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Identification and characterization of circular RNAs as novel putative biomarkers to predict anti-PD-1 monotherapy response in metastatic melanoma patients – Knowledge from two independent international studies ^{\$\phi\$}



Jian-Guo Zhou^{a,b,c,d,1,2}, Rui Liang^{e,1}, Hai-Tao Wang^f, Su-Han Jin^g, Wei Hu^a, Benjamin Frey^{b,c,d}, Rainer Fietkau^{c,d}, Markus Hecht^h, Hu Ma^{a,2,**}, Udo S. Gaipl^{b,c,d,2,*}

^a Department of Oncology, The second affiliated Hospital of Zunyi Medical University, Zunyi, China

^b Translational Radiobiology, Department of Radiation Oncology, Universitätsklinikum Erlangen, Erlangen, Germany

^c Department of Radiation Oncology, Universitätsklinikum Erlangen, Erlangen, Germany

^d Comprehensive Cancer Center Erlangen-EMN, Erlangen, Germany

^e Biomedical Engineering College of Bioengineering, Chongqing University, Chongqing, China

^f Thoracic Surgery Branch, National Cancer Institute, National Institutes of Health, Bethesda, Maryland, USA

g Department of Orthodontic, School of Stomatology, Zunyi Medical University, Zunyi, China

^h Department of Radiotherapy and Radiation Oncology, Saarland University Medical Center, Homburg, Germany

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ABSTRACT

Melanoma is the most aggressive skin malignancy with high morbidity. Anti-programmed cell death protein 1 (PD-1) monotherapy has been applied in metastatic melanoma. However, still most of the patients do not respond to anti-PD-1 and the availability of the present approved biomarkers therefore is limited. Here we combined the transcriptomic and clinical data of 163 advanced melanoma patients receiving anti-PD-1 from NIH Melanoma Genome Sequencing Project (phs000452, 122 patients) as the training and internal validation cohort, and Melanoma Institute Australia cohort (PRJEB23709, 41 patients) as the external validation cohort, respectively. Circular RNAs (circRNAs) are an evolutionarily conserved novel class of noncoding endogenous RNAs (ncRNAs) found in the eukaryotic transcriptome and were used based on RNAseq data for our analyses. 74,243 circular RNAs (circRNAs) were identified with NCLscan and CIRCexplorer2. Thereof, 70 circRNAs significantly associated with progressionfree survival and overall survival. Further, a prognostic circRNAs signature consisting of HSA_CIRCpedia_1497, HSA_CIRCpedia_12559, HSA_CIRCpedia_43640, HSA_CIRCpedia_43070, and HSA_CIRCpedia_21660 could be determined with LASSO regression. This signature was a prognostic factor of overall survival and progression-free survival among the analyzed advanced melanoma patients. The concordance indexes (C-index of OS_{training}: 0.61, C-index of PFS_{training}: 0.68) also confirmed its credibility and accuracy. First enrichment analysis indicated that immune response and pathways related to tumor immune microenvironment were enriched. In conclusion, we succeeded to construct and validate novel prognostic circRNAs signature for advanced melanoma patients treated with anti-PD-1 immunotherapy.

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Abbreviations: PD-1, Programmed Cell Death Protein 1; ICI, Immune checkpoint inhibitor; ncRNA, noncodingendogenous RNA; circRNA, circular RNA; mRNA, messenger RNA; EMBL, European Molecular Biology Laboratory; EBI, European Bioinformatics Institute; PFS, Progression-Free Survival; OS, Overall Survival; C-index, Concordance Index; GO, Gene Ontology; GSEA, Gene Set Enrichment Analysis; LASSO, Least Absolute Shrinkage and Selection Operator; NR, Not Reach; HR, Hazard Ratio; CI, Confidence Interval.

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^{*} Corresponding author at: Department of Radiation Oncology, Universitätsklinikum Erlangen, Friedrich-Alexander-Universität Erlangen-Nürnberg (FAU), Universitätsstraße 27, 91054 Erlangen, Germany.

^{**} Corresponding author at: Intersection of Xinlong And Xinpu Avenue, 563000, Zunyi, China

E-mail addresses: mahuab@163.com (H. Ma), udo.gaipl@uk-erlangen.de (U.S. Gaipl).

¹ JGZ and RL contributed equally as first authors.

² JGZ, HM and USG contributed equally as senior authors.



Fig. 1. Flow chart.

Introduction

Immune checkpoint inhibitors (ICIs) have achieved a good extension of survival in some cancer patients in a wide array of cancer types, including metastatic melanoma [1–3], advanced colorectal cancer [4,5], and advanced non-small-cell lung cancer [6,7]. But, still the minority of tumor patients with these entities respond to immune checkpoint inhibitors [8]. Nowadays, many tumor-intrinsic and tumor-extrinsic biomarkers for melanoma response and resistance to ICIs have been proposed [9,10]. However, the clinical response rate did not extensively improve [11]. In general, identification and validation of biomarkers for predicting clinical response and prognosis is needed for patients with metastatic melanoma.

Circular RNAs (circRNAs) are an evolutionarily conserved novel class of noncoding endogenous RNAs (ncRNAs) found in the eukaryotic transcriptome, originally believed to be aberrant RNA splicing by-products with decreased functionality [12]. CircRNAs are unusually stable RNA molecules with cell type- or developmental stage-specific expression patterns [13,14]. Compared to the linear RNA, circRNAs are produced differentially by back-splicing exons or lariat introns from a pre-messenger RNA (mRNA) forming a covalently closed-loop structure missing 3' poly-(A) tail or 5' cap, rendering them immune to exonuclease-mediated degradation [15,16]. CircRNAs could be dysregulated in cancer, resulting in distinct circRNA expression profiles in tumor tissues [17,18], which makes it possible to consider circRNAs as biomarkers. To the best of our knowledge, the ability of circRNA-based signatures as novel prognostic biomarkers for melanoma has not yet been comprehensively investigated.

Here, we used phs000452 [19] as the training and internal validation cohorts and PRJEB23709 [20] as the external validation cohort, respectively, to explore the ability of circRNAs to predict the clinical response and prognosis in patients with metastatic melanoma (Fig. 1). We aimed to identify and validate a circRNA-based signature as clinical benefit predictor for advanced melanoma patients treated with anti-PD-1 monotherapy.

Material and methods

Data acquisition

Raw sequencing data of NIH Melanoma Genome Sequencing Project (phs000452 [19]) and Melanoma Institute Australia cohort (PRJEB23709 [20]) were obtained from in dbGaP (accession number phs000452.v.3.p1) and European Molecular Biology Laboratory-European Bioinformatics Institute (EMBL-EBI) [21] (accession number PRJEB23709), respectively. Only pretreatment bulk RNAseq data of metastatic melanoma patients who received anti-PD-1 monotherapy (nivolumab or pembrolizumab) were included in our study.

Alignment

Raw RNA-sequencing reads were aligned to the reference genome (UCSC hg38 with annotations from GRCh38.p13) using STAR [22] (v.2.5.3a), and gene expression was subsequently quantified using *featureCounts* function of *Subread* package [23] (v.2.0.1), as described previously [24].

CircRNA annotation

Since circRNA is less studied than other noncoding RNAs [25], we annotated it with two annotation methods to ensure the accuracy and repeatability of annotation results. NCLscan utilized a stepwise alignment strategy to almost completely eliminate false calls without sacrificing true positives, enabling it outperforms other publicly-available tools [26]. CIRCexplorer2 systematically annotated different types of alternative back-splicing and alternative splicing events in circRNAs [27]. In each dataset, we annotated circRNA with two pipelines (NCLscan and CIRCexplorer2), and then selected the intersection circRNAs to obtain the corresponding data matrix via *initCircRNAprofiler* function of *circRNAprofiler* package [28] (v.1.9.2). The finial circRNA matrix was quantified based on NCLscan.

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Table 1

Characteristics of patients in the training and validation cohorts

	Training cohort (phs000452, N=86)	Internal validation cohort (phs000452, N=36)	External validation cohort (PRJEB23709, N=41
Male	54	17	26
Age (years)			65
Treatment			
Pembrolizumab	48	23	32
Nivolumab	38	13	9
Responses			
Complete response	11	5	4
Partial response	22	10	15
Mixed response	1	1	0
Stable disease	13	3	6
Progressive disease	39	17	16
Survival time (days)			
Progression-free survival	378	332	511
Overall survival	576	559	680
Status			
Alive	43	17	17
Dead	43	19	24

Data are shown as number or average.

Identification of the prognostic circRNAs

All annotated circRNAs of two datasets were intersected to obtain the overlapping circRNAs. Then, we performed survival analysis to determine the relationship between these filtered circRNAs and progression-free survival (PFS) and overall survival (OS). OS was defined as the time between first application of PD-1 blockade and date of death (any cause). For subjects without documentation of death, OS was censored on the last date the subject was known to be alive. PFS was defined as the time between first application of PD-1 blockade and date of documented disease progression. For subjects without documentation of progression, PFS was censored on the last date the subject was known to be without progression. Only these circRNAs with statistical differences (P < 0.2) in both PFS and OS were considered as prognostic circRNAs.

Identification of the prognostic circRNAs signature

Patients in phs000452 were randomly divided into 7:3 as the training cohort and the internal validation cohort, respectively. Patients in PRJEB23709 were considered as the external validation cohort. We conducted logistic LASSO regression, a shrinkage technique that can select a more relevant and interpretable set of predictors [29], to establish prognostic circRNAs signature. We used the *glmnet* package (v.2.2.1) to complete the logistic LASSO regression. All circRNAs in the identifiable signature were annotated in CIRCpedia (v.2) [30].

Validation of the prognostic circRNAs signature

After identification of prognostic circRNAs signature, we used the established signature to divide the patients in both the training cohort and validation cohort into high and low-risk groups through a median [31] identified by *survivalROC* package [32], and then analyzed the OS. Moreover, we also analyzed the PFS. Finally, the concordance index (C-index) was calculated by *cindex* function in *pec* package (v. 2021.10.11), as described previously [33].

Functional analysis

To evaluate the potential biological functions of the prognostic circR-NAs signature, we conducted Gene Ontology (GO) and gene set enrichment analysis (GSEA) in phs000452, as described previously [34,35].

Quantification of immune microenvironment

Finally, the abundances of diverse immune and stromal components were quantified using the *IOBR* package (v.0.99.9), which is perform

comprehensive analysis of tumor microenvironment and signatures for immuno-oncology [36]. We used *xCell* [37] algorithm in *IOBR* package to calculate the scores of infiltrating cells of each sample in phs000452.

Results

Patient characteristics

We included 122 and 41 patients treated with anti-PD-1 immunotherapy from phs000452 and PRJEB23709, respectively, in our present analyses. After random grouping, there were 74 patients in the training cohort and 48 patients in the internal validation cohort. The characteristics of patients are shown in Table 1. Clinical responses were defined as complete response, partial response, mixed response, stable disease, and progressive disease according to Response Evaluation Criteria in Solid Tumors (RECIST, v.1.1) [38]. Patients were classified as mixed responders when achieving unequivocal responses in individual existing lesions but also progression in others or new lesions [19].

Identification of the prognostic circRNAs

Through NCLscan and CIRCexplorer2, we annotated 74,243 circR-NAs (Table S1). Then according to the initial survival analysis, we obtained 392 OS related circRNAs and 408 PFS related circRNAs in the phs000452 cohort, 840 OS-related circRNAs and 1423 PFS-related circRNAs in the PRJEB23709 cohort (Table S2). After taking the intersection of the results of the training cohort and training cohorts, we obtained 70 prognostic circRNAs (Fig. S1).

Identification and Validation of the prognostic circRNAs signature

Through the logistic LASSO regression model, we shrank from 70 prognostic circRNAs to five prognostic circRNAs (HSA_CIRCpedia_1497, HSA_CIRCpedia_12559, HSA_CIRCpedia_43640, HSA_CIRCpedia_43070, and HSA_CIRCpedia_21660) (details are shown in Table 2) to fit a prognostic circRNAs signature.

The median of score as optimal cutoff value that could be used for the prognostic circRNAs signature to stratify these patients into the high or low-risk group in the training cohort (Fig. 2A) was -0.107. The 74 patients of the high-risk group (n = 38) had a median OS of 14.2 months (9.96~not reach (NR)) and the low-risk group (n = 36) of NR(31.82~NR). Compared to the high-risk group, thelow risk group significantly exhibited prolonged OS (hazard ratio (HR) = 0.404, 95% confidence interval (CI) = 0.199~0.821, P = 0.012, Fig. 2B). All of the 48 patients in the internal validation cohort were segregated into the



Fig. 2. Characteristics of the prognostic circRNAs signature and Kaplan-Meier estimates for OS in the training and validation cohorts. A. Characteristics of the prognostic signature in the training cohort. top: the risk score of each patient in the training cohort; middle: OS and survival status of patients in the training cohort; bottom: heat map of gene expression profiles of patients in the training cohort. B. OS in the training cohort. top: the risk score of each patient in the internal validation cohort. top: the risk score of each patients in the training cohort; bottom: heat map of gene expression profiles of patients of the prognostic signature in the internal validation cohort; middle: OS and survival status of patients in the internal validation cohort; bottom: heat map of gene expression profiles of patients in the internal validation cohort; bottom: heat map of gene expression profiles of patients in the internal validation cohort; bottom: heat map of gene expression profiles of patients in the internal validation cohort; bottom: heat map of gene expression profiles of patients in the internal validation cohort; bottom: heat map of gene expression profiles of patients in the internal validation cohort. D. OS in the internal validation cohort top: the risk score of each patient in the external validation cohort; middle: OS and survival status of patients in the external validation cohort; bottom: heat map of gene expression profiles of patients in the external validation cohort; bottom: heat map of gene expression profiles of patients in the external validation cohort; bottom: heat map of gene expression profiles of patients in the external validation cohort; bottom: heat map of gene expression profiles of patients in the external validation cohort; bottom: heat map of gene expression profiles of patients in the external validation cohort; bottom: heat map of gene expression profiles of patients in the external validation cohort; bottom: heat map of gene expression profiles of patients in the exte

Table 2

Details of 5 prognostic circRNAs

circID	Gene	Isoform	Location	Strand	Coefficient
HSA_CIRCpedia_1497	KIF5B	ENST00000302418.4	chr10:32021287-32019858	-	-0.04814655
HSA_CIRCpedia_12559	MNAT1	NM_002431	Chr14:60796217-60818847	+	-0.02206798
HSA_CIRCpedia_43640	RYK	ENST00000460933.5	chr3:134195182-134175609	-	0.04626255
HSA_CIRCpedia_43070	TIMMDC1	NM_016589	chr3:119500695-119517315	+	0.14014806
HSA_CIRCpedia_21660	VMP1	ENST00000262291.8	Chr17:59731421-59773885	+	0.10766172

high-risk group (n = 24) and the low-risk group (n = 24) (Fig. 2C). The low-risk group displayed a median OS of 22.5 month (17.13~NR), and the high-risk group a median OS of 14.6 month (8.84~NR). There was a statistically significant difference between low-risk and high-risk groups (HR = 0.790, 95% CI = 0.362~1.722, P = 0.553, Fig. 2D). In the external validation cohort, the median OS was NR (19.96~NR) in low-risk cases (n=20), 8.48 (3.25~NR) months in high-risk cases (n = 21) (Fig. 2E). The low-risk group exhibited significantly longer OS than the high-risk group (HR = 0.401, 95% CI = 0.171~0.941, P = 0.036, Fig. 2F). In the training cohort, the prognostic circRNAs signature reached C-index value of 0.683 for OS. In the internal validation cohort, the prognostic circRNAs signature reached C-index value of 0.577 for OS. The external validation cohort was characterized by C-index value of 0.610 for OS.

As for PFS, the low-risk group in the training cohort exhibited significantly better PFS than the high-risk group (HR = 0.404, 95% CI = 0.199~0.821, P = 0.012, Fig. 3A&B). The low-risk group in the internal validation cohort exhibited significantly better PFS than the high-risk group with no statistical difference (HR = 0.790, 95% CI = 0.362~1.722, P = 0.553, Fig. 3C&D). The high-risk group in the external validation cohort exhibited significantly worse PFS than the low-risk group with no statistical difference (HR = 0.550, 95% CI = 0.291~1.039, P = 0.066, Fig. 3E&F). As for PFS, the training, internal validation, and external validation cohorts were characterized by C-index values of 0.683, 0.577, and 0.603, respectively.

Functional analysis

We found that down-regulated genes in phs000452 were enriched in G protein coupled receptor signaling pathway, nervous system process, and various stimuli and senses (Fig. 4A), whereas central nervous system and brain development were activated in the up-regulated genes (Fig. 4B). GSEA indicated some well-known pathways, such as FGFR3 ligand, IFNA signaling, and TRAF6 mediated IRF7 were enriched in the down-regulated genes (Fig. 4C), and IL-37 signaling, KIT signaling, NK cell pathway, and NOTCH signaling were enriched in the up-regulated genes (Fig. 4D).

Quantification of immune microenvironment

We finally compared the abundances of the immune cells between the high- and low-risk groups in phs000452. The abundances of B cells, CD4⁺ naïve T cells, CD8⁺ T cells, $\gamma\delta$ T cells, and platelets were lower in the low-risk group than in the high-risk group (P = 0.034, 0.007, 0.029, 0.026, and 0.008, respectively, Fig. 5A~E). While the abundance of endothelial cells was higher in the low-risk group than in the high-risk group (P = 0.045, Fig. 5F).

Discussion

RNA-sequence-based prognostic signatures could provide good prognostic or diagnostic value for the disease [31,39,40]. Our previous study demonstrated that lncRNAs signature is a novel effective predictor for prognosis in advanced melanoma patients treated with anti-PD-1 monotherapy [24], however, the prognostic role of circRNA in these special population is unknown. Here, we combined two mainstream circRNA annotation methods (NCLscan [26] and CIRCexplorer2 [27]) to annotate up to 74,243 circRNAs, and identified 70 survival related circRNAs after survival analysis of OS and PFS in two different datasets (phs000452 [19] and PRJEB23709 [20]). Then, we identified the prognostic circRNAs signature composed of five prognostic circRNAs (HSA_CIRCpedia_1497, HSA_CIRCpedia_12559, HSA_CIRCpedia_43640, HSA_CIRCpedia_43070, and HSA_CIRCpedia_21660) through LASSO regression in training cohort and validated at independent external cohort. The final functional enrichment analysis also showed that they were related to nervous system process and currently known pathways such as G protein coupled receptor signaling, FGFR3 ligand, IFNA signaling, TRAF6 mediated IRF7, IL-37 signaling, KIT signaling, NK cell pathway, and NOTCH signaling.

Previous studies have confirmed the important role of circRNAs in the occurrence and development of advanced melanoma. For instance circ-GLI1 promotes melanoma metastasis through interacting with p70S6K2 to activate Hedgehog/GLI1 and Wnt/ β -catenin pathways and upregulate Cyr61 [41], circ_0020710 drives melanoma progression and immune evasion by regulating the miR-370-3p/CXCL12 axis [42]. To our best knowledge, this study identified the first cirRNA signature to predict survival benefit for advanced melanoma patients treated with immunotherapy. None of these circRNAs have been reported before. Moreover, since we only included the patients treated with anti-PD-1 monotherapy, our reported prognostic circRNAs signature can not only sort out population with different survival benefits, but also serve as biomarkers for immunotherapy.

We grouped all advanced melanoma patients from phs000452 and PRJEB23709 into the high and low-risk groups according to the median of prognostic circRNAs signature. Patients in the high-risk group from both phs000452 and PRJEB23709 shared worse OS, and the C-index indicated that prognostic circRNAs signature we established here was highly efficient in predicting survival benefit of advanced melanoma. Moreover, all patients from phs000452 and PRJEB23709 in the low-risk group shared better PFS. Finally, we enriched the nervous system process and various stimuli and senses, which is related to melanoma. Additionally, some signaling pathways were found to be enriched in our analyses. Previous studies indicated that activation of G protein-coupled estrogen receptor signaling inhibits melanoma and improves response to immune checkpoint blockade [43] and the adhesion G protein coupled receptor, GPR56/ADGRG1, inhibits cell-extracellular matrix signaling to prevent metastatic melanoma growth [44].

A phosphoproteomic platform identified that FGFR3 may be activated via autocrine circuits in melanoma [45], and FGFR3 not only promotes the growth and malignancy of melanoma by influencing EMT [46] but also reactivates MAPK pathway to mediate vemurafenib resistance in melanoma [47]. IFN induces PD-L1 expression depends on p53 [48] and drives clinical response to immune checkpoint blockade therapy in melanoma [49]. IL-37 was up-regulated in melanoma and highly elevated IL-37 in specific lymphocyte populations could serve as a biomarker for melanoma-induced immunosuppression [50]. Activating KIT mutations are rarely found to cause melanomas and may provide an actionable target for therapy [51]. Exosomes derived from natural killer cells exert therapeutic effect in melanoma [52], and later study indicated that STING agonist loaded lipid nanoparticles overcame anti-PD-1 resistance in melanoma lung metastasis via natural killer cell activation [53]. Many studies have shown that the NOTCH pathway is involved in the occurrence, development, and drug resistance of melanoma [54].



Fig. 3. Characteristics of the prognostic circRNAs signature and Kaplan-Meier estimates for PFS in the training and validation cohorts. A. Characteristics of the prognostic signature in the training cohort. top: the risk score of each patient in the training cohort; middle: PFS and survival status of patients in the training cohort; bottom: heat map of gene expression profiles of patients in the training cohort. B. PFS in the training cohort. top: the risk score of each patient in the internal validation cohort. top: the risk score of each patients in the training cohort; bottom: heat map of gene expression profiles of patients in the training cohort; bottom: heat map of gene expression profiles of patients in the internal validation cohort; bottom: heat map of gene expression profiles of patients in the internal validation cohort; bottom: heat map of gene expression profiles of patients in the internal validation cohort; bottom: heat map of gene expression profiles of patients in the internal validation cohort. D. PFS in the internal validation cohort. top: the risk score of each patient in the external validation cohort. top: the risk score of each patients in the external validation cohort. top: the risk score of each patients in the internal validation cohort. D. PFS in the external validation cohort. top: the risk score of each patient in the external validation cohort; middle: PFS and survival status of patients in the external validation cohort; top: the risk score of each patients in the external validation cohort; bottom: heat map of gene expression profiles of patients in the external validation cohort; bottom: heat map of gene expression profiles of patients in the external validation cohort; bottom: heat map of gene expression profiles of patients in the external validation cohort; bottom: heat map of gene expression profiles of patients in the external validation cohort; bottom: heat map of gene expression profiles of patients in the external validation cohort; bottom: heat map of gene expressio



Fig. 4. Functional enrichment in the training and validation cohorts. A. Biological process of down-regulated genes in phs000452. B. Biological process of up-regulated genes in phs000452. C. GSEA of down-regulated genes in phs000452. D. GSEA of up-regulated genes in phs000452.



Fig. 5. Quantification of immune microenvironment. A. B cells. B. CD4⁺ naïve T cells. C. CD8⁺ T cells. D. $\gamma\delta$ T cells. E. Platelets. F. Endothelial cells. Wilcoxon test was conducted.

All these known information reflect the reliability of the signature we established in the present study from the side.

It should be noted that until today no direct evidence, based on clinical or basic research, exists that circRNAs could be considered as biomarkers in PD-1 treatment. However, studies already demonstrated that circRNAs affect the tumor microenvironment and thereby impact the PD-1 treatment. We stress that in the future validation experiments through *in vivo* or *in vitro* experiments are necessary. Furthermore, validation studies of prospective nature with melanoma patients have to be initiated.

To summarize, certain limitations in our study need to be acknowledged. First, the prognostic circRNAs signature we obtained here may provide new ideas and insights for predicting the survival benefit of melanoma patients receiving anti-PD-1 monotherapy. However, we cannot get all clinicopathological characteristics for each patient since all data are from public database. Second, we only conducted functional analysis and immune cell abundance in phs000452 due to the relatively small sample size of PRJEB23709; more in vivo or in vitro experiments are needed to further elucidate the underlying biological mechanisms. In addition, only pre-treatment tumor biopsies data from advanced melanoma patients treated with anti-PD-1 monotherapy was included in this study, anti-PD-1 monotherapy (nivolumab or pembrolizumab) in phs000452 and PRJEB23709 is not exactly consistent. Finally, this is a retrospective study, more multicenter, prospective, and large-scale studies are warranted to test our findings before the prognostic circRNAs signature is applied clinically.

Conclusions

Overall, we identified a prognostic circRNAs signature to reflect the different OS and PFS among advanced melanoma patients who are receiving anti-PD-1 monotherapy, which may be helpful for the risk stratification of these patients. Our findings also provide hints that immune response and currently known pathways related to tumor immune microenvironment are related to the prognosis. The prognostic circRNAs signature could therefore be a novel independent prognostic predictor and immunotherapy marker for filtering advanced melanoma patients who may benefit from anti-PD-1 monotherapy. These results offer novel insights into the prognosis evaluation of advanced melanoma and provide a reference for future studies on anti-PD-1 monotherapy in advanced melanoma.

Data availability

Raw sequencing data are available in dbGaP (Melanoma Genome Sequencing Project, accession number phs000452.v.3.p1, https://www. ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000452. v3.p1) and EMBL-EBI (RNA sequencing of immunotherapy patients in metastatic melanoma, accession number PRJEB23709, https://www.ebi.ac.uk/ena/browser/view/PRJEB23709).

Authors' contribution

All authors have read and agreed to the published version of the manuscript.

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CRediT authorship contribution statement

Jian-Guo Zhou: Conceptualization, Methodology, Validation, Data curation, Writing – original draft, Supervision, Project administration, Funding acquisition. Rui Liang: Writing – original draft, Writing – review & editing, Visualization. Hai-Tao Wang: Methodology, Visualization. Su-Han Jin: Conceptualization. Wei Hu: Data curation. Benjamin Frey: Writing – review & editing. Rainer Fietkau: Validation. Markus Hecht: Validation, Writing – review & editing. Hu Ma: Conceptualization, Validation, Data curation, Writing – review & editing, Supervision, Project administration, Funding acquisition. Udo S. Gaipl: Conceptualization, Validation, Data curation, Writing – review & editing, Supervision, Project administration.

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Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:10.1016/j.neo.2023.100877.

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