# Prevalence and Characteristics of Frailty at 6 months FollOwing Total Hip and Knee Arthroplasty in Patients With End-Stage OA

Geriatric Orthopaedic Surgery & Rehabilitation Volume 13: 1–10 © The Author(s) 2022 Article reuse guidelines: sagepub.com/journals-permissions DOI: 10.1177/21514593221126019 journals.sagepub.com/home/gos

So Kuwakado, M.D.<sup>1</sup>, Kenichi Kawaguchi, M.D.<sup>1</sup>, Hiroshi Otsuka, M.D.<sup>1</sup>, Akio Fujita, OTR<sup>1</sup>, Ryuichi Kusaba, RPT<sup>1</sup>, Miki Tokieda, RPT<sup>1</sup>, Daisuke Fujiyoshi, RPT<sup>1</sup>, Takahide Kamishima, RPT<sup>1</sup>, Eijiro Fujino, RPT<sup>1</sup>, Goro Motomura, M.D.<sup>2</sup>, Satoshi Hamai, M.D.<sup>2</sup>, and Yasuharu Nakashima, M.D.<sup>2</sup>

## Abstract

Introduction: Lower-limb osteoarthritis (OA) in the elderly can be a risk factor for frailty, which is the preliminary of disability, but it may be reversible with appropriate interventions. We aimed to use the Kihon Check List (KCL) to assess multiple domains of frailty and to identify the characteristics of frailty in patients with hip or knee OA following total joint arthroplasty. Materials and Methods: This study included  $136 \ge 65$ -year-old patients (mean age: 73.0 years) who underwent total arthroplasty with end-stage hip and knee OA. We assessed frailty status, instrumental activities of daily living (IADL), and health-related quality of life (HRQoL) according to the KCL, functional ambulatory index (FAI) and EuroQol-5 Dimension (EQ5D), respectively, as well as the extent of pain preoperatively and at postoperative 6 months. **Results:** Using KCL, seventy-eight (57.4%) patients were frail preoperatively, but the prevalence significantly decreased to 52 patients (38.2%) at postoperative 6 months. Total arthroplasty intervention provided significant improvements in the total KCL scores, including the physical domain (P < .01), pain (P < .01), FAI scores (P < .01), and EQ5D (P < .01), but not the social domain. Multivariate logistic regression analysis identified age at surgery (OR: .93, 95% CI: .86-.99) and preoperative FAI score (OR: 1.10, 95% CI: 1.03-1.19) as independent predictors of postoperative frailty. Conclusions: Total arthroplasty procedures on patients with hip and knee OA reduced their KCL score, but social aspects were less improved than physical aspects in the shortterm. Older age and preoperative lower IADL score can be useful for accurately estimating less improvement of frailty in the early postoperative phase. Our results suggest that long term follow-up of OA is needed to provide comprehensive interventions, including in social aspects, especially for patients with lower activity.

#### **Keywords**

frailty, kihon check list, total hip arthroplasty, total knee arthroplasty, elderly patients

# Introduction

In the last 50 years, several lifestyle factors have improved human life span, which has led to an increase in age-related diseases<sup>1</sup> and frailty.<sup>2</sup> Frailty, caused by a multisystemic reduction in the reserve capacity,<sup>3</sup> is the preliminary stage of disability.<sup>4</sup> However, it may be reversible with <sup>1</sup>Department of Rehabilitation Medicine, Kyushu University Hospital, Fukuoka, Japan

<sup>2</sup>Department of Orthopaedic Surgery, Graduate School of Medical Sciences, Kyushu University, Fukuoka, Japan

#### **Corresponding Author:**

Kenichi Kawaguchi, Department of Rehabilitation Medicine, Kyushu University Hospital, 3-1-1, Maidashi, Higashi-ku, Fukuoka, 812-8582, Japan. Email: kawaguchi.kenichi.241@m.kyushu-u.ac.jp

CC O S BY NC

Creative Commons Non Commercial CC BY-NC: This article is distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 License (https://creativecommons.org/licenses/by-nc/4.0/) which permits non-commercial use, reproduction and distribution of the work without further permission provided the original work is attributed as specified on the

SAGE and Open Access pages (https://us.sagepub.com/en-us/nam/open-access-at-sage).

appropriate interventions.<sup>5</sup> Osteoarthritis (OA) is thought to be the most prevalent chronic joint disease and one of the most common sources of pain and disability in the elderly: consequently, OA may lead to frailty and the need for nursing care of an individual.<sup>6</sup> Thus far, no cure for OA has been found; instead when pain relief is no longer sufficient, the final treatment option is total joint arthroplasty of the hip (total hip arthroplasty [THA]) or knee (total knee arthroplasty [TKA]). Surgery and its postoperative rehabilitation are causally linked to the improvement of pain and the recovery of physical function. On the other hand, OA is associated with increased mortality, increased length of stay in hospital, higher chance of readmission, and higher rates of discharge to institutional care after THA and TKA in frail patients than in non-frail patients with OA.<sup>8</sup> Although some studies have stated that THA and TKA improved functional mobility of OA patients,<sup>9</sup> few have discussed frailty after arthroplasty surgery for patients with end-stage hip or knee OA.

The concept of frailty includes physical, psychological, and social components, which influence each other. Among them, social frailty has attracted recent attention.<sup>10</sup> Presently, several frailty scales are used, and a lot of empirical research has been conducted to determine which frailty scale is best suited for clinical applications.<sup>11</sup> From several aspects, frailty is clinically considered to be a predisability, and elderly functioning should be assessed across multiple domains to identify frail individuals.<sup>12</sup> The Kihon Check List (KCL) is a reliable tool for screening frailty status that was developed to identify older people at risk of dependency.<sup>13</sup> The KCL is thought to be a type of deficit model, similar to the frailty index,<sup>14</sup> because its score reflects the number of functional declines in each domain. This categorical structure is helpful for identifying problematic domains for prevention and indicating frailty status. In addition, the total KCL score has been found to significantly correlate with the number of frailty phenotypes defined in the Cardiovascular Health Study frailty index criteria.<sup>15,16</sup> The KCL also includes factors related to psychological and social aspects, enabling a more comprehensive frailty evaluation. Few studies have used KCL to evaluate frailty,<sup>17,18</sup> and this assessment tool is not yet used as a frailty scale in studies on musculoskeletal diseases. Hence, the predictive power and usefulness of KCL have not been confirmed in patients with OA after arthroplasty.

The study aim was to evaluate the prevalence of frailty in patients who were scheduled to undergo THA and TKA and to use the KCL to identify the characteristics of each frailty domain over the shortterm postoperative period. We also aimed to identify preoperative factors predictive of persistent postoperative frailty.

#### **Materials and Methods**

#### Patients

This was a longitudinal prospective cohort study in patients who intended to undergo primary THA or TKA at a single acute care hospital from April 2019 to March 2021. The inclusion criteria were patients with hip and knee osteoarthritis,  $\geq 65$  years old and who were scheduled to undergo primary unilateral total arthroplasty and were able to complete some questionnaires. Eligible patients were informed about the study through written and oral communication from the researcher before surgery. Patients who agreed to be approached by the researcher received written information about the study, some questionnaires, and a consent form. In our hospital, operations were performed using the same surgical procedures for each joint, and clinical paths were followed for perioperative management and standardized rehabilitation until discharge to home or a post-acute institute. Full-weight bearing was allowed immediately after surgery. We provided instructions on a self-exercise program for patients discharged to home, including range of motion of the operated joint, muscle resistance training, walking, balance training and basic ADL, and the patients were examined at regular intervals in the outpatient department. The patients discharged to a post-acute hospital were trained in a similar program under the guidance of a physiotherapist. Patients were evaluated for their outcomes preoperatively and at 6 months after THA and TKA. The study exclusion criteria were as follows: patients who have hip or knee OA in opposite side or other joint, revision THA or TKA, inflammatory diseases, such as rheumatoid arthritis or systemic lupus erythematosus, and development of severe postoperative complications or adverse events, including infection, periprosthetic fractures, and dislocation of total hip joint.

Of the 168 eligible patients (115 with THA and 53 with TKA), 12 (4 with THA and 8 with TKA) had an insufficient response to the questionnaires and 13 (7 with THA and 6 with TKA) were lost to follow-up at 6 months. Additionally, we excluded 4 patients with infection (3 with THA and 1 with TKA), 2 patients with periprosthetic fractures (TKA), and 1 patient with dislocation (THA); thus, a total of 136 patients (100 with THA, 36 with TKA) were enrolled in this study.

#### **Outcome Measures**

The study reviewed the medical records to obtain patient age, sex, Body Mass Index (BMI), Charlson Comorbidity Index (CCI).<sup>19</sup> By questionnaires, we evaluated frailty, the extent of pain, Instrumental Activities of Daily Living (IADL), and Health-related quality of life (HRQoL) for

No.	Ouestions	Answer		
1	Do you go out by bus or train by yourself?	□0. YES	□1. NO	
2	Do you go shopping to buy daily necessities by yourself?	□0. YES	□1. NO	
3	Do you manage your own deposits and savings at the bank?	□0. YES	□1. NO	
4	Do you sometimes visit your friends?	□0. YES	□1. NO	
5	Do you turn to your family or friends for advice?	□0. YES	□1. NO	
6	Do you normally climb stairs without using handrail or	□0. YES	□1. NO	
	wall for support?			
7	Do you normally stand up from a chair without any aids?	□0. YES	□1. NO	
8	Do you normally walk continuously for 15 min?	□0. YES	□1. NO	
9	Have you experienced a fall in the past year?	□1. YES	□0. NO	
10	Do you have a fear of falling while walking?	□1. YES	□0. NO	
11	Have you lost $\geq 2$ kg in the past 6 months?	□1. YES	□0. NO	
12	Height: cm, weight: kg, BMI: kg/m2	□1. YES	□0. NO	
	If BMI is <18.5, this item is scored.			
13	Do you have any difficulties eating tough foods compared	□1. YES	□0. NO	
	to 6 months ago?			
14	Have you choked on your tea or soup recently?	□1. YES	□0. NO	
15	Do you often experience having a dry mouth?	□1. YES	□0. NO	
16	Do you go out at least once a week?	□0. YES	□1. NO	
17	Do you go out less frequently compared to last year?	□1. YES	□0. NO	
18	Do your family or your friends point out your memory loss?	□1. YES	□0. NO	
	e.g. "You ask the same question over and over again."			
19	Do you make a call by looking up phone numbers?	□0. YES	□1. NO	
20	Do you find yourself not knowing today's date?	□1. YES	□0. NO	
21	In the last 2 weeks have you felt a lack of fulfilment in your	□1. YES	□0. NO	
	daily life?			
22	In the last 2 weeks have you felt a lack of joy when doing	□1. YES	□0. NO	
	the things you used to enjoy?			
23	In the last 2 weeks have you felt difficulty in doing what	□1. YES	□0. NO	
	you could do easily before?			
24	In the last 2 weeks have you felt helpless?	□1. YES	□0. NO	
25	In the last 2 weeks have you felt tired without a reason?	□1. YES	□0. NO	
BMI: Body mass index.				

Figure I. Kihon Check List.

patients after THA and TKA. Based on previous reports that patients' motor function following THA and TKA significantly improved within postoperative 6 months, we measured clinical outcomes preoperatively and at 6 months postoperatively.<sup>20,21</sup>

# Frailty: KCL

Participants were assessed preoperatively and at postoperative 6 months for frailty using the KCL, which is a good candidate screening tool for identifying frailty in the primary care setting or in outpatient clinics to promote public health.<sup>22,23</sup> This assessment tool is a simple selfreporting yes/no survey consisting of 25 questions (Figure 1) focused on the daily routine of older individuals, and it is distinguished from other frailty assessment tools directly addressing the disease.<sup>22</sup> The following terminology was used: questions 6-10 as "physical domain," questions 4-5 and 16-17 as "social domain," and questions 1-25 as "total KCL score." In addition to these, KCL has "IADL," "nutrition," "eating," "memory," and "mood" domains. Difficulty with any question was counted as a score in the KCL, with a higher score in each domain of the checklist indicating a higher risk of requiring support or care in that domain, so together the survey questions could give a total score between 0 and 25. The patients were divided into two groups: the non-frailty group (KCL scores < 7) and the frailty group (KCL scores  $\ge 7$ ) based on a previous report.<sup>23</sup>

# Pain: Visual Analogue Scale (VAS)

Pain at walking was ranked from 0 to 10 according to the VAS preoperatively and at the 6 months follow-up period.

## IADL: Frenchay Activities Index (FAI)

We used the FAI, which has undergone expensive evaluation, to assess IADL.<sup>24</sup> The 15 items of the FAI cover a variety of complex activities, and the FAI consists of a single summary score from 0 points for a sedentary lifestyle to 45 points for a very active lifestyle. The item scoring is based on the frequency with which the activity is performed.

# HRQoL: EuroQol-5 Dimension-5 Level (EQ5D-5L) Questionnaire

HRQoL was measured by the EuroQol-5 dimension, which consists of a five-dimensional classification system.<sup>25,26</sup> EQ5D-5L has five dimensions: mobility, self-care, daily activities, pain/discomfort, and anxiety/ depression. Each dimension has five levels: no difficulty, a little difficulty, moderate difficulty, serious difficulty, and very serious difficulty. The full score of the scale is 1.00 point, and higher scores indicate better health.

# Statistical Analysis

Descriptive data are presented as the mean plus standard deviation or number and percentage. The significance of differences between two groups was statistically analyzed using an unpaired t-test for continuous parametric data and a Chi-square test for categorical variables. Age, sex and preoperative variables with a P value of < .05 in the univariate analysis were used in the logistic regression model. Multiple logistic regression analysis was used to determine clinical outcomes independently associated with frailty status in patients after lower-limb arthroplasty. The results are presented as the odds ratio (OR) with 95% confidence interval (CI). The area under the curve (AUC) of the receiver operating characteristic (ROC) curve was calculated to assess the diagnostic value and accuracy of different parameters as a predictive factor for differentiating between non-frailty and frailty. Statistical analyses were performed using JMP® version 15.0 (SAS Institute Inc, Cary, NC). A P value of < .05 was considered to be indicative of statistically significance.

#### Results

#### Preoperative Participant Characteristics

The mean age of all patients was  $73.0 \pm 5.8$  years, and 113 of 136 patients (83.1%) were females. Of 136 patients, 78 patients (55 with THA and 23 with TKA; 57.4%) were considered to be frail (KCL score  $\geq$  7) and 58 patients (45 with THA and 13 with TKA; 42.6%) were not (KCL score < 7) preoperatively. Older age (P = .01), higher pain intensity assessed by the VAS (P < .01), lower FAI score (P < .01), and lower EQ5D-5L score (P < .01) at preoperative period were significantly associated with frailty status (Table 1).

# Clinical Outcomes in the Pre- and Postoperative Periods

At the 6-month follow-up, the mean KCL score was  $6.0 \pm 3.6$  points, and the number of patients with frailty significantly decreased to 52 (38.2%), including the persistence of frailty status in 41 patients (30.1%) and new appearance in 11 patients (8.1%). In the follow-up evaluation, the total KCL score (P < .01), pain intensity (P < .01), FAI score (P = .01), and EQ5D-5L score (P < .01) were significantly improved (Table 2). Individually, the patients showed no significant improvement in the social domain of KCL 6 months after THA and TKA, but did show significant improvement in the physical domain (P < .01) (Figure 2).

# Clinical Factors Affecting Frailty After THA and TKA

The clinical characteristics of patients after THA and TKA are shown in Table 3. Of 136 patients, 52 patients (34 with THA and 18 with TKA; 38.2%) were considered to be frail (KCL score  $\geq$  7) and 84 patients (66 with THA and 18 with TKA; 61.8%) were not (KCL score < 7) postoperatively. Older age (*P* < .01), higher pain intensity (*P* < .01), lower FAI score (*P* < .01), and lower EQ5D-5L score (*P* < .01) 6 months after THA and TKA were significantly associated with frailty status.

# Logistic Regression Analysis

In multivariate logistic regression, frailty at 6 months after THA and TKA was independently associated with age at surgery (OR:0.93, 95% CI:0.86-.99, P = .03) and preoperative FAI score (OR:1.10, 95% CI:1.03-1.19, P < .01) (Table 4).

# ROC Analysis

We identified the cutoff scores for age and preoperative FAI score using the ROC curve (Figure 3). Our results revealed that age at surgery differentiated recurrent post-operative frailty at a threshold age of 76 years (AUC: .66,

	Non-frail Group (n = 58)	Frail Group (n = 78)	P value
Age (years)	71.6 ± 5.0	74.1 ± 6.1	.01ª
Female sex (%)	49 (84.5)	64 (82.1)	.70
Surgical type (THA: TKA)	45 : 13	55 : 23	.36
Body Mass Index (kg/m2)	24.9 ± 3.7	23.8 ± 3.3	.08
Charlson Comorbidity Index (score)	1.50 ± 2.0	1.99 ± 1.7	.14
Pain (Visual Analogue Scale)/10	3.55 ± 2.1	5.29 ± 2.6	<.01ª
Frenchay Activities Index	$26.3 \pm 6.3$	22.0 ± 7.3	<.01ª
EuroQol - 5 Dimension - 5 Level	.75 ± .12	.60 ± .18	<.01ª

Table I. Preoperative characteristics in the non-frail and frail patient groups.

<sup>a</sup>Statistically significant.

Table 2. Outcome measures in pre- and postoperative period.

	Pre-op.	Post-op. 6 months	P value
Frailty cases: KCL ≥ 7 scores (%)	78 (57.4)	52 (38.2)	<.01ª
Total KCL/25 scores	7.6 ± 3.1	6.0 ± 3.6	<.01ª
Pain (Visual Analogue Scale)/10.0 points	4.6 ± 2.6	$2.5 \pm 2.6$	<.01ª
Frenchay Activities Index/45 scores	23.8 ± 7.3	26.1 ± 7.1	.01ª
EuroQol - 5 Dimension - 5 Level/1.00 point	.66 ± .17	.81 ± .15	<.01ª

Pre-op.; Preoperative, Post-op.; Postoperative, KCL; Kihon Check List. <sup>a</sup>Statistically significant.



**Figure 2.** Improvement in the physical and social domains of the Kihon Check List. The KCL Physical domain was significantly improved (P < .01) at 6 months after THA and TKA, but the social domain was not. Pre-op; Preoperative, Post-op; Postoperative, M; Months, SD; Standard deviation.

95% CI: .04–.17, P < .01). Furthermore, the preoperative FAI scores differentiated recurrent postoperative frailty at a threshold score of 25 points (AUC: .69, 95% CI: -.17 to -.06, P < .01).

# Discussion

The study aim was to identify the prevalence and characteristics of frailty in patients with hip and knee OA requiring surgical treatment and to identify clinical factors associated with frailty using the KCL (cutoff score of 7) following THA and TKA. Our main results showed that the prevalence of frailty in OA patients was decreased by total joint arthroplasty and postoperative rehabilitation, but the persistence of frailty status was observed in 30.1%, and the new appearance was observed in 8.1% at postoperative 6 months. In addition, there was no significant improvement in the social domain of KCL at 6 months after surgery

	Non-frail Group (n = 84)	Frail Group (n = 52)	P value
Age (years)	71.8 ± 5.2	75.1 ± 6.1	<.01ª
Female sex (%)	68 (81.0)	45 (86.5)	.39
Surgical type (THA: TKA)	66 : 18	34 : 18	.09
Body Mass Index (kg/m2)	24.4 ± 3.5	$23.9 \pm 3.4$	.41
Charlson Comorbidity Index (scores)	1.58 ± 1.8	2.19 ±2.1	.08
Postoperative days(days)	245.0 ± 71.8	241.1 ± 59.8	.73
Pain (Visual Analogue Scale) (points)	1.58 ± 1.8	3.37 ± 2.7	<.01ª
Frenchay Activities Index (scores)	28.7 ± 5.7	22.0 ± 7.4	<.01ª
EuroQol - 5 Dimension - 5 Level (scores)	.87 ± .08	.71 ± .18	<.01ª

Table 3. Postoperative characteristics in the non-frail and frail patients' groups.

<sup>a</sup>Statistically significant.

Table 4. Logistic regression analysis for postoperative frailty status.

	Univariate Analysis	Multivariate Analysis	
Variables	P value	Or (95% Cl)	P value
Age (years)	<.01ª	1.08 (1.004-1.160)	.03ª
Female sex (%)	.39	1.64 (.543-5.493)	.38
Pain(Visual Analogue Scale) (points)	<.01ª	1.11 (.875-1.413)	.39
Frenchay Activities Index (scores)	<.01ª	.91 (.842969)	<.01ª
EuroQol - 5 Dimension - 5 Level (scores)	<.01ª	.91 (.842969)	.14

OR; Odds ratio, CI; confidence interval. <sup>a</sup>Statistically significant.



**Figure 3.** Receiver operating characteristic (ROC) curves for age (A) and preoperative FAI (B) score. Arrows indicate the best cutoff values. (A) Age at surgery. Area under the ROC curve: .66 (95% Cl: .04–.17); 77.4% sensitivity and 51.9% specificity for a cutoff of 75 years. (B) Preoperative FAI scores. Area under the ROC curve: .69 (95% Cl: .06–.17); 52.4% sensitivity and 78.9% specificity for a cutoff of 26 points. AUC; Area under the curve.

despite improvement in the physical domain. Furthermore, age and the FAI score were independent predictors of frailty at postoperative 6 months. Thus, multidisciplinary interventions, including social aspects, may be necessary

over a long period to improve frailty status in low-activity older OA patients.

OA is the most prevalent chronic joint disease in the world and one of the most common causes of pain and disability in the elderly.<sup>27</sup> In addition, OA is strongly associated with the geriatric disease of frailty, which is characterized by reduced homeostatic reserves and resilience and an increased risk of falls and institutionalization.<sup>28</sup> Frailty is a common syndrome in older patients, with an overall prevalence of 7% to 10.7% in people  $\geq$ 65 years old,<sup>15,29,30</sup> and there is a significantly higher percentage (range: 24 %-60%) of lower-limb OA in older people.<sup>31</sup> Frailty assessed by the KCL (cutoff score of 7) was observed in 57.4% of patients with hip and knee OA before surgery in our study, which is a relatively high prevalence of frailty. This finding may be explained by the fact that the KCL assessment of frailty is a multidimensional approach that includes not only physical aspects but also psychological and social aspects. In previous research, frail patients with OA had more adverse events in daily activities after THA and TKA; consequently, they had an increased dependence on outside help, and a decrease in IADL and HRQoL.<sup>32,33</sup> Reportedlly, total joint arthroplasty for hip and knee OA is highly effective in relieving pain and improving physical function,<sup>7,34,35</sup> which is supported by our findings showing significant improvement in pain, IADL, and HRQoL at 6 months after surgery. We also found that frailty in patients was significantly decreased from 57.4 to 38.2% by THA and TKA procedures. On the other hand, persistence of frailty was still relatively common at 6 months postoperatively; thus, we examined which aspects of frailty showed less improvement and which were significantly associated with frailty.

A previous study to clarify the temporal relationship between physical frailty and social frailty found that the association were stronger in the two social domains of social activity and contact with neighbors.<sup>36</sup> In the KCL, social requirements are assessed by questions 4 and 5 and social behaviors by questions 16 and 17, and these were not significantly improved after 6 months postoperatively in our study. Because of the social problems faced by the elderly, social frailty in aging populations is a grave concern, and several studies have shown adverse health effects, such as disability and mortality, attributable to social frailty.<sup>36,37</sup> Recent studies have highlighted social frailty as a risk factor for the incidence of physical frailty, disability, and mortality.<sup>36,38</sup> In the individual examinations of each aspect of frailty, the physical domain was significantly improved by THA and TKA in the shortterm, but the social domain was not. These results suggest the necessity of follow-up longer than 6 months and additional interventions for social frailty. Social support programs for older people to enhance their quality of life and general well-being have been researched; among participants who completed the program, everyday functioning and healthrelated quality of life increased, but the greatest improvement was observed in social function.<sup>39</sup> Hence, effective and practical interventions for social frailty have

to be investigated and integrated into the nursing care prevention system.

Some researchers have demonstrated a positive relationship between age and frailty.<sup>2</sup> Regarding patients with OA, older age and the presence of frailty are thought to be a risk factor of perioperative complications, longer length of hospital stay, and poor functioning postoperatively.<sup>40,41</sup> However, an earlier study demonstrated that the improvement in functional scores was similar for both frail and non-frail patients despite the older median age in the frailty group. The current study found that residual frailty following THA and TKA was significantly associated with older age,<sup>7</sup> but the associations remain controversial. Further studies are needed to assess the effect of age on improving frailty as a prognostic factor in OA patients following THA and TKA.

In patients following lower-limb arthroplasty, the main objective is to ensure that the patient achieves postoperative functional improvement sufficient to perform ADL independently.<sup>42</sup> Basic ADL activities are insufficient to determine independent living in the community<sup>43</sup> and often show a ceiling effect when applied to community residents.<sup>44</sup> Consequently, we chose the IADL to assess the level of participation in more complex activities. The FAI, which assesses a broad range of activities associated with everyday life, was originally developed to evaluate IADL and focuses on issues related to self-care and mobility. In our study, although the FAI score was significantly improved at 6 months after THA and TKA, frail patients have significantly lower FAI scores pre- and postoperatively. In addition, residual frailty postoperatively was associated with lower preoperative FAI scores, suggesting that the baseline FAI score is a better measurement for indicating the expected improvement of frailty. These results can be explained by the fact that this instrument measures activities that reflect a higher level of independence and social participation. Therefore, advanced intervention for frailty focused on social aspect may promote communitybased independence of frail patients following THA and TKA.

A population-based prospective cohort study demonstrated that the risk of developing frailty was higher in community-dwelling older adults with OA-related pain than in those without OA-related pain.<sup>45</sup> Additionally, patients with OA pain were also more likely to become frail with the disease progression.<sup>46</sup> Pain is the primary concern of people living with OA and the main reason patients seek medical assistance. Ardoino et al. discussed the importance of managing chronic pain that might become a target for intervention to avoid worsening of frailty in older patients.<sup>47</sup> It was thought to be attributed to the reduction of pain, which is one of goals of THA and TKA surgery,<sup>7</sup> and our study also showed that pain intensity was reduced more in older patients with hip and knee OA for 6 months. Consequently, preoperative pain intensity was not an independent predictor of frailty at 6 months postoperatively. These results suggest that older participants are likely to have confounding variables related to frailty status, such as other musculoskeletal disorders, lower-limb muscle strength, and physical balance.<sup>48</sup>

This study had several limitations. First, the KCL is used as an effective tool for considering the need for longterm care support for patients due to aging. However, it is not a frailty-specific indicator. Although frailty and osteoarthritis have different disease concepts, they have many common factors that predispose to the progression of both disease processes. Therefore, we cannot report that actual frailty can be accurately assessed only by the KCL scale for patients with osteoarthritis. Thus, comprehensive assessments using some evaluation scales may be necessary for the diagnosis and management of frailty in patients with lower-limb osteoarthritis in future studies. Second, we did not evaluate physical functions, such as walking speed, so we could not compare it with other frailty scales. The validity of the KCL as a frailty scale for assessing patients with OA requires validation. Third, preoperative selection bias, which could have been introduced by patients with OA who chose not to undergo surgery but may have been frail, was not addressed. Fourth, this study included only older people who completed the KCL preoperatively and at the final follow-up. In addition, this was a single-center study with a small sample size, so our results might not apply to a broader. Fifth, we only evaluated patients after surgery for < 1 year, so it was quite possible that social frailty could have improved over the long term. Finally, because of the difference in sample sizes, it was not possible to assess any adverse effects during the postoperative course of THA and TKA. Thus, further multiinstitutional studies with longer follow-up and evaluation for other factors related to frailty should be conducted to confirm our results or provide more context.

# Conclusion

We examined the prevalence of frailty by KCL, the improvement in frailty in patients with OA following THA and TKA intervention, identified the characteristics of each frailty domain over the shortterm postoperative period, and identified the preoperative factors predictive of persistent postoperative frailty. Our 6 months postoperative results demonstrated that physical frailty and the frequency of frailty were decreased after total arthroplasty procedures, but social frailty did not improve. Moreover, the remaining frailty after surgery was independently associated with preoperative older age and lower IADL. Thus, longer follow-up after surgery and comprehensive interventions, including addressing social aspects, appear to be essential for improving social functioning, especially in low-activity patients with OA.

#### Acknowledgments

The authors are grateful to the members of the rehabilitation staff at Kyushu University Hospital for their assistance with data collection. This study did not receive any grant founding. This research received no specific grant from any funding agency in the public, commercial, or not-for-profit sections.

# **Declaration of Conflicting Interests**

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

#### Funding

The author(s) received no financial support for the research, authorship, and/or publication of this article.

#### **Ethical Approval**

This study was conducted in accordance with the Declaration of Helsinki and was approved by our hospital ethics review board (approval number: 2019-016).

# **ORCID** iD

So Kuwakado D https://orcid.org/0000-0002-6022-2188

#### References

- Pignatti C, D'Adamo S, Stefanelli C, Flamigni F, Cetrullo S. Nutrients and pathways that regulate health span and life span. *Geriatrics (Basel)*. 2020;5(4):95.
- Heinze-Milne SD, Banga S, Howlett SE. Frailty Assessment in Animal Models. *Gerontology*. 2019;65(6):610-619.
- Fried LP, Ferrucci L, Darer J, Williamson JD, Anderson G. Untangling the concepts of disability, frailty, and comorbidity: implications for improved targeting and care. J Gerontol A Biol Sci Med Sci. 2004;59(3):255-263.
- Sieber CC. Frailty From concept to clinical practice. *Exp* Gerontol. 2017;87(Pt B):160-167.
- Gill TM, Gahbauer EA, Allore HG, Han L. Transitions between frailty states among community-living older persons. *Arch Intern Med.* 2006;166(4):418-423.
- Meessen JMTA, Leichtenberg CS, Tilbury C, et al. Frailty in end-stage hip or knee osteoarthritis: validation of the Groningen Frailty Indicator (GFI) questionnaire. *Rheumatol Int.* 2018;38(5):917-924.
- Meessen JMTA, Fiocco M, Leichtenberg CS, Vliet Vlieland TPM, Slagboom PE, Nelissen RGHH. Frailty questionnaire is not a strong prognostic factor for functional outcomes in hip or knee arthroplasty patients. *Geriatr Orthop Surg Rehabil.* 2019;10:2151459318808164.

- McIsaac DI, Beaulé PE, Bryson GL, Van Walraven C. The impact of frailty on outcomes and healthcare resource usage after total joint arthroplasty: a population-based cohort study. *Bone Joint J.* 2016;98-B(6):799-805.
- Taniguchi N, Jinno T, Ohba T, et al. Differences of 2-year longitudinal changes of locomotive syndrome among patients treated with thoracolumbar interbody fusion, total hip arthroplasty, and total knee arthroplasty for degenerative diseases. *Mod Rheumatol.* 2022;32(3):641-649.
- Nagai K, Tamaki K, Kusunoki H, et al. Physical frailty predicts the development of social frailty: a prospective cohort study. *BMC Geriatr.* 2020;20(1):403.
- Dent E, Kowal P, Hoogendijk EO. Frailty measurement in research and clinical practice: a review. *Eur J Intern Med.* 2016;31:3-10.
- Vellas B, Balardy L, Gillette-Guyonnet S, et al. Looking for frailty in community-dwelling older persons: the Gérontopôle Frailty Screening Tool (GFST). J Nutr Health Aging. 2013;17(7):629-631.
- Arai H, Satake S. English translation of the Kihon Checklist. Geriatr Gerontol Int. 2015;15(4):518-519.
- Rockwood K, Song X, MacKnight C, et al. A global clinical measure of fitness and frailty in elderly people. *CMAJ*. 2005; 173(5):489-495.
- Fried LP, Tangen CM, Walston J, et al. Frailty in older adults: evidence for a phenotype. *J Gerontol A Biol Sci Med Sci.* 2001;56(3):M146-M156.
- Satake S, Senda K, Hong YJ, et al. Validity of the Kihon Checklist for assessing Frailty status. *Geriatr Gerontol Int.* 2016;16(6):709-715.
- Honzawa A, Nishitani-Yokoyama M, Shimada K, et al. Relationship between kihon checklist score and anxiety levels in elderly patients undergoing early phase II cardiac rehabilitation. *Cardiol Res.* 2020;11(6):405-411.
- Kojima M, Kojima T, Waguri-Nagaya Y, et al. Depression, physical function, and disease activity associated with frailty in patients with rheumatoid arthritis. *Mod Rheumatol*. 2021; 31(1):979-986.
- Charlson ME, Pompei P, Ales KL, MacKenzie CR. A new method of classifying prognostic comorbidity in longitudinal studies: development and validation. *J Chronic Dis.* 1987;40(5):373-383.
- Holnapy G, Illyés A, Kiss RM. Impact of the method of exposure in total hip arthroplasty on the variability of gait in the first 6 months of the postoperative period. *J Electromyogr Kinesiol*. 2013;23(4):966-976.
- Jahic D, Omerovic D, Tanovic AT, Dzankovic F, Campara MT. The effect of prehabilitation on postoperative outcome in patients following primary total knee arthroplasty. *Med Arch.* 2018;72(6):439-443.
- Sewo Sampaio PY, Sampaio RA, Yamada M, Arai H. Systematic review of the Kihon Checklist: is it a rerliable assessment of frailty? *Geriatr Gerontol Int.* 2016;16(8): 893-902.

- Sewo Sampaio PY, Carvalho Sampaio RA, Yamada M, Arai H. Comparison of frailty between users and nonusers of a day care center using the Kihon Checklist in Brazil. J Gerontol Geriatr. 2014;5(3):82-85.
- Han CW, Yajima Y, Nakajima K, Lee EJ, Meguro M, Kohzuki M. Construct validity of the Frenchay Activities Index for community-dwelling elderly in Japan. *Tohoku J Exp Med.* 2006;210(2):99-107.
- Oppe M, Devlin NJ, van Hout B, Krabbe PFM, de Charro F. A program of methodological research to arrive at the new international EQ-5D-5L valuation protocol. *Value Health*. 2014;17(4):445-453.
- 26. Toyoshima K, Inoue T, Baba T, et al. Associations of cognitive complaints and depressive symptoms with healthrelated quality of life and perceived overall health in Japanese adult volunteers. *Int J Environ Res Public Health*. 2021;18(18):9647.
- Li Y, Wei X, Zhou J, Wei L. The age-related changes in cartilage and osteoarthritis. *BioMed Res Int.* 2013;2013: 916530.
- Clegg A, Young J, Iliffe S, Rikkert MO, Rockwood K. Frailty in elderly people. *Lancet*. 2013;381(9868):752-762.
- 29. Woolf AD, Pfleger B. Burden of major musculoskeletal conditions. *Bull World Health Organ*. 2003;81(9):646-656.
- Collard RM, Boter H, Schoevers RA, Oude Voshaar RC. Prevalence of frailty in community-dwelling older persons: a systematic review. J Am Geriatr Soc. 2012;60(8): 1487-1492.
- O'Brien MS, McDougall JJ. Age and frailty as risk factors for the development of osteoarthritis. *Mech Ageing Dev.* 2019;180:21-28.
- 32. Mandl LA, Schmucker AM, Sasaki M, et al. Frailty and total joint arthroplasty. *Osteoarthr Cartil*. 2017;25(1):S426.
- Naumov AV, Khovasova NO, Moroz VI, Tkacheva ON. Osteoarthritis and geriatric syndromes. *Zh Nevrol Psikhiatr Im S S Korsakova*. 2019;119(92):90-98. Vyp.
- Nunes Santos R, Castro M, Santana FR, et al. Quality of life and associated factors in Latin American patients undergoing total hip arthoplasty. *Ortop Traumatol Rehabil*. 2020; 22(6):439-445.
- 35. Yakobov E, Stanish W, Tanzer M, Dunbar M, Richardson G, Sullivan MJL. The prognostic value of pain catastrophizing in health-related quality of life judgments after total knee arthroplasty. *Health Qual Life Outcomes*. 2018;16(1):126.
- Yamada M, Arai H. Social frailty predicts incident disability and mortality among community-dwelling Japanese older adults. *J Am Med Dir Assoc.* 2018;19(12):1099-1103.
- Ma L, Sun F, Tang Z. Social frailty is associated with physical functioning, cognition, and depression, and predicts mortality. *J Nutr Health Aging*. 2018;22(8):989-995.
- 38. Makizako H, Shimada H, Doi T, et al. Social frailty leads to the development of physical frailty among physically nonfrail adults: a four-year follow-up longitudinal cohort study. *Int J Environ Res Public Health.* 2018;15(3):490.

- McNamara B, Rosenwax L, Lee EAL, Same A. Evaluation of a healthy ageing intervention for frail older people living in the community. *Australas J Ageing*. 2016;35(1):30-35.
- Johnson RL, Abdel MP, Frank RD, Chamberlain AM, Habermann EB, Mantilla CB. Impact of frailty on outcomes after primary and revision total hip arthroplasty. *J Arthroplasty*. 2019;34(1):56-64.
- Franklin PD, Li W, Ayers DC. The Chitranjan Ranawat Award: functional outcome after total knee replacement varies with patient attributes. *Clin Orthop Relat Res.* 2008;466(11):2597-2604.
- 42. Teo N, Gao Q, Nyunt MSZ, Wee SL, Ng TP. Social frailty and functional disability: findings from the Singapore Longitudinal Ageing Studies. *J Am Med Dir Assoc.* 2017; 18(7):637.e13-637.e19.
- Wade DT, Legh-Smith J, Langton Hewer R. Social activities after stroke: measurement and natural history using the Frenchay Activities Index. *Int Rehabil Med.* 1985;7(4): 176-181.

- 44. Ben Ari Shevil E, Johansson S, Ytterberg C, Bergström J, von Koch L. How are cognitive impairment, fatigue and signs of depression related to participation in daily life among persons with multiple sclerosis? *Disabil Rehabil*. 2014;36(23):2012-2018.
- Veronese N, Maggi S, Trevisan C, et al. Pain increases the risk of developing frailty in older adults with osteoarthritis. *Pain Med.* 2017;18(3):414-427.
- Bindawas SM, Vennu V, Stubbs B. Longitudinal relationship between knee pain status and incident frailty: data from the Osteoarthritis Initiative. *Pain Med.* 2018;19(11):2146-2153.
- Ardoino I, Franchi C, Nobili A, Mannucci PM, Corli O, REPOSI Investigators. Pain and frailty in hospitalized older adults. *Pain Ther*. 2020;9(2):727-740.
- Lauretani F, Ticinesi A, Gionti L, et al. Short-Physical Performance Battery (SPPB) score is associated with falls in older outpatients. *Aging Clin Exp Res.* 2019;31(10): 1435-1442.