Current practice of screening and antimicrobial prophylaxis to prevent Gram-negative bacterial infection in high-risk haematology patients: results from a pan-European survey

Ther Adv Infect Dis 2024, Vol. 11: 1–12 DOI: 10.1177/

20499361241271863

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Abstract

Background: Bacterial infections frequently occur in haematological patients, especially during prolonged neutropenia after intensive chemotherapy, often leading to bloodstream infections and pneumonia.

Objective: Routine antimicrobial prophylaxis (AMP) for high-risk haematology patients is still debated while prevalence of multi-drug resistant (MDR) Gram-negative bacteria (GNB) is rising globally. We aimed to assess the current practice of AMP in this population.

Design: Cross-sectional observational survey study.

Methods: Haematologists and infectious diseases physicians Europewide were invited to an online survey including questions on routine screening for GNB, incidence of MDR-GNB colonization, antimicrobial prophylaxis practices, rates of bloodstream infections (BSI), ICU admission and mortality differentiated by infections due to GNB versus MDR-GNB.

Results: 120 haematology centres from 28 countries participated. Screening for MDR-GNB is performed in 86.7% of centres, mostly via rectal swabs (58.3%). In 39.2% of routine AMP is used, mostly with fluoroquinolones. Estimates of GNB-BSI yielded higher rates in patients not receiving anti-GNB prophylaxis than in those who do for *E. coli* (10% vs 7%) *Klebsiella* spp. (10% vs 5%), and *Pseudomonas* spp. (5% vs 4%). Rates for MDR-GNB infection were estimated lower in centres that administer AMP for MDR *E. coli* (5% vs 3%) *Klebsiella* spp. (5% vs 3%), and *Pseudomonas* spp. (2% vs 1%). In an exploratory analysis, Southern and Eastern European countries expected higher rates of MDR-GNB infections with lower ICU admission and mortality rates which may be subject to estimation bias.

Conclusion: Screening for MDR-GNB is frequently performed. AMP against GNB infections is still often implemented. Estimated BSI rates are rather low, while the rate of MDR-GNB infections rises. Tailored prophylaxis including antimicrobial stewardship becomes more important.

Keywords: acute leukaemia, antimicrobial stewardship, bloodstream infection, immunocompromised host, infection control, surveillance

Received: 10 April 2024; revised manuscript accepted: 1 July 2024.

Introduction

Bacterial infections occur frequently in patients with acute myeloid leukaemia (AML), high-risk myelodysplastic syndrome (MDS) as well as in

acute lymphoblastic leukaemia (ALL) and other patients with haematological malignancies. They occur mostly during long-lasting (i.e., ≥7 days) periods of neutropenia (i.e., absolute neutrophil

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University of Cologne, Faculty of Medicine and University Hospital Cologne, Clinical Trials Centre Cologne (ZKS Köln), Cologne, Germany count < 500/ul) after intensive chemotherapy, mostly as bloodstream infection (BSI) and pneumonia.1 After chemotherapy, translocation of gram-negative bacteria (GNB) from the gut to the bloodstream and dissemination to other organs may occur through an impaired mucosal barrier, often leading to severe infections with sepsis and a subsequent high mortality rate.² Routine antimicrobial prophylaxis is recommended with moderate strength by some guidelines despite lacking evidence regarding a survival benefit from randomized clinical trials (RCT).³⁻⁶ A rising incidence of multidrug-resistant (MDR) GNB has been observed over past decades, particularly in Southern Europe posing a significant challenge for selection of antibacterial therapy or prophylaxis.7 This fuelled a debate regarding efficacy of prophylaxis.4,5 While MDR-GNB colonization rates and associated infection and mortality risk have been well described for the intensive care unit (ICU), transplant and abdominal surgery setting,8-16 few studies in the haematological population exist.17

More recent guidelines restrict prophylaxis to certain settings and clinicians discourage the use of routine fluoroquinolone prophylaxis with a variety of arguments, including lack of efficacy, quality of data included in guideline recommendations, increasing antimicrobial resistance, and adverse effects, among others. 6,18

Owing to the heterogeneity of recommendations, it is currently unclear what is routinely performed in haematology centres. We conducted a webbased survey to better understand the current practice of antimicrobial prophylaxis. This survey aimed (1) to assess the current practice of prophylaxis in this high-risk patient population and (2) to assess practice of screening for MDR-GNB and (3) to include estimated rates of infections due to frequently detected GNB.

Methods

A web-based cross-sectional observational survey was designed and made accessible via www.clinicalsurveys.net – a health services research platform facilitated by EFS Summer 2021, TIVIAN GmbH in Cologne, Germany. Data collection specifically targeted professionals specializing in clinical microbiology, haematology, infectious diseases, internal medicine, and oncology, as these are the main medical specialities managing

patients with GNB infections and prescribing AMP. Targeted professionals were reached out to via scientific societies (see Supplemental Table 1) and professional networks as well as direct contacts. This approach aimed to ensure a comprehensive, reliable data collection while accounting for differences in service quality and quantity across the selected hospitals.

Participants were encouraged to respond on behalf of their clinic/hospital and distribute the survey among their personal network. Participants were obligatorily asked to provide their country and the institution on behalf of which they responded to the survey, whereas other personal data, affiliated scientific organizations, and their specialty were voluntary responses. Participants were asked to provide estimated numbers of patients with underlying disease of ALL, AML and high-grade MDS who receive induction or re-induction chemotherapy and have long-term neutropenia (i.e., ANC < 500/µl for at least 7 days) at their haematology centre. For this patient group we asked whether screening for colonization with GNB prior to chemotherapy is in place and if so, which proportion of patients have resistant GNB colonization. Furthermore, we evaluated whether antimicrobial prophylaxis is routinely administered and which antibiotic was used for this purpose. Estimated rates of development of BSI due to GNB (resistant or not) in those patients were to be provided as well as causative species and proportion of MDR-GNB-BSI in patients who had received or who had not received antimicrobial prophylaxis, if this data was available.

The collected data were summarized using frequencies and percentages. Descriptive statistical analysis was done with SPSS v27.0 (SPSS, IBM Corp., Chicago, IL, USA).

Results

Between May and September 2023, participants from 28 European countries representing 120 institutions responded to the survey (Figure 1). After clearance of double-answers and incomplete answers not eligible for analysis, 120 respondents were included in the descriptive analysis.

A total of 104 participating centres (86.7%) routinely screen for colonization with MDR-GNB

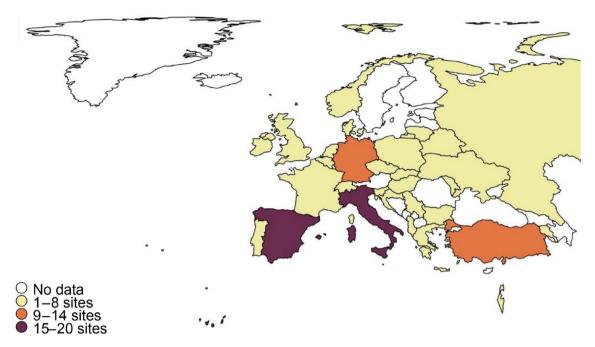


Figure 1. Distribution of participating institutions. Spain (n=20 sites), Italy (n=15 sites), Germany (n=10 sites), Turkey (n=9 sites), Russia (n=7 sites), Czech Republic (n=6 sites), Switzerland (n=5 sites), Belgium, Poland, Serbia, and Ukraine (n=4 sites), Greece, Hungary, United Kingdom (n=3 sites), Belarus, Croatia, Ireland, Israel, Netherlands, Portugal (n=2 sites), each), and Albania, Armenia, Bulgaria, Denmark, Faroe Islands, France, Lithuania, Moldova, Norway, Slovakia, Slovenia (n=1 site), each).

before chemotherapy. Most frequently, this is performed via rectal swab, followed by anal swab, and stool culture (Table 1 and Figure 2(a) and (b)).

Only 39.2% of participating centres administer antimicrobial prophylaxis against GNB, mostly using levofloxacin or ciprofloxacin in patients without known resistant GNB colonization. However, even in patients with resistant GNB colonization, fluoroquinolones are routinely administered in 32.5% of participating centres, but antibiotics with a broader spectrum of action are used as well for prophylaxis, such as amikacin, carbapenems or colistin (Table 1).

Estimates of BSI development due to GNB yielded slightly higher rates of bacteraemia in centres not administering AMP than in those who do for *E. coli* (10% vs 7%) *Klebsiella* spp. (10% vs 5%), and *Pseudomonas* spp. (5% vs 4%). Rates for MDR-GNB BSI were also lower in centres that administer prophylaxis for MDR *E. coli* (5% vs 3%), MDR *Klebsiella* spp. (5% vs 3%), and MDR *Pseudomonas* spp. (2% vs 1%) (Table 1). Median mortality was estimated to range around 5% (IQR

2–15) but was excessively higher in patients with MDR-GNB colonization with 52% (IQR 30–66.7) (Figure 3(a) and (b)).

With high participant rates from Italy and Spain, countries where the prevalence of MDR-GNB isolates is increased, we split results for the two countries compared to the overall participants. Rectal swab for screening for GNB is significantly more often used in the two countries than in the rest of Europe (80% vs 58.3%, p = 0.010). No differences compared to other centres in Europe were detected regarding the indication for administration of routine antimicrobial prophylaxis, as well as the selection of antibiotics for that purpose, mostly fluoroquinolones (Table 1). In patients without MDR-GNB colonization, prophylaxis is administered in 32.5% (40% in Italy, 20% in Spain, 34.1% in other European countries, p=1.000). In patients with MDR-GNB colonization prophylaxis is administered in 32.5% (33.3% in Italy, 35.0% in Spain, 31.8% in other European countries p = 1.000)

Resistance rates for GNB were estimated to be as frequent as in other European countries, in around

Table 1. Survey results: overall and separately for Italy, Spain and other European countries.

	Overall		Italy		Spain		Other European countries		p value
	<u>n</u> 120	100.0	<u>n</u> 15	100.0	<u>n</u> 20	%	85	100.0	
						100.0			
GNB colonization determination before chemotherapy	104	86.7	15	100.0	19	95.0	70	82.4	0.396
% of patients tested for GNB colonization	65.0 (25.0–100.0) [2.0–100.0]		77.5 (22.5–100.0) [10.0–100.0]		30.0 (15.0–90.0) [5.0–100.0]		75.0 (30.0–100.0) [2.0–100.0]		0.268
% of patients colonized with an MDR-GNB	15.0 (5.0–25.0) [0.0–80.0]		7.5 (5.0–15.0) [1.0–25.0]		12.0 (5.0–25.0) [0.0–30.0]		15.0 (5.0–25.0) [0.0–80.0]		0.268
Testing samples									
Rectal swab	70	58.3	12	80.0	16	80.0	42	49.4	0.010
Anal swab	26	21.7	5	33.3	3	15.0	18	21.2	0.468
Stool	18	15.0	1	6.7	1	5.0	16	18.8	0.276
Nasal swab	8	6.7	0	0.0	2	10.0	6	7.1	0.613
Urine swab	6	5.0	0	0.0	1	5.0	5	5.9	1.000
Other samples	27	22.5	1	6.7	4	20.0	22	25.9	
AMP administration	47	39.2	6	40.0	7	35.0	34	40.0	0.978
Patients without MDR-GNB colonization	39	32.5	6	40.0	4	20.0	29	34.1	1.000
Fluoroquinolones alone	37	30.8	6	40.0	4	20.0	27	31.8	
Other antibiotics*	2	1.7	0	0.0	0	0.0	2	2.4	
Patients with MDR-GNB colonization	39	32.5	5	33.3	7	35.0	27	31.8	1.000
Fluoroquinolones alone	27	22.5	5	33.3	5	25.0	17	20.0	
Other antibiotics*	12	10.0	0	0.0	2	10.0	10	11.8	
Estimates % bacteraemia development									
Not receiving AMP	83	69.2	10	66.7	16	80.0	57	67.1	0.523
Escherichia coli, overall	10.0 (5.0–20.0) [1.0–50.0]		20.0 (10.0–30.0) [1.0–35.0]		10.0 (5.0–17.5) [1.0–50.0]		10.0 (5.0–17.0) [2.0–50.0]		0.136
Among those <i>E. coli</i> , MDR	5.0 (1.0–10.0) [0.0–20.0]		10.0 (5.0–15.0) [0.0–15.0]		5.0 (1.0–10.0) [0.0–20.0]		5.0 (1.0-6.0) [0.0-20.0]		0.502
Klebsiella spp., overall	10.0 (4.0–20.0) [0.0–65.0]		12.5 (8.0–25.0) [5.0–50.0]		10.0 (3.0–20.0) [1.0–30.0]		10.0 (3.0–17.0) [0.0–65.0]		0.190
Among those <i>Klebsiella</i> spp., MDR	5.0 (1.0–10.0) [0.0–58.5]		8.8 (3.0–10.0) [0.0–20.0]		5.0 (3.0–10.0) [0.0–20.0]		3.0 (1.0–10.0) [0.0–58.5]		0.494

(Continued)

Table 1. (Continued)

	Overall		Italy		Spain		Other European countries		p value
	n	%	n	%	n	%	n	%	
	120	100.0	15	100.0	20	100.0	85	100.0	
Pseudomonas spp., overall	5.0 (2.0–10.0) [0.0–70.0]		7.0 (5.0–10.0) [5.0–25.0]		5.0 (4.0–15.0) [1.0–25.0]		5.0 (2.0–10.0) [0.0–70.0]		0.104
Among those <i>Pseudomonas</i> spp., MDR	2.0 (1.0–5.0) [0.0–30.0]		4.0 (1.3–5.0) [0.0–10.0]		2.0 (2.0–10.0) [0.0–20.0]		2.0 (1.0–5.0) [0.0–30.0]		0.544
Receiving AMP	61 !	50.8	8	53.3	10	50.0	43	50.6	1.000
Escherichia coli, overall	7.0 (3.0–15.0) [0.0–40.0]		13.5 (10.0–20.0) [1.0–20.0]		6.5 (3.0–10.0) [0.0–15.0]		5.0 (2.0–12.0) [0.0–40.0]		0.124
Among those <i>E. coli</i> , MDR	3.0 (0.8–7.0) [0.0–24.0]		6.0 (3.0–9.0) [0.3–10.0]		4.5 (.8–5.3) [0.0–8.0]		2.0 (0.4–5.0) [0.0–24.0]		0.338
Klebsiella spp., overall	5.0 (2.0–10.0) [0.0–60.0]		10.0 (3.5–17.5) [2.0–60.0]		6.0 (5.0–8.0) [0.0–35.0]		5.0 (1.0–10.0) [0.0–60.0]		0.369
Among those <i>Klebsiella</i> spp., MDR	3.0 (0.8–7.5) [0.0–60.0]		5.0 (2.5–8.8) [1.0–10.0]		3.0 (1.6–5.0) [0.0–15.8]		3.0 (0.1–8.0) [0.0–60.0]		0.489
Pseudomonas spp., overall	4.0 (1.0–10.0) [0.0–40.0]		7.5 (5.0–10.5) [2.0–25.0]		3.0 (2.0–8.0) [0.0–35.0]		2.5 (1.0–10.0) [0.0–40.0]		0.097
Among those <i>Pseudomonas</i> spp., MDR	1.0 (0.2–5.0) [0.0–40.0]		4.0 (1.3–7.3) [1.0–10.0]		1.0 (0.6–5.0) [0.0–17.5]		1.0 (0.0–5.0) [0.0–40.0]		0.153
After bacteraemia development									
% ICU admission, overall	15 (5–30) [0–100]		5 (2–5) [1–60]		15 (5–20) [3–80]		17.5 (5–35) [0–100]		0.005
% ICU admission, MDR-GNB colonization (from those ICU admitted)	40 (20–60) [0–100]		20 (50–100) [40–83.3]		66.7 (40–50) [26.7–100]		34.7 (40–57.1) [0–100]		0.062
% ICU admission, MDR-GNB colonization (from overall)	6 (1–18) [0–100]		1 (1–5) [0.4–50]		10 (2–10) [0.8–80]		6 (2–20) [0–100]		
% Mortality, overall	5 (2–15) [0–	80]	4 (2–5)	[1-20]	10 (2–1	5) [0–80]	6.5 (2.5	5–20) [0–75]	0.183
% Mortality, MDR-GNB colonization (from those deceased)	52 (30–66.7 [0–100])	25 (20- [0-50]	-50)	40 (50– [0–100]		44.6 (36–50) [0–100]		0.152
% Mortality, MDR-GNB colonization (from overall)	2.6 (0.6–10) [0–80]		1 (0.4–2.5) [0–10]		4 (1–10) [0–80]		2.9 (0.9–10) [0–75]		

Categorical variables are summarized with n and percentage (%); continuous variables are summarized with median, (interquartile range) and [absolute range].

Other European countries include Albania, Armenia, Belgium, Bulgaria, Croatia, Czech Republic, Denmark, Faroe Islands, France, Germany, Greece, Hungary, Ireland, Israel, Lithuania, Moldova, Netherlands, Norway, Poland, Portugal, Russia, Serbia, Slovakia, Slovenia, Switzerland, and the United Kingdom.

^{*}Full details in Supplemental Table 2.

AMP, antimicrobial prophylaxis; GNB, Gram-negative bacteraemia; ICU, Intensive Care Unit; MDR, multi-drug resistant; spp., species.

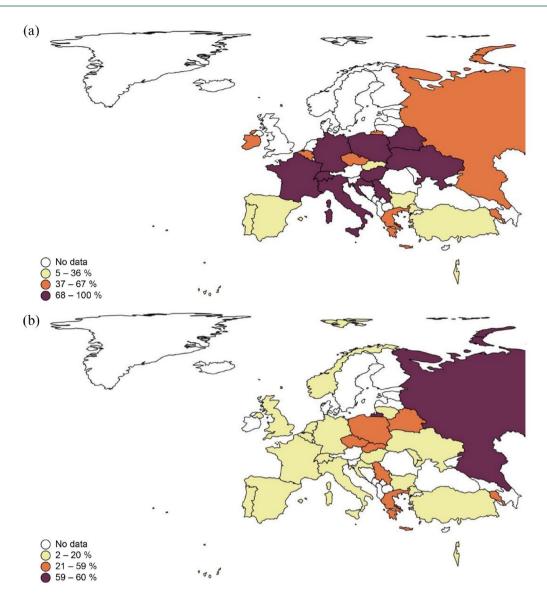


Figure 2. Distribution of routine testing practices for Gram-negative bacterial colonization: overall and multidrug-resistant strain considerations: (a) percentage of patients tested for colonization with Gram-negative bacteria and (b) percentage of tested patients colonized with a multi-drug resistant Gram-negative bacteria.

one-third of the patients within this high-risk population (Table 1). With lower participant numbers from South-Eastern Europe where the prevalence of MDR-GNB isolates exceeds 50%, we did not perform a separate analysis for this region.

The estimated development of bacteraemia with any of the three given GNBs: *E. coli*, *Klebsiella* spp. and *Pseudomonas* spp., did not differ between Italy, Spain, and other European countries (Table 1). In patients not receiving Gram-negative

prophylaxis, overall, 69.2% were estimated to develop bacteraemia, whereas this was 66.7%, 80% and 67.1% for Italy, Spain and other European countries, respectively.

However, ICU admission rates are lower in Spain and Italy compared to other European countries, with an overall median of 15% of those patients (IQR 5–30) versus 5% (IQR 2–5) in Italy, 15% (IQR 5–20) in Spain, and 17.5% (IQR 5–35) in other European countries (p=0.005).

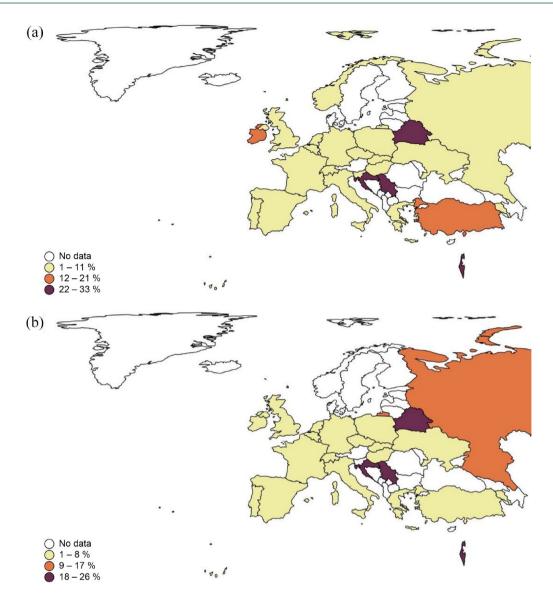


Figure 3. Comparison of overall mortality and mortality from multidrug-resistant Gram-negative bacteria after bacteraemiaemia development: (a) overall mortality after development of bacteraemia and (b) mortality due to MDR Gram-negative bacteria (from overall) after development of bacteraemia.

Discussion

Our survey demonstrated that prophylactic antibiotics are used in 39% of participating centres treating patients with AML, ALL and high-risk MDS.

In RCTs, levofloxacin reduced the incidence of fever, infection, and hospitalization compared to placebo, but did not impact survival. ^{19,20} In patients with haematological malignancy, no causality has been established between colonization by MDR-GNB and mortality rate. Older data hint towards higher prevalence of MDR-GNB, namely

ESBL-producing Enterobacterales (ESBL-E), than in our survey in the haematological population, with colonization rates up to 23% while only 1% of these patients developed BSI with ESBL-E, in 80% with the same strain that was identified previously as colonizer. ¹⁷ Antibiotic administration for prophylaxis may significantly impact the intestinal microbiota of patients exposed. There is evidence that intestinal microbiota diversity impacts the development and severity of infections. ²¹

Estimated BSI rates by *E. coli*, *Klebsiella* spp. and *Pseudomonas* spp. were comparably high in this

survey in up to 10% of patients. Current studies suggest those three species remain the dominant causative pathogens of GNB-BSI in patients with leukaemia.²²

Selection of resistant GNB strains may occur in these high-risk patients under extensive empiric antimicrobial exposure as well as in other patient populations under immunosuppression, those with a travel or migration history and those with other antimicrobial exposure, for example, in agriculture. These infections mostly comprise AmpC- or extended-spectrum β-lactamase producing Enterobacterales, carbapenem-resistant Enterobacterales, Pseudomonas aeruginosa with difficult-to-treat resistance patterns, carbapenemresistant Acinetobacter baumannii, Stenotrophomonas maltophilia.23 Surprisingly, in this survey study, rates for MDR-GNB infection were lower in centres that do administer prophylaxis, despite probable missing antimicrobial coverage. On the one hand, this is a finding which could be interpreted as an artefact as BSI rates were only provided as estimates. On the other hand, this may indicate that centres with high resistance rates are less likely to employ universal antibacterial prophylaxis assuming that this measure may be ineffective for a large proportion of patients.

The shift in prevalence of MDR-GNB may lead to more severe infections which cannot be prevented by routine antimicrobial prophylaxis, especially in geographic regions with high rates of antimicrobial resistance, such as - in this survey - Southern and Eastern Europe.²⁴ This does not preclude prophylaxis in general but calls for a more targeted approach to optimize antimicrobial exposure and efficacy in high-risk haematology patients.²⁵ Furthermore, prophylactic antimicrobial administration is critical regarding the risk of drug-related adverse events, which is of concern, especially for fluoroquinolones, as well as from a general antimicrobial stewardship viewpoint.²⁶ Those concerns are part of an ongoing debate around antimicrobial prophylaxis in high-risk patients. They are critically appraised by scientific societies and have been associated with abandoning of routine antimicrobial prophylaxis in many haematology centres.²⁷ Interestingly, our survey showed that in both patients with and without MDR-GNB colonization, AMP is administered in 32.5% while reasons and rationales for or

against AMP in a specific subset of patients (e.g., those with MDR-GNB colonization) were not assessed, and should be approached in future studies.

Screening for MDR-GNB colonization appears frequent practice, as 87% of the participating centres in this survey do so prior to initiation of chemotherapy. The risk of subsequent infection in patients colonized with MDR bacteria is substantial in carriers of carbapenem-resistant GNB, whereas it is lower for patients with vancomycinresistant enterococci colonization.²⁸ However, the downstream management impact of the screening results in case of MDR-GNB detection is heterogeneous and guideline recommendations are incoherent.^{29,30} These include, among others, contact precautions (CP), less contact with health-care providers, delay in diagnostic and therapeutic procedures (e.g., radiological imaging and surgery), and potential psychiatric sequelae of isolation. 31,32 The transmission of MDR bacteria needs to be prevented under most circumstances, however, it is still debated if CP is effective to achieve this purpose while other measures, such as conventional but strictly performed hand hygiene seem to be more efficacious.33-35

This study has several limitations. The nature of survey studies does not allow for a balanced selection of participants according to their geographic distribution, kind of hospitals they work at etc., and is dependent on their responses only allowing estimates limits the accuracy of the results. Thus, real rates of MDR-GNB colonization and bacteraemia development may differ. It is also important to note, that anchoring bias may be a limitation on the estimation of bacteraemia development, ICU admission and mortality by the participants. Furthermore, detailed aspects of MDR (e.g., local pathogen distribution and resistance mechanisms as well as the used microbiologic methodology) were not captured to discourage participation due to time constraints. Lastly, due to the exploratory scope of the survey, no sample size was calculated.

Conclusion

In summary, screening for MDR-GNB in highrisk haematology patients is a common practice, and a subsequent infection prevention strategy for high-risk patients – including antimicrobial

prophylaxis – is implemented at most participating centres. The heterogenous results of this survey highlight a need for tailored infection prevention measures as well as further research to optimize infection management.

Collaborators

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Declarations

Ethics approval and consent to participate

Ethics approval and pre-set informed consent were not requested. Informed consent to participate was implied through the participants completing the survey. In parallel, ethics approval and informed consent are not mandatory for research not involving patients or biomaterials according to German law (§ 41a AMG).

Consent for publication Not applicable

Author contributions

Jannik Stemler: Conceptualization; Funding acquisition; Investigation; Methodology; Project administration; Resources; Supervision; Visualization; Writing – original draft; Writing – review & editing.

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Acknowledgements

We acknowledge Ms Katharina Köbe for her technical support and all above mentioned collaborators for participating in this survey.

Funding

The authors disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: This work was supported by a grant from Beta Discoveric Bio under the agreement SON00613.

Competing interests

IS has received research grants from the Ministry of Education and Research (BMBF), Noscendo, and Basilea Pharmaceuticals Inc.; has received speaker honoraria by AbbVie, Gilead, Hikma and Pfizer; has been a consultant to Gilead, Alvea Vax. and Micron Research. EG reports no conflicts of interest related to the submitted work. OH reports no conflicts of interest related to the submitted work. NK reports grants and/or research support for the SNF projects NCCR AntiResist (180541) and Epstein-Barr Virus-specific T Memory Stem Cell Therapy (204944). She receives fees for her membership in advisory boards at MSD Merck Sharp & Dohme, Pfizer, Gilead Sciences and Takeda and is a member of the data safety management board at Idorsia and Pulmocide, outside of the submitted work. DN has received research support from MSD and Pfizer, and consulting fees from MSD, Pfizer, Basilea, and Gilead. MA reports no conflicts of interest related to the submitted work. LP reports no conflicts of interest related to the submitted work. JMC reports no conflicts of interest related to the submitted work. OAC reports grants or contracts from BMBF, Cidara,

EU-DG RTD (101037867), F2G, Gilead, MedPace, MSD, Mundipharma, Octapharma, Pfizer, Scynexis; consulting fees from AbbVie, AiCuris, Biocon, Cidara, Gilead, IQVIA, Janssen, Matinas, MedPace, Menarini, Moderna, Molecular Partners, MSG-ERC, Noxxon, Octapharm, Pfizer, PSI, Scynexis, Seres; payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events from Abbott, AbbVie, Al-Jazeera Pharmaceuticals/Hikma, Gilead, Grupo Biotoscana/United Medical/Knight, MedScape, MedUpdate, Merck/MSD, Noscendo, Pfizer, Shionogi, streamedup!; payment for expert testimony from Cidara; participation on a Data Safety Monitoring Board or Advisory Board from Boston Strategic Partners, Cidara, IOVIA, Janssen, MedPace, PSI, Pulmocide, Shionogi, The Prime Meridian Group, outside of the submitted work. JSG has received speaker honoraria from Gilead and Pfizer and has participated in the Advisory Board from Pfizer, outside of the submitted work.

Availability of data and materials

Data available on request from the authors. The data that support the findings of this study are available from the corresponding author, JSG, upon reasonable request. Details on size and locations of the participating institutions can be provided on demand by the corresponding author, JSG, upon reasonable request. Due to data protection of the participants, this table cannot be published.

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Supplemental material

Supplemental material for this article is available online.

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