

Endothelial damage due to air pollution

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INTRODUCTION

The first human deaths due to air pollution were recorded in the mid-20th century. There were 6,000 cases of illness recorded in Donora, Pennsylvania, in 1948 and 20,000 in London in 1952; 15 and 4,000 cases of death, respectively, were allegedly ascribed to air pollution. Since then, many countries have adopted standards of air quality in order to protect environmental and human health, although the quality of the air in some industrialized countries remains worrying. Emerging countries in the Far East and South America are also cause for concern because of the growth in the population, industrialization and transport (1).

The WHO World Health Report 2002 estimated that air pollutants, particularly PM₁₀, are associated with a mortality rate of 5% for cancer of the respiratory system, 2% for cardiovascular diseases and about 1% for respiratory tract infections. These estimates consider the mortality but not the morbidity rate, which would increase proportionally the number of cases of these pathologies, despite the difficulty in evaluation (2).

ENVIRONMENTAL POLLUTANTS

According to the Italian Presidential Decree no. 203 dated May 24th 1998, air pollution is defined as "any modification to the normal composition or to the physical state of the air, due to the presence of one or more substances in such quantities and characteristics as to alter its healthiness and the normal environmental conditions; this contamination can be directly or indirectly dangerous for human health and could jeopardize recreational and normal activities performed in the envi-

ronment. Moreover, this could seriously affect biological resources, the ecosystem, and public and private tangible assets".

The thus-defined pollutants, which can be in gaseous, liquid or solid form, are commonly classified as either primary or secondary. Primary pollutants are released into the atmosphere directly by nature or as a consequence of human activity. Many of these pollutants, which have an elevated reactivity, either intrinsic or sunlight-induced, can combine easily or react with natural substances in the atmosphere, causing secondary pollutants.

The main pollutants derive from:

- the combustion process in car engines, domestic heating and industrial equipment;
- wear and tear and the dispersion of materials, e.g. the road surface and car tires;
- certain manufacturing processes.

Primary pollutants, which include nitrogen monoxide (NO), carbon monoxide (CO), sulphur dioxide (SO₂) and volatile organic compounds, originate from the above sources.

Secondary pollutants include nitrogen dioxide (NO₂), ozone (O₃), sulphuric acid (H₂SO₄), aldehydes, ketones, peroxides and particulate matter (PM) (3).

Nitrogen oxides

Nitrogen oxides are highly reactive substances, and most toxicological and epidemiological studies have focused on NO₂. The main anthropogenic source of NO₂ is fossil combustion, especially in motor vehicles and industrial processes. NO₂ concentrations have been seen to peak in the morning and late afternoon, in conjunction with rush-hour traffic. Other natural sources of NO₂

and NO are bacterial metabolism, volcanic emissions and photolytic reactions (4-6).

These pollutants have a toxic effect on the respiratory, immune and hemocoagulative systems since they are able to combine with haemoglobin, thereby reducing the activity of the immune system (7, 8).

Carbon monoxide (CO)

Carbon monoxide is a product of the incomplete combustion of carbon-containing fuels and cigarette smoking (9, 10).

CO is a ubiquitous, odourless and colourless gas, which binds to hemoglobin with an affinity 250 times that of oxygen. CO binding to hemoglobin, changing its conformation, causes a reduced release of O₂ at the tissue level and cellular hypoxia. In addition, CO binds to numerous other cellular (or extravascular) proteins, e.g. cytochrome P450, catalase, peroxidases and myoglobin, interfering with mitochondrial respiration.

At present, measuring CO concentration in the air serves more as an indicator of combustion-derived pollution than one of toxicity. Only in a few specific cases, such as in poorly ventilated areas, can CO reach a high enough concentration to lead to intoxication (poisoning) (11).

Sulphurous anhydride/sulphur dioxide

SO₂ is a soluble gas with a pungent smell and taste that irritates the eyes and mucous membranes. Because of its strong affinity for water, most SO₂ is retained in the rhinopharynx, and only a small quantity reaches the deepest areas. SO₂ can cause bronchoconstriction and phlogosis of the upper airway in subjects occupationally exposed to high SO₂ concentrations (12).

One of the main sources of SO₂ is combustion, especially in diesel engines. High levels of SO₂ have been associated with numerous air pollution catastrophes in the 20th century; morbidity and mortality in these episodes are closely related to its role in the formation of particulate matter (sulphates).

Ozone

Ozone is a highly-reactive gas, and is naturally present in the stratosphere, where it prevents high-energy

UV radiation from penetrating the atmosphere. Yet in the troposphere, where ozone should not actually be present, it is formed by the action of solar UV radiation on nitrogen oxides and on hydrocarbons (photochemical smog), both of which are released by motor vehicles and industrial processes. This is why the toxic effects of ozone peak in the late-afternoon hours, especially on sunny days. The toxicity of O₃ derives from its tendency to oxidize tissues. For instance, ozone can cause premature ageing of the parenchyma and the epithelial structure, and hence reduced resistance to pathogenic agents (13-15).

Particulate matter

Airborne PM consists of a mixture of liquid and solid air-suspended particles, which are released straight into the atmosphere or after the transformation of gas into particles from natural or human-induced processes (16-19).

PM can be classified according to:

- a) dimensions, into dust, smoke, smog or soot and fog;
- b) origin, into primary PM (if emitted into the atmosphere) and secondary PM (if resulting from the physiochemical transformation of gases);
- c) source, into natural or anthropogenic (Tab. I)

The most important parameter for defining the toxicity of PM is particle diameter. Ultrafine particles, the so-called "Aitken nuclei", $0.01 \mu\text{m} < \text{UFP}_s < 0.1 \mu\text{m}$ in diameter, usually result from the homogeneous nucleation of oversaturated vapors, e.g. the combustion process, forming SO₂, NH₃ and NO_x.

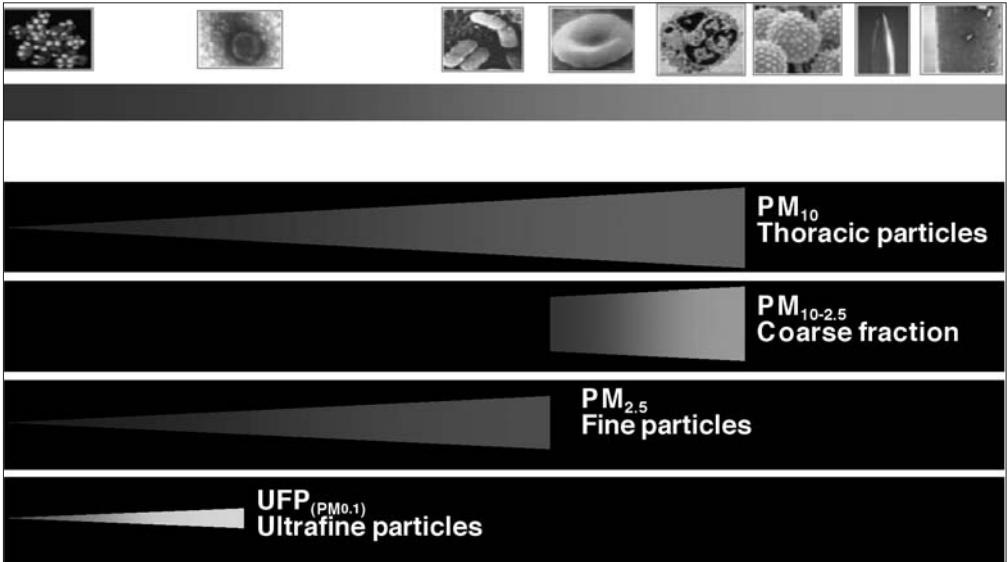
The so-called "fine" particles, $0.1 \mu\text{m} < \text{PM} < 2.5 \mu\text{m}$ in diameter, are the result of the coagulation of UF particles, the physiochemical transformation of gases into particles (also known as heterogenic nucleation) or the condensation of the gas on pre-existing particles in the accumulation fraction rate. In industrialized countries, the most common constituents include sulphates, nitrates, elemental and organic carbon, a variety of metals deriving from combustion processes, and ammonium ions. Sulphates, nitrates and ammonium are basically the result of the transformation of SO₂, NO₂ and CO from a gaseous to a particle state.

$0.1 \mu\text{m} < \text{PM}_s < 2.5 \mu\text{m}$ can also include particles of biological origin, e.g. fungal spores, bacteria, pollen grains and endotoxins. Fine particles are generally too

TABLE I - SOURCES OF FINE PARTICULATE (Marconi A, Ann Ist Super Sanità 2003; 39 (3): 329-42, modified)

Natural
Sea spray
Mineral dust carried by the wind
Volcanic emissions (directly emitted particles and those produced by the reaction of gaseous compounds)
Biogenic materials (directly emitted particles and secondary ones resulting from the condensation of organic substances emitted by plants)
Smoke resulting from forest fires or burning vegetable matter
Products of natural gas particle conversion reactions (e.g. sulphates generated by reduced sulphur emitted by ocean surfaces and reactions with marsh gases)
Anthropogenic
Particles emitted from industrial processes, combustion processes, means of transport, construction work (ash, smoke, road dust, etc.)
Products of the conversion of gases generated by the combustion of fossil materials

Fig. 1 - Classification of particulate matter according to its dimensions (Brook RD, et al. Circulation 2004; 109: 2655-71, modified).



small to deposit and too big to agglomerate and coalesce into larger particles, enabling them to remain in the atmosphere for days and cover long distances.

2.5 µm < PM_s > 10 µm, the so-called “coarse particles”, derive from grinding or erosion processes, and include windblown soil. They include elements that are present in the soil or in sea salt, e.g. Si, Al, Ca, Fe, Mn, Na, Sr and K.

Due to their fairly large size, these particles are usu-

ally released by the atmosphere and settle in a few hours, and they are commonly found near the source of emission, depending on its height (Fig.1).

EPIDEMIOLOGICAL STUDIES

Many published epidemiological studies link environmental air pollution to the incidence of human illnesses.

Even though many pollutants can cause diseases individually or in combination (e.g. NO₂, SO₂ and O₃), research in the last few years has focused on PM (20-23). The acute and chronic effects of PM₁₀ on human health have been described. There are many epidemiological studies on short-term health effects and outcomes (mortality, hospital admissions, symptoms); they are related to the modification of pollutant concentrations in the short term. There are few studies on chronic effects, and they are based on data (such as total mortality and cardiovascular events) recorded in different geographic areas with different concentrations of air pollutants.

Studies on the acute effects of air pollution

The global result of studies conducted in the U.S.A. and Canada up until 1997 showed that each 10 µg/m³ increase in PM₁₀ was associated with a 1% increase in daily mortality and hospital admissions (24-26).

The European Approach Project (APHEA – 2) (27) has observed 43 million people in 29 European cities for 5 years: the estimated increase in daily all-cause mortality was 0.6% for each 10 µg/m³ increase in PM₁₀. When also considering daily hospitalization for BPCO and cardiovascular events in subjects aged over 65, researchers observed an increased incidence of 1% and 0.5%, respectively, for each 10 µg/m³ increase in PM₁₀.

A similar North American study, the NMMAPS (National Mortality, Morbidity and Air Pollution Studies) (28), which involved 20 cities and more than 50 million people, reported a 0.5% increase in daily all-cause mortality for each 10 µg/m³ increase in PM₁₀.

Two MISA studies have been conducted in Italy: MISA 1 (Meta Analysis of the Italian Studies on the short-term effects of air pollution) produced - for the first time in Italy - a complete estimate of the short-term effects of air pollutants on human health; the MISA 2 study, conducted between 1996 and 2002, considered a larger population (9 million people), and included Southern Italy (29). In conjunction with the increased concentration of air pollutants studied (PM₁₀, CO, NO₂), researchers observed an increase in daily all-cause and cardio-respiratory mortality, as well as an increase in hospital admissions due to cardiac and respiratory diseases. The association between the concentration of pollutants and the incidence of illness depends on the

type of pollutant and the considered outcome. For daily all-cause mortality, for instance, the increased risk is evident within a few days of the peak in pollution (2 days for PM₁₀, up to 4 days for CO and NO₂). The total daily all-cause impact on mortality for gaseous pollutants such as CO and NO₂ is between 1.4% and 4.1%; PM₁₀ evaluation is more difficult because of the differences in the estimates of the cities considered (0.1% - 3.3%). It is agreed, however, that restrictive measures for controlling PM₁₀, if applied until 2010, could save 900 lives (1.4%). Some recent studies conducted in Austria, France and Switzerland have indicated PM₁₀ as being responsible for 6% of total deaths, i.e. 40,000 deaths each year, half of which are attributed to urban traffic (30).

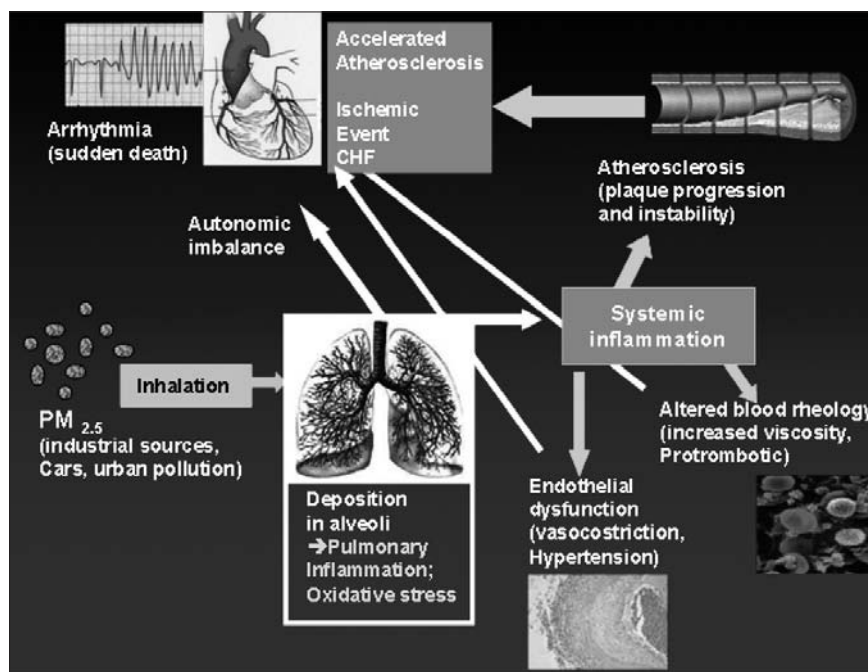
Finally, some recent studies conducted in the United States and Europe have shown a high number of hospital admissions due to cardiovascular problems - 0.8% heart failure and 0.7% ischemic heart disease - as a consequence of each 10 µg/m³ increase in PM₁₀. Other studies have reported a higher incidence of hypertension, myocardial ischemia during stress-testing, arrhythmias and stroke in the presence of elevated PM₁₀ levels (31-34).

Chronic effects of pollutants

The Six Cities Study (35) was the first prospective study to demonstrate the long-term negative effects of pollutants on health. Cardiovascular mortality is directly related to pollution, with a RR of mortality of 1.26% among 8,111 patients with 14-16 years of follow-up. The data were confirmed, even after adjustment for age, gender, smoking, body mass index, occupational exposure and diabetes. Of the 1,401 deaths, 646 were due to cardiovascular causes.

The ACS Cancer Prevention II Study (28), involving 500,000 patients living in 50 different countries with a 16-year follow-up, confirmed these data. Each 10 µg/m³ increase in PM_{2.5} resulted in an increase in daily all-cause, cardiopulmonary and lung cancer mortality of 4%, 6%, and 8%, respectively. PM_{2.5}, sulphuric dioxide, sulphate particles and O₃ were the most dangerous pollutants. Lastly, Hoek et al (36) pointed out that exposure to toxic substances may vary from place to place within the same town. Living near a major road was associated with increased cardiopulmonary mortality (RR 1.95).

Fig. 2 - Possible biological mechanisms linking PM to cardiovascular disease. (Brook, RD et al, *Circulation* 2004; 109: 2655-71, modified).



BIOLOGICAL EFFECTS

The biological mechanism correlating air pollutants with cardiac diseases are only partially understood. Air pollutants can affect the cardiovascular system directly (within a few hours). Some gaseous pollutants and ultrafine particles go straight into the circulatory system, penetrating the pulmonary epithelium. Other pollutants act indirectly (within several hours or days) via pulmonary oxidative stress, leading to a systemic inflammatory state (17-19).

The potential mechanism of the effects of PM₁₀ on the cardiovascular system is shown in Figure 2.

Oxidative stress and systemic or pulmonary inflammatory state

Several studies conducted in *in vitro* animal and cellular models have shown that exposure of lung tissue to PM₁₀ and O₃ produces an inflammatory response and that the presence of metal elements enhances the toxic effects by increasing oxidative stress (37-44).

A recent study conducted on rats showed a doubling in reactive oxygen species in hearts and lungs after 2 hours' exposure to PM_{2.5} (45).

In addition, exposure to high concentrations of PM_{2.5}

caused an increase in levels of markers of lipid and protein oxidation in human beings (46). Oxidative stress causes the activation of transcription factors, e.g. NFκB and protein-1 activator, which are linked to the production of cytokines, chemokines and other proinflammatory mediators (47).

PM_{2.5} induces apoptosis and necrosis of macrophages and respiratory epithelial cells; it possibly decreases immunitary defenses and increases airway reactivity (48).

Finally, the inflammatory intrapulmonary response is due in part to stimulation by the irritant particles of sensory neurons. They stimulate the release of neuropeptides (e.g. substance P and neurokinin A), which in turn stimulate the production of cytokines, vasodilatation and mucus secretion. Moreover, neuropeptides increase the inflammatory response by acting on epithelial and smooth muscle cells as well as on polymorphonuclear leukocytes, lymphocytes, eosinophils and so on (49-51).

During some recent studies, rats were given 5 mg PM₁₀ intrapharyngeally twice a week; an increase in the production and release of polymorphonuclear leukocytes into the bone marrow was observed three weeks later (52). In addition, more extensive inflammation was seen in the alveolar macrophages, epithelial cells and

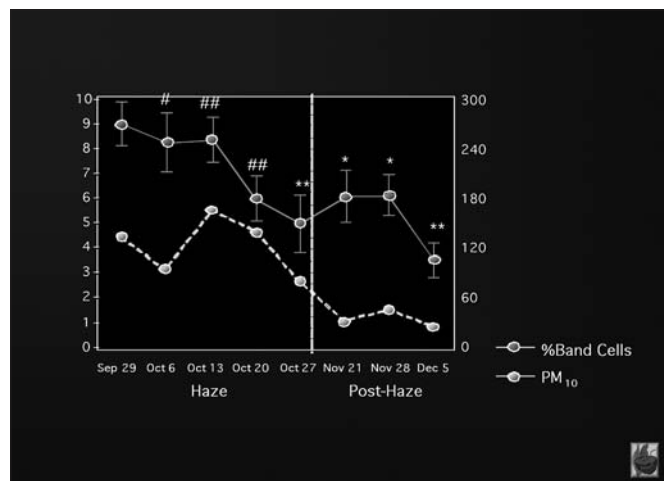


Fig. 3 - Increase in white blood cells count in relation to PM_{10} levels (Tan WC et al, *Am J Respir Crit Care Med* 2000; 161: 1213-7) (modified).

airway walls (53). Additional observational studies have confirmed that healthy patients exposed to high PM_{10} and O_3 concentrations produce an inflammatory response. This is confirmed by an increase in B and T cells, polymorphonuclear leukocytes, mast cells, and inflammatory and fibrinogenous mediators (54, 55).

Other studies have shown that air pollution can induce a systemic inflammatory response, regardless of the effects on the lungs: exposure to forest fire smoke (measured as PM_{10} and SO_2) causes the bone marrow to release immature polymorphonucleates cells even if lung function has not been manifestly affected (56) (Fig. 3).

Systemic inflammation and oxidative stress effects on the cardiovascular system

Nearly 10 years ago, Seaton et al (57) put forward the hypothesis that exposure to PM_{10} could provoke alveolar inflammation, which in turn could lead to the worsening of pre-existing diseases, increased blood coagulability and a high risk of cardiovascular events. Additional studies indicated that exposure to PM_{10} increases fibrinogen, which is a well-known independent risk factor for myocardial infarction and stroke (58-61).

An increased concentration of granulocyte macrophage colony stimulating factor (GM-CSF), IL-6 and IL-1 was observed in healthy males exposed regularly to

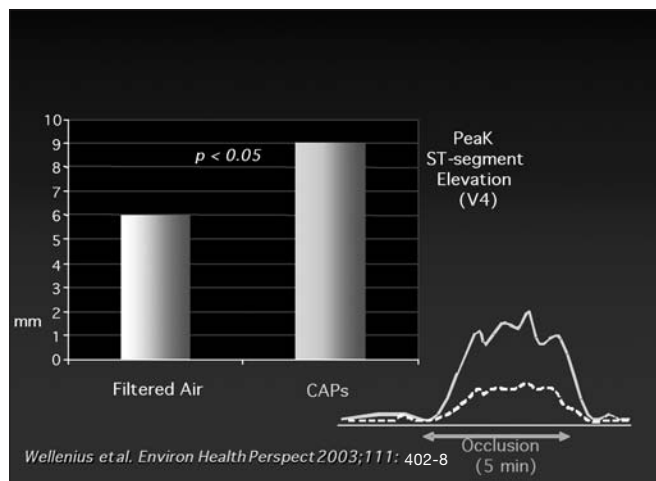


Fig. 4 - In dogs, the inhalation of concentrated ambient particles (CAPs) increases susceptibility to myocardial ischemia (Wellenius et al, *Environ Health Perspect* 2003; 111: 402-8) (modified).

air pollutants, giving a high risk for cardiovascular events and mortality (62-65). In the bone marrow, GM-CSF stimulates the differentiation and the release of granulocytes and monocytes as well as their degranulation at a peripheral state. IL-1 stimulates the inflammatory stage through the production of further cytokines and the activation of endothelial cells. IL-6 stimulates the synthesis of C-reactive protein in the liver, i.e. CPR, fibrinogen and thrombopoiesis in the bone marrow (66-68). These three cytokines, especially when combined, are able to promote a systemic inflammatory response with an increase in leukocytes, platelets, and proinflammatory and prothrombotic proteins. They also activate leukocyte and endothelium response, promoting the recruitment and migration of the inflammatory cells into the arterial wall.

High CPR levels have been associated with increased cardiovascular risk. In patients with coronary artery disease, CPR enhances endothelial dysfunction, directly stimulating the production of "foam cells", the migration of monocytes into the arterial wall, the inhibition of NO-synthase activity, and the expression of adhesion molecules along with prothrombotic factors (69-71).

As a result, air pollution, and the systemic inflammation associated with it, plays an important role in promoting atherosclerosis formation in the long term and acute plaque instability in the short term. A study of hy-

TABLE II - LIMIT VALUE OF PM₁₀ PROVIDED BY UE

Annual Limit Value (µg/m ³)	Date of current entry	Limit Value/24 h (µg/m ³)
48.0	2000	75
46.4	2001	70
44.8	2002	65
43.2	2003	60
41.6	2004	55
40	2005	50

perlipidemic rabbits exposed to PM revealed a progression of coronary artery disease and high lipid levels after 4 weeks. The degree of plaque formation is linked to the number of alveolar macrophages that phagocytosed PM₁₀ (72).

Many studies correlate atmospheric pollution with vascular tone alterations. One on healthy patients exposed to PM and O₃ for two hours showed elevated arterial vasoconstriction. Reduced NO-production and a higher concentration of endothelins have also been observed (73-76).

The ULTRA study (Exposure and Risk Assessment of Fine and Ultrafine Particles in Ambient Air) showed that ischemic patients, exposed to PM two days before submaximal exercise, had an increased ST-segment depression during the test. This suggests that air pollution increases susceptibility to myocardial ischemia and infarction (77). The same findings of higher susceptibility to myocardial ischemia were obtained in an experimental study (78) of dogs exposed to concentrated ambient particles (CAP) three or four consecutive times a week for 6 months. They suffered occlusion of the left anterior descending coronary (Fig. 4).

Alterations in sympatho-vagal tone

The increased cardiovascular mortality following exposure to atmospheric pollutants can be explained by alterations in the autonomic nervous system, as shown by heart rate variability (HRV), resting heart rate and blood pressure.

Reduced HRV detected by analysis of time domain (RR intervals) and frequency domain (LF/HF rate) is a predictive factor for increased cardiovascular morbidity and mortality, especially in patients with severe heart disease (79).

The reduction in HRV linked to exposure to PM₁₀ is an

expression of the imbalance between the sympathetic and parasympathetic nervous systems. Patients with a cardioverter defibrillator maintained for three years showed a correlation between the number of discharges and NO₂ and CO concentration (80). Increased mortality for threatening arrhythmias is related to PM₁₀ exposure in the week before the event.

This clinical evidence is supported by experiments conducted on animals, for instance increased LF/HF rate in dogs exposed to PM for 6 hours on three consecutive days. Another study reported decreased HRV and increased arrhythmia frequency in a myocardial infarction model (81).

The pathogenetic mechanisms causing the alterations in sympatho-vagal tone remain unclear, however, they could involve activation of pulmonary neural reflex, direct effects on cardiac ion channels and the systemic inflammatory state (82-84). In response to the inflammation caused by atmospheric pollutants, the autonomic nervous system produces neuropeptides and catecholamines, which can alter the regulation of the cardiac autonomic nervous system.

Exposure to PM₁₀ can, in fact, induce a systemic response with an elevated sympathetic systemic tone and higher resting heart rate (0.8 beats/min each 100 µg/m³ increase), leading to HRV reduction and major susceptibility to life-threatening ventricular tachyarrhythmias. Finally, PM₁₀ influence on pulmonary receptors could stimulate the growth of parasympathetic tone and be a predisposing factor for bradyarrhythmias.

CONCLUSIONS

Although the results of observational studies may be influenced by numerous factors, such as the characteristics of the pollutant, the population studied, the methods and some confounding factors, certain conclusions can be drawn.

Exposure to high PM concentrations significantly increases cardiovascular mortality rate and hospital admissions due to pulmonary CV diseases, especially in high-risk patients.

Evidence of such effects on public health has spurred international organizations to review the regulations for PM₁₀ and PM_{2.5} concentrations, taking place in the United States in 1987 and 1997. The E.U. has established the maximum concentration for PM₁₀, but not for PM_{2.5},

although air sampling and providing the public with information on the pollutant are mandatory. Moreover, action plans for reducing PM₁₀ levels include measures for lowering PM_{2.5} levels as well.

Table II shows the reference frame for daily and yearly PM₁₀ limits provided for in 2005, along with the range of tolerance values established in previous years. The goals set for 1st January 2010 include reducing the PM₁₀ yearly limit to 20 µg/m³, with a tolerance of 10 µg/m³ which will have to be eliminated by that date; the daily limit is 50 µg/m³, and it is not to be exceeded more than seven times a year. This regulation plays an important role in the introduction of a system for controlling, reducing and managing air pollution.

Our study, which will be published in the next issue of this Journal, has shown that air pollution significantly increases the number of hospital admissions due to CV disease, especially HF and CAD. Air pollution should therefore be considered an additional risk factor alongside the traditional ones.

Further studies are required to assess more effective preventive measures for reducing air pollution.

It would also be useful to study pharmacological treatments to protect the endothelium against the inflammatory effect of PM₁₀, especially in high-risk patients with HF, AICD and previous myocardial infarction.

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