



# Is There a Sex-Related Difference in the Obesity Paradox in Systolic Heart Failure? Sex-Related Difference in the Obesity Paradox

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**Purpose:** Obesity is often associated with better clinical outcomes in heart failure (HF). This so-called obesity paradox remains controversial. The aim of present study was to investigate the prognostic value of obesity in patients hospitalized for systolic HF. **Materials and Methods:** We performed a pooled analysis of data from two multicenter, observational HF studies. Patients hospitalized for systolic HF were eligible for the present study. We divided the subjects into two groups, a normal body mass index (BMI) group and a high BMI group. Study endpoints included all-cause mortality and any re-hospitalization within 1 year. **Results:** We enrolled 3145 patients (male, 1824; female, 1321). The high BMI group was significantly associated with lower 1-year mortality rate [odds ratio (OR), 0.543; 95% confidence interval (CI), 0.355–0.832] after adjusting for age, hypertension, diabetes, ischemic HF, previous myocardial infarction, serum creatinine level, anemia, and ejection fraction in men. After adjustment for clinical characteristics, high BMI was not significantly associated with 1-year mortality (OR, 0.739; 95% CI, 0.450–1.216) or 1-year re-hospitalization (OR, 0.958; 95% CI, 0.696–1.319) in women.

**Conclusion:** In pooled analysis of data from two Korean HF registries, the high BMI group was independently associated with lower 1-year mortality rate from systolic HF, especially in men.

Key Words: Obesity, heart failure, systolic, sex difference

## **INTRODUCTION**

Although obesity is an independent risk factor for cardiovascular disease, including heart failure (HF),<sup>1</sup> an elevated body mass index (BMI) is paradoxically associated with improved clinical outcomes in the setting of established HF.<sup>2-6</sup> This socalled obesity paradox seems to be more prominent in men according to several studies.<sup>2-6</sup> This phenomenon is also apparent in Asians.<sup>7</sup> Nevertheless, the existence of the obesity

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•The authors have no financial conflicts of interest.

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This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/ by-nc/4.0) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited. paradox remains controversial.

Although fat distribution varies by sex, the role of obesity according to sex in the outcomes of HF has not been well evaluated.<sup>6,7</sup> Therefore, the primary aim of the present study was to confirm the existence of the obesity paradox in systolic HF and, if the obesity paradox exists, the existence of a sex-related difference therein.

## MATERIALS AND METHODS

#### Study design

We merged data from two large registries, The Korean Heart Failure registry (KorHF), Survey of Guideline Adherence for Treatment of Systolic Heart Failure in Real World (SUGAR). KorHF is a nationwide, prospective, observational, multicenter, online registry for patients hospitalized for acute HF between June 2004 and April 2009, with 24 participating hospitals in Korea.<sup>8</sup> The study population included all adult patients (age

Received: June 14, 2017 Revised: August 11, 2017 Accepted: September 9, 2017

≥18 years old) with a hospitalization for HF with a left ventricular ejection fraction (LVEF) of <45% at admission since January 2009. The SUGAR trial is a multicenter, retrospective observational study on subjects admitted for systolic HF [ejection fraction (EF) <45%] in 23 university hospitals since January 2008 (ClinicalTrials.gov Identifier: NCT01390935).

The study was approved by the Institutional Review Board of the Wonju Medical College of Yonsei University (No 311006).

Patients aged ≥18 years with reduced LVEF ≤45% in three registries were eligible for the present study. The subjects without information on height and weight were excluded. Underweight HF patients may have "cardiac cachexia," which is known to be associated with worse prognosis; thus, to adjust for the potential confounding effect of patients with cachexia or frailty, those classified as underweight (BMI <18.5 kg/m<sup>2</sup>) were excluded from the analysis.<sup>9</sup> According to the World Health Organization criteria, overweight was defined as a BMI of ≥25 kg/m<sup>2</sup>.<sup>10</sup> The selection of the study population is described in Fig. 1.

#### Endpoints

The study endpoints included 1-year all-cause mortality and 1-year re-hospitalization. Given the nature of systolic HF, which is frequently aggravated by trivial triggers and require hospitalization, any re-hospitalization was selected as an endpoint. Owing to ambiguity in distinguishing cardiac death from noncardiac death in systolic HF, we also chose all-cause mortality as a study endpoint, instead of cardiac death.

#### Statistical analysis

Statistical analyses were conducted using SPSS version 20.0 (IBM Corp., Armonk, NY, USA). Continuous variables were expressed as mean±standard deviation. Categorical variables were expressed as absolute numbers and percentages. All anal-



Fig. 1. Selection of study population. KorHF, The Korean Heart Failure registry; SUGAR, Survey of Guideline Adherence for Treatment of Systolic Heart Failure in Real World; LVEF, left ventricular ejection fraction; BMI, body mass index.

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yses were performed separately according to sex. To adjust for significant covariates, multivariable models were developed for all-cause mortality and re-hospitalization. We used binary logistic regression models to estimate unadjusted and adjusted relationships between each variable and patient outcomes. The adjusted model was controlled for baseline demographic and clinical characteristics. These included age, hypertension, diabetes mellitus (DM), ischemic HF, previous myocardial infarction (MI), EF, serum creatinine (Cr) level, and anemia [hemoglobin (Hb) <10 g/dL]. Kaplan-Meier analyses were used to compare between endpoints. The log-rank test was used to test for differences in unadjusted survival curves. A two-sided *p*-value of <0.05 was considered significant.

## RESULTS

#### **Demographics**

Finally, 2484 patients (men, 1443; women, 1041) were included in the present study (Fig. 1). For the analysis, we considered two BMI groups, a normal BMI group (BMI, 18.5–24.9 kg/m<sup>2</sup>) and a high BMI group (BMI  $\geq 25.0$  kg/m<sup>2</sup>). The baseline characteristics of the patients are presented in Table 1 (men) and Table 2 (women). Among the men, subjects in the high BMI group were younger (58.13 years vs. 66.41 years, p < 0.001) and had a higher blood pressure (133/83 mm Hg vs. 126/77 mm Hg, p <0.001). The subjects with high BMI more frequently presented with prior hypertension (52.9% vs. 47.9%), but less frequently with ischemic HF (35.0% vs. 44.1%, p<0.001), left bundle branch block (3.8% vs. 7.9%), and chronic kidney disease (9.7% vs. 13.3%, p=0.032). Among women, subjects in the high BMI group were younger (67.17 years vs. 70.51 years, p<0.001) and more frequently presented with prior hypertension (61.4% vs 54.1%, p=0.016), diabetes (45.2% vs. 35.2%, p<0.001), dyslipidemia (31.0% vs. 20.7%), and chronic kidney disease (12.9% vs. 8.1%, p=0.008).

#### **Clinical outcomes**

Among the 3251 subjects, 2484 (76.4%; men, 1483; women, 1041) had available information on the study endpoints (Table 3). In men, a larger proportion of patients in the normal BMI group than in the high BMI group (40.3% vs. 29.4%, p<0.001) reached the study endpoints. One-year all-cause mortality (17.2% vs. 6.9%, p<0.001) and re-hospitalization rates (31.0% vs. 25.4%, p=0.024) were significantly higher in the normal BMI group than in the high BMI group. Meanwhile, no significant differences in study endpoints were found between women in the normal BMI group and those in the high BMI group (37.7% vs. 35.4%, p=0.544). No significant differences in all-cause mortality and re-hospitalization rates were found between the two groups. The Kaplan-Meier event free curves of each study endpoints are depicted in Fig. 2. Among men, the high BMI group showed improved clinical outcomes in comparison with

Table 1. Baseline	Characteristics	of the Male	Population	According to BMI
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W. 1.11.	18.5≤BMI<25	25≤BMI<30	30≤BMI	
variable	n=978	n=396	n=69	<i>p</i> for trend
BMI (kg/m <sup>2</sup> )	22.1±1.7	26.8±1.3	33.6±3.5	<0.001
Age (yr)	65.8±13.4	59.6±14.1	48.1±15.0	<0.001
SBP (mm Hg)	126.8±27.0	132.0±30.1	140.3±29.6	<0.001
Heart rate (BPM)	90.4±24.2	91.8±26.3	93.3±21.4	0.367
Ischemic HF	424 (43.8)	155 (39.7)	20 (29.0)	0.013
De novo HF	594 (64.9)	257 (69.8)	42 (68.9)	0.117
Ejection fraction	29.0±8.6	29.2±9.4	27.2±9.4	0.104
LVEDD	61.6±11.0	62.2±11.9	65.8±7.2	0.009
LVESD	50.9±13.3	51.9±13.3	55.4±8.2	0.014
E/E'	19.7±10.4	18.7±8.8	20.5±10.0	0.295
LBBB	71 (8.0)	15 (4.3)	1 (1.7)	0.005
Atrial fibrillation	222 (24.3)	117 (31.8)	10 (16.4)	0.299
NYHA class 3 or 4	627 (70.9)	252 (68.5)	48 (76.2)	0.980
Past history				
HTN	449 (45.9)	199 (50.3)	42 (60.9)	0.011
DM	324 (33.2)	127 (32.1)	21 (30.4)	0.571
Stroke	108 (15.3)	42 (14.9)	4 (7.5)	0.265
Previous MI	190 (19.4)	69 (17.4)	10 (14.5)	0.211
COPD	63 (6.9)	15 (4.1)	1 (1.6)	0.016
CKD	127 (13.0)	36 (9.1)	10 (14.5)	0.255
Laboratory finding				
Hemoglobin (g/dL)	13.0±2.2	14.1±2.1	14.8±2.7	<0.001
Na (mmol/L)	138.3±4.8	139.1±4.0	139.1±4.1	0.194
Glucose (mg/dL)	156.5±80.4	149.9±63.9	126.1±44.1	0.001
BUN (mg/dL)	26.0±16.8	22.5±12.4	21.0±15.6	0.010
Cr (mg/dL)	1.6±1.5	1.4±0.6	1.5±0.9	0.365
NT-proBNP (pg/mL)	8832.3±9671.9	5249.3±6317.7	4260.6±3746.9	0.001
Discharge medication				
ACEi or ARB	773 (79.3)	319 (80.6)	61 (88.4)	0.123
Beta blocker	521 (53.4)	212 (53.5)	45 (65.2)	0.214
MRA	508 (52.0)	226 (57.1)	38 (55.1)	0.138

BMI, body mass index; SBP, systolic blood pressure; BPM, beats per minute; HF, heart failure; LVEDD, left ventricular end diastolic dimension; LVESD, left ventricular end systolic dimension; LBBB, left bundle branch block; NYHA, New York Heart Association; HTN, hypertension; DM, diabetes mellitus; MI, myocardial infarction; COPD, chronic obstructive pulmonary disease; CKD, chronic kidney disease; BUN, blood urea nitrogen; Cr, creatinine; BNP, brain natriuretic peptides; NT-proBNP, N terminal pro brain natriuretic peptides; ACEi, angiotensin converting enzyme inhibitor; ARB, angiotensin receptor blocker; MRA, mineralocorticoid receptor antagonist.

Values are expressed as mean±SD or n (%).

the normal BMI group. However, no significant differences in the study endpoints were observed between the two groups of women (Fig. 3).

After adjustment for age, hypertension, DM, ischemic HF, previous MI, serum Cr level, hemoglobin, and EF, the odds ratios for the study endpoints were 0.786 [95% confidence interval (CI), 0.620–0.998] and 0.545 (95% CI, 0.302–0.985), respectively, in males. However, among women, those with high BMI were not associated with either of the study endpoints, even after adjustment (Table 4).

## DISCUSSION

The present study demonstrated the existence of the obesity paradox and a sex-related difference in the obesity paradox in systolic HF. To date, many studies have demonstrated the obesity paradox in systolic HF. Unfortunately, the mechanism of the obesity paradox remains unclear. Multiple explanations have been proposed for the obesity paradox in HF. Cardiac cachexia is one of the representative explanations for the obesity paradox. Systolic HF is well known to be a catabolic status.<sup>11</sup> Cardiac cachexia was previously shown to be associated with neurohormonal imbalance, inflammation, and poor prognosis.<sup>12,13</sup> First, obesity patients may have better metabolic reserve in systolic HF against catabolism.<sup>14</sup> Second, obesity patients may present an earlier stage of HF because of the increased symptoms and functional impairment caused by excess body weight;<sup>4,5</sup> thus, the patients could receive treatments at an earlier stage. In addition, the cardioprotective role

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Variable	18.5≤BMI<25	25≤BMI<30	30≤BMI	a fan fan d
	n=723	<b>n=261</b>	n=57	<i>p</i> for trend
BMI (kg/m <sup>2</sup> )	21.9±1.7	26.6±1.3	32.8±2.7	<0.001
Age (yr)	70.4±12.9	67.3±12.9	64.8±14.4	0.002
SBP (mm Hg)	128.8±27.6	130.7±27.6	128.4±25.7	0.918
Heart rate (BPM)	91.3±24.5	92.1±27.1	89.1±19.8	0.540
Ischemic HF	272 (37.9)	105 (41.0)	25 (43.9)	0.247
<i>De novo</i> HF	430 (63.2)	150 (60.7)	34 (64.2)	0.720
Ejection fraction	30.8±8.7	31.4±8.1	32.1±9.1	0.272
LVEDD	57.6±10.6	57.7±13.2	59.4±13.7	0.531
LVESD	47.4±11.5	47.0±12.2	18.8±14.0	0.585
E/E'	23.4±12.7	23.2±15.8	17.6±5.8	0.095
LBBB	72 (11.0)	17 (7.1)	6 (11.5)	0.311
Atrial fibrillation	161 (23.8)	55 (22.3)	15 (28.3)	0.843
NYHA class 3 or 4	477 (72.1)	171 (69.5)	44 (80.0)	0.699
Past history				
HTN	385 (53.3)	152 (58.2)	36 (63.2)	0.060
DM	247 (34.2)	117 (44.8)	27 (47.4)	0.001
Stroke	53 (10.6)	27 (14.1)	1 (2.5)	0.823
Previous MI	108 (14.9)	46 (17.6)	8 (14.0)	0.593
COPD	20 (2.9)	5 (2.0)	1 (1.9)	0.419
СКD	54 (7.5)	36 (13.8)	8 (14.0)	0.002
Laboratory finding				
Hemoglobin (g/dL)	11.7±2.0	12.0±2.0	12.4±2.0	0.011
Na (mmol/L)	138.2±5.3	139.0±4.7	137.9±4.7	0.704
Glucose (mg/dL)	166.8±92.6	173.3±95.8	175.6±80.5	0.503
BUN (mg/dL)	23.8±14.3	25.1±15.1	15.2±14.1	0.489
Cr (mg/dL)	1.3±1.2	1.5±1.4	1.5±1.3	0.398
NT-proBNP (pg/mL)	10317.6±10426.8	7956.2±8981.9	6192.7±8103.5	0.018
Discharge medication				
ACEi or ARB	563 (77.9)	206 (78.9)	48 (84.2)	0.320
Beta blocker	393 (54.4)	156 (59.8)	31 (54.4)	0.332
MRA	371 (51.3)	140 (53.6)	27 (47.4)	0.983

BMI, body mass index; SBP, systolic blood pressure; BPM, beats per minute; HF, heart failure; LBBB, left bundle branch block; NYHA, New York Heart Association; HTN, hypertension; DM, diabetes mellitus; MI, myocardial infarction; COPD, chronic obstructive pulmonary disease; CKD, chronic kidney disease; BUN, blood urea nitrogen; Cr, creatinine; BNP, brain natriuretic peptides; NT-proBNP, N terminal pro brain natriuretic peptides; ACEi, angiotensin converting enzyme inhibitor; ARB, angiotensin receptor blocker; MRA, mineralocorticoid receptor antagonist. Values are expressed as mean±SD or n (%).

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Table 3. Incidence	of the Primary	Endpoint According	to BMI	Category
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Variable	18.5≤BMI<25	25≤BMI<30	30≤BMI	p for trend
Male, n (%)				
Primary endpoint	329 (33.6)	106 (26.8)	41 (20.3)	0.001
All cause death	84 (8.6)	15 (3.8)	2 (2.9)	0.001
Re-hospitalization	293 (30.0)	101 (25.5)	14 (20.3)	0.025
Female, n (%)				
Primary endpoint	228 (31.6)	79 (30.3)	16 (28.1)	0.522
All cause death	50 (6.9)	11 (4.2)	2 (3.5)	0.085
Re-hospitalization	207 (28.6)	72 (27.6)	16 (28.1)	0.789

BMI, body mass index





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Fig. 2. Kaplan-Meier curve for incidence of the primary endpoint in the male population. BMI, body mass index.



#### Table 4. Adjusted Hazard Ratios for the Primary Endpoint According to BMI Category

	Categorical				
	18.5≤BMI<25	<b>25≤BMI&lt;30</b>	30≤BMI	p for trend	1-SD increase in BMI
Male	1	0.786 (0.620–0.998)*	0.545 (0.302–0.985)*	0.008	0.889 (0.795–0.995)*
Female	1	0.965 (0.737–1.263)	0.945 (0.563–1.584)	0.755	1.022 (0.909–1.149)

BMI, body mass index; CI, confidence interval.

Data were presented as hazard ratio (95% CI). Adjusted for age, hypertension, diabetes, chronic kidney disease, ischemic etiology, New York Heart Association class, previous myocardial infarction, left ventricle ejection fraction, N terminal pro brain natriuretic peptides, left ventricle end diastole dimension, hemoglobin, discharge medication (angiotensin converting enzyme inhibitor or angiotensin receptor blocker and Beta blocker). \* p value<0.05.

of obesity has also been suggested, such as decreased catecholamine response and high cholesterol level, which has an anti-inflammatory property to neutralize circulating lipopolysaccharides.<sup>15,16</sup> Adipose tissue is known to produce soluble tumor necrosis factor (TNF)-alpha receptors, which could have a protective effect in obese patients with HF by neutralizing the adverse effect of TNF.<sup>17</sup>

The present study demonstrated a clear sex-related difference in the obesity paradox in a large number of patients with systolic HF. However, the sex-related difference in the obesity paradox has not been clearly understood yet. Recently, a neurohormonal sex-related difference in HF was reported.<sup>18</sup> The levels of biomarkers related to inflammation and extracellular matrix remodeling were found to be significantly lower in women than in men. Considering the anti-inflammatory effect of obesity, the protective effect of obesity might be more prominent in men than in women. The sex-related difference in systolic HF was demonstrated in a previous study.<sup>2</sup> In the study, high BMI and waist circumference did not predict improved survival in women. However, the number of female subjects were so small (n=94) that it had limited power to de-

tect any difference. Some studies suggest differences of gender in obesity and its effects, awareness of symptoms, and medical treatment in patients with cardiac diseases, including HF. There was a gender difference between obesity and associates of HF symptoms.<sup>19</sup> In a study of the effects of obesity on myocardial and vascular stiffness, myocardial hypertrophy in males was different from that in females.<sup>20</sup> Male patients were better at interpreting their HF symptoms, compared to female patients.<sup>21</sup> Thus, the relationship between obesity and HF may differ in male and female patients. In 2014, Shah, et al.<sup>22</sup> performed a 1-year prospective global registry to explore the obesity paradox in HF, and revealed that the inverse association was stronger in subjects with older age, nondiabetes, or systolic HF. In addition, the study showed a racial difference wherein the inverse BMI association with mortality was stronger in Asian patients than in European and American patients. Previous study of the obesity paradox in patients with HF showed contradictory results with our study.<sup>23</sup> While it is difficult to explain the reason, the overweight group in the study, not the obese group, showed survival advantage in the study. We suspect that various factors may have affected the results, such as se-

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lection bias or race, etc.

BMI is the most commonly used epidemiologic measure of obesity. Most studies regarding the obesity paradox used BMI to measure adiposity. However, BMI also reflects lean body mass. We excluded underweight from analysis to adjust for potent confounding factors.<sup>22,24</sup> To better measure pure adiposity, waist circumference has been used in several previous studies to understand the obesity paradox in HF. However, waist circumference has a limitation in that it cannot be used to distinguish between visceral and subcutaneous fats, which have different properties in the human body. To know which kind of adiposity is more related to the obesity paradox, further investigations using imaging studies are needed. In the present study, additional analysis using waist circumference could not be performed because of the lack of data.

Out study has several limitations. All the subjects were hospitalized for acute decompensated HF at study enrollment. Thus, body weight could be measured in terms of fluid retention status. We merged two registries designed for different purposes. However, we believe a large number of subjects could be enough to cover this limitation. We did not have information on the use of inotropics or cholesterol and cytokine levels, which would be helpful to understand the pathophysiology of the obesity paradox. Lastly, this study was conducted as a retrospective analysis, and pre-hospital course or detailed symptoms were not specified. The distribution of many of the variables between the groups was largely uneven; however, this is a natural characteristic of registry studies.

In conclusion, in the pooled analysis of data from two Korean HF registries, obesity (high BMI) was independently associated with lower 1-year mortality rate in systolic HF in men, but not in women. A sex-related difference in the obesity paradox in systolic HF was confirmed. To understand the pathophysiology of the obesity paradox, further investigation is needed.

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