



Use of survival support vector machine combined with random survival forest to predict the survival of nasopharyngeal carcinoma patients

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Background: The Cox regression model is not sufficiently accurate to predict the survival prognosis of nasopharyngeal carcinoma (NPC) patients. It is impossible to calculate and rank the importance of impact factors due to the low predictive accuracy of the Cox regression model. So, we developed a system. Using the SEER (The Surveillance, Epidemiology, and End Results) database data on NPC patients, we proposed the use of random survival forest (RSF) and survival-support vector machine (SVM) from the machine learning methods to develop a survival prediction system specifically for NPC patients. This approach aimed to make up for the insufficiency of the Cox regression model. We also used the Cox regression model to validate the development of the nomogram and compared it with machine learning methods.

Methods: A total of 1,683 NPC patients were extracted from the SEER database from January 2010 to December 2015. We used R language for modeling work, established the nomogram of survival prognosis of NPC patients by Cox regression model, ranked the correlation of influencing factors by RSF model VIMP (variable important) method, developed a survival prognosis system for NPC patients based on survival-SVM, and used C-index for model evaluation and performance comparison.

Results: Although the Cox regression models can be developed to predict the prognosis of NPC patients, their accuracy was lower than that of machine learning methods. When we substituted the data for the Cox model, the C-index for the training set was only 0.740, and the C-index for the test set was 0.721. In contrast, the C index of the survival-SVM model was 0.785. The C-index of the RSF model was 0.729. The importance ranking of each variable could be obtained according to the VIMP method.

Conclusions: The prediction results from the Cox model are not as good as those of the RSF method and survival-SVM based on the machine learning method. For the survival prognosis of NPC patients, the machine learning method can be considered for clinical application.

Keywords: Survival analysis; nasopharyngeal carcinoma (NPC); random survival forest (RSF); survival-support vector machine (survival-SVM); The Surveillance, Epidemiology, and End Results (SEER)

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Introduction

Nasopharyngeal carcinoma (NPC) is a special kind of squamous-cell carcinoma originating from the nasopharynx (1). Considering the concealment of its location, early cases of NPC are easily misdiagnosed or missed. However, NPC has a high degree of malignancy. Lymph node metastasis can occur in the early stage (2), and its prognosis is not optimistic. Although the current comprehensive treatment based on radiotherapy is considered as the mainstream treatment method of NPC, for patients with non-endemic locally advanced NPC, induction TPF (docetaxel, cisplatin, and fluorouracil) chemotherapy plus concurrent chemoradiotherapy (IC + CCRT) with CCRT is more effective than CCRT alone in locally advanced NPC (3).

The predictive model of NPC is still based on the Cox model. Regarding the survival prognosis of NPC, literature research has found that most of the studies are exploring the impact of single or several factors on the survival prognosis of NPC patients. Sun *et al.* (3) studied the effect of local tumor resection and pharyngectomy on the survival of patients with NPC. Patel *et al.*'s findings (4) suggested that racial and ethnic disparities had an impact on nasopharyngeal cancer survival. Wang *et al.* (5) reported in their study that insurance and marital status affected NPC

survival. Non-insured and unmarried NPC patients had a significantly increased risk of remote metastasis at diagnosis and lower disease-specific 5-year survival. Moreover, they were less likely to receive radiation therapy than married and insured patients. Although Pan's nomogram (6) based on the tumor, node, and metastasis (TNM) staging system of the American Joint Committee on Cancer (AJCC)/Union for International Cancer Control (UICC) and nomogram was specifically developed for locoregional NPC by OuYang *et al.* (7), we would like to try to develop a more accurate prognosticative system for NPC patients based on machine learning methods considering the development of machine learning technology.

Random survival forests (RSFs) are currently used for the abovementioned high-throughput data and are applied to the prediction of other diseases. For example, Wongvibulsin *et al.* (8) used RSF for clinical risk prediction in myocardial infarction. Zhang *et al.* (9) used RSF to predict an individual's risk of death in acute-on-chronic liver failure. Zhang *et al.* (10) predicted the prognosis of elderly patients with sepsis, and Mohammed *et al.* (11) predicted this based on gene expression data on colorectal cancer. However, no relevant studies on the survival prognosis of NPC patients using RSF have been found. Survival-support vector machine (SVM) was applied to the survival analysis of prostate cancer patients in the SEER database by Liu *et al.* (12) as early as 2010. However, few methods utilized SVM for survival analysis in the literature because of its computational complexity. We planned to perform a survival analysis of NPC patients by using the survival-SVM model. We present this article in accordance with the TRIPOD reporting checklist (available at <https://tcr.amegroups.com/article/view/10.21037/tcr-23-316/rc>).

Methods

Data for this study were obtained from SEER (The Surveillance, Epidemiology, and End Results) database from January 2010 to December 2015. Patients were included according to the International Classification of Oncologic Diseases, Third Edition (ICD-0-3) HNC diagnosis for oral, oropharyngeal, hypopharyngeal, and laryngeal codes (13). We included squamous-cell carcinoma defined

Highlight box

Key findings

- Compared with Cox regression, the machine learning method can significantly improve the accuracy of survival prognosis prediction in nasopharyngeal carcinoma (NPC) patients.

What is known and what is new?

- The Cox regression model is not sufficiently accurate to predict the survival prognosis of NPC patients.
- Utilizing random survival forest and semi-supervised support vector machine methodologies for the development of a prognostic survival prediction system tailored to individuals afflicted with NPC.

What is the implication, and what should change now?

- Enhancing medical adherence and augmenting survival outcomes among individuals diagnosed with NPC through the application of machine learning techniques.

by the following histological codes: 8052, 8070 to 8074, 8082, 8083, and 8090. A total of 1,638 patients met the criteria. Sex, race, primary site-labeled, grade, AJCC Stage Group, AJCC T, AJCC N, AJCC M, reason no cancer-directed surgery, radiation recode, chemotherapy recode, Mets at DX-bone, Mets at DX-brain, Mets at DX-liver, Mets at DX-lung, Surg/Rad Seq, and Marital status at diagnosis were used in the survival analysis. Patients with postoperative death time of less than 3 months, patients with missing statistical information, and some extreme individual data (mainly referring to the data accounting for less than 1%) were not included in the analysis due to consideration of study results' accuracy. The descriptive part of the statistical analysis described the censoring of vital status or follow-up data but did not include survival analysis (N=1,638). This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). Guangxi Medical University Institutional Review Board deemed this study exempt from review.

The Cox regression model, also known as the proportional hazards model, is a semi-parametric regression model proposed by British statistician Cox [1972] (14). Although regression is widely used for clinical research due to its advantages, such as no requirements for survival time distribution and wide scope of application, it is proposed as a semi-parametric model for the condition that the mortality risk of different individuals remains at a constant proportion at all times (15). Most individuals cannot meet this condition in real-world situations, and the Cox regression model may not achieve the best-fitting effect for each data (16).

An integrated tree method for analyzing right-censored data in survival data was proposed by Ishwaran *et al.* (17) in 2008. Then, the survival forest extended Breiman's method, and the RSF selected the final prediction result by training a large number of survival trees and weighting them from individual trees in the form of voting. The RSF model's advantage is that it is not constrained by the proportional hazard's assumption, log-linear assumption, and other conditions. At the same time, the RSF has the advantage of the random forests; the overfitting problem of its algorithm can be prevented through two random sampling processes (18-20). In addition, the RSF can also be used for survival analysis and changeable screening of high-dimensional data; it can also be applied to the analysis of competing risk (21). RSF can rank the importance of variables, and the VIMP (variable important) and the minimum depth methods are the most commonly used methods (22):

varying VIMP values less than 0 indicated that this variable reduced the accuracy of prediction, whereas VIMP values greater than 0 indicated that this variable improved the accuracy of prediction. The minimum depth method gives the importance of each variable for outcome events by calculating the minimum depth when running to the final node.

Survival-SVM was proposed by Van Belle in 2011 (23). Survival-SVM is a new applicable small sample learning method with a solid theoretical foundation. It does not involve probability measurement and the law of large numbers, and it also simplifies the usual classification and regression problems. Survival-SVM is insensitive to outliers (12), which helps elucidate key samples and "eliminate" a large number of redundant samples. It is a simple algorithm that has pleasant "robustness". Survival-SVM has a decent generalization ability.

We used the concordance index (C-index) as an evaluation index of exemplary accuracy. We also used the C-index and log-rank P value as the metrics to evaluate model accuracy. For Cox regression models, we added area under the receiver operating characteristic (ROC) curve (AUC) values and area under the ROC curve to achieve an ideal evaluation. For RSF models, we ranked mutable importance using the VIMP and the minimum depth methods. The VIMP values less than 0 indicated that the variable reduced the accuracy of prediction, whereas values greater than 0 indicated that the variable improved the accuracy of prediction. The minimum depth method gave the importance of each variable for outcome events by calculating the minimum depth when running to the final node.

Statistical analysis

In this study, we conducted univariate and multivariate Cox regression analyses on a dataset of 1,638 patients and constructed a nomogram for survival prognosis. Following the results of the Cox model's univariate analysis, we performed univariate analysis using a non-proportional hazards regression model. Additionally, we conducted survival analysis on relevant factors using the non-parametric Kaplan-Meier method. Subsequently, we heavily relied on the R packages "survival" and "survminer" to build and validate the Cox regression models. When constructing the Cox model in R, the key function employed was `coxph()`, preceded by the generation of a survival object using the `Surv()` function. Finally, we utilized

the Cox regression model to predict the 3-year survival rate of NPC patients. Given the distinctive attributes of our sample size, this study opted to use the RSF method and the survival-SVM method to construct survival prognosis models for NPC patients. Throughout these analytical undertakings, we made extensive use of various R packages housed within R version 4.0.5, including but not limited to randomForestSRC, ggRandomForests, survival, survminer, survivalsvm, and ggplot2. A two-tailed P value smaller than 0.05 was recognized as statistically significant.

Results

Patient profile

A total of 1,638 eligible patients were included. Among them, the Cox regression and survival-SVM models were used to divide the data set into the training and validation sets in a 7:3 ratio using random with release sampling. The basic situation of patients is shown in *Table 1*.

According to the Cox regression model results, the training set C index was 0.740, and the AUC value was 0.723. The validation set C index was 0.721, and the AUC value was 0.718. Calibration curve for 3-year survival for patient survival prognosis was derived by the Cox regression model, as shown in *Figure 1*.

The modeling process of the RSF is shown in *Figure 2*. Using the RSF, we obtained the survival curves of the NPC patients, as well as curves for out-of-bag (OOB) brier, OOB CRPS, and OOB mortality *vs.* time over time, as shown in *Figure 3*. In *Figure 4*, the OOB error rate shows that the OOB error rate tended to be stable when the number of trees reached 60, which indicated that our model had good stability. According to RSF results, the C-index of the model was 0.729, which indicated that the model had good accuracy. The advantage of RSF is that it can calculate the VIMP value of each variable by the VIMP method and thus rank the importance of variables. When the value of VIMP was greater than 0, the accuracy of prediction improved, and the accuracy of the model was reduced. *Figure 5* shows the importance ranking of each variable and the influence of variables on the accuracy of the model. Among them, the M stage had the largest weight, followed by grade, and lung and bone metastases had a greater impact on the assessment of distant tumor metastasis. Whether chemotherapy or radiotherapy had been performed was also an important factor affecting the survival prognosis of NPC patients. In addition, marital status, gender, and race decreased the accuracy of the model prediction.

Table 1 Characteristics of nasopharyngeal carcinoma patients

Characteristics	Data (n=1,638), n (%)
Age (years)	
<40	244 (14.9)
40–49	310 (18.9)
50–59	480 (29.3)
60–69	369 (22.5)
≥70	235 (14.3)
Sex	
Male	1,143 (69.8)
Female	495 (30.2)
Race	
White	761 (46.5)
Black	174 (10.6)
American Indian/Alaska Native	28 (1.7)
Asian or Pacific Islander	675 (41.2)
Primary site	
C11.0-superior wall of nasopharynx	28 (1.7)
C11.1-posterior wall of nasopharynx	210 (12.8)
C11.2-lateral wall of nasopharynx	149 (9.1)
C11.3-anterior wall of nasopharynx	18 (1.1)
C11.8-overlapping lesion of nasopharynx	67 (4.1)
C11.9-nasopharynx, NOS	1,166 (71.2)
Grade	
Well differentiated; grade I	45 (2.7)
Moderately differentiated; grade II	204 (12.5)
Poorly differentiated; grade III	682 (41.6)
Undifferentiated; anaplastic; grade IV	707 (43.2)
Stage	
I	155 (9.5)
II	360 (22.0)
III	500 (30.5)
IV	623 (38.0)
T	
T1	593 (36.2)
T2	324 (19.8)
T3	338 (20.6)
T4	383 (23.4)

Table 1 (continued)

Table 1 (continued)

Characteristics	Data (n=1,638), n (%)
N	
N0	401 (24.5)
N1	538 (32.8)
N2	475 (29.0)
N3	224 (13.7)
M	
M0	1,519 (92.7)
M1	119 (7.3)
Reason no cancer-directed surgery	
Not recommended	1,413 (86.3)
Recommended but not performed	27 (1.6)
Surgery performed	198 (12.1)
Radiation	
No	11 (0.7)
Yes	1,627 (99.3)
Chemotherapy	
No	212 (12.9)
Yes	1,426 (87.1)
Bone	
No	1,584 (96.7)
Yes	54 (3.3)
Brain	
No	1,629 (99.5)
Yes	9 (0.5)
Liver	
No	1,620 (98.9)
Yes	18 (1.1)
Lung	
No	1,597 (97.5)
Yes	41 (2.5)
Surg/Rad Seq	
No radiation and/or cancer-directed surgery	1,127 (68.8)
Radiation after surgery	495 (30.2)
Radiation prior to surgery	13 (0.8)
Radiation before and after surgery	3 (0.2)

Table 1 (continued)

Table 1 (continued)

Characteristics	Data (n=1,638), n (%)
Ethnicity	
White	761 (46.5)
Black	174 (10.6)
Chinese	355 (21.7)
South and Southeast Asia	174 (10.6)
Pacific Islanders	74 (4.5)
Other Asian	100 (6.1)
Marital status	
Have a partner	1,029 (62.8)
No partner	609 (37.2)

NOS, not otherwise specified.

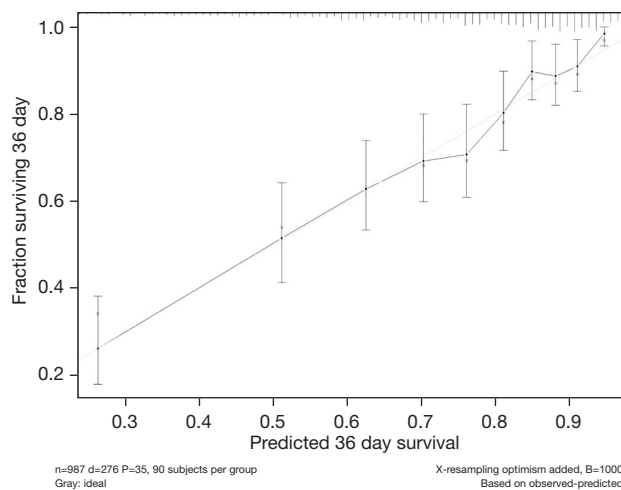


Figure 1 Calibration curve for 3-year survival for patient survival prognosis was derived by the Cox regression model.

The C-index of survival-SVM was 0.785, which showed that the model had good predictive ability.

Discussion

In this retrospective clinical data study, the survival prognosis of NPC patients was investigated by using the Cox regression model, RSF, and survival-SVM. Survival-SVM was better than the other two methods. Survival SVM is evolved from SVM upgrade. Therefore, it inherits the advantages of SVM, that is, the model is suitable for

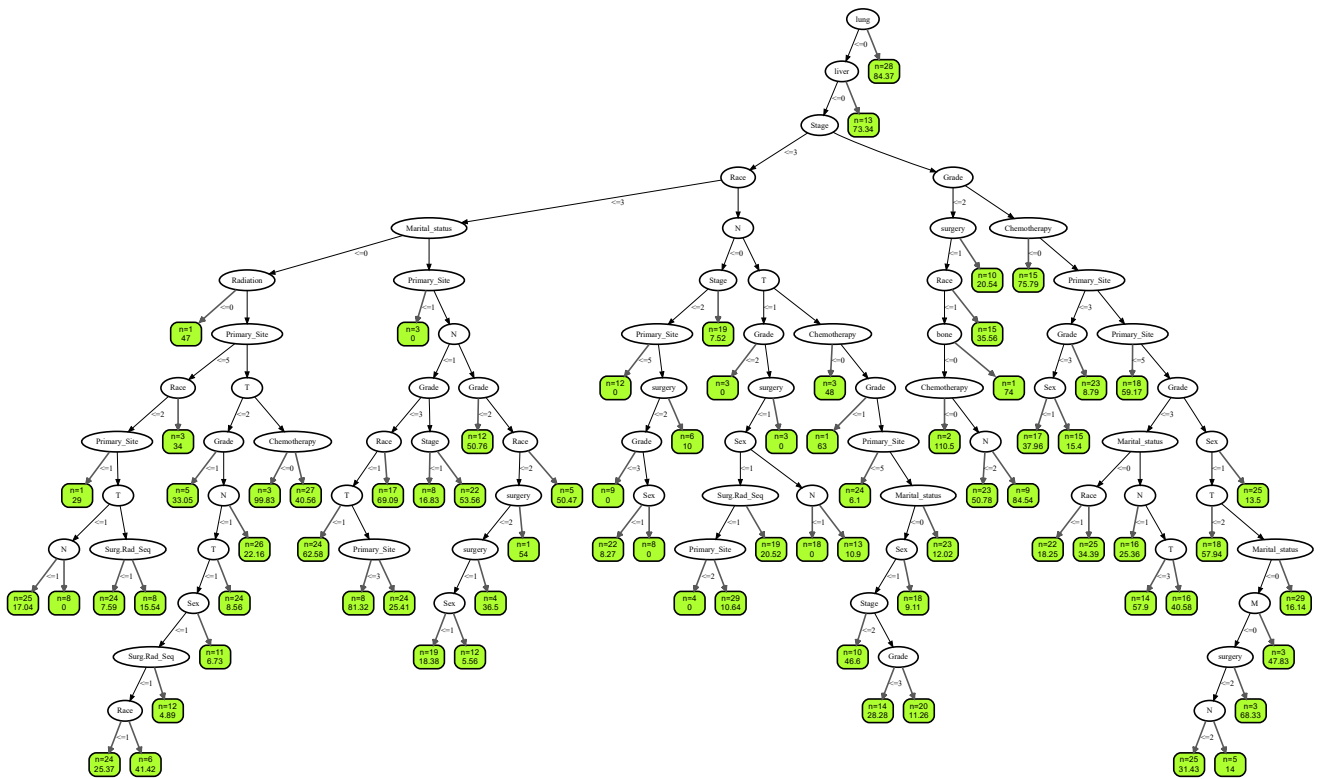


Figure 2 The modeling process of the random-survival-forest.

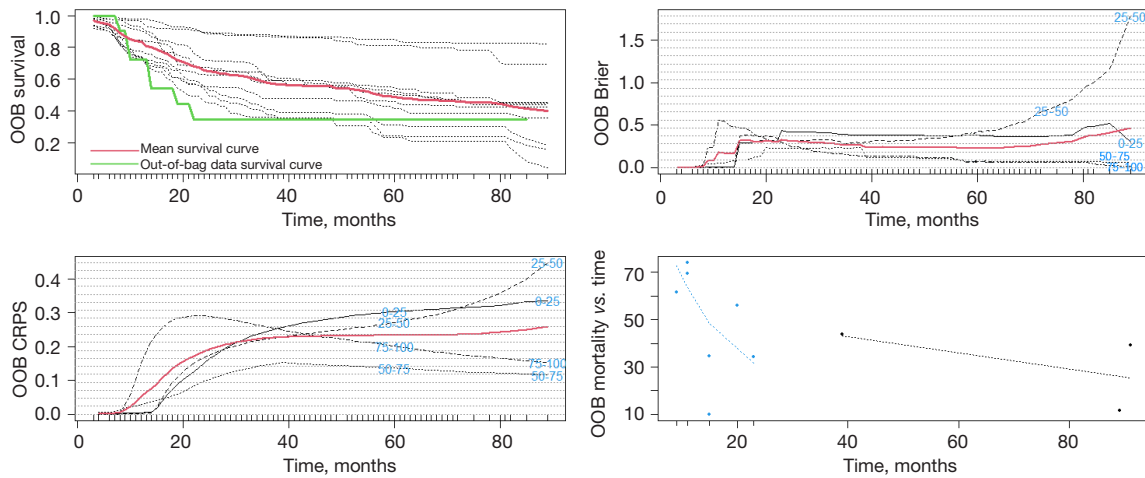


Figure 3 OOB survival, curves for curves for OOB brier, OOB CRPS, and curves for OOB mortality vs. time over time. OOB, out-of-bag; CRPS, Continuous Ranked Probability Score.

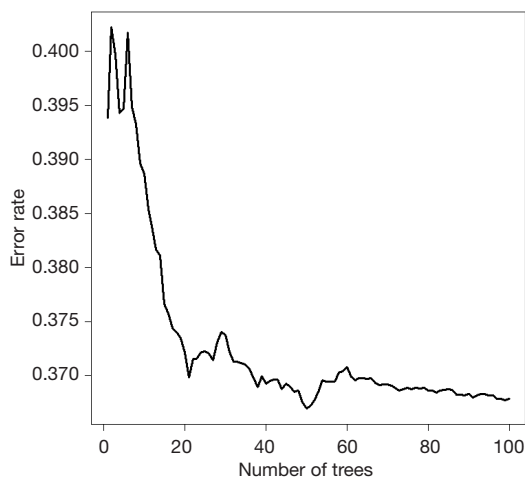


Figure 4 The OOB error rate shows that the OOB error rate tended to be stable when the number of trees reached 60. OOB, out-of-bag.

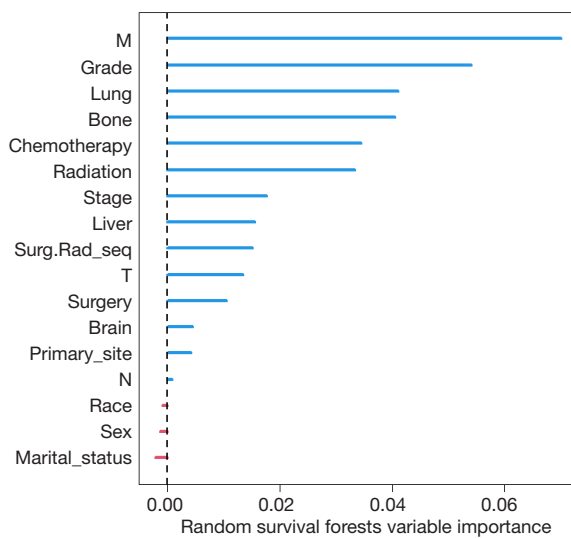


Figure 5 The importance ranking of each variable and the influence of variables on the accuracy of the model.

small sample sizes of data (12). This study relied on the SEER database and included a total of 1,638 patients. However, in practical plain work, the sample size of a single hospital may be less. So, survival-SVM had a good unadorned application value. RSF can rank and visualize the importance of variables (24), which can elucidate the analysis results more clearly and intuitively. As a semi-parametric model, the accuracy of the Cox model was slightly inferior to the other two models. With the advent

of the machine learning era, the competitive advantage of traditional Cox may be slowly masked.

The results of this study showed that sex, race, primary site-labeled, grade, AJCC Stage Group, AJCC T, AJCC N, AJCC M, reason no cancer-directed surgery, radiation recode, chemotherapy recode, Mets at DX-bone, Mets at DX-brain, Mets at DX-liver, Mets at DX-lung, Surg/Rad Seq, and Marital status at diagnosis were all independent factors affecting the survival prognosis of NPC patients. Age is among the most significant factors affecting NPC. The incidence of NPC was more common after the age of 40 years old (25), but incidence also tended to be younger than this age. The older the patient was, the worse the prognosis was; this may be associated with factors such as body immunity and drug resistance in the elderly (26). At present, several studies suggested that NPC pathogenesis may be related to genetics (26-28). So, groups with a family history of inheritance should attach great importance to regular physical examination and undergo assessment regularly. This study found that gender had no significant effect on the survival prognosis of NPC patients. Existing studies showed that NPC is prevalent in yellow people (28), and in addition to genetic factors, differences in culture, diet, and lifestyle habits among different ethnic groups, may be responsible for ethnic differences (26,29). From the traditional histological grade and tumor stage of clinical malignant tumors, the prognosis of well-differentiated tumors was much better than that of poorly differentiated tumors. From the AJCC stage, the prognosis of patients at the T1N0M0 stage was generally better than that of patients at a later stage. The study further found that patients who underwent tumor resection surgery, as well as patients who underwent systemic therapy, tended to have a better prognosis. NPC, as a special squamous cell carcinoma of the head and neck, is considerably sensitive to both radiotherapy and chemotherapy (30), and the results of this study suggest that patients can improve their prognosis regardless of whether they choose to undergo chemotherapy or radiotherapy. The survival prognosis of patients who already developed tumor metastasis was poor, and for such patients, radiotherapy plus chemotherapy treatment modalities can improve survival prognosis. For the marital status of patients, this study found that patients with spouses had a better survival prognosis than patients without spouses, which may be related to the pacifying care of spouses (31). We found during the literature survey that no study applied survival-SVM and survival analysis of NPC patients, and RSF has not yet been carried out

in the field of survival analysis of NPC patients. With the development of random machine learning, NPC as a specific squamous-cell carcinoma, has a relatively higher degree of malignancy compared with other cancers (32), and the treatment is based on chemoradiotherapy (33). Therefore, the development of a prediction system specifically for NPC can contribute to more accurate treatment research for NPC patients.

The VIMP of RSF showed that M and grade were the factors that accounted for a finer weight, and M stage had a much finer impact than T and N compared with traditional clinical stage. It was equally important to find that radiotherapy and chemotherapy were the key factors affecting the survival prognosis of NPC patients and affected whether the patients who underwent systemic therapy were closely related to the survival prognosis of surgery (3). The study also demonstrated that the survival prognosis of yellow people, especially Asians, was much lower than that of other races.

This study still had some limitations. In terms of data, the data in this paper were all from the data in the SEER database. Considering that the current data collection work was affected by many factors, the data collection and analysis in China will be introduced in the next article. In addition, due to the sample's unitedness, the generalization ability of the model may have some limitations. Subsequently, we plan to apply the model to other types of data to verify its generalization ability. Limitations are as follows. In terms of data volume, data collection mainly in Europe and America was affected by a variety of factors. Data collection in China will be introduced in the next article. The model came from SEER and mainly contained European and American data. There may be limitations in the generalization ability of the model. The subsequent validation of the generalization ability of the model on other data will be considered.

Conclusions

The research findings have demonstrated the superior performance of survival-SVM in the survival analysis of nasopharyngeal cancer patients when compared to the other two methods. Survival-SVM, inheriting the advantages of SVM, particularly excels in scenarios with limited sample sizes, underscoring its potential clinical value.

The application of the RSF method proves effective in quantifying variable importance and facilitating its visualization. This contributes to a more lucid comprehension

of the analytical outcomes, providing an intuitive data analysis tool.

Traditional Cox regression, as a model, exhibits a slightly inferior precision when contrasted with the other two models and may progressively lose its competitive edge in the era of machine learning.

Through a comprehensive literature review, it has come to light that research regarding the utilization of survival-SVM in the context of survival analysis for nasopharyngeal cancer patients is notably absent. Similarly, the application of the RSF method in this domain remains unexplored territory. This presents intriguing avenues for future research endeavors.

In summary, with the advancement of machine learning, survival analysis for nasopharyngeal cancer patients, particularly employing modern methodologies such as survival-SVM and RSF, holds promising clinical applicability. These studies bear significant relevance in the formulation of more precise treatment strategies and the development of prognostic systems for nasopharyngeal cancer patients.

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Footnote

Reporting Checklist: The authors have completed the TRIPOD reporting checklist. Available at <https://tcr.amegroups.com/article/view/10.21037/tcr-23-316/rc>

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Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://tcr.amegroups.com/article/view/10.21037/tcr-23-316/coif>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was

conducted in accordance with the Declaration of Helsinki (as revised in 2013). Guangxi Medical University Institutional Review Board deemed this study exempt from review.

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