obesogenic environment interacting with genetic susceptibility, the pathophysiology of obesity and metabolic diseases is highly complex and involves crosstalk between many organs and systems, including the brain. The vagus nerve is in a key position to bidirectionally link several peripheral metabolic organs with the brain and is increasingly targeted for neuromodulation therapy to treat metabolic disease. Here, we review the basics of vagal functional anatomy and its implications for vagal neuromodulation therapies. We find that most existing vagal neuromodulation techniques either ignore or misinterpret the rich functional specificity of both vagal efferents and afferents as demonstrated by a large body of literature. This lack of specificity of manipulating vagal fibers is likely the reason for the relatively poor beneficial long-term effects of such therapies. For these therapies to become more effective, rigorous validation of all physiological endpoints and optimization of stimulation parameters as well as electrode placements will be necessary. However, given the large number of function-specific fibers in any vagal branch, genetically guided neuromodulation techniques are more likely to succeed.

**Keywords:** neuromodulation; electrical stimulation; obesity; diabetes; anti-inflammatory pathways; gut–brain communication; genetically guided; vagotomy

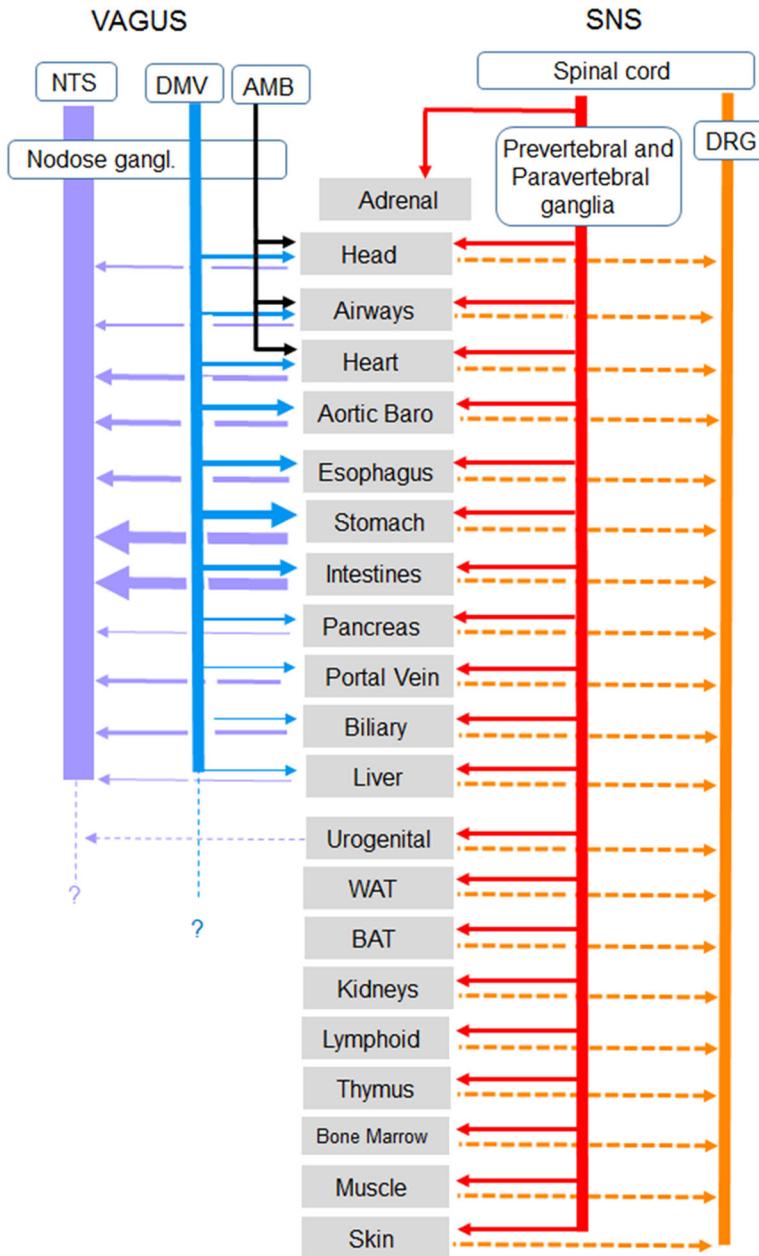
**Introduction**

The prevalence of metabolic diseases is steadily increasing with no real cure in sight. The overpowering environmental, social, cultural, and economic changes in the past century, together with genetic susceptibility, are widely considered to be the main causes of obesity, type 2 diabetes mellitus (T2DM), cardiovascular disease, and many other diseases, including cancer, associated with chronic overnutrition and a positive energy balance. Because these staggering changes cannot be easily reversed or neutralized, our ability to fight metabolic diseases is mainly limited to symptomatic treatment, such as pharmacology, behavioral modification, and surgery. Except for bariatric/metabolic surgery, the

effectiveness of such symptomatic treatments has been rather disappointing, likely owing to incomplete understanding of the critical components of physiological regulation of body weight and glucose homeostasis.

The vagus nerve is a bidirectional communication pathway between the metabolic periphery and the brain and as such has the potential to be a major player in body weight and glucose homeostasis<sup>1</sup> (Fig. 1); it is now 40 years since subdiaphragmatic vagotomy was first proposed as a treatment for severe obesity.<sup>2</sup> Vagal mechanisms are also likely to play important roles in the sustained beneficial effects of gastric bypass and other bariatric surgeries and devices.<sup>3</sup> In the last 40 years, however, we have learned a great deal about the anatomy

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**Figure 1.** Overview of autonomic nervous system innervation of organs and tissues important for metabolic regulation. For vagal innervation, the line thickness roughly reflects the number of afferent and efferent axons in the respective branches, as mainly observed in rats. There are not sufficient data available for similar analyses in the sympathetic and dorsal root systems. AMB, nucleus ambiguus; DMV, dorsal motor nucleus of the vagus; DRG, dorsal root ganglia; NTS, nucleus tractus solitarius.

and function of the 10th cranial nerve, which will help develop much more selective and refined techniques to leverage vagal mechanisms for the treatment of metabolic diseases. In this overview, we will summarize some of these advances and discuss

the potential of vagal neuromodulation in the fight against metabolic and associated diseases. Because of space limitations, many important contributions of dedicated scientists in this field, particularly as it pertains to vagal sensory functions, will not be

discussed in detail, but the interested reader can find synopses of their work here.<sup>4–9</sup>

## Functional anatomy of the vagus nerve

### *What organs and tissues relevant for metabolic diseases are innervated by the vagus nerve?*

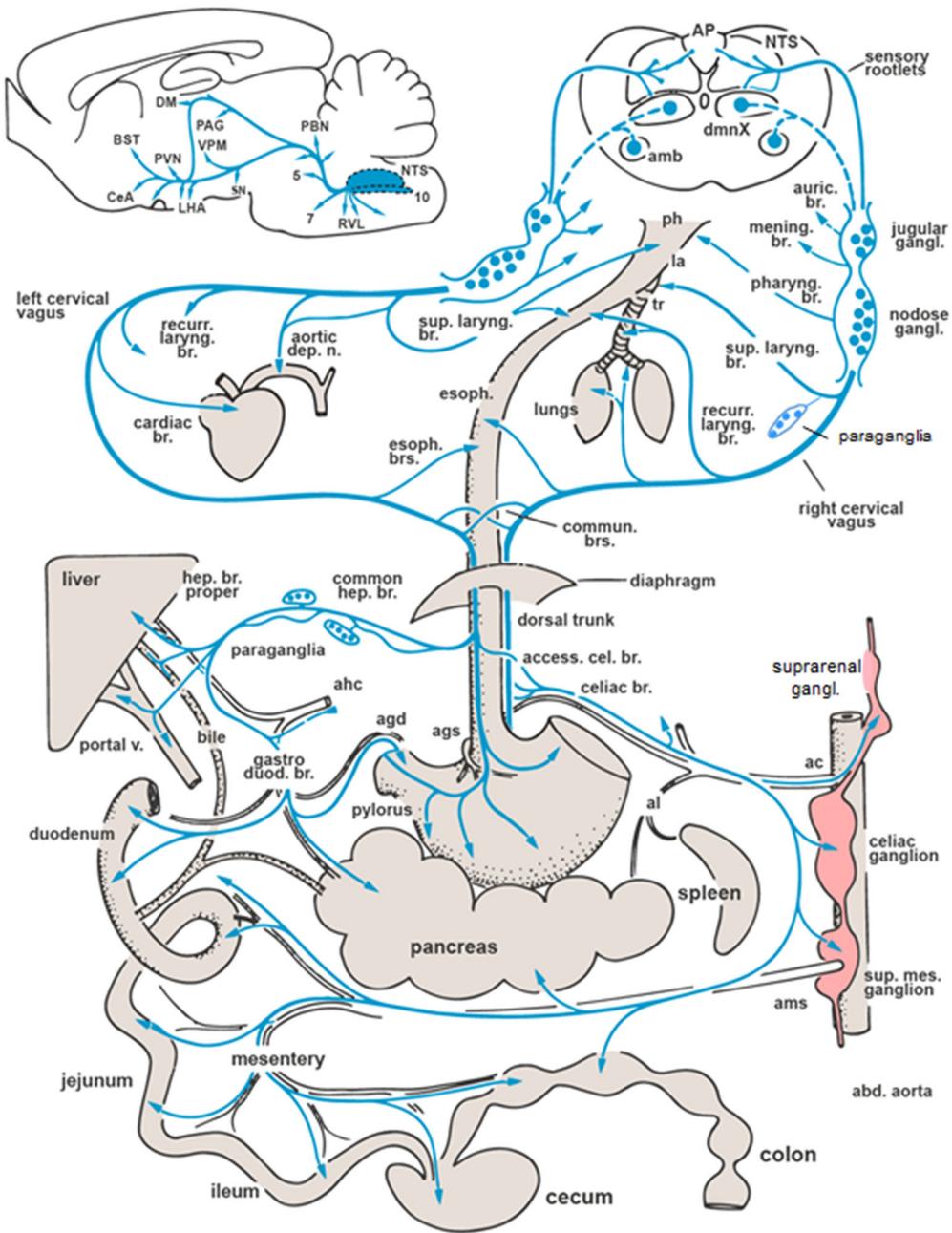
Although acetylcholinesterase immunohistochemistry provided the first hint of vagal innervation of specific organs, tissues, and cells,<sup>10–12</sup> systematic study began with the availability of anterograde and retrograde tracers discovered in the late 1970s and early 1980s. In a first wave of research, retrograde tracers, either classical monosynaptic tracers, such as horseradish peroxidase,<sup>13</sup> or the trans-synaptic retrograde tracer pseudorabies virus,<sup>14</sup> were injected into the organ of choice and labeled cells were identified in vagal and sympathetic neurons. However, since the peripheral injections were often large and leaky, there soon were a fair number of false positive findings.<sup>15,16</sup> Thus, in a second wave of research, anterograde tracers were injected into the vagal motor nucleus in the brainstem<sup>17–19</sup> or into the nodose ganglia,<sup>20,21</sup> and after allowing for axonal transport, the labeled terminals of the respective efferent or afferent neurons were observed in peripheral tissues.

On the basis of such studies in rats with various anterograde tracers, it became clear that the alimentary canal, and specifically the stomach and gastroduodenal junction, is by far the most densely vagally innervated abdominal organ.<sup>19,22</sup> According to classical parasympathetic anatomy, vagal preganglionic fibers originating in the dorsal motor nucleus innervate postganglionic neurons of mainly the myenteric and, to a lesser extent, the submucosal plexus,<sup>18</sup> where they are in a position to powerfully affect gastro-duodenal motor and secretory functions (Fig. 2). Similarly, vagal afferents traced from the nodose ganglia innervate the external muscle layer, myenteric plexus, and the mucosa, where they are in a position to mediate both mechano- and chemosensory information to the brain.<sup>20,22</sup> Both efferent and afferent vagal axons also innervate the entire length of the small and large intestines, although with decreasing density in the colon.<sup>19,23,24</sup> The extent of vagal afferent innervation to the small intestinal mucosa is particularly impressive and suggests comprehensive direct monitoring of mucosal chemistry by

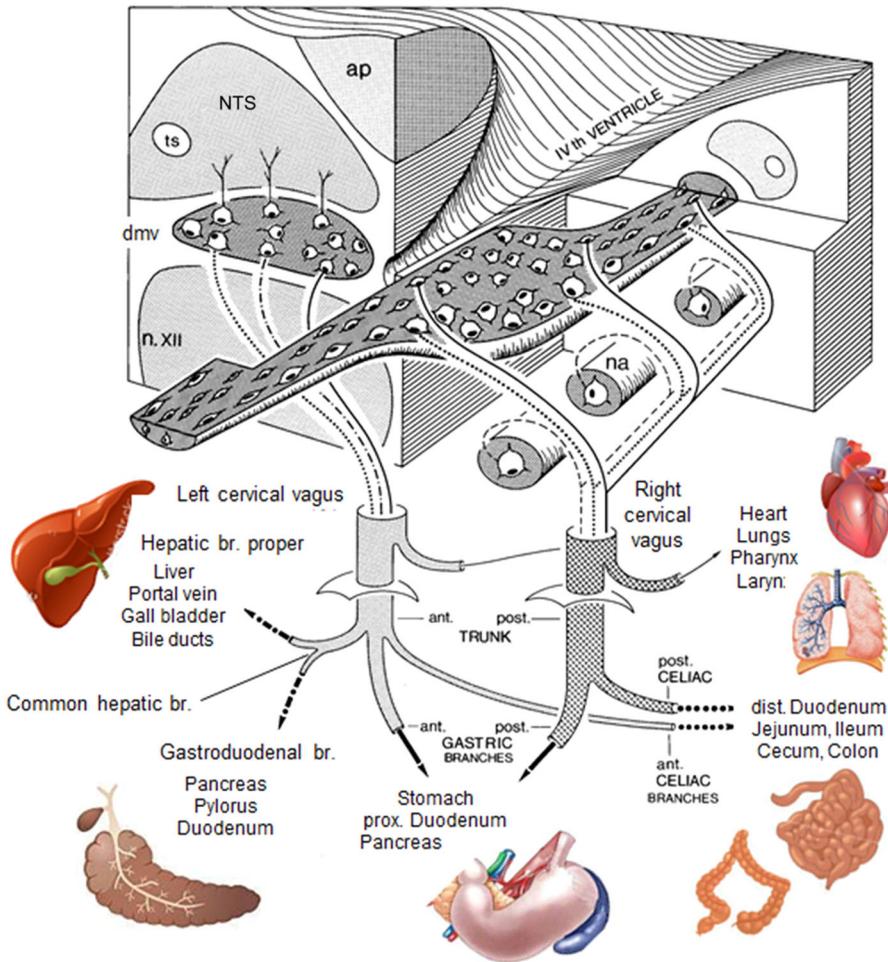
the brain, as the next synapse of these bipolar sensory neurons is located in the nucleus tractus solitarius (NTS) in the brainstem.<sup>25–27</sup> Gastrointestinal vagal afferents are channeled via the NTS to various forebrain structures, for example, the hypothalamus and limbic areas, partly in a lateralized manner. This was exemplified by a recent study demonstrating gut-induced reward via a right-sided nodose ganglion-NTS-parabrachial nuclei pathway to midbrain dopaminergic neurons and the striatum.<sup>28</sup>

In addition to the gastrointestinal tract, the rat pancreas is also innervated by both vagal efferents and afferents (Fig. 3). As in the gastrointestinal tract, labeled preganglionic vagal fibers innervate primarily cholinergic or nitroergic neurons located in small intrapancreatic ganglia,<sup>29,30</sup> in a position to affect both endocrine and exocrine functions. Intrapaneatic ganglia are also found in mouse<sup>31,32</sup> and human pancreas<sup>33,34</sup> (Fig. 4). Intriguingly, vagal afferents focus on pancreatic islets, suggesting a detector function for high local concentrations of pancreatic hormones, in particular insulin.<sup>35</sup> This idea was supported by a more recent study, which provided functional evidence that pancreas-innervating nodose ganglion neurons were activated by insulin.<sup>36</sup> Vagal afferents from pancreatic islets may be relayed via the NTS to hypothalamic nuclei orchestrating feeding behavior, thus supplementing the role of blood-borne insulin.

Vagal innervation of the liver is a subject of great ambiguity. Although there is extant literature on cholinergic effects on liver function, there is no clear demonstration of vagal efferent or afferent innervation of the liver parenchyma in rodents and humans. This is in stark contrast to the quite abundant innervation by tyrosine hydroxylase-positive sympathetic efferent fibers. In the rat, a few cholinergic vagal efferent fibers terminate in the hepatic hilus and in the wall of bile ducts and the portal vein.<sup>37–39</sup> In the absence of neurons within the hepatic parenchyma and hilus, it is not clear where the classical postganglionic neurons for the potential vagal liver innervation are located. In the rat, there are a handful of neurons within paraganglia associated with the common hepatic branch and in a few small ganglia embedded in the periarterial plexus of the celiac and hepatic arteries,<sup>40</sup> but their projections to the hepatic parenchyma have not been



**Figure 2.** Major vagal nerve branches and central projections from the nucleus tractus solitarius (NTS) in the rat, based on anterograde and retrograde tracing experiments. Note that the arrows only indicate the innervated organs and tissues and do not distinguish afferents and efferents. Also note that the spleen is not innervated by the vagus. amb, nucleus ambiguus; AP, area postrema; BST, bed nucleus of the stria terminalis; CeA, central nucleus amygdala; DM, dorsal nucleus hypothalamus; dmNX, dorsal motor nucleus of the vagus; LHA, lateral hypothalamic area; PAG, periaqueductal gray; PBN, parabrachial nucleus; PVN, paraventricular nucleus hypothalamus; RVL, rostral ventrolateral medulla; SN, substantia nigra; agd, gastroduodenal artery; ags, left gastric artery; ahc, hepatic artery proper; al, splenic artery; ams, superior mesenteric artery. (Figure modified after Ref. 16.)



**Figure 3.** Overview of the central representation of functional vagal efferent outflow, based on experiments combining retrograde tracing, electrical stimulation, and specific vagal branch cuts in rats. Note the organotopic longitudinal columnar organization within the dorsal motor nucleus of the vagus, as indicated by solid, dashed, and punctuated lines, respectively. ap, area postrema; dmV, dorsal motor nucleus vagus; na, nucleus ambiguus; n. XII, hypoglossal nucleus; NTS, nucleus tractus solitarius.

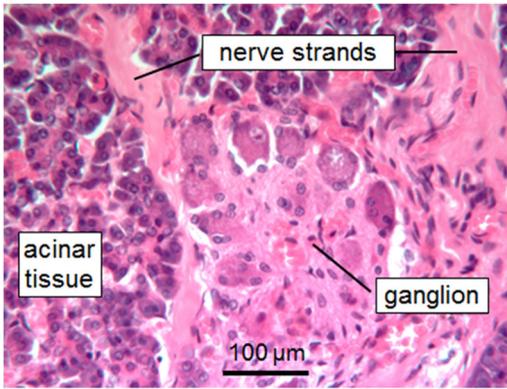
demonstrated. Vagal afferent fibers are distributed to the portal vein and extra- and intrahepatic biliary ducts but not to the liver lobules in the rat.<sup>39</sup>

Despite one report to the contrary,<sup>41</sup> abdominal and subcutaneous white adipose tissues do not seem to be innervated by vagal efferent and afferent axons in the rat. Anterograde tracing from both the motor nucleus and nodose ganglia has never revealed labeled axon terminals in any of the major adipose tissue pads and there is a paucity of markers for vagal efferent innervation, such as VACHT, VIP, and NOS.<sup>42</sup> The most likely explanation for the positive report is tracer leakage from adipose tissue to the gastrointestinal tract.<sup>15,43</sup> There is also no evi-

dence for vagal innervation of brown adipose tissue, as well as the spleen and kidneys.<sup>44,45</sup>

### *The pitfalls of functional mapping with electrical stimulation and selective vagotomies*

Electrical stimulation of the vagus nerve has been an important tool to establish its functional anatomy, but because of its innervation of multiple organs and the temporal characteristics of evoked metabolic responses, it is easy to make erroneous conclusions. Early studies have devised elaborate setups in order to prove direct vagally mediated effects on an organ. To prove that the vagus is able to stimulate insulin



**Figure 4.** Intrapancreatic ganglion in the head of the human pancreas stained with hematoxylin/eosin. Postmortem processed tissue from a body donated to the Institute of Anatomy and Cell Biology, University of Erlangen.

secretion by direct innervation of the pancreas, Bergman and Miller used an experimental dog with a vascularly perfused pancreas and preserved vagal innervation connected to a support dog.<sup>46</sup> Electrical stimulation of the vagus nerve of the experimental dog rapidly stimulated insulin secretion, ruling out mediation by an indirect humoral factor. Unfortunately, many studies since then did not use vascularly perfused organs when studying the effects of electrical vagal stimulation. This may not be a problem if the vagal responses of interest are very fast, such as heart rate, blood pressure, and gastric motility or if the vagus can be selectively stimulated very close to the organ of interest. However, if the vagus cannot be cleanly stimulated near the organ or the responses are slow, such as biochemical changes in the liver,<sup>47</sup> then vascular perfusion is mandatory, as humoral substances released upstream by vagal stimulation (gastrointestinal tract and pancreas) can by themselves be responsible for the observed effects (Fig. 5).

Selective vagotomies are another useful tool for studying the functional anatomy of the vagus nerve, but it is only as good as the specificity in relation to innervating a specific organ or tissue. It should be kept in mind that none of the five abdominal vagal branches (the anterior (ventral) and posterior (dorsal) gastric, the ventral (accessory) and dorsal celiac, and the common hepatic branch) selectively innervate a specific abdominal organ or tissue (Figs. 2 and 3), so the effects of selective abdominal branch vagotomies have to be interpreted with cau-

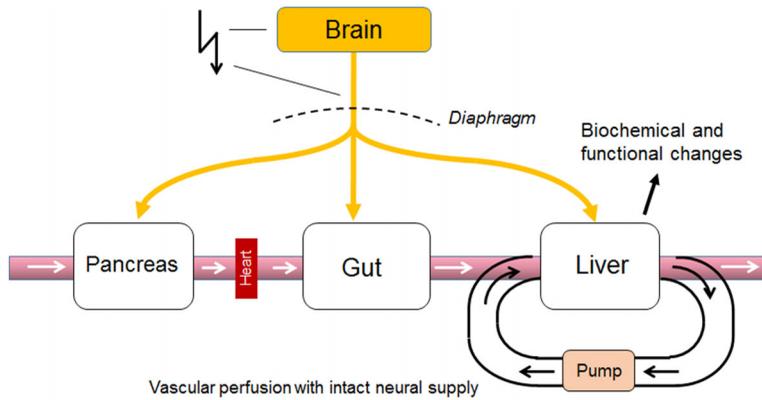
tion. In particular, the common hepatic branch does not only innervate the liver proper, but also innervates bile ducts and the portal vein, as well as parts of the gastric antrum, the pyloric sphincter, the proximal duodenum, and the pancreas through the gastroduodenal branch that splits off the common hepatic branch near the bifurcation of the hepatic and gastroduodenal arteries.<sup>39,48</sup> Therefore, transection of the common hepatic branch affects not only the liver area, but also the gastrointestinal tract and pancreas.<sup>19,49,50</sup> Thus, the conclusions drawn in a great many studies using common hepatic branch vagotomy<sup>51–57</sup> are highly questionable and should be re-evaluated with proper methodology. If specific inferences are to be made regarding vagal efferents or afferents in a given abdominal branch, the burden of proof is even higher, since in none of these studies were the two separated.

In summary, incomplete knowledge of detailed vagal innervation patterns and the pitfalls inherent to both neuronal tracing and electrical stimulation methods have led to erroneous interpretation of data in physiological and pathophysiological metabolic studies. Thus, far-reaching misconceptions should be corrected by careful re-examination.

## Vagal stimulation and blockade for the treatment of obesity and neural diseases

### *Cervical and transcutaneous auricular branch vagal stimulation*

Left cervical vagal electrical stimulation has been approved and used for the treatment of refractory epilepsy since 1997 and treatment-resistant depression since 2005. It has also been reported to cause weight loss,<sup>58,59</sup> increase energy expenditure and BAT thermogenesis,<sup>60</sup> and reduce food craving in adults with depression.<sup>61</sup> Transcutaneous electrical stimulation of the auricular branch of the vagus nerve (also known as Arnold's nerve), a pure cutaneous nerve innervating parts of the external ear, obtained European approval for the treatment of refractory epilepsy and pain more recently (for an extensive recent review, see Ref. 62). In addition, a 12-week randomized pilot clinical trial in patients with impaired glucose tolerance revealed beneficial effects of transcutaneous auricular branch stimulation on fasting glucose, oral glucose tolerance, HbA1c, and systolic blood pressure.<sup>63</sup> Furthermore, direct electrical auricular vagal branch stimulation in diet-induced obese rats for 6 weeks



**Figure 5.** Vascular perfusion with intact neural supply to disconnect a given organ from the systemic circulation as the gold standard for identification of vagal functional anatomy. Electrical stimulation of the vagal trunks (or chemical stimulation in the brain) can release humoral substances upstream (e.g., the pancreas and gut) and, if the organ was not vascularly disconnected, can thus be potentially responsible for the observed functional effects in the observed organ (e.g., the liver), particularly when the responses are slow, such as biochemical changes.

significantly reduced body weight and adiposity without reducing food intake, possibly by increasing BAT thermogenesis.<sup>64</sup>

The auricular branch contains almost exclusively afferent fibers,<sup>65</sup> most of which do not directly project to the NTS, but indirectly through the lateral trigeminal islands.<sup>66,67</sup> The stimulation parameters, which are typically in the range of 10–20 Hz, 0.25–2 mA intensity, and 0.1–0.5 ms pulse width, are optimized to activate mainly vagal afferent fibers. Effective activation of vagal afferents (in the auricular branch) is supported by the measurement of brain activity with fMRI, showing the activation of brain structures previously shown to receive vagal afferent input,<sup>68</sup> such as the left locus coeruleus, thalamus, insula, prefrontal cortex, posterior cingulate gyrus, and the bilateral postcentral gyrus, and deactivation in the right nucleus accumbens and cerebellar hemisphere.<sup>69,70</sup> Thus, left cervical vagal and auricular branch stimulation most likely activate vagal afferents and act on various brain areas to affect behavior as well as reflex autonomic outflow.

### *Vagal blockade for the treatment of obesity and metabolic disease*

As mentioned in the introduction, it is now 40 years since subdiaphragmatic vagotomy was first proposed as a treatment for severe obesity.<sup>2</sup> Another way that has been claimed to block all vagal signaling from and to the abdomen is through stimulation

of the subdiaphragmatic vagal trunks with high-frequency (5 kHz) electrical stimulation. vBloc<sup>®</sup> Therapy has been shown to lower body weight beyond sham stimulation in subjects with obesity. In a randomized, double-blind, sham-controlled multicenter clinical trial with 239 participants with a body mass index of 40–45 (or 35–40 with one or more obesity-related conditions), the intent-to-treat analysis showed that vagal nerve block therapy for 12 months resulted in significantly greater (9.2%) total weight loss compared with the sham group (6.0%).<sup>71,72</sup> Furthermore, patients who continued on open-label vBloc therapy for another 12 months regained very little body weight, so that after 2 years they had 8% weight loss and significant improvements in low-density lipoprotein, HbA1c, and blood pressure.<sup>73</sup>

While these initial data are somewhat encouraging, the underlying mechanisms of vBloc therapy are not well understood. Given the large body of literature demonstrating the capacity of vagal afferents to signal satiety to the brain, it is counterintuitive that blockade of these vagal afferent signals should result in decreased food intake and body weight loss. Modeling has shown that complete conduction block in the abdominal vagus nerves is unlikely with current stimulation parameters,<sup>74</sup> suggesting that some vagal afferents may be excited rather than blocked. Additional modeling with different stimulation parameters and electrode configurations will be necessary to determine how it works. It also

remains to be seen whether chronic cuff electrodes continue to work and do not cause nerve damage. Studies in a rat model suggest that chronic cuffing of the cervical vagus inhibits efferent fiber integrity.<sup>75</sup>

### *Stimulation of gastric vagal nerve branches (gastric pacing)*

Several electrical stimulation devices that target gastric vagal branches in order to reduce appetite, body weight, and improve glucose handling have recently been put on the market (see Ref. 76 for a recent review). The Transcend<sup>®</sup> implantable gastric stimulator, placed near the lesser curvature, although showing some promise in early investigations in European centers, was unable to produce significant weight loss in a 12-month randomized controlled clinical trial (SHAPE) conducted in the United States.<sup>77</sup> Smart devices, using interactive sensor technology and programmable stimulators, are also currently being evaluated, but without adequate controls for electrical stimulation of vagal branches, their efficacy is unclear.<sup>78,79</sup> Although studies in rats using similar electrical stimulation of gastric vagal branches have identified potential mediating mechanisms in the hypothalamus,<sup>80,81</sup> there are no mechanistic studies aimed to understand the role of function-specific vagal afferents and efferents in the effects on appetite, body weight, and glycemic control. Given the mixed nature of nerve fascicles on the gastric surface, involvement of sympathetic and dorsal root afferents in the observed effects cannot be excluded.

Currently, the rationale for both vagal stimulation and blocking strategies rests on incomplete acknowledgment of vagal efferent and afferent anatomy and its intertwining with sympathetic and spinal afferent neurons at peripheral levels. This may also explain contradictory results of clinical studies.

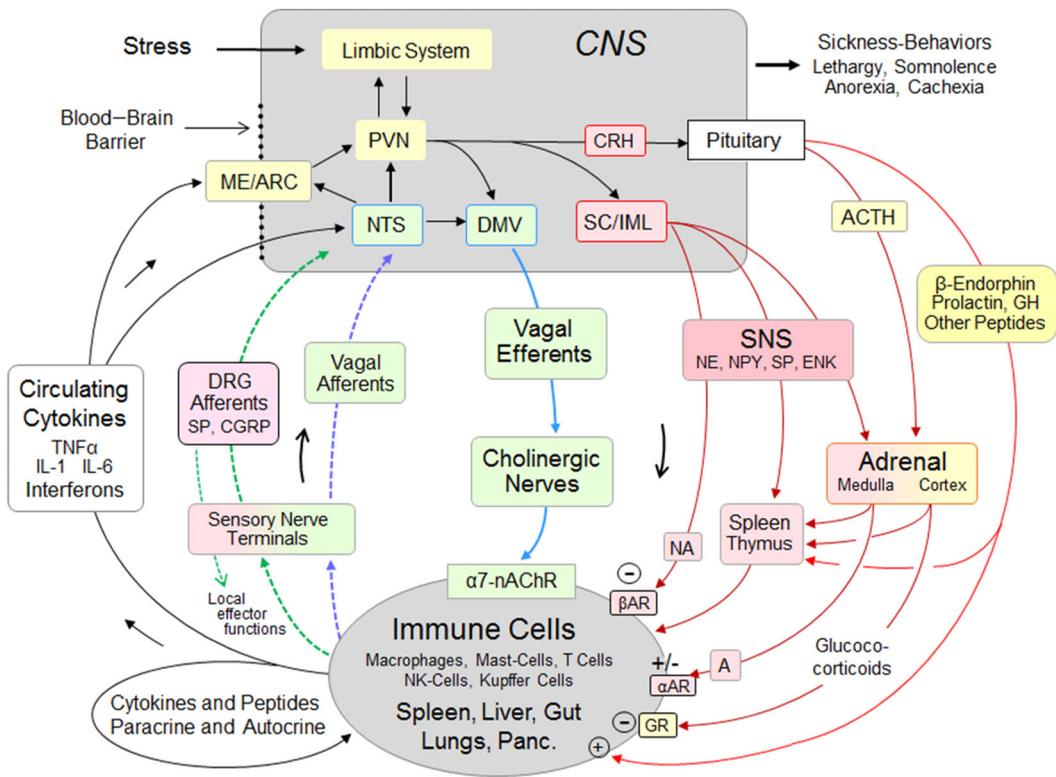
## **The vagus nerve, inflammation, and cancer**

### *The cholinergic, vagal anti-inflammatory pathway*

Interactions between the nervous and immune systems have long been studied, but their potential involvement in metabolic disease progression is relatively novel. It has been proposed that an ancient paraventricular neural system enabling protection and defense through somatic reflexes in lower vertebrates evolved into a much more elaborate system

in modern vertebrates, engaging both arms of the autonomic nervous system and the neuroendocrine axis, and providing protection not only from external but also internal insults to homeostasis.<sup>82</sup> A sensory arm of this system informs the brain about the state of peripheral immune cells, such as macrophages, mast cells, T cells, and Kupffer cells in the spleen, liver, gut, lungs, and pancreas, through either the circulation or primary afferents of dorsal root ganglia (DRG) and vagal origin (Fig. 6). Close anatomical appositions between mast cells and vagal afferent terminals in the intestinal mucosa<sup>83</sup> and similar structures in the airways<sup>84</sup> suggest vagal pathways by which immune-relevant signals from the environment are transmitted to the brain.

In the brain, a key neural network, including the brainstem, hypothalamus, and limbic system, acts in concert with local microglia and astrocytes to organize appropriate behavioral, autonomic, and neuroendocrine actions.<sup>85,86</sup> The effector arm of the system is complex, with checks and balances involving the sympathetic nervous system, vagus nerve, and neuroendocrine systems. The vagal cholinergic anti-inflammatory component of the effector system was first demonstrated in a rat sepsis model, when direct bilateral electrical stimulation of the peripheral end of the cervical vagi inhibited hepatic TNF- $\alpha$  synthesis and attenuated peak serum TNF- $\alpha$  levels.<sup>87</sup> The  $\alpha 7$  nicotinic acetylcholine receptor on peripheral immune cells was subsequently identified as an essential modulator of inflammation,<sup>88</sup> and the splenic nerve as the mediator of the cholinergic vagal anti-inflammatory reflex.<sup>89–91</sup> However, because the vagus nerve does not directly innervate the spleen, it was later suggested that vagal input to the celiac ganglia<sup>92,93</sup> may drive adrenergic sympathetic postganglionic fibers projecting from the celiac ganglia to the spleen that stimulate acetylcholine release from splenocytes. However, a re-examination was unable to support these hypotheses.<sup>94,95</sup> In parallel studies on gastrointestinal tract inflammation, vagal stimulation was also shown to ameliorate surgery-induced inflammation and postoperative ileus via the  $\alpha 7$  nAChR and Jak2-STAT3 activation of resident intestinal muscularis macrophages,<sup>96</sup> independent of the spleen.<sup>97</sup> The extent to which cholinergic vagal efferent nerve terminals in the gastrointestinal tract and pancreas directly contact macrophages or indirectly



**Figure 6.** Role of the vagal and sympathetic systems in reciprocal immune-to-brain communication and neuroimmunomodulation. Besides their role as afferents to the central nervous system, peptidergic dorsal root ganglia (DRG) neurons can influence immune cells via their so-called local effector function. DMV, dorsal motor nucleus vagus; PVN, paraventricular nucleus hypothalamus; ME/ARC, median eminence/arcuate nucleus; SC/IML, spinal cord/intermediolateral column; A, adrenaline; NA, noradrenaline;  $\alpha$ AR, alpha-adrenergic receptor;  $\beta$ AR, beta-adrenergic receptor; ACTH, adrenocorticotropic hormone; CRH, corticotrophin-releasing hormone;  $\alpha$ 7-nAChR, alpha-7 nicotinic acetylcholine receptor; ENK, enkephalin; CGRP, calcitonin gene-related peptide; NPY, neuropeptide Y; SP, substance P; GR, glucocorticoid receptor.

via cholinergic enteric (postganglionic) neurons remains to be more carefully investigated. Besides vagal outflow, the sympathetic nervous system is also critically involved in modulating peripheral inflammatory processes.<sup>85,95,98,99</sup> C1 neurons in the medullary ventrolateral medulla appear to be a hub relaying information about various stressors to both vagal and, even more importantly, sympathetic pre-ganglionic neurons.<sup>85</sup>

The concept of a vagal anti-inflammatory pathway has also encouraged trials with vagal stimulation in inflammatory diseases of organs far away from vagal innervation, and vagus nerve stimulation was successfully used in patients with rheumatoid arthritis.<sup>100</sup> This may seem surprising, as joints typically affected by arthritis are definitely not vagally innervated. It is important to realize that the left cervical stimulator used in this study likely

stimulates vagal afferents, as mentioned above, and modulates sympathetic and neuroendocrine reflex activity. To this end, experiments performed in the late 1990s with bradykinin-induced plasma extravasation in the rat knee joint as an arthritis model provided a hypothetical framework for these therapeutic effects. It was shown that subdiaphragmatic vagal afferents from the intestine were part of a complex neuroendocrine network involving the adrenal medulla that inhibited synovial plasma extravasation (for a review, see Ref. 101). These studies highlight the complex relationship between the vagus and inflammation.

**The vagus nerve and cancer progression**

A role for the vagus nerve in cancer progression has also been suggested. The old notion of a variety of cancers spreading by invasion of

peripheral nerves, which heralds poor outcome, has increasingly stimulated research activity in recent years.<sup>102</sup> Basic to this phenomenon are interactions between malignant cells and nerve fibers and their sheaths, involving various neurotrophins and their receptors.<sup>102,103</sup> In particular, the role of the vagus in modulating malignant disease was studied in gastric and pancreatic carcinomas, both in mouse models and patients. Subdiaphragmatic vagotomy was protective in gastric carcinoma,<sup>104,105</sup> but tumor promoting in pancreatic<sup>106,107</sup> carcinoma. In an experimental tour de force, these authors aimed at deciphering the molecular signaling mechanisms. It turned out that cholinergic signals in the stomach, supposedly elicited by vagal activation of enteric cholinergic neurons, acted via m3 muscarinic receptors through the Wnt/Hippo pathway, favoring tumor induction and growth.<sup>105</sup> In the pancreas, vagally induced acetylcholine release activated the EGFR/MAPK pathway through m1 muscarinic receptors, suppressing tumorigenesis.<sup>107</sup> Thus, vagotomy is thought to release a brake, thus allowing for sympathetic adrenergic signaling, which is tumor promoting in this organ.<sup>107,108</sup> Perhaps equally important, vagotomy abrogates the vagal anti-inflammatory effect, resulting in increased TNF- $\alpha$  levels that favor inflammation and tumor growth in the pancreas.<sup>106</sup>

However, things are probably not that simple, given the complexity of gastric and pancreatic innervation by vagal efferents and afferents that are simultaneously cut by vagotomy, in addition to sympathetic efferents and spinal DRG afferents, the latter playing a proinflammatory and tumor-promoting role in the pancreas.<sup>109</sup> Furthermore, important neuron populations, for example, VIPergic and nitroergic, were not yet considered in these paradigms. They account for roughly one-third of the gastric myenteric plexus in the guinea pig,<sup>110</sup> are prominent also in pancreatic ganglia of rat and humans,<sup>30,33,111</sup> and are targeted by vagal preganglionics in rats.<sup>30,112,113</sup>

Although a number of preclinical and clinical studies have hinted at significant vagal modulation of inflammation and gastrointestinal cancers, the mechanisms are far from clear, as are the relative contribution of vagal and sympathetic efferents and afferents innervating specific organs and tissues. Again, incomplete knowledge and even igno-

rance of vagal innervation patterns have resulted in misconceptions.

### Genetically guided functional mapping and selective vagal manipulation

As discussed in the preceding sections, one of the biggest obstacles for developing effective vagal (as well as sympathetic and other peripheral nerves) neuromodulation therapies is the inability to selectively target function-specific fibers. This leads inevitably to costimulation (or silencing) of fibers with other functions and, thus, unwanted side effects. Designing finer and better electrodes and smarter stimulation algorithms may still yield improved specificity in the future. A promising alternative approach, however, is genetically guided manipulation of a select pool of nerve fibers. Most of these studies have been carried out in the mouse, given the ease of its genetic manipulation. For example, since the melanocortin receptor-4 (MC4R) is expressed in vagal efferents and afferents,<sup>114</sup> a mouse was generated that expresses green fluorescent protein (GFP) under the control of the *Mc4r* promoter.<sup>115</sup> Without any histochemical processing, GFP-labeled vagal fibers could then be identified in abdominal organs. Another study used pancreatic injections of a custom retrograde tracer, pseudorabies virus, capable of Cre-conditional replication (PRV-Ba2001-GFP) in mice with nuclear Cre-expression specifically restricted to pancreatic  $\beta$  cells when induced by tamoxifen (MIP-Cre<sup>ERT</sup> mice).<sup>116</sup> This allowed identification of the vagal and sympathetic efferent outflow selectively to pancreatic  $\beta$  cells, potentially enabling their selective stimulation or silencing. In a third study, specific populations of vagal afferents were targeted by injecting a Cre-inducible adeno-associated virus expressing the strong red fluorophore tdTomato (AAV-flex-tdTomato) into the nodose ganglia of mice with Cre expressed under the promoter of either the glucagon-like peptide-1 receptor (*Glp1r-ires-Cre* mice) or the G protein-coupled receptor 65 (*Gpr65-ires-Cre* mice).<sup>117</sup> This selectively highlighted specific vagal afferents either innervating exclusively the stomach tension receptors (IGLEs) or proximal intestinal mucosal receptors, likely sensing the luminal environment.<sup>117</sup> Importantly, shining blue light on the vagal trunks in mice with inducible channel rhodopsin expression

(*Gpr65-ires-Cre; lox-ChR2* mice) resulted in the rapid and complete suppression of gastric motility, suggesting that a sensory-motor vagal loop with a relay in the brainstem was activated that normally suppresses gastric motility upon arrival of nutrients in the proximal duodenum. Collectively, these studies provide proof-of-concept for genetics-based selective manipulation of pools of function-specific vagal efferents or afferents. Besides light-sensitive channel rhodopsin, channels sensitive to designer drugs (DREADDs), heat, magnetism, or radio waves have also been successfully engineered into cells, with the promise of remotely controlling specific cell functions in the fight against metabolic diseases.<sup>118,119</sup>

In summary, recent studies in genetically engineered mice have advanced our understanding of vagal, and in particular afferent, functional anatomy. This will hopefully contribute to designing more specific stimulation strategies aimed at treating metabolic disorders.

## Conclusions

Given its distribution pattern and functionality, the vagus nerve is in a strong position to influence physiological processes responsible for the control of food intake and regulation of body weight. This has spurred the development of numerous neuro-modulation techniques and devices to manipulate vagal nerve function as therapies to treat obesity and its comorbid conditions, such as T2DM, cardiovascular disease, hepatosteatosis, inflammation, and cancer. However, there is a clear disconnect between the mechanistic rationale for such devices and the known functional anatomy of the vagus nerve, which leaves their underlying mechanisms completely unanswered. The specificity of what exactly is manipulated and is, in fact, responsible for specific beneficial effects should be the focus of future preclinical and clinical studies for the field to move ahead.

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## Competing interests

The authors declare no competing interests.

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