

# Concurrent radiotherapy and intrathecal methotrexate for treating leptomeningeal metastasis from solid tumors with adverse prognostic factors: A prospective and single-arm study

Zhenyu Pan<sup>1</sup>, Guozi Yang<sup>1</sup>, Hua He<sup>2</sup>, Gang Zhao<sup>3</sup>, Tingting Yuan<sup>4</sup>, Yu Li<sup>1</sup>, Weiyan Shi<sup>1</sup>, Pengxiang Gao<sup>1</sup>, Lihua Dong<sup>1</sup> and Yunqian Li<sup>3</sup>

<sup>1</sup>Department of Radiation-Oncology, The First Hospital of Jilin University, Changchun 130021, China

<sup>2</sup>Cancer Center, The First Hospital of Jilin University, Changchun 130021, China

<sup>3</sup>Department of Neuro-Oncological Surgery, The First Hospital of Jilin University, Changchun 130021, China

<sup>4</sup>Department of Radiology, The First Hospital of Jilin University, Changchun 130021, China

The prognosis of leptomeningeal metastasis (LM) from solid tumors is extremely poor, especially for patients with adverse prognostic factors. In this phase II clinical trial, we evaluated the efficacy and safety of intrathecal chemotherapy (IC) combined with concomitant involved-field radiotherapy (IF-RT) for treating LM from solid tumors with adverse prognostic factors. Fifty-nine patients with LM from various solid tumors were enrolled between May 2010 and December 2014. Concurrent therapy consisted of concomitant IC (methotrexate 12.5–15 mg and dexamethasone 5 mg, weekly) and IF-RT (whole brain and/or spinal canal RT, 40 Gy/20f). For patients with low Karnofsky performance status (KPS) score and radiotherapy intolerance, induction IC (1–3 times) was given before concurrent therapy. Thirty-eight patients (64.4%) received subsequent treatments. All patients were followed up at least 6 months after LM diagnosis or until death. Primary endpoint evaluated was clinical response rate. Secondary endpoints were overall survival (OS) and safety. The pathological types included lung cancer ( $n = 42$ ), breast cancer ( $n = 11$ ) and others ( $n = 6$ ). Median KPS score was 40 (range 20–70). Fifty-one patients (86.4%) completed concurrent therapy. The overall response rate was 86.4% (51/59). OS ranged from 0.4 to 36.7 months (median 6.5 months), and 1-year-survival rate was 21.3%. Treatment-related adverse events mainly included acute meningitis, chronic-delayed encephalopathy, radiculitis, myelosuppression and mucositis. Twelve patients (20.3%) had grade III–V toxic reactions. We concluded that IC combined with concomitant IF-RT, with significant efficacy and acceptable toxicity, may be an optimal therapeutic option for treatment of LM from solid tumors with adverse prognostic factors. LM, in which cancer cells spread to membranes enveloping the brain and spinal cord, is a devastating complication of solid cancers. Existing LM therapies center on IC. In this prospective clinical study, the authors combined intrathecal methotrexate with involved-field radiotherapy in a concomitant regimen, showing that the approach can potentially improve quality of life for patients with adverse prognostic factors. Concurrent radiotherapy-bolstered IC by contributing to prolonged remission of neurological symptoms and increasing OS. The findings suggest that the concomitant regimen could be an optimal treatment option for LM.

Leptomeningeal metastasis (LM) is a lethal complication of solid tumors. Despite specific treatment, the median overall survival (OS) is limited to 2–3 months and the 1-year-survival rate is <15% worldwide.<sup>1</sup> Several factors are associated with poor prognosis of LM, such as Karnofsky performance status

(KPS) score of < 60, multiple and severe neurologic deficits, bulky central nervous system (CNS) disease, encephalopathy and extensive systemic disease with few treatment options.<sup>2–6</sup> For these patients, LM-specific treatment is ineffective and the prognosis is extremely poor.<sup>3,4,7–10</sup> Palliative treatment is

**Key words:** metastasis, solid tumor, leptomeningeal metastasis, central nervous system, intrathecal chemotherapy, radiation therapy

Additional Supporting Information may be found in the online version of this article.

Z. Pan wrote the manuscript and designed the study; G. Yang did the data collection; H. He did the data analysis; T. Yuan did the imaging findings analysis; Y. Li, W. Shi, and P. Gao did the data collection and recording; G. Zhao did the imaging findings analysis and revised the manuscript; L. Dong and Y. Li revised the manuscript and approved the submission.

This is an open access article under the terms of the Creative Commons Attribution-NonCommercial License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes.

**DOI:** 10.1002/ijc.30214

**History:** Received 11 Mar 2016; Accepted 20 May 2016; Online 31 May 2016

**Correspondence to:** Lihua Dong, Department of Radiation-Oncology, The First Hospital of Jilin University, 71 Xinmin Street, Changchun 130021, China, Tel.: +86-431-88786172, Fax: +86-431-88786172, E-mail: dlh@jlu.edu.cn or Yunqian Li, Department of Neuro-Oncological Surgery, The First Hospital of Jilin University, Changchun 130021, China, Tel.: +86-431-81875701, Fax: +86-431-81875701, E-mail: yunqian@jlu.edu.cn

**What's new?**

Leptomeningeal metastasis (LM), in which cancer cells spread to membranes enveloping the brain and spinal cord, is a devastating complication of solid cancers. Existing LM therapies center on intrathecal chemotherapy (IC). In this prospective clinical study, the authors combined intrathecal methotrexate with involved-field radiotherapy in a concomitant regimen, showing that the approach can potentially improve quality of life for patients with adverse prognostic factors. Concurrent radiotherapy bolstered IC by contributing to prolonged remission of neurological symptoms and increasing overall survival. The findings suggest that the concomitant regimen could be an optimal treatment option for LM.

proposed by National Comprehensive Cancer Network (NCCN), however, it merely improves neurologic symptoms without extending patients' survival.<sup>11,12</sup> For patients with good prognostic factors such as high KPS score, no major neurologic deficit, minimal systemic disease or reasonable systemic treatment options, involved-field radiotherapy (IF-RT) therapy was suggested by NCCN guidelines to the bulky disease and/or symptomatic sites firstly. Subsequently, cerebrospinal fluid (CSF) flow scan was suggested, and intrathecal chemotherapy (IC) was proposed to the LM patients with normal CSF flow.

The aim of LM-directed treatment is to maintain or stabilize the neurological status, improve quality of life and prolong survival. Up to now, IC is the mainstay for the treatment of LM from solid tumors,<sup>1,13,14</sup> despite no study has confirmed the interest of intra-CSF therapy until now. Methotrexate (MTX) and liposomal cytarabine are the most frequently used agents for IC of LM from solid tumors. Liposomal cytarabine showed a better neurological progression-free survival and a better impact on the quality of life.<sup>15–17</sup> Nevertheless, all of the included subjects were suffered from lymphoma in these studies except one<sup>17</sup> including patients with breast cancer, lung cancer, melanoma, primary brain tumor and other conditions. DepoCyt is approved only for lymphomatous meningitis but is often used off label for LM from solid tumor.<sup>1</sup>

Currently, the most common regimen of intrathecal MTX was on a twice-weekly schedule for 4 weeks, followed by a decrease in frequency for 3–6 months.<sup>1,18,19</sup> IF-RT to symptomatic sites, sites of CSF flow block and bulky disease observed on MRI, is also a candidate for LM-related treatment.<sup>1</sup> Whole brain radiotherapy has been proved to induce neurologic improvement<sup>11</sup> and control of parenchymal brain metastasis. Besides, irradiation could eliminate the tumor mass not treatable by intra-CSF chemotherapy.<sup>20</sup> Furthermore, radiotherapy is also indicated to reestablish normal CSF following documentation of CSF flow block to permit improved efficacy and decreased toxicity of intra-CSF chemotherapy,<sup>8,21</sup> aspects that commend the need for early LM treatment.<sup>1,22</sup>

Comprehensive treatment is an option for LM treatment with acceptable efficiency.<sup>1</sup> However, leukoencephalopathy is most common in patients received intrathecal MTX following cranial irradiation.<sup>23</sup> On this occasion, concomitant therapy may be an optimal treatment modality. To our best knowledge, no prospective study has been carried out using concomitant therapy except one in 1987.<sup>24</sup> In that study, the authors conducted a prospective randomized trial to compare the efficiency

of intrathecal MTX or MTX plus cytosine arabinoside (Ara-C). Twenty-two (50%) patients received concomitant IC and CNS radiotherapy, which showed significantly superior clinical response rate and better OS compared with those only received IC. In addition, the majority of patients with a survival of >6 months (6/7) received concomitant therapy. These indicated that concomitant therapy might contribute to the improvement of prognosis. Unfortunately, no further study has been carried out thereafter despite seldom severe neurotoxicity reported in that study. Indeed, concomitant therapy is a recommended modality for LM by NCCN guidelines, but no published studies are available. In this study, a prospective and single-arm clinical trial was designed to investigate the efficacy and safety of the concomitant therapeutic modality.

**Material and Methods****Patients**

LM patients admitted to our hospital from May 2010 to December 2014 were enrolled. LM diagnosis was ascertained according to the NCCN guidelines and previous literatures<sup>1,4,13,14,18,19</sup> (Supporting Information 1). Patients met with any of the following criteria were sufficient to the diagnosis: positive CSF cytology; MRI scans indicating LM or based on the comprehensive analysis of CSF cytology, neuroimaging findings and other clinical features, including malignant tumor history, nervous system symptoms and conventional CSF examination.

The inclusion criteria were: (i) those aged > 18 years and confirmed diagnosis of LM; (ii) those confirmed with solid tumors excluding hematological malignancies (*e.g.*, leukemia and lymphoma) and primary brain tumors; (iii) those with at least one poor prognostic factor, including KPS of < 60, severe and multiple neurological deficits (those with two or more groups of neurological symptoms/signs or severe neurological symptoms/signs mainly distributed in three domains including cerebral hemisphere, cranial nerve and the existing nerve roots affecting the life quality), encephalopathy, extensive systemic disease with few treatment options (the patients with active systemic disease, and showed tolerance to the systemic therapy including chemotherapy and target therapy), and bulky brain metastasis (brain parenchyma metastatic lesions with a diameter of >2 cm).

The exclusion criteria were: (i) those with severe hepatic or renal insufficiency, leucocyte count of <  $2.5 \times 10^{12}$ , and platelet count of <  $6.0 \times 10^9$ ; (ii) received cranial radiotherapy within 6

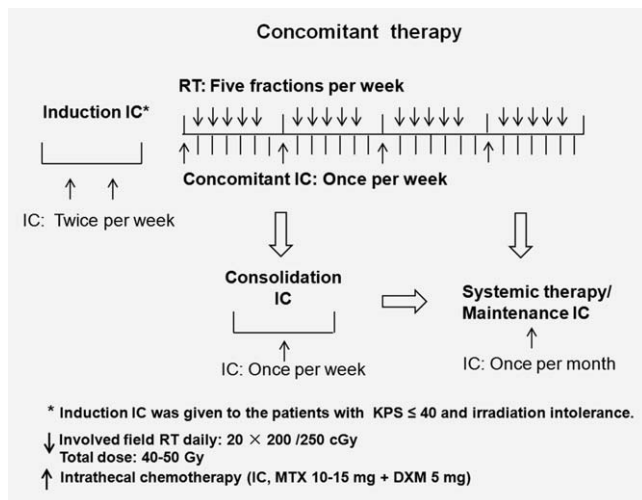
months; (iii) received systemic chemotherapy within 2 weeks, or molecular target therapy within 1 month and (iv) with poor tolerance of treatment. Written informed consent was obtained from each patient. All procedures were compliant with the Declaration of Helsinki. The study protocols were approved by the Ethic Committee of The First Hospital of Jilin University. This clinical trial was registered in the Chinese Clinical Trial Registry (ID: ChiCTR-OOC-14005403).

### Treatment plan

The study schema is provided in Figure 1. The regimen of concomitant therapy consisted of IC via lumbar punctures (MTX 12.5–15 mg, plus dexamethasone 5 mg, once per week, 4 weeks in total) and IF-RT. Radiotherapy consisted of fractionated, conformal radiation given at a daily dose of 2 Gy. The planning volume consisted of sites of symptomatic disease, bulky disease observed on MRI, including the whole brain and basis cranii received 40 Gy in 20 fractions and/or

segment of spinal canal received 40–50 Gy (the above segments of the first lumbar vertebra were given 40 Gy in 20 fractions; the first lumbar vertebra and the inferior segments were given 40/50 Gy in 20 fractions). Patients with KPS of  $\leq 40$  and irradiation intolerance were required to receive induction IC (MTX 12.5–15 mg, plus dexamethasone 5 mg, twice per week). Then these patients were allowed to receive concomitant therapy upon neurologic improvement and radiotherapy tolerance. Supporting therapy was given to patients with low KPS score.

Subsequent treatment was recommended after concomitant therapy. Consolidation IC (MTX 12.5–15 mg, plus dexamethasone 5 mg) was recommended once per week. The total cycles of IC including the induction therapy, concomitant therapy and consolidation therapy should be  $< 8$  times within 2 months. Maintenance IC (MTX 12.5–15 mg, plus dexamethasone 5 mg) was recommended once per month after concomitant therapy and/or consolidation therapy to patients with stable systemic disease or longer expected survival. The patients with active systemic disease were proposed to systemic therapy (chemotherapy or molecular target therapy) according to the NCCN guidelines of related tumors.



**Figure 1.** Protocol schema. IC: intrathecal chemotherapy; RT: radiation therapy; KPS: Karnofsky performance status; MTX: methotrexate; DXM: dexamethasone.

### Clinical evaluation and follow-up

Nowadays, it is lack of standardization with respect to response criteria.<sup>14</sup> Neuroimaging and CSF cytology have been used for the diagnosis and even evaluation of LM, however, these techniques do have their limitations.<sup>1,25</sup> In this study, we established the criteria of evaluation for clinical response based on improvement of neurologic symptoms/signs and changes of KPS. The clinical response was evaluated by at least two experienced neuro-oncologists. The evaluation consists of five layers, including complete response (CR), obvious response (OR), partial response (PR), stable disease (SD) and progressive disease (PD); Table 1. Clinical evaluation was performed once per week from the beginning of LM-related therapy, till 4 weeks later after concomitant

**Table 1.** Criteria of clinical response evaluation

	Neurological symptoms and signs	KPS score
Complete response	Almost normal neurological examination. Mild cranial nerve symptoms including tinnitus or blurred vision may exist. GCS score of 15.	$\geq 90$
Obvious response	Significant neurologic improvement. No severe symptoms/signs, such as severe headache, somnolence, mental status. Dizziness, confusion, mild headache, cranial nerve paralysis or radiculitis may exist. GCS $\geq 12$ .	$\geq 70$ or elevation of $\geq 30$ compared with the baseline level.
Partial response	Partial neurological improvement. Still with headache or other mild/moderate symptoms/signs. GCS $\geq 9$ .	50–70 or elevation of 10–20 compared with the baseline level.
Stable disease	No visible neurological improvement.	Elevation of $\leq 10$ compared with the baseline level.
Progressive disease	Deteriorative neurological symptoms and signs.	Decrease of KPS compared to the baseline level.

Two conditions both of neurological symptoms/signs and KPS must be satisfied synchronously. KPS: Karnofsky performance status score; GCS: Glasgow coma scale.

**Table 2.** General information of the patients

Characteristic	N (%)
Gender	
Male	27 (46%)
Female	32 (54%)
Median age	
< 55 yrs	29 (49%)
≥ 55 yrs	30 (51%)
Pathological features of the primary disease	
NSCLC	32 (54%)
SCLC	10 (17%)
Breast cancer	11 (19%)
Others*	6 (10%)
Neuroimaging features	
Positive	53 (90%)
Negative	6 (10%)
CSF biochemistry	
Elevation of protein	44 (75%)
Decrease of glucose	21 (36%)
Negative	13 (22%)
CSF cytology	
Positive	55 (93%)
Negative	4 (7%)
Onset as LM	
Yes	10 (17%)
No	49 (83%)
GCS	
15	32 (54%)
13~14	18 (31%)
9~12	9 (15%)
KPS	
≥ 60	13 (22%)
< 60	46 (78%)
≥ 40	32 (54%)
< 40	27 (46%)
Severe and multiple neurologic deficits	
Yes	39 (66%)
No	20 (34%)
Bulky CNS disease	
Yes	32 (54%)
No	27 (46%)
Systemic disease	
Stable/free	32 (54%)
Active	27 (46%)
Extensive systemic disease with few treatment options	
Yes	15 (25%)
No	44 (75%)

**Table 2.** General information of the patients (Continued)

Characteristic	N (%)
Encephalopathy	
Yes	4 (7%)
No	55 (93%)

\*Including gastric adenocarcinoma (n = 3), laryngeal squamous cell carcinoma (n = 1), hepatocellular carcinoma (n = 1), and primary cranial malignant melanoma (n = 1).  
NSCLC: nonsmall-cell lung cancer; SCLC: small cell lung cancer; CSF: cerebrospinal fluid; KPS: Karnofsky score; GCS: Glasgow coma scale.

therapy. Clinical response was defined as continuous presence of CR, OR or PR within an interval of at least 1 week. SD and PD were defined as ineffective.

The following parameters were determined before treatment: general health conditions, KPS score, neurological conditions, Glasgow coma scale, full blood count and multichannel biochemical profile. Imaging examination was used to evaluate systemic disease. Toxicity was evaluated by physical examination, neurological examination, CSF examination, full blood count and multichannel biochemical profile monitoring weekly. CSF cytology was performed once per week. Survival time was recorded since the date of LM diagnosis. All patients were followed up until death or July 31, 2015. Adverse events (AEs) were evaluated according to the Common Terminology Criteria for Adverse Events (CTCAE, version 3.0). Events of grade 3–5 were defined as moderate and severe adverse events.

### Statistical analysis

The primary endpoint was clinical response rate. The secondary endpoints were OS and safety. SPSS 17.0 software was used for data analysis. Survival analysis was performed using the Kaplan–Meier method. Log-Rank test was used to compare the survival time of patients. Univariate and multivariate Cox regression analysis were carried out to determine the risk factors of OS.  $\chi^2$  test and Fisher exact test were used to evaluate the difference of clinical response rate and OS between patients with various features.  $p < 0.05$  demonstrated significant difference.

## Results

### Patient characteristics

Fifty-nine patients (male: 27, female: 32, aged 31–72 years, median 55 years) were enrolled in this study. Patients' characteristics were showing in Table 2. The flow chart of the treatment was shown in Supporting Information 2.

Adverse prognostic factors were identified in all patients, including KPS of < 60 ( $n = 46$ ), severe and multiple neurological deficits ( $n = 39$ ), encephalopathy ( $n = 4$ ), extensive systemic disease with few treatment options ( $n = 15$ ) and bulky brain metastasis ( $n = 32$ ).

### Treatment and efficacy

Twenty-nine patients received radiotherapy within 3 days after the first IC. Thirty patients with KPS score of  $\leq 40$  received induction IC prior to radiotherapy, including 20 (66.7%)



**Table 3.** Clinical response rate and overall survival of patients with various pathological features

	NSCLC ( <i>n</i> = 32)	SCLC ( <i>n</i> = 10)	Breast cancer ( <i>n</i> = 11)	Others ( <i>n</i> = 6)
CR	8	3	2	1
OR	15	5	5	4
PR	5	1	1	1
SD	3	1	1	0
PD	1	0	2	0
Effective	28	9	8	6
Noneffective	4	1	3	0
Median OS (months)	6.7	4.5	5.4	11

No statistical difference was observed in the response of the patients with various primaries ( $p = 0.568$ ). No statistical difference was observed in the survival of the patients with various primaries ( $p = 0.110$ ).

received for once, 8 (26.7%) for twice and 2 (6.7%) for thrice, respectively. Three (5.1%) critically ill patients died with no response to the induction IC. Fifty-six patients received concomitant therapy, among whom 51 (86.4%) accomplished the concomitant therapy, including 4 with temporary cessation (3–10 days) due to severe bone marrow depression (white blood cell number of  $<1.5 \times 10^{12}$ , or platelet number of  $<45 \times 10^9$ ) and severe mucous reaction. Five patients (8.5%) quit the treatment after receiving 2–3 weeks of concomitant therapy for personal reasons. Fifty-one patients (86%) received whole brain radiotherapy. Twenty patients (34%) received partial spinal irradiation, among whom 2 received cervical spinal irradiation and 3 received thoracic spinal irradiation, and 18 received lumbosacral spinal irradiation. Fifteen (25%) received both whole brain irradiation and partial spinal field irradiation. Forty-two (71%) received supportive treatment.

Neurological remission was generally achieved after the first week of the concomitant therapy, and the clinical response was commonly achieved 2–4 weeks later. The overall clinical response rate was 86.4%, including CR (14, 23.7%), OR (29, 49.1%) and PR (8, 13.6%). Five patients (8.5%) had SD and three (5.1%) had PD. We also evaluated the clinical response rate based on pathological types, and the response rates were 87.5% (28/32) for nonsmall cell lung cancer (NSCLC), 90% (9/10) for small cell lung cancer (SCLC), 72.7% (8/11) for breast cancer and 100% (6/6) for the other tumors. No statistical difference was observed in the response rate of the patients with diverse tumors ( $p = 0.568$ , Table 3).

Thirty-eight patients (64.4%) received further subsequent treatments, including consolidation IC ( $n = 31$ ; 1–4 times, median 3), maintenance IC ( $n = 12$ ; 1–9 times, median 4), systemic chemotherapy [ $n = 15$ ; 1–4 cycles, median 2; the regimens included docetaxel and cisplatin ( $n = 5$ ), etoposide and cisplatin ( $n = 4$ ), docetaxel and capecitabine ( $n = 1$ ), capecitabine ( $n = 2$ ), pemetrexed and cisplatin ( $n = 3$ )] and molecular target therapy using tyrosine kinase inhibitor ( $n = 3$ ; 1 received Erlonat and 2 received Gefitinib).

**Table 4.** Clinical response rate and the patients' survival

	<i>N</i>	OS (months)	Median OS (months)
CR	14	3.5–36	8.4
OR	29	1.4–17.2	6.8
PR	8	2.4–13	4.9
SD	5	1.5–18.5	3.2
PD	3	0.4–0.6	0.4
Effective	51	1.5–36.7	6.8
Noneffective	8	0.4–18.5	2.8

The clinical response (CR, OR, PR or noneffective) was correlated to the patients' survival ( $p = 0.006$ ). Significant OS extension was observed in the patients with clinical response to the treatment ( $p = 0.009$ ).

Implantation metastases of intra-spinal canal were observed at month 2–11 in four patients following cranial radiotherapy and concomitant intrathecal MTX. Thus, spinal radiotherapy was performed subsequently. Fifteen patients presented recurrent neurologic symptoms mainly manifested as headache 2–9 months after concomitant therapy and other initial antitumor treatment. Among these patients, 9 received supportive treatment and died in a short time. For the other 6 patients, symptomatic improvement was obtained in 3 patients received further intrathecal MTX and 3 received second-line IC (cytosine arabinoside, 50 mg, dexamethasone, 5 mg). Particularly, one patient with breast cancer accomplished 8 times of induction, concomitant and consolidation IC, as well as subsequent 8 times of maintenance IC (once per month). Afterward, the patient received IC every 2–3 months to attenuate recurrent headache. Up to now, the patient had received 30 times of IC in total with a survival of up to 36.7 months despite a mild short-term memory loss and a KPS score of 80.

#### Follow-up and outcomes

All the patients were followed up for 0.4–36.7 months until July 31, 2015. The median OS was 6.5 months. One-year survival rate was 21.3%, and two-year survival rate was 6.1%. Fifty-three patients were dead. Forty-eight (90.6%) died from cancer progression, among whom 22 (41.5%) died wholly from LM, 10 (18.6%) wholly from systemic disease. The remaining patients died from delayed treatment-related neurotoxicity (2, 3.8%) and noncancer diseases (3, 5.7%).

According to the criteria of evaluation of clinical response (Table 1), fourteen patients showed CR (OS: 3.5–36.7 months, median: 8.4 months), and OR was noticed in 29 patients (OS: 1.4–17.2 months, median: 6.8 months). PR was noticed in 8 patients (OS: 2.4–13 months, median: 4.9 months). Five patients had SD (OS: 1.5–18.5 months, median: 3.2 months), and three had PD (OS: 0.4–0.6 months, median: 0.4 months). In total, response was observed in 51 patients (OS: 1.4–36.7 months, median: 6.8 months), and SD and PD was observed in 8 patients (OS: 0.4–18.5 months, median: 2.8 months, Table 4). Significant extension in OS was observed in the patients with clinical response

Table 5. Mainly adverse events

Variables	N (%)
Acute cerebral meningitis	1 (2%)
I-II degree	0
III-IV degree	0
V degree	1 (2%)
Chronic encephalopathy	3 (5%)
I-II degree	1 (2%)
III-IV degree	1 (2%)
V degree	1 (2%)
Radiculitis	16 (27%)
I-II degree	9 (15%)
III-IV degree	7 (12%)
V degree	0
Bone marrow depression	13 (22%)
I-II degree	5 (8%)
III-IV degree	8 (14%)
V degree	0
Mucositis	12 (20%)
I-II degree	10 (17%)
III-IV degree	2 (3%)
V degree	0
Leukodystrophy (n = 44)	30 (68%)
I degree	15 (50%)
II degree	7 (23%)
III degree	8 (27%)
Encephalopathy	11 (19%)
II-III degree	9 (15%)
IV degree	1 (2%)
V degree	1 (2%)
Moderate and severe toxicity	12 (20%)
Treatment-related death	2 (3%)
Death of adverse events during concurrent therapy	0

( $p = 0.009$ , Table 4). The status of clinical response (CR, OR, PR or noneffective) had significant correlation with the OS ( $p = 0.006$ , Table 4). The median OS for the patients with breast cancer, NSCLC, SCLC and others was 5.4 months, 6.7 months, 4.5 months and 9 months, respectively. No statistical difference was observed in the OS of patients with various pathologic types ( $p = 0.110$ , Table 3).

On univariate analysis (Supporting Information 3) OS was not influenced by gender ( $p = 0.331$ ), age ( $p = 0.324$ ), severe and multiple neurological deficits ( $p = 0.395$ ), bulky CNS disease ( $p = 0.800$ ), KPS < 40 ( $p = 0.997$ ) and KPS < 60 ( $p = 0.309$ ), systemic disease progression ( $p = 0.288$ ) and primary lung cancer ( $p = 0.142$ ), and hypoglycorrhachia ( $p = 0.153$ ), respectively. The cytology was turned to be nega-

tive in 15 patients (27%), which showed no protective effects against the OS ( $p = 0.988$ ). Significant OS benefits were observed in patients with clinical response ( $p = 0.013$ ), and accomplishing the concomitant therapy ( $p = 0.016$ ). Besides, extensive systemic disease with few treatment options caused significant adverse effects on the OS ( $p = 0.009$ ). Multivariate analysis revealed extensive systemic disease with few treatment options ( $p = 0.005$ ) and primary lung cancer ( $p = 0.033$ ) were the adverse prognostic factors. In addition, KPS of < 60 ( $p = 0.107$ ) or severe and multiple neurological deficits ( $p = 0.110$ ) caused no significant effects on prognosis (Supporting Information 3).

### Safety and toxicity

The major toxicities and side effects were radiotherapy-related injuries to skin and mucosa, bone-marrow depression, MTX-induced mucosal injuries, lumbar radiculitis, as well as acute/chronic neurotoxicity (Table 5). Mild or moderate skin reaction and hair loss occurred in all the patients undergoing brain radiotherapy. In addition, radiotherapy-related mild and moderate otitis media was observed in 13 patients. Bone marrow depression was mainly occurred at Week 3 and 4 during concomitant therapy, which was manifested as decreased white blood cell count ( $n = 12$ ) and platelet count ( $n = 5$ ). Twelve patients (20.3%) showed MTX-induced mucosal injuries. Among them, five patients received intravenous injection of leucovorin (100 mg, b. i. d.). Eleven patients showed mild or moderate mucosal injuries. Only one patient showed severe mucosal injury (grade IV) manifested as oral mucosal ulcer 2 days after the fourth intrathecal MTX. One week later, this patient showed mucosanguineous stool and mucosal swelling of the perineal region. The symptoms were attenuated after intravenous injection of leucovorin (100 mg, b. i. d.), and gargling with leucovorin (5%) as well as hip-bath. Sixteen patients with radiculitis mainly presented regional numbness of the gluteal region and lower extremities. Among these patients, 9 with mild symptoms were alleviated spontaneously without interfering quality of life. However, several patients showed moderate ( $n = 5$ ) and severe radiculitis ( $n = 2$ ), which persistently affected sleeping and walking. No patient showed lumbar puncture-induced purulent meningitis.

Three patients (5.1%) showed severe neurotoxicity, including 1 with acute neurotoxicity manifested as chemical arachnoiditis and 2 with delayed neurotoxicity manifested as encephalopathy. Among these patients, 2 died finally due to deterioration of neurotoxicity. For the patient with acute neurotoxicity, the symptoms were presented at 5.5 months after concomitant therapy, and were manifested as progressively severe headache accompanied by stiff neck, vomiting, seizure, ablespsia and photophobia. This patient showed remarkable increase in CSF protein (1.41 g/L, normal range 0.15–0.45 g/L). The patient had received 13 times of IC in total, and also received systemic chemotherapy (Docetaxel and cisplatin) during the consolidation and maintenance IC. Brain MRI showed no new lesions or cerebral apoplexy, but showed grade I

leukoencephalopathy. For the 2 patients with delayed neurotoxicity, it happened in 6 months and 16 months following concomitant therapy, respectively. Main manifestations were progressive cognitive disorder, mental obtundation, lower motor neuron weakness and dysphagia. Leukoencephalopathy (grade III) was confirmed by neuro-radiologic examination presenting severe cerebral atrophy, increase in subarachnoid space and other features.

Leukoencephalopathy refers to a type of delayed and chronic neurotoxicity evaluated by neuroimaging examination. As regular cranial MRI was not compulsory in this study, it was hard to precisely evaluate leukoencephalopathy. A total of 44 patients received cranial MRI/CT within 1–24 months after concomitant therapy, 30 of whom showed leukoencephalopathy (Table 5). Besides 3 patients with severe neurotoxicity mentioned above, no significant CNS symptoms were noticed except for mild or moderate encephalopathy (grade II–III) mainly manifested as short-term memory loss and depression or dullness of mind in 9 patients. Nineteen patients underwent MRI scan over 6 months after concomitant therapy, and all of them were confirmed with leukoencephalopathy.

In this study, about half the patients showed a Glasgow coma scale of less than 14 upon the diagnosis of LM. As the patients' conditions were severe, it was hard to perform the cognitive evaluation. Due to the absence of baseline, regular cognitive evaluation was not designed. Patients with typically delayed encephalopathy manifested as cognitive disturbance, confusion and other typical symptoms could be ascertained as adverse effects, and minimum mental state examination (MMSE) was performed for the evaluation. Regular MMSE was not designed as the OS of LM patients was too short.

## Discussion

In this single-arm and prospective clinical study, we confirmed IF-RT combined with concomitant intrathecal MTX could improve the quality of life and neurological symptoms of LM patients from solid tumors with adverse prognostic factors. Meanwhile, the neurotoxicity was not as severe as expected. The median OS and one-year survival rate was obviously higher than the historical reports. This treatment regimen improved the prognosis of LM patients from solid tumors with adverse prognostic factors for the first time.

LM patients with poor conditions may achieve clinical improvement after IC, however, the neurologic symptoms commonly relapse within a short time.<sup>24,26</sup> Such situation was also proved by our clinical experiences. In this study, concomitant radiotherapy contributed to a long-term neurologic remission and extension of OS. This regimen provides lots of advantages: (i) MTX is a type of antimetabolic antitumor drug that inhibits the metabolism of folic acid. Cancer cells at S phase and G1/S phase are sensitive to MTX, while those at G1, G2 and M phase are sensitive to irradiation. Thus, radiotherapy and MTX mediate synergistic effects for different phases of the cell cycle. (ii) MTX is also involved in radiosensitizing effect.<sup>27</sup> (iii) Radiotherapy is indicated to

relieve CSF flow block and reestablish normal CSF, which subsequently improves the diffusion of drugs in CSF and attenuates the neurotoxicity induced by CFS flow blocks and drug accumulation.<sup>8,21,28</sup> (iv) The simultaneous modality of radiotherapy and IC, rather than the administration of each treatment sequentially, can also shorten the total time of LM-related treatment. After controlling CNS involvement, systemic therapy could be administered promptly. Thus, it is appropriate for the comprehensive treatment of the patients with active systemic disease.

LM patients from solid tumors showed similar outcomes (median OS is 2–3 months approximately) and clinical features.<sup>1</sup> To our knowledge, lots of previous studies enrolled patients with various solid tumors<sup>2,17,24,29–32</sup> despite the prognosis of LM from breast cancer was satisfactory.<sup>33</sup> Therefore, patients with different primaries were enrolled in this study. After all, patients with various tumors showed no statistical difference in the clinical response and OS in this study. We concluded that the concomitant therapeutic modality could be effective for LM from various solid tumors.

Although induction IT showed no marked impact on the OS and clinical response rate, it was applied to the critical patients to alleviating severe conditions temporarily. Upon short-term attenuation of symptoms, the concomitant radiotherapy should be performed subsequently. In this study, 3 patients with severe conditions and lower KPS (20 score) died from LM progression even though induction IC had been given. Consequently, whether concomitant therapy could be administered in those with poor conditions is depended on the response to induction IC. In line with the previous studies,<sup>24,34</sup> the response to initial IC is one of the key points for the prognosis of critical LM patients. The patients with neurological remission and improved KPS ordinarily indicate better prognosis.

The one-dimensional response evaluation criteria in solid tumors (RECIST) are not appropriate for the evaluation of LM as the neuroimaging features of LM commonly are not measurable at least as defined by current brain tumor response criteria.<sup>1</sup> Moreover, a prior autopsy study revealed that changes in MRI findings might not accurately represent the changes in actual degree of leptomeningeal lesion burden.<sup>35</sup> To date, CSF cytological clearance rates and symptomatic improvement have been commonly used for clinical evaluation.<sup>17,29,36,37</sup> However, the presence or absence of CSF cytology did not appear to influence survival.<sup>25</sup> Besides, false negative testing of CSF cytology is common. Indeed, our study revealed that CSF cytological clearance showed no correlation with either clinical response rate ( $p = 0.423$ ) or OS ( $p = 0.988$ ). Thus, CSF cytology may not be a suitable choice for the evaluation. Previously, changes of neurologic symptoms/signs were solely used to assess the clinical response.<sup>38</sup> The clinical evaluation based on changes of neurologic symptoms/signs was performed every 2 weeks or before each cycle of therapy in several studies.<sup>26,29,31</sup> Transient neurological symptoms related with supportive treatment or AEs might be misconstrued as clinical improvement or

progression. Thus, it should be necessary to define a span of time to identify the effectiveness of treatment. In one study, it was defined that clinical status persisting >4 weeks could serve as a criterion of evaluation.<sup>26</sup> Considering the survival of LM patients with adverse prognostic factors was extremely short, continuous CR, OR or PR for two times of evaluation within an interval for at least 1 week was set as a criterion for effectiveness in this study. Data analysis revealed the clinical response (CR, OR, PR or noneffective) was correlated with the patients' survival ( $p = 0.006$ , Table 4), which indicated this method was effective for the evaluation of prognosis.

Recurrence was inevitable even though presence of CSF cytological clearance, as it was difficult to eradicate the tumor cells in CSF thoroughly. According to the NCCN guidelines, maintenance IC was mostly recommended to the clinically stable patients. The patients received maintenance IC usually showed stable disease or longer expected survival that caused absence of randomness in this study. However, maintenance IC was still effective in improving neurologic symptoms of the patients with recurrent disease following the concurrent therapy. Of note, all of 3 patients with severe neurotoxicity (grade IV–V) received many times of IC (12–13 times) and concomitant systemic therapy with consolidation/maintenance IC during the subsequent treatment. Thus, for the patients with active systemic disease and needed systemic therapy, it should be deliberated to decide whether simultaneous systemic therapy should be given during the regimen of IC.

To date, the efficacy of systemic therapy for LM from solid tumors is uncertain. Blood–brain and blood–CSF barriers limit penetration of most systemically administered anticancer agents into CNS. Thus, CSF exposure to most cytotoxic agents is <5% of the plasma concentration, and it is rarely used for the primary treatment of LM.<sup>1</sup> Furthermore, it has been reported that systemic chemotherapy provided no additional benefits over the combination of IC and radiotherapy.<sup>39</sup> Nevertheless, most LM patients showed active systemic disease that was considered as the main cause of death.<sup>5</sup> For these patients, systemic therapy was necessary.<sup>40–44</sup> However, partial patients showed poor tolerance to systemic therapy due to low KPS and fatal CNS involvement. Thus, it is crucial to select an appropriate time for the systemic therapy. In a previous study, Park *et al.*<sup>40</sup> suggested further systemic therapy (chemotherapy or target therapy) after IC conferred survival benefits. In this study, the regimen shortened the total time of LM-related treatment. After controlling CNS involvement, systemic chemotherapy could be given to the patients with active systemic disease promptly. Despite no obvious survival benefits in the patients received systemic therapy ( $p = 0.296$ ), active systemic disease showed no influence on OS either ( $p = 0.288$ ). However, extensive systemic disease with few treatment options was an adverse prognostic factor ( $p = 0.006$ ). It seemed that systemic therapy improved the prognosis of the LM patients with active systemic disease. However, it was hard to confirm whether systemic therapy could cause benefits to the CNS dissemination.

In line with the previous studies,<sup>4,5</sup> multivariate analysis revealed lung cancer was a risk factor for poor prognosis ( $p = 0.033$ ), which might be attributed to the poor prognosis of SCLC patients (mean OS: 4.5 months). According to the univariate analysis, the survival of SCLC patients was inferior to NSCLC ( $p = 0.082$ ). Moreover, the clinical response rate of SCLC patients was up to 90%, however, half of them (50%) died from progressive systemic disease in a short time. Above all, as a risk factor, lung cancer might be related with the progression of the systemic disease rather than invalidness for the regimen of the concurrent therapy. Based on the multivariate and univariate analysis, the prognosis is worse for those with systemic disease progression with few treatment options. Despite no benefits in the OS in these patients following concomitant therapy, significant improvement was noticed in their neurologic function and quality of life.

It was difficult to ascertain a time span for MRI examination as the survival time of LM patients with poor prognostic factors was extremely short. Therefore, regular MRI was not compulsory in this study. A total of 44 patients received cranial MRI scan after concomitant therapy, among whom a higher incidence (68%) of leukoencephalopathy was noticed. Consistent with the previous studies,<sup>23,45,46</sup> most of the patients with leukoencephalopathy were asymptomatic, and mainly presented in patients aged < 60 years or received high dose chemotherapy. In this study, leukoencephalopathy was mainly observed in the patients with survival time of  $\geq 6$  months. Thus, the incidence of leukoencephalopathy was inclined to increase in patients with longer survival, but severe neurological deficit was seldom observed.

Indeed, there were limitations in this study. The concurrent therapy was designed as the mainstay of this study, and classical regimen of IC (including induction IC, consolidation IC and maintenance IC) was not compulsory. Thus, patients received various cycles of IC, which might affect the outcomes slightly. Additionally, LM is a lethal complication of malignancy. The design of clinical trial and the patients' prognosis could be affected by many aspects, such as general status of patients, status of extra-CNS disease and other anticancer treatment. The subsequent therapy, including consolidation/maintenance IC or systemic therapy, might have potential influence on the outcomes, especially the delayed neurotoxicity and patients' survival. Furthermore, LM patients usually present with pleomorphic and subtle neurological signs affecting the CNS, and sometimes it is difficult to differentiate from those caused by the adverse effects of cancer treatment.<sup>1</sup> Thus, it was hard to evaluate the treatment related neurotoxicity (e.g., cognitive disturbance) precisely. In this study, approximately half the patients showed a Glasgow coma scale of less than 14 upon the diagnosis of LM. Due to severe conditions of these patients, it was hard to perform the cognitive evaluation before treatment. Because of the absence of baseline, regularly cognitive evaluation was not designed in this study. Despite the inevitable limitations, the patients received comprehensive treatment based on the concurrent therapy as



a mainstay achieved higher clinical response rate and obvious survival benefit than histological reports.

In conclusion, this study provides important information about the regimen of the concurrent therapy with significant efficacy and acceptable toxicity that may serve as an optimal

therapeutic option for treatment of LM from solid tumors with adverse prognostic factors. The evaluation criteria based on the neurologic improvement and KPS changes are appropriate for the response assessment of LM-related treatment.

## References

- Le Rhun E, Taillibert S, Chamberlain MC. Carcinomatous meningitis: leptomeningeal metastases in solid tumors. *Surg Neurol Int* 2013; 4:S265
- Chamberlain MC. Combined-modality treatment of leptomeningeal gliomatosis. *Neurosurgery* 2003; 52:324–30.
- Chamberlain MC, Tsao-Wei D, Groshen S. Neoplastic meningitis-related encephalopathy prognostic significance. *Neurology* 2004; 63:2159–61.
- Chamberlain MC, Glantz MJ, Groves MD, et al. Diagnostic tools for neoplastic meningitis: detecting disease, identifying patient risk, and determining benefit of treatment. *Semin Oncol* 2009; 36:S35–45.
- Taillibert S, Laigle-Donadey F, Chodkiewicz C, et al. Leptomeningeal metastases from solid malignancy: a review. *J Neurooncol* 2005; 75:85–99.
- Chamberlain MC, Johnston SK, Glantz MJ. Neoplastic meningitis-related prognostic significance of the Karnofsky performance status. *Arch Neurol* 2009; 66:74–8.
- Brem SS, Bierman PJ, Black P, et al. Central nervous system cancers: clinical practice guidelines in oncology. *J Natl Compr Canc Netw* 2005; 3:644–90.
- Chamberlain MC, Kormanik PA. Prognostic significance of 111indium-DTPA CSF flow studies in leptomeningeal metastases. *Neurology* 1996; 46:1674–7.
- Chamberlain MC, Kormanik PA. Prognostic significance of coexistent bulky metastatic central nervous system disease in patients with leptomeningeal metastases. *Arch Neurol* 1997; 54:1364–8.
- Chamberlain M. Leptomeningeal metastases: a review of evaluation and treatment. *J Neuro Oncol* 1998; 37:271–84.
- Gani C, Müller A-C, Eckert F, et al. Outcome after whole brain radiotherapy alone in intracranial leptomeningeal carcinomatosis from solid tumors. *Strahlenther Onkol* 2012; 188:148–53.
- Morris PG, Reiner AS, Szenberg OR, et al. Leptomeningeal metastasis from non-small cell lung cancer: survival and the impact of whole brain radiotherapy. *J Thorac Oncol* 2012; 7:382–5.
- Clarke JL, Perez HR, Jacks LM, et al. Leptomeningeal metastases in the MRI era. *Neurology* 2010; 74:1449–54.
- Chamberlain M, Soffietti R, Raizer J, et al. Leptomeningeal metastasis: a response assessment in neuro-oncology critical review of endpoints and response criteria of published randomized clinical trials. *Neuro-Oncology* 2014; 16:1176–85.
- Glantz MJ, LaFollette S, Jaecle K, et al. Randomized trial of a slow-release versus a standard formulation of cytarabine for the intrathecal treatment of lymphomatous meningitis. *J Clin Oncol* 1999; 17:3110–6.
- Cole BF1, Glantz MJ, Jaecle KA, et al. Quality-of-life-adjusted survival comparison of sustained-release cytosine arabinoside versus intrathecal methotrexate for treatment of solid tumor neoplastic meningitis. *Cancer* 2003; 97:3053–60.
- Glantz MJ, Jaecle KA, Chamberlain MC, et al. A randomized controlled trial comparing intrathecal sustained-release cytarabine (DepoCyt) to intrathecal methotrexate in patients with neoplastic meningitis from solid tumors. *Clin Cancer Res* 1999; 5:3394–402.
- Groves MD. Leptomeningeal metastasis: still a challenge. ASCO Educational Book. 2008. 80–87.
- Chamberlain MC. Neoplastic meningitis. *J Clin Oncol* 2005; 23:3605–13.
- Blasberg RG, Patlak C, Fenstermacher JD. Intrathecal chemotherapy: brain tissue profiles after ventriculocisternal perfusion. *J Pharmacol Exp Ther* 1975; 195:73–83.
- Chamberlain MC, Kormanik P, Jaecle KA, et al. 111Indium-diethylenetriamine pentaacetic acid CSF flow studies predict distribution of intrathecally administered chemotherapy and outcome in patients with leptomeningeal metastases. *Neurology* 1999; 52:214.
- Novak LJ. Radiotherapy of the central nervous system in acute leukemia. *Hematol Oncol* 1989; 11:87–104.
- Bleyer WA. Current status of intrathecal chemotherapy for human meningeal neoplasms. *Natl Cancer Inst Monogr* 1977; 46:171–8.
- Hitchins RN, Bell DR, Woods RL, et al. A prospective randomized trial of single-agent versus combination chemotherapy in meningeal carcinomatosis. *J Clin Oncol* 1987; 5:1655–62.
- Chamberlain MC, SK J. Neoplastic meningitis: survival as a function of cerebrospinal fluid cytology. *Cancer* 2009; 115:1941–6.
- Vedrine L, Artru P, Tournigand C, et al. Meningeal carcinomatosis in gastric cancer. *Gastroenterol Clin Biol* 2001; 25:422–4.
- Kim A, Lee J-E, Jang W-S, et al. A combination of methotrexate and irradiation promotes cell death in NK/T-cell lymphoma cells via down-regulation of NF- $\kappa$ B signaling. *Leuk Res* 2012; 36:350–57.
- Wasserstrom WR, Glass JP, Posner JB. Diagnosis and treatment of leptomeningeal metastases from solid tumors: experience with 90 patients. *Cancer* 1982; 49:759–72.
- Grossman SA, Finkelstein DM, Ruckdeschel JC, et al. Randomized prospective comparison of intraventricular methotrexate and thiotepa in patients with previously untreated neoplastic meningitis. *J Clin Oncol* 1993; 11:561–9.
- Shapiro WR, Schmid M, Glantz M, et al. A randomized phase III/IV study to determine benefit and safety of cytarabine liposome injection for treatment of neoplastic meningitis. *J Clin Oncol* 2006; 24:1528s
- Groves MD, Glantz MJ, Chamberlain MC, et al. A multicenter phase II trial of intrathecal topotecan in patients with meningeal malignancies. *Neuro-Oncology* 2008; 10:208–15.
- Chamberlain MC, Wei-Tao DD, Groshen S. A phase 2 trial of intra-CSF etoposide in the treatment of neoplastic meningitis. *Cancer* 2006; 106:2021–7.
- Scott BJ, Oberheim-Bush NA, Kesari S. Leptomeningeal metastasis in breast cancer—a systematic review. *Oncotarget* 2016; 7: 3740–47.
- Sause WT, Crowley J, Eyre HJ, et al. Whole brain irradiation and intrathecal methotrexate in the treatment of solid tumor leptomeningeal metastases—a southwest oncology group study. *J Neurooncol* 1988; 6:107–12.
- Bussani R, Cova M, Pozzi-Mucelli R, et al. Extensive metastatic leptomeningeal melanomatosis as the first clinical sign of a cutaneous melanoma: morphological correlations between magnetic resonance imaging and autopsy findings. A case report. *Hum Pathol* 2003; 34:625–8.
- Jackman DM, Cioffredi LA, Jacobs L, et al. A phase I trial of high dose gefitinib for patients with leptomeningeal metastases from non-small cell lung cancer. *Oncotarget* 2015; 6:4527–36.
- Scott BJ, van Vugt VA, Rush T, et al. Concurrent intrathecal methotrexate and liposomal cytarabine for leptomeningeal metastasis from solid tumors: a retrospective cohort study. *J Neurooncol* 2014; 119:361–8.
- Boogerd W, van den Bent MJ, et al. The relevance of intraventricular chemotherapy for leptomeningeal metastasis in breast cancer: a randomized study. *Eur J Cancer* 2004; 40:2726–33.
- Chamberlain MC, Kormanik P. Carcinoma meningitis secondary to non-small cell lung cancer: combined modality therapy. *Arch Neurol* 1998; 55:506–12.
- Park JH, Kim YJ, Lee J-O, et al. Clinical outcomes of leptomeningeal metastasis in patients with non-small cell lung cancer in the modern chemotherapy era. *Lung Cancer* 2012; 76:387–92.
- Boogerd W, Hart AAM, van der Sande JJ, et al. Meningeal carcinomatosis in breast cancer. Prognostic factors and influence of treatment. *Cancer* 1991; 67:1685–95.
- Fizazi K, Asselain B, Vincent-Salomon A, et al. Meningeal carcinomatosis in patients with breast carcinoma: clinical features, prognostic factors, and results of a high-dose intrathecal methotrexate regimen. *Cancer* 1996; 77:1315–23.
- Grant R, Naylor B, Greenberg HS, et al. Clinical outcome in aggressively treated meningeal carcinomatosis. *Arch Neurol* 1994; 51:457–61.
- Siegel T, Lossos A, Pfeffer MR. Leptomeningeal metastases analysis of 31 patients with sustained off-therapy response following combined-modality therapy. *Neurology* 1994; 44:1463–3.
- Kerr JZ, Berg S, Blaney SM. Intrathecal chemotherapy. *Crit Rev Oncol Hematol* 2001; 37:227–36.
- Kim JY, Kim ST, Nam D-H, et al. Leukoencephalopathy and disseminated necrotizing leukoencephalopathy following intrathecal methotrexate chemotherapy and radiation therapy for central nerve system lymphoma or leukemia. *J Korean Neurosurg Soc* 2011; 50:304–10.