

# Neural control of pressure support ventilation improved patient-ventilator synchrony in patients with different respiratory system mechanical properties: a prospective, crossover trial

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## Abstract

**Background:** Conventional pressure support ventilation (PS<sub>P</sub>) is triggered and cycled off by pneumatic signals such as flow. Patient-ventilator asynchrony is common during pressure support ventilation, thereby contributing to an increased inspiratory effort. Using diaphragm electrical activity, neurally controlled pressure support (PS<sub>N</sub>) could hypothetically eliminate the asynchrony and reduce inspiratory effort. The purpose of this study was to compare the differences between PS<sub>N</sub> and PS<sub>P</sub> in terms of patient-ventilator synchrony, inspiratory effort, and breathing pattern.

**Methods:** Eight post-operative patients without respiratory system comorbidity, eight patients with acute respiratory distress syndrome (ARDS) and obvious restrictive acute respiratory failure (ARF), and eight patients with chronic obstructive pulmonary disease (COPD) and mixed restrictive and obstructive ARF were enrolled. Patient-ventilator interactions were analyzed with macro asynchronies (ineffective, double, and auto triggering), micro asynchronies (inspiratory trigger delay, premature, and late cycling), and the total asynchrony index (AI). Inspiratory efforts for triggering and total inspiration were analyzed.

**Results:** Total AI of PS<sub>N</sub> was consistently lower than that of PS<sub>P</sub> in COPD (3% vs. 93%,  $P = 0.012$  for 100% support level; 8% vs. 104%,  $P = 0.012$  for 150% support level), ARDS (8% vs. 29%,  $P = 0.012$  for 100% support level; 16% vs. 41%,  $P = 0.017$  for 150% support level), and post-operative patients (21% vs. 35%,  $P = 0.012$  for 100% support level; 15% vs. 50%,  $P = 0.017$  for 150% support level). Improved support levels from 100% to 150% statistically increased total AI during PS<sub>P</sub> but not during PS<sub>N</sub> in patients with COPD or ARDS. Patients' inspiratory efforts for triggering and total inspiration were significantly lower during PS<sub>N</sub> than during PS<sub>P</sub> in patients with COPD or ARDS under both support levels ( $P < 0.05$ ). There was no difference in breathing patterns between PS<sub>N</sub> and PS<sub>P</sub>.

**Conclusions:** PS<sub>N</sub> improves patient-ventilator synchrony and generates a respiratory pattern similar to PS<sub>P</sub> independently of any level of support in patients with different respiratory system mechanical properties. PS<sub>N</sub>, which reduces the trigger and total patient's inspiratory effort in patients with COPD or ARDS, might be an alternative mode for PS<sub>P</sub>.

**Trial Registration:** ClinicalTrials.gov, NCT01979627; <https://clinicaltrials.gov/ct2/show/record/NCT01979627>.

**Keywords:** Conventional pressure support ventilation; Inspiratory effort; Mechanical ventilation; Neurally controlled pressure support; Patient-ventilator synchrony

## Introduction

Pressure support ventilation is the most widely used partial mode of assistance that minimizes the patient's work of breathing in the patient with respiratory failure due to different pathologies. During conventional pressure support ventilation, the ventilator is triggered from a pneumatic signal generated by the patient effort that is measured in the ventilatory circuit, that is, as flow or pressure,<sup>[1]</sup> and it is cycled off when the inspiratory flow falls to a predetermined fraction of the peak inspiratory flow, the cycling-off criteria.<sup>[2]</sup> Ideally, the ventilator

trigger and cycling should coincide with the beginning and end of the patient's inspiratory effort.<sup>[3]</sup> However, it has been demonstrated that patient-ventilator asynchrony is common during pressure support ventilation,<sup>[4,5]</sup> thereby contributing to an increased work of breathing and an increased duration of mechanical ventilation.<sup>[6]</sup>

Neurally adjusted ventilatory assistance (NAVA) uses the electrical activity of the diaphragm (EAdi) to trigger and cycle inspiratory assistance and provide it in proportion to the patient's effort.<sup>[7-10]</sup> Studies have shown that NAVA improves patient-ventilator interactions<sup>[11-16]</sup> and decreases

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the effort to trigger the ventilator when compared with pressure support ventilation with pneumatic triggering and cycling off (PS<sub>P</sub>).<sup>[17]</sup> However, NAVA is characterized by a lower rate of pressurization than PS<sub>P</sub>.<sup>[18]</sup> It has been demonstrated that a lower pressurization rate was associated with higher indexes of patient effort and a higher dyspnea level during PS<sub>P</sub>.<sup>[19]</sup> To overcome the lower rate of pressurization during NAVA, a specific NAVA setting has been proposed to generate EAdi controlled pressure support (PS<sub>N</sub>), which consists of the NAVA level at the maximum level, while limiting peak airway pressure (Paw<sub>peak</sub>) by adjusting the upper pressure limit.<sup>[18,20,21]</sup>

A study showed that PS<sub>N</sub> improves patient-ventilator synchrony in chronic obstructive pulmonary disease (COPD) patients with restrictive acute respiratory failure (ARF) and intrinsic positive end-expiratory pressure (PEEPi)  $\geq 5$  cmH<sub>2</sub>O.<sup>[20]</sup> Due to the different time constants of patients with different respiratory system mechanical properties, which will interfere with cycling off during PS<sub>P</sub>, it is unclear whether the advantages of PS<sub>N</sub> could be extended to patients without restrictive ARF. This study hypothesizes that PS<sub>N</sub> will improve patient-ventilator synchrony and reduce the inspiratory effort when compared with PS<sub>P</sub> with a prefixed trigger and cycling-off criteria in patients with different mechanical properties of their respiratory system. This crossover physiological study aimed to compare the differences between PS<sub>N</sub> and PS<sub>P</sub> in terms of patient-ventilator synchrony, inspiratory effort, and breathing pattern.

## Methods

### Ethical approval

The study was approved by the local Ethics Committee of Zhongda Hospital, Southeast University (No: 2016ZDSYLL067-P01), and informed consent was obtained from the patients or next of kin. The trial was registered at clinicaltrials.gov (NCT04091269).

### Study design and patients

This study was conducted in a 60-bed general intensive care unit (ICU) of Zhongda Hospital, Southeast University. To compare the differences between PS<sub>N</sub> and PS<sub>P</sub> on patient-ventilator synchrony, patients with three kinds of different mechanical respiratory system properties were enrolled. (1) Patients without obvious restrictive or obstructive ARF: post-operative (overnight) patients who were admitted to the ICU were eligible while meeting the following criteria: receiving invasive mechanical ventilation due to a recent operation (abdominal, orthopedic, or gynecological surgery), without respiratory system comorbidity, static compliance of the respiratory system ( $C_{RS}$ )  $\geq 50$  mL/cmH<sub>2</sub>O, and resistance of the respiratory system ( $R_{RS}$ )  $\leq 10$  cmH<sub>2</sub>O·L<sup>-1</sup>·s<sup>-1</sup>. (2) Patients with obvious restrictive ARF: patients with acute respiratory distress syndrome (ARDS) who were admitted to the ICU were eligible while meeting the following criteria: receiving invasive mechanical ventilation due to ARF,  $C_{RS} \leq 50$  mL/cmH<sub>2</sub>O and  $R_{RS} \leq 10$  cmH<sub>2</sub>O·L<sup>-1</sup>·s<sup>-1</sup>. ARDS was defined according to the Berlin definition.<sup>[22]</sup> (3) Patients with mixed restrictive and obstructive ARF: patients with COPD who

were admitted to the ICU were eligible while meeting the following criteria: receiving invasive mechanical ventilation due to ARF,  $R_{RS} \geq 12$  cmH<sub>2</sub>O·L<sup>-1</sup>·s<sup>-1</sup>. ARF was defined as an oxygenation index (partial pressure of oxygen/fraction of inspired oxygen [FiO<sub>2</sub>])  $< 300$  mmHg with or without elevated arterial carbon dioxide tension. COPD was defined as chronic cough, sputum or progressive dyspnea, and a forced vital capacity rate of 1 s (FEV<sub>1</sub>/FVC)  $< 0.7$  after bronchodilation.

Patients were excluded according to the following criteria: (1) age  $< 18$  or  $> 85$  years, (2) tracheostomy at the time of inclusion, (3) unable to sustain pressure support ventilation for  $> 1$  h with inspiratory support  $\leq 15$  cmH<sub>2</sub>O, (4) sedation level on the Richmond Agitation-Sedation Scale  $\leq -2$  or  $\geq 2$ , (5) contraindication for nasogastric tube insertion (eg, a history of esophageal varices, gastroesophageal surgery in the previous 12 months, or gastroesophageal bleeding in the previous 7 days, international normalized ratio  $> 1.5$ , activated partial thromboplastin time  $> 44$  s, a history of leukemia), (6) hemodynamic instability (heart rate  $> 140$  beats/min, vasopressors required with  $\geq 5$   $\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  dopamine/dobutamine, or  $\geq 0.2$   $\text{mg}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  norepinephrine), (7) neuromuscular disease affecting spontaneous breathing (eg, history of acute central or peripheral nervous system disorder or neuromuscular disease with irregular spontaneous rhythm), or (8) lack of informed consent or inclusion in another intervention study.

Enrolled patients were switched to a Servo-i ventilator (Maquet, Solna, Stockholm, Sweden), and a 16-Fr nasogastric feeding tube (NeuroVent Research Inc., Toronto, ON, Canada) with electrodes measuring EAdi and balloons measuring esophageal pressure (Pes) was inserted and secured after confirming the positioning according to the manufacturer's recommendations.<sup>[23]</sup>

Patients were initially on volume control ventilation (VCV) at a tidal volume (VT) of 6 mL/kg predicted body weight (PBW), an inspiratory flow of 30 L/min, and a mandatory breathing frequency and an external positive end-expiratory pressure (PEEPe) matching those that were observed during pressure support ventilation before sedation. To suppress the spontaneous drive to breathe (abolish EAdi), patients received continuous intravenous sedation by propofol up to the dosage of 2  $\text{mg}\cdot\text{kg}^{-1}\cdot\text{h}^{-1}$ . If at this propofol dosage the respiratory drive was not totally suppressed, remifentanyl was also infused at a dosage of 6 to 15  $\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{h}^{-1}$  just before the measurement of compliance, resistance, and PEEPi. Three seconds of inspiratory and expiratory holds were performed to measure the plateau pressure and total PEEP, respectively.  $C_{RS} = \text{VT}/(\text{plateau pressure} - \text{total PEEP})$ .  $R_{RS} = (\text{peak pressure} - \text{plateau pressure})/\text{flow}$ . PEEPi was assessed during VCV at the PEEPe of zero during the end-expiratory airway occlusion method.

Sedation was discontinued, and as spontaneous breathing and EAdi recovered, patients were returned to PS<sub>P</sub> with an adjusted pressure support level to target a VT of 6 mL/kg. The initial pressure support level brings a VT of 6 mL/kg. PBW was denoted as 100%. Then, the pressure support level was increased to 150% of the initial pressure support level, which was denoted as 150%. During the entire

recording period, PEEP<sub>e</sub>, FiO<sub>2</sub>, were maintained as set by the clinician in charge of the patient.

PS<sub>P</sub>: during per-fixed pneumatically controlled PS, the inspiratory trigger was set as the flow-trigger 1.4 L/min, and the rate of increase in pressure was set to 0.05 s in all patients. The cycling-off criterion was set to 30%.

PS<sub>N</sub>: ventilated with NAVA mode; however, the NAVA level was set to maximum (NAVA level 15 cmH<sub>2</sub>O/μV) with upper-pressure limits adjusted to achieve the targeted pressure support above PEEP<sub>e</sub>. PS<sub>N</sub> was neurally triggered (EAdi trigger = 0.5 μV) and cycled off (70% of peak EAdi).<sup>[20]</sup> During PS<sub>N</sub>, the upper pressure limits were adjusted to achieve the pressure support level of 100 and 150% support under PS<sub>P</sub>.

Patients were ventilated with 100% or 150% support under PS<sub>P</sub> and PS<sub>N</sub> modes applied in a randomized order. Each independent condition was maintained for 20 min. An envelope containing a computer-generated number in random order from 1 to 4 was prepared for each patient. Number 1 was PS<sub>P</sub> 100% support, number 2 was PS<sub>P</sub> 150% support, number 3 was PS<sub>N</sub> 100% support, and number 4 was PS<sub>N</sub> 150% support.

### Data analysis

To quantify the patient-ventilator interaction, airway pressure, flow, and EAdi were acquired during a 20 min time window in each condition at 100 Hz from the ventilator via a RS 232 interface connected to a computer. Flow and airway pressure (Paw) were acquired from the ventilator, whereas Pes was obtained via pressure transducers; all signals were digitized at 100 Hz and stored for offline analysis (NeuroVent Research Inc., Toronto, ON, Canada). Data were stored for later offline analysis. All variables were calculated manually breath by breath from the last stable 3 min period of each condition using customized software (NeuroVent Research Inc., Toronto, ON, Canada) by two independent researchers, and mean values were used.

Six types of asynchrony were analyzed as previously described by Thille *et al*.<sup>[6]</sup> and Lamouret *et al*.<sup>[24]</sup> Macro asynchronies include ineffective triggering, which was defined by the existence of a diaphragmatic signal without a respiratory cycle; auto-triggering was defined by the existence of a ventilator cycle without a diaphragmatic signal; double triggering was defined by the presence of 2 successive inspiratory cycles without an intermediate expiration or with an interrupted expiration. Micro asynchronies were defined by a time difference exceeding 200 ms between the onset of the EAdi and the early initial rise in Paw-inspiratory trigger delay; between the 70% of peak EAdi (the end of diaphragmatic contractions) and the early decrease in airway pressure (the opening of the expiratory valve)-late cycling; and between the decrease in Paw and 70% of peak EAdi-premature cycling.

For each subtype of asynchrony, a percentage of asynchronies was calculated as follows: the number of asynchrony events divided by the total neural respiratory

rate (which corresponds to the total EAdi signals) × 100%. Macro asynchrony index (AI), micro AI, and total AI were calculated as the number of macro asynchrony events, micro asynchrony, or total asynchrony events divided by the neural respiratory rate × 100%. The primary endpoint was the overall extent of the patient-ventilator asynchrony, which was evaluated by the total AI.

Trigger and cycling-off errors were classified as either too late (positive values) or too early (negative values). Trigger error was measured as the time difference between the onset of the EAdi and the early initial rise in Paw. The cycling-off error was calculated as the time difference between time points for an early decrease in airway pressure and 70% of peak EAdi.<sup>[20]</sup> Trigger and cycling-off errors were calculated as percentages of neural inspiratory and expiratory time periods, respectively. Events where EAdi and Paw were completely dissociated, such as wasted efforts, auto triggering, and double triggering, were assigned 100% error.

Neural inspiratory time (Ti<sub>N</sub>) was calculated between the onset of EAdi and the return to 70% of peak EAdi.<sup>[25]</sup> Neural expiratory time was calculated as the time between the return to 70% of peak EAdi and the onset of the next EAdi. The Pes-time product (PTP<sub>es</sub>) was used to estimate the inspiratory effort, which was measured by the area under the Pes signal between the onset of EAdi and the end of inspiratory flow in 1 min. The pretrigger Pes-time product (PTP<sub>es-trig</sub>) was used to estimate the inspiratory effort for triggering, which was measured by the area under the Pes signal between the onset of EAdi and the start of the inspiratory flow in 1 min.

### Statistics

All statistical analyses were carried out using SPSS 20 (IBM, Chicago, IL, USA). The values are stated as the mean ± standard deviation unless specified otherwise. Two types of comparisons were made: (1) at a given support level, the impact of PS<sub>P</sub> vs. PS<sub>N</sub>; and (2) within a mode, the impact of the support level. Parameters were compared between PS<sub>P</sub> and PS<sub>N</sub> in each group of patients. The normal distribution of continuous variables was assessed using skewness and kurtosis statistical tests. Variables were compared between modes and support levels using 2-way repeated-measures analysis of variance, and *post hoc* contrasts of significant effects were performed using the Student-Newman-Keuls test. Because of non-normality, pairwise tests of distribution percentages of asynchronies, AI, PTP<sub>es-trig</sub>, and PTP<sub>es</sub> were performed between modes at the same support level and between support levels during the same mode using the Mann-Whitney *U* test. Categorical data were compared by Chi-square tests, and *P* values of <0.05 were considered significant.

### Results

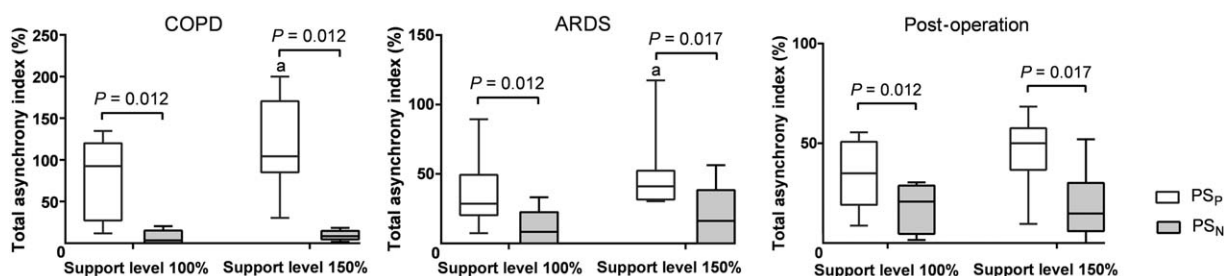
Eight patients were enrolled in each group (post-operative, ARDS, and COPD). The patient characteristics and lung mechanisms are summarized in Table 1.

Total AI was consistently lower in PS<sub>N</sub> than in PS<sub>P</sub> in COPD, ARDS, and post-operative patients under support levels of 100% and 150% [Figure 1]. The percentages of all

**Table 1: Patients' characteristics in post-operative, ARDS, and COPD groups.**

Parameter	Post-operation (n = 8)	ARDS (n = 8)	COPD (n = 8)
Sex, male/female	7/1	7/1	5/3
Age (years)	68 ± 23	65 ± 17	75 ± 9
APACH II	14.80 ± 6.50	18.70 ± 6.00	17.90 ± 4.00
PBW (kg)	65 ± 7	59 ± 9	63 ± 7
PaO <sub>2</sub> (mmHg)	135 ± 42	95 ± 16	96 ± 30
PaCO <sub>2</sub> (mmHg)	32 ± 4	36 ± 6	48 ± 14
pH	7.41 ± 0.06	7.43 ± 0.03	7.39 ± 0.05
C <sub>RS</sub> (mL/cmH <sub>2</sub> O)	52.5 ± 3.5	36.7 ± 8.1	49.6 ± 7.8
R <sub>RS</sub> (cmH <sub>2</sub> O·L <sup>-1</sup> ·S <sup>-1</sup> )	9.4 ± 1.7	9.2 ± 2.1	17.9 ± 4.1
PEEPi (cmH <sub>2</sub> O)	0.7 ± 0.7	0.9 ± 0.2	3.6 ± 2.4

Data are provided as *n* or mean ± standard deviation. ARDS: Acute respiratory distress syndrome; COPD: Chronic obstructive pulmonary disease; APACH II: Acute Physiology and Chronic Health Evaluation II; PBW: Predictive body weight; PaO<sub>2</sub>: Partial pressure of oxygen in artery; PaCO<sub>2</sub>: Partial pressure of carbon dioxide in artery; C<sub>RS</sub>: Static compliance of the respiratory system; R<sub>RS</sub>: Resistance of respiratory system; PEEPi: Static intrinsic positive end expiratory pressure.



**Figure 1:** Total asynchrony index during PS<sub>p</sub> and PS<sub>N</sub>. Boxplot graphs showing the group values of the median (interquartile range) and 95% confidence interval for the total asynchrony index. Compared between support levels of 100% and 150% in the same mode, <sup>a</sup>*P* < 0.05. ARDS: Acute respiratory distress syndrome; COPD: Chronic obstructive pulmonary disease; PS<sub>N</sub>: controlled pressure support ventilation; PS<sub>p</sub>: Conventional pressure support ventilation.

kinds of asynchronies and AI are reported in Table 2. In post-operative patients with ARDS, there was no difference in the macro AI between PS<sub>N</sub> and PS<sub>p</sub>. This indicated that the benefit of PS<sub>N</sub> in reducing total AI was mainly because of the reduction of the micro AI, not the macro AI, in post-operative patients with ARDS. However, PS<sub>N</sub> reduced both the macro AI and the micro AI when compared with PS<sub>p</sub> in patients with COPD. During PS<sub>p</sub>, improved support levels from 100% to 150% tended to increase the total AI, indicating worsened patient-ventilator synchrony, and it reached statistical significance in patients with COPD or ARDS [Figure 1]. However, total AI did not increase with the increase in support level during PS<sub>N</sub>.

During PS<sub>p</sub>, all of the patients with COPD showed a late cycling off (positive values), and PS<sub>N</sub> significantly reduced the cycling-off error (*P* < 0.05) [Figure 2A]. Both early and late cycling-off occurred in post-operative patients with ARDS. In post-operative patients with ARDS, the values of cycling-off error were closer to zero during PS<sub>N</sub> than during PS<sub>p</sub>, indicating an improvement of the cycling-off error [Figure 2B and 2C]. PS<sub>N</sub> shortened the trigger error when compared with PS<sub>p</sub> in patients with COPD or ARDS under both support levels [Figure 2D and 2E]. However, the difference did not reach statistical significance in post-operative patients under a support level of 150% [Figure 2F].

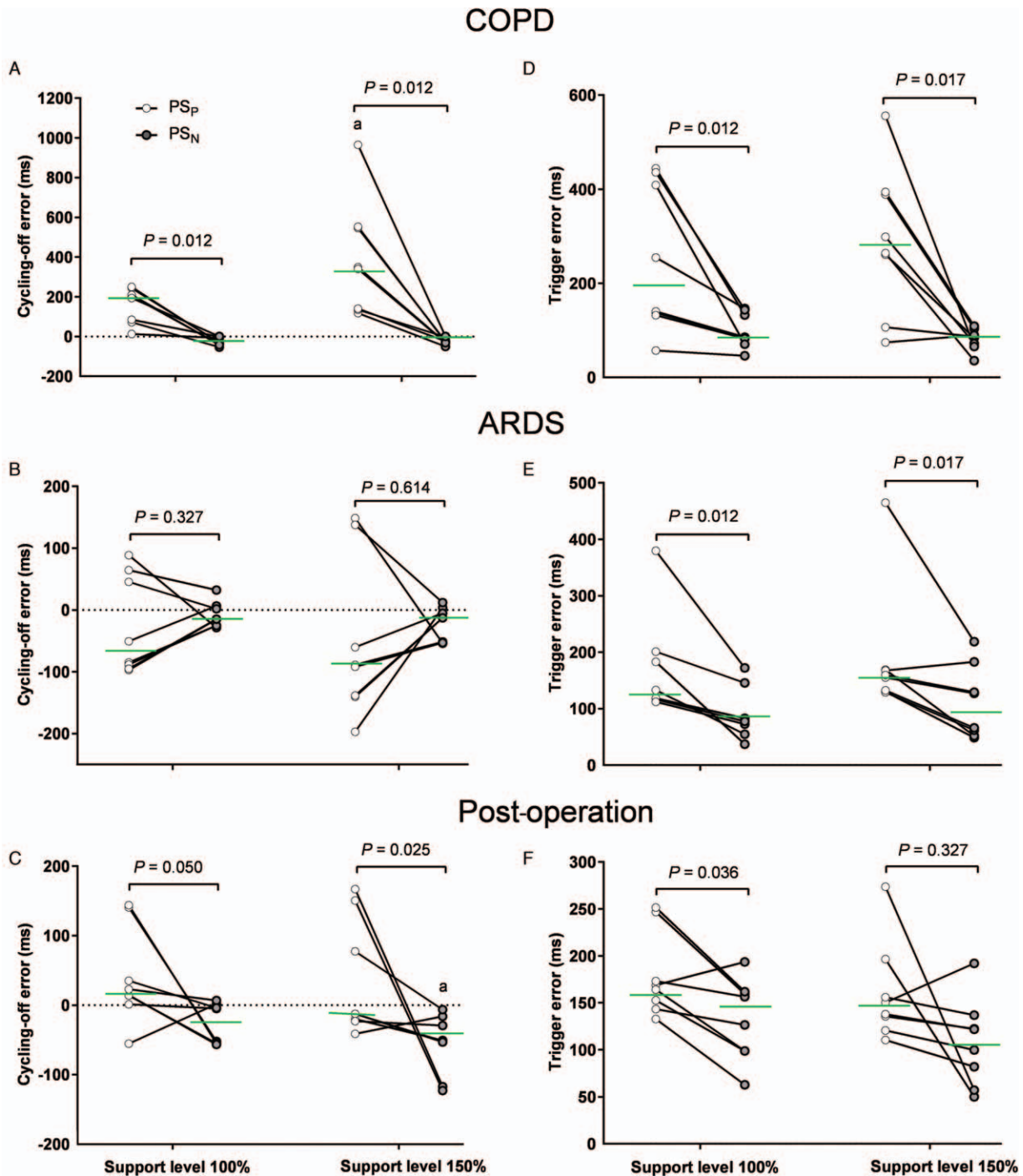
Figure 3 shows a plot of the relative timing errors of triggering (*X*-axis) *vs.* the relative timing error for the cycling-off (*Y*-axis) for every breath in each group. We have inserted a small, centered box (green line) suggesting “perfect” synchrony to be ≤10% of neural timings. During PS<sub>p</sub>, there was a wide variability range for triggering error (*Y*-axis) and cycling off (*X*-axis) than during PS<sub>N</sub> in each group of patients. Except in post-operative patients under a support level of 150%, during PS<sub>N</sub>, there were significantly more “perfect” synchrony breaths than during PS<sub>p</sub> [Table 3].

During PS<sub>N</sub>, the patient’s inspiratory effort for triggering determined by PTP<sub>es-trig</sub> was significantly lower than that during PS<sub>p</sub> in patients with COPD or ARDS but not in post-operative patients [Figure 4]. During PS<sub>N</sub>, the patient’s total inspiratory effort determined by PTP<sub>es</sub> was significantly lower than that during PS<sub>p</sub> in patients with COPD or ARDS under both support levels and in post-operative patients under a support level of 100% [Figure 4]. As shown in Table 4, in patients with COPD or ARDS and post-operative patients, increased support levels from 100% to 150% led to a significant increase in Ppeak and Vt and a decrease in Peak EAdi. In patients with COPD, increased support levels decreased RR during PS<sub>p</sub> but not during PS<sub>N</sub>. There was no difference in the breathing pattern between PS<sub>N</sub> and PS<sub>p</sub>.

**Table 2: Asynchronies recorded in different modes.**

Patient type	Parameter	Support level (%)	PS <sub>P</sub>	PS <sub>N</sub>	P	P				
						Level	Mode	Interaction		
COPD	Macro-asynchrony	100	0 (0-7.3)	0	0.095	0.095	0.008	0.095		
		150	7.9 (1.6-13.2)	0	0.015					
	Auto-triggering	100	0 (0-0.9)	0 (0-3.2)	0.240	0.408	0.249	0.413		
		150	0 (0-0.7)	0 (0-2.6)	0.271					
	Double triggering	100	0 (0-12.0)	0	0.171	0.096	0.167	0.163		
		150	0 (0-24.1)	0 (0-2.0)	0.165					
	Macro-asynchrony index	100	7.3 (0-14.7)	0 (0-3.2)	0.036	0.050	0.027	0.077		
		150	10.9 (5.5-26.8)	0 (0-4.6)	0.034					
	Micro-asynchronies	Premature cycling-off	100	0	0 (0-2.1)	0.083	0.920	0.145	0.479	
			150	0	0 (0-1.8)	0.518				
		Late cycling-off	100	32.8 (0.9-58.4)	0	0.017	0.013	0.008	0.013	
			150	61.5 (13.2-95.8)*	0	0.006				
		Inspiratory trigger delay	100	35.5 (19.9-70.3)	1.6 (0-11.3)	0.003	0.504	0.004	0.894	
			150	52.3 (4.6-80.7)	6.5 (4.4-11.4)	0.014				
		Micro-asynchrony index	100	90.4 (24.8-108.1)	2.4 (0-13.0)	0.003	0.011	0.001	0.023	
			150	95.7 (75.0-146.2)*	7.7 (4.6-11.8)	<0.001				
		ARDS	Macro-asynchrony	100	0	0 (0-1.8)	0.227	0.458	0.458	0.186
				150	0 (0-1.6)	0	0.181			
Auto-triggering	100		0 (0-11.0)	0 (0-1.8)	0.130	0.278	0.107	0.637		
	150		0 (0-11.3)	0 (0-1.9)	0.098					
Double triggering	100		0	0 (0-9.4)	0.140	0.121	0.140	0.121		
	150		0	0 (0-9.8)	0.139					
Macro-asynchrony index	100		0 (0-11.0)	0 (0-11.1)	0.838	0.634	0.807	0.561		
	150		1.1 (0-11.3)	0 (0-12.2)	0.572					
Micro-asynchronies	Premature cycling-off		100	0.9 (0-9.1)	0 (0-1.8)	0.107	0.021	0.038	0.050	
			150	13.3 (0-26.8)*	0 (0-4.2)	0.038				
	Late cycling-off		100	0.7 (0-5.4)	0	0.104	0.595	0.064	0.595	
			150	0.6 (0-5.8)	0	0.204				
	Inspiratory trigger delay		100	19.4 (0.4-35.5)	0 (0-17.2)	0.026	0.103	0.030	0.214	
			150	23.3 (5.6-29.3)	6.3 (0-33.3)*	0.073				
	Micro-synchrony index		100	24.8 (13.7-39.5)	1.2 (0-17.8)*	0.004	0.009	0.002	0.506	
			150	35.6 (30.5-44.6)*	8.8 (0-34.6)*	0.005				
Post-operation	Macro-asynchrony		100	0	0	1.000	0.090	0.239	0.239	
			150	0.9 (0-2.2)*	0	0.239				
	Auto-triggering	100	0 (0-7.2)	0	0.082	0.082	0.082	0.082		
		150	0	0	1.000					
	Double triggering	100	0	0 (0-1.6)	0.244	0.282	0.675	0.267		
		150	0 (0-6.7)	1.2 (0-3.9)	0.665					
	Macro-asynchrony index	100	0 (0-7.2)	0 (0-1.6)	0.437	0.433	0.352	0.787		
		150	0.9 (0-8.6)	1.2 (0-3.9)	0.416					
	Micro-asynchronies	Premature cycling-off	100	0 (0-4.5)	0 (0-3.2)	0.089	0.010	0.640	0.416	
			150	0.9 (0-12.9)	4.5 (0.6-15.0)*	0.525				
		Late cycling-off	100	0.9 (0-16.4)	0	0.113	0.070	0.059	0.061	
			150	12.2 (0-24.1)*	0	0.044				
		Inspiratory trigger delay	100	15.8 (11.4-43.1)	18.4 (2.1-27.3)	0.270	0.526	0.032	0.279	
			150	23.9 (17.5-35.8)	5.7 (2.2-9.7)*	0.016				
		Micro-synchrony index	100	31.2 (17.3-48.7)	20.5 (2.1-28.4)	0.017	0.184	0.003	0.189	
			150	50.0 (28.7-52.0)	14.8 (6.0-30.2)	0.003				

Data are provided as median (interquartile range). Compared between support level 100% and 150% at the same mode. \*P < 0.05. PS<sub>P</sub>: Conventional pressure support ventilation; PS<sub>N</sub>: Neurally controlled pressure support; COPD: Chronic obstructive pulmonary disease; ARDS: Acute respiratory distress syndrome.

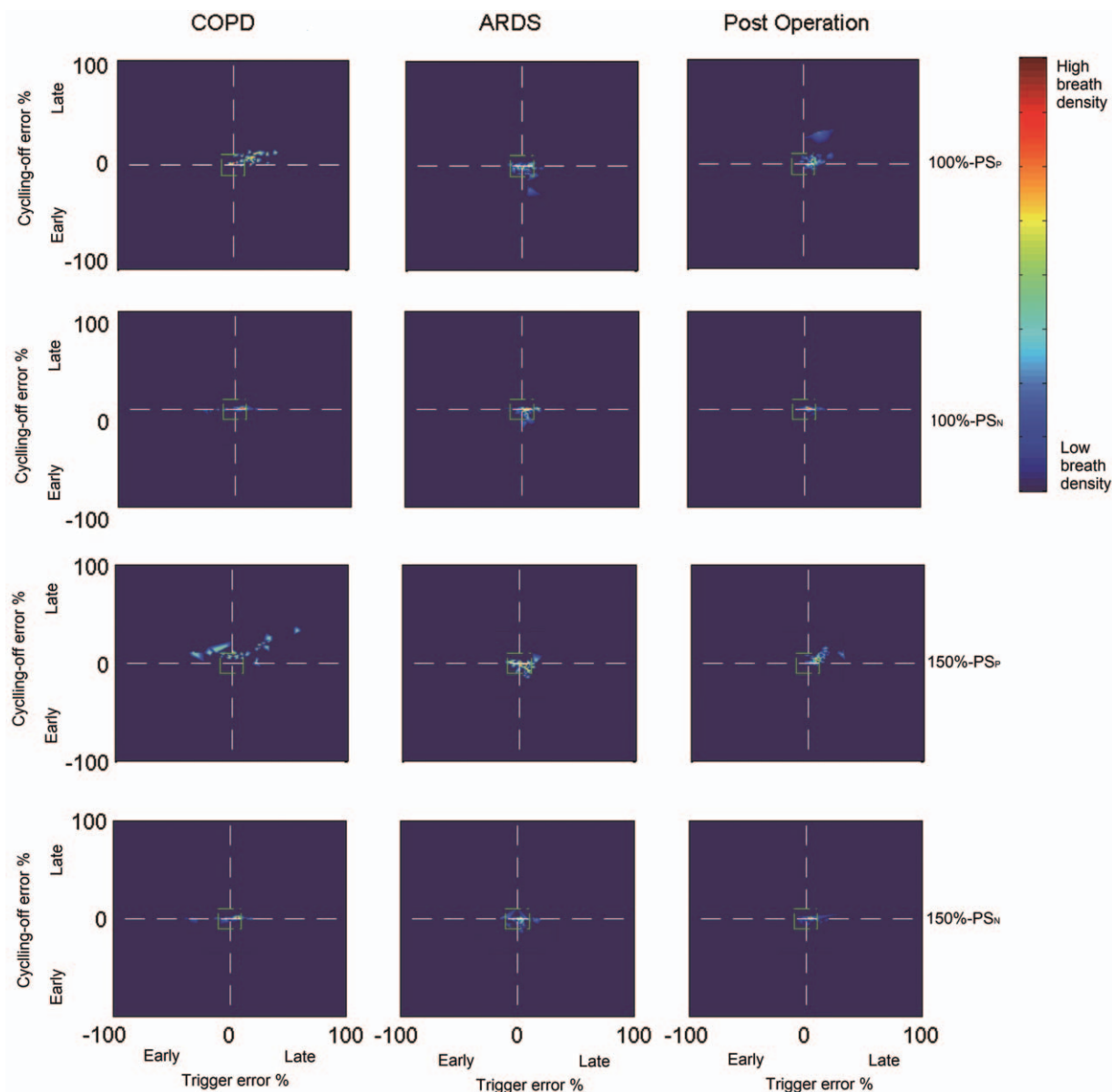


**Figure 2:** Cycling-off error and trigger error during PS<sub>P</sub> and PS<sub>N</sub>. (A) Cycling-off error in patients with COPD ( $n = 8$ ), (B) cycling-off in patients with ARDS ( $n = 8$ ), (C) cycling-off error in post-operative patients, (D) trigger error in patients with COPD ( $n = 8$ ), (E) trigger error in patients with ARDS, (F) trigger error in post-operative patients. Positive values indicate late cycling off, and negative values indicate early cycling off. The green line shows the median value. Comparing support levels of 100% and 150% in the same mode, <sup>a</sup> $P < 0.05$ . ARDS: Acute respiratory distress syndrome; COPD: Chronic obstructive pulmonary disease; PS<sub>N</sub>: controlled pressure support ventilation; PS<sub>P</sub>: Conventional pressure support ventilation.

**Discussion**

In this crossover trial including intubated patients with different respiratory system mechanical properties, we found that PS<sub>N</sub> improves patient-ventilator synchrony and generates a respiratory pattern similar to PS<sub>P</sub> in patients with mixed restrictive and obstructive ARF (patients with

COPD), with obvious restrictive ARF (ARDS) and without obvious ARF (post-operative overnight patients). Meanwhile, PS<sub>N</sub> reduced trigger and total patient inspiratory effort in patients with COPD or ARDS. The results also demonstrated that PS<sub>N</sub> results in smaller patient-ventilator interactions when the level of ventilatory assistance is increased.

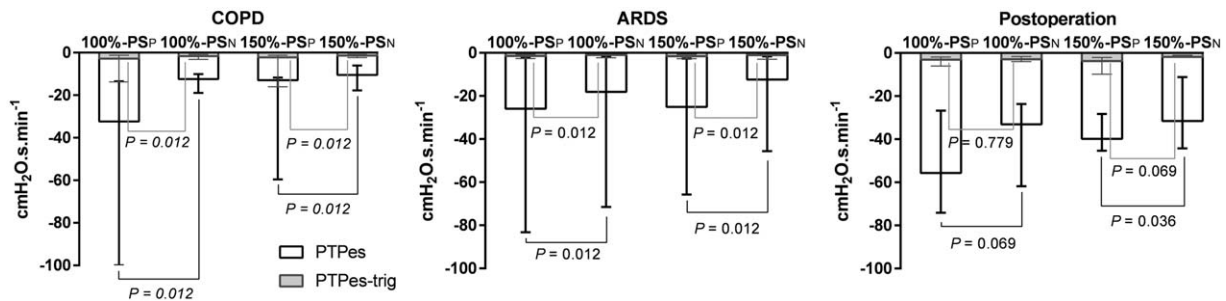


**Figure 3:** Breath density graph for relative trigger (X-axis) and cycling-off (Y-axis) errors for all breaths in all patients with COPD or ARDS and post-operative patients during PSp and PSN. The small centered box (green line) suggests “perfect” synchrony, which refers to relative timing errors of triggering and for cycling-off ≤10% of neural timings. ARDS: Acute respiratory distress syndrome; COPD: Chronic obstructive pulmonary disease; PSN: controlled pressure support ventilation; PSp: Conventional pressure support ventilation.

**Table 3: “Perfect” synchrony breath in different modes.**

Patient type	Support level	PSp	PSN	P
COPD	100	41.4 (36.8–47.0)	74.5 (69.8–79.1)	<0.001
	150	30.8 (25.7–35.7)*	73.7 (69.4–78.3)	<0.001
ARDS	100	61.5 (56.4–66.6)	82.0 (78.1–86.1)	<0.001
	150	57.2 (52.0–62.6)	72.1 (67.3–76.8)*	<0.001
Post-operation	100	70.8 (65.3–76.2)	78.9 (74.1–83.6)	0.017
	150	60.3 (54.7–66.2)*	64.0 (58.5–69.7)*	0.196

Data are shown as median (interquartile range). Compared between support level 100% and 150% at the same mode. \*P < 0.05. “Perfect” synchrony: Relative timing errors of triggering and for cycling-off ≤10% of neural timings; PSp: Conventional pressure support ventilation; PSN: Neurally controlled pressure support; COPD: Chronic obstructive pulmonary disease; ARDS: Acute respiratory distress syndrome.



**Figure 4:** Inspiratory efforts for triggering and total inspiration during PS<sub>p</sub> and PS<sub>N</sub> under support levels of 100% and 150%. Median and interquartile ranges are presented. ARDS: Acute respiratory distress syndrome; COPD: Chronic obstructive pulmonary disease; PS<sub>N</sub>: controlled pressure support ventilation; PS<sub>p</sub>: Conventional pressure support ventilation; PTP<sub>es</sub>: Inspiratory Pes-time product (white bars); PTP<sub>es-trig</sub>: Pretrigger Pes-time product (gray bars).

**Trigger delays**

In the present study, the median delay for triggering during PS<sub>p</sub> ranged from 126 to 281 ms in all patients under normal and high support levels. These values fall within the 80 to 540 ms range of values previously reported for PS<sub>p</sub>.<sup>[1,26-28]</sup> The wide variability can be ascribed to different etiologies of respiratory failure, the different ventilators used, varying levels of assistance provided, and different trigger modes and levels of choice. We chose a flow trigger of 1.4 L/min, which was a reasonable value in this clinical scenario.<sup>[27]</sup> This choice was motivated by previous reports suggesting that flow triggering will prove superior to pressure triggering, improving comfort, and reducing the work of breathing.<sup>[29,30]</sup> Another important factor that affects the trigger delay is PEEP<sub>i</sub> during PS<sub>p</sub>, which often occurs in patients with COPD. Chiumello *et al*<sup>[19]</sup> showed a trigger delay of 290 to 530 ms during PS<sub>p</sub> in patients with COPD with a mean inspiratory resistance of 21 cmH<sub>2</sub>O·L<sup>-1</sup>·s<sup>-1</sup>. Xu *et al*<sup>[31]</sup> also showed trigger delays of 247 and 342 ms in patients with COPD with PEEP<sub>i</sub> >3 cmH<sub>2</sub>O under normal and high pressure support levels. Our results are consistent with previous studies that showed a median of 197 and 281 ms of trigger delay in patients with COPD with a mean PEEP<sub>i</sub> of 3.6 cmH<sub>2</sub>O. The PEEP<sub>i</sub> was not obvious in ARDS and post-operative patients; accordingly, the trigger delay in these patients was approximately 120 to 150 ms. Set and neurology triggers should not be influenced by PEEP<sub>i</sub>. This is one of the potential explanations for the reduced trigger delay during PS<sub>N</sub>.

In patients with CPOD or ARDS and post-operative patients, PS<sub>N</sub> resulted in a 60%, 40%, and 15% reduction in trigger delay compared with PS<sub>p</sub> under the normal support level. The median delay for triggering ranged from 81 to 144 ms during PS<sub>N</sub> in all patients under normal and high support levels. A previous physiological study confirmed that during end-expiration occlusion, the inspiratory pressure waves generated were distorted by conscious or unconscious responses to occlusion, which had a minimum latency of 150 ms.<sup>[32]</sup> A trigger delay of <150 ms during PS<sub>N</sub> did not seem to have an obvious impact on respiratory drive and patient comfort. In agreement with previous studies, increasing the support level did not affect trigger delays during PS<sub>N</sub> and PS<sub>p</sub> in all patients.<sup>[1,13]</sup>

**Cycling-off error**

During PS<sub>p</sub>, ventilator cycling-off is achieved by terminating the assistance at a point when the inspiratory flow has declined to some value relative to its peak level. Cycling-off synchrony is dependent on factors such as the Ti<sub>N</sub>, assist levels, patient inspiratory effort, as well as the time constant of the respiratory system and cycling-off criteria.<sup>[3]</sup> Consequently, the optimum flow cycling-off criteria vary from person to person and can range from very low levels (5%) in patients with ARDS<sup>[4,33]</sup> to >50% in patients with COPD.<sup>[5,34,35]</sup> We chose the default cycling-off value (30%) of the Servo-I ventilator and found that in all patients with COPD, the mechanical breath terminated after the end of the neural breath. This was not unexpected because of the long time constant of the respiratory system in patients with COPD. In the ARDS group and post-operative group, early cycling-off was found in some of the patients; however, delayed cycling-off was found in the others. Different respiratory system mechanical properties and inspiratory effort might be the possible reasons for the inconsistent cycling-off error in patients with ARDS and post-operative patients.

Due to the different inspiratory efforts, assistance levels, and time constants of the respiratory system in individual patients, it is still a great challenge to select the optimal cycling-off criteria. We found that the value of cycling-off error in each patient during PS<sub>N</sub> was closer to zero than that during PS<sub>p</sub> in all patients with COPD or ARDS and in most post-operative patients. A previous study also showed a beneficial effect of PS<sub>N</sub> on reducing the cycling-off delay in 11 inhomogeneous patients with respiratory failure.<sup>[27]</sup> These results strengthened the hypothesis that PS<sub>N</sub> might be an alternative mode to PS<sub>p</sub> to provide a personal and adapted cycling-off to avoid cycling-off error and dynamic hyperinflation, especially in patients with COPD.

**Asynchrony index**

The present study showed a higher total AI (range from 28% to 104%) during PS<sub>p</sub> when compared with those in previous studies (range from 0% to 27%).<sup>[6,16,25,27]</sup> In addition to differences in patients enrolled and the ventilators used, we think the major reason for the apparent differences between the studies relates to



**Table 4: Clinical parameters of breathing pattern in different patients' types.**

Patient type	Parameter	Support level	PS <sub>P</sub>	PS <sub>N</sub>	P	P		
						Level	Mode	Interaction
COPD	Ppeak (cmH <sub>2</sub> O)	100	19.6 ± 3.4	18.9 ± 4.2	0.221	<0.001	0.308	0.518
		150	24.5 ± 5.5*	24.2 ± 5.2*	0.293			
	PEEP (cmH <sub>2</sub> O)	100	5.9 ± 2.3	6.4 ± 2.6	0.498	0.199	0.607	0.344
		150	6.4 ± 2.3	6.4 ± 2.5	0.924			
	Vt (cmH <sub>2</sub> O/kg)	100	6.0 ± 0.2	6.0 ± 0.1	0.730	<0.001	0.225	0.330
		150	7.6 ± 0.1*	7.5 ± 0.1*	0.042			
	RR <sub>N</sub> (breath/min)	100	21.1 ± 10.6	19.7 ± 8.6	0.170	0.020	0.659	0.054
		150	17.6 ± 10.5*	19.9 ± 9.6	0.192			
	Ti <sub>N</sub> (s)	100	1.0 ± 0.2	1.0 ± 0.1	0.281	0.046	0.113	0.809
		150	1.0 ± 0.2	1.0 ± 0.1	0.262			
	Te <sub>N</sub> (s)	100	2.8 ± 1.5	2.7 ± 1.5	0.800	0.009	0.055	0.007
		150	3.8 ± 2.2*	2.9 ± 1.6	0.017			
	Ti <sub>N</sub> /Tt <sub>N</sub> (%)	100	32.3 ± 8.4	30.8 ± 9.4	0.807	0.002	0.458	0.023
		150	26.6 ± 10.1*	29.8 ± 10.2	0.106			
Peak EAdi (Mv)	100	5.8 ± 2.3	6.4 ± 2.6	0.786	0.006	0.291	0.150	
	150	6.4 ± 2.3*	6.4 ± 2.5	0.078				
ARDS	Ppeak (cmH <sub>2</sub> O)	100	14.7 ± 3.1	15.3 ± 3.4	0.353	<0.001	0.180	0.629
		150	18.4 ± 4.1*	19.3 ± 3.9*	0.198			
	PEEP (cmH <sub>2</sub> O)	100	6.8 ± 1.4	6.9 ± 1.3	0.018	0.579	0.113	0.526
		150	6.9 ± 1.2	6.9 ± 1.2	0.548			
	Vt (cmH <sub>2</sub> O/kg)	100	6.2 ± 0.3	6.1 ± 0.3	0.811	<0.001	0.811	0.223
		150	7.5 ± 0.3*	7.4 ± 0.3*	0.811			
	RR <sub>N</sub> (breath/min)	100	20.2 ± 6.7	22.1 ± 3.1	0.307	0.994	0.479	0.200
		150	20.8 ± 6.8	21.5 ± 2.1	0.716			
	Ti <sub>N</sub> (s)	100	1.1 ± 0.2	1.1 ± 0.2	0.578	0.507	0.746	0.467
		150	1.1 ± 0.2	1.1 ± 0.1	0.994			
	Te <sub>N</sub> (s)	100	2.4 ± 1.4	1.8 ± 0.3	0.201	0.214	0.233	0.115
		150	2.4 ± 1.4	1.9 ± 0.3	0.277			
	Ti <sub>N</sub> /Tt <sub>N</sub> (%)	100	35.0 ± 8.5	35.7 ± 8.5	0.129	0.425	0.210	0.040
		150	35.7 ± 8.5	38.0 ± 2.6	0.389			
Peak EAdi (μV)	100	15.2 ± 8.0	17.5 ± 10.9	0.166	0.153	0.342	0.091	
	150	15.1 ± 11.9	14.2 ± 11.4*	0.063				
Post-operation	Ppeak (cmH <sub>2</sub> O)	100	11.8 ± 1.4	12.6 ± 1.3	0.003	0.013	0.457	0.127
		150	15.7 ± 3.7*	13.7 ± 2.6*	0.847			
	PEEP (cmH <sub>2</sub> O)	100	5.7 ± 0.4	6.0 ± 0.6	0.248	0.052	0.355	0.028
		150	5.7 ± 0.5	5.8 ± 0.6	0.059			
	Vt (cmH <sub>2</sub> O/kg)	100	6.0 ± 0.2	6.0 ± 0.1	0.153	<0.001	0.391	0.084
		150	7.3 ± 0.2*	7.3 ± 0.1*	0.862			
	RR <sub>N</sub> (breath/min)	100	16.5 ± 4.9	16.8 ± 4.1	0.472	0.274	0.677	0.350
		150	17.1 ± 2.7	15.2 ± 3.8	0.357			
	Ti <sub>N</sub> (s)	100	1.2 ± 0.1	1.4 ± 0.3	0.814	0.030	0.319	0.514
		150	1.2 ± 0.1	1.3 ± 0.3*	0.164			
	Te <sub>N</sub> (s)	100	2.9 ± 1.6	2.7 ± 1.0	0.398	0.593	0.715	0.355
		150	2.6 ± 0.7	3.0 ± 1.2	0.012			
	Ti <sub>N</sub> /Tt <sub>N</sub> (%)	100	32.8 ± 8.0	35.8 ± 5.5	0.272	0.017	0.667	0.223
		150	32.9 ± 4.8	31.4 ± 8.0*	0.020			
Peak EAdi (μV)	100	9.3 ± 6.1	8.2 ± 5.6	0.350	<0.001	0.341	0.533	
	150	6.7 ± 5.9*	6.4 ± 6.1*	0.678				

Data are provided as mean ± standard deviation. Compared between support level 100% and 150% at the same mode. \* *P* < 0.05. PS<sub>P</sub>: Conventional pressure support ventilation; PS<sub>N</sub>: Neurally controlled pressure support; COPD: Chronic obstructive pulmonary disease; ARDS: Acute respiratory distress syndrome; PEEP: Positive end expiratory pressure; Vt: Tidal volume; RR: Respiratory rate; Ti<sub>N</sub>: Neural inspiratory time; Te<sub>N</sub>: Neural expiratory time; Peak EAdi: Peak diaphragm electrical activity; Ppeak: Peak airway pressure.

the calculation method of AI. First, inspiratory trigger delay was included in the calculation of AI in the present study, which provided approximately one-third to one-half of the total AI during PS<sub>P</sub>. However, the previous studies

did not include inspiratory trigger delay in AI. Second, we defined asynchrony as an error of 200 ms between the origin of the EAdi and ventilator insufflation, which represents the conscious perception threshold that could be

a source of discomfort<sup>[24]</sup> and is more sensitive than the threshold used in previous studies.<sup>[6,16,25,27]</sup>

PS<sub>N</sub> constantly reduced the total AI in all patients under normal and high support levels. During PS<sub>N</sub>, ineffective triggering was significantly lower than that during PS<sub>P</sub>.<sup>[36]</sup> Unlike previous studies in which double triggering was more frequent in the NAVA group, the present study showed identical double triggering between PS<sub>P</sub> and PS<sub>N</sub> in all patients.<sup>[25]</sup> During PS<sub>N</sub>, there were fewer premature cycles in patients with ARDS and fewer late cycles in patients with COPD. This makes sense because, during PS<sub>N</sub>, expiratory triggers are systematically neural. Macro asynchrony was rare, and the benefit of PS<sub>N</sub> in reducing total AI was mainly because of the reduction of the micro AI but not the macro AI in patients with COPD and ARDS and post-operative patients, respectively.

### Inspiratory effort and breathing pattern

Our results indicate that, due to more efficient triggering, less inspiratory effort was required for ventilator triggering with PS<sub>N</sub> than with PS<sub>P</sub> in patients with COPD or ARDS. Consistent with our previous study,<sup>[20]</sup> inspiratory effort measured by PTPes was consistently and markedly reduced during PS<sub>N</sub> compared to PS<sub>P</sub> in patients with COPD. Unlike a previous study in which the work of breathing and PTP were not altered in PS<sub>N</sub> in 11 heterogeneous patients,<sup>[27]</sup> the present study also found reduced PTPes during PS<sub>N</sub> in ARDS and post-operative patients. The reason for the apparent differences between the studies relates to the differences in enrolled patients, pressure support level and the number of major asynchronies observed. Although PS<sub>N</sub> improved the cycling-off criteria, which has been demonstrated to affect the inspiratory time only under high-pressure support,<sup>[33]</sup> our results are in line with those previously reported showing a comparable breathing pattern between PS<sub>P</sub> and PS<sub>N</sub> in all patients.

The strengths of the present study were as follows. Patients' respiratory system mechanical properties are one of the important factors that affect patient-ventilation interaction during PS<sub>P</sub>. The enrolled patients with restrictive ARF (ARDS), mixed restrictive and obstructive ARF (COPD), and patients without obvious ARF (post-operative overnight patients) led to deeper insight into the mechanism of how the prefixed pneumatic controllers work during PS<sub>P</sub> and provided evidence for the benefits of PS<sub>N</sub> to improve patient-ventilator interactions in patients with different pathophysiologies of respiratory failure.

Some limitations should be noted. First, the respiratory mechanics were evaluated in patients under sedation and without active breathing; thus, the results will be different during pressure support ventilation. However, it is possible to measure P<sub>plat</sub> and C<sub>RS</sub> during pressure support ventilation using the inspiratory occlusion method.<sup>[37]</sup> Given the good correlation between C<sub>RS</sub> measured during pressure support ventilation and VCV and the need for evaluating airway resistance, constant flow VCV without active breathing was used in the present study. Second, as no consensus method for AI calculation is available, the threshold of time error to detect micro asynchronies, which

was defined as 200 ms according to a previous study, was more or less arbitrarily chosen in the present study and led to a higher total AI when compared with previous studies. Third, although a sample size of eight patients in each group is reasonable for a physiological study, the small number of patients might still be a potential source of bias in the present study. Fourth, the patients were observed for only a short period of time, and it cannot be excluded that different results could have been obtained if a prolonged period of time had been studied. However, the short time-period allowed patients to remain stable enough to compare the different modes.

We found that in patients with COPD and ARDS and post-operative patients, PS<sub>N</sub>, which provides a personal and adapted trigger and cycling-off, improves patient-ventilator synchrony and generates a respiratory pattern similar to PS<sub>P</sub> independently of any level of support. PS<sub>N</sub>, which reduces the trigger and total patient inspiratory effort in patients with COPD or ARDS, might be an alternative mode for PS<sub>P</sub> to unload respiratory failure patients effectively.

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### Conflicts of interest

Ling Liu and Hai-Bo Qiu received a grant from Mindray (China). The other authors declare no competing interests.

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