

# Prevalence of cognitive dysfunction, psychological morbidity and abuse in the community-based elderly population in India

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## ABSTRACT

**Background** The elderly population in India is expected to grow enormously by 2050 owing to an increase in life expectancy. Community-based data on the prevalence of psychological morbidity, abuse and cognitive dysfunction are scarce.

**Aims** To determine the prevalence of cognitive dysfunction, psychological morbidity and abuse in the elderly population in a resettlement colony from East Delhi, India.

**Methods** A cross-sectional study was conducted on the elderly population of a resettlement colony, in East Delhi, comprising 5 blocks and 12 subblocks with a total population of 65 000. The study was carried out within 2 months from April to August 2017. The self-reported questionnaires were administered to detect cognitive dysfunction, psychological morbidity, social support and pattern of abuse.

**Results** The mean age of the study subjects was 70.29 years (53.8% were males, 78.8% were married, 86.9% were Hindus and 75% were living with children). A total of 106 subjects (66.6%) belonged to the lower socioeconomic strata with 120 (75%) living with children. Most of the subjects (70.6%) studied below the primary level of education. As detected on Goldberg General Health Questionnaire-12, 56.9% of the subjects had psychological morbidity. On Dementia Assessment by Rapid Test, 33.1% of subjects were screened positive. The pattern of abuse reported was as follows: emotional abuse (16.9%), physical abuse (7.5%), sexual abuse (1.9%) and social neglect (18.1%). The social support score was found to be 46.22 (12.22).

**Conclusion** The results of this community-based study signify that appropriate steps at the policy level need to be undertaken so that abuse as well as neglect can be prevented. In addition, screening the elderly population helps to detect early cognitive dysfunction, psychological morbidity, abuse victims and individuals with poor support.

## INTRODUCTION

Of 4.37 billion of the total world population, about 250 million are over the age of 65 years.<sup>1</sup> The population of India increased from 685 million (geriatric group 6.4%) in 1981 to 846 million (geriatric group 7.5%) in 1991. The life expectancy of an average

Indian increased from 54 years in 1981 to 64.6 years in 2002.<sup>2</sup> The elderly population rose from 6.0% to 8.0% during 1991 to 2011 due to factors such as better education and healthcare for the elderly according to a 2011 census in India.<sup>3</sup> It is projected that the elderly population in India will rise to 12.4% by 2026.<sup>4</sup>

Cognitive function declines with advancing age particularly in older adults aged 60 years and above. Hence, concepts such as normal ageing, age-related cognitive decline, mild cognitive impairment (MCI)/dysfunction and cognitive disorders are used to denote the cognitive status of an older adult. There is evidence from the literature suggesting cognitive screening for the population above 60 years of age to detect MCI and dementia. A recent longitudinal Chinese follow-up study from 2005 to 2014 on 2603 participants conducted by Zhang *et al* using the Chinese version of Mini-Mental State Examination (MMSE) found deterioration of cognitive functions in 55.09% of the elderly. Different factors such as female gender, lower education level, increasing age, less income, no spouse, lack of social engagement, limited activities of daily living, lower intake of fresh fruits and vegetables were significantly associated with cognitive impairment.<sup>5</sup> In India, routine cognitive screening of the elderly population is lacking, thereby leading to tremendous burden on the caregivers of elderly with dementia or MCI. Tripathi and Tiwari<sup>6</sup> conducted a study on cognitive screening to rule out impairment of different cognitive functions of older adults using mixed MMSE in which 14.42% of the elderly were positive (ie, scored 23 or below). A recent review by Porssevi and Shankar stated that there is a lack of public awareness for cognitive testing in India. In addition, stigma, lack of infrastructure and trained manpower,



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lack of resources, multiple languages, cultural diversity, caregiving burden and lack of integrated services further makes it difficult for the public to understand the importance of routine cognitive testing.<sup>7</sup> Cognitive impairment can be detected at an early stage if community-based screening is done.

Most of the elderly people with depression remain undiagnosed and untreated due to the lack of adequate knowledge of these disorders among the public and also because these symptoms are considered to be a part of normal ageing.<sup>8</sup> Ritchie *et al*<sup>9</sup> found a lifetime prevalence of 26.5% and 30% of major depression and anxiety disorders, respectively, in geropsychiatric patients. In a study, Seby *et al*<sup>10</sup> found that 26.7% of the urban geriatric population suffers from psychiatric illness. Tiwari and Srivastava conducted a study among the rural population in India and found the prevalence of mental disorders to be high in the geriatric age group (42.21%) when compared with the non-geriatric population (3.97%), with depression and anxiety disorders being the most common disorders.<sup>1</sup>

Elder abuse is an undetected problem in many countries. Many forms of elder abuse exist including physical, psychological, financial, sexual and social neglect. Social neglect is experienced by the elderly through loss of friends and family members. A study by Saikia *et al*<sup>11</sup> found the prevalence of abuse among community-dwelling elderly to be 9.31%.

The elderly population is living mostly in impoverished conditions. Improved healthcare promises longevity but social and economic conditions such as poverty, break-up of joint families and poor services for the elderly pose a threat to their physical and psychological health.<sup>12</sup> The lack of priority accorded to the healthcare needs of the elderly seems to perpetuate the low level of public awareness about the mental health problems of old age. The family is still the major provider of long-term care in the elderly, especially in developing countries like India, where institutional care is difficult to avail and is very costly. In a recent meta-analysis by Pilia *et al* carried out from 51 studies (1997–2016) involving 16 Indian states, the prevalence of depression was found to be 34.4% among elderly Indians. The rates of depression in the elderly was higher in females and in those from a rural background in this meta-analysis published in 2019.<sup>13</sup>

There is a need to study these aspects as most cases of elder abuse are under-reported and underdiagnosed. They reach to seek professional help at a much later stage. Hence, the early detection and screening of the elderly population for elder abuse will help to maintain a sound mental health without further progression of the morbidity. Owing to the paucity of available data, the current study was undertaken with the aim to determine the prevalence of cognitive dysfunction, psychological morbidity and abuse in the elderly population (60 years and above) in a community.

## METHODS

### Study design, period and area

A cross-sectional study was conducted in the elderly population of a resettlement colony, in East Delhi, with a total population of 65 000. The study was carried out from April to August 2017. A resettlement colony is defined as the transportation of people (as a family or colony) to a new settlement in the periphery of the city to decongest areas or to remove the encroachment of public places. Nand Nagari from East Delhi is a resettlement colony where the study was conducted. This area was chosen as the site for the study as it is at a convenient location from the institution and the entire mapping of this colony has already been done.

Two months were allotted exclusively for data collection from the community, and initial screening of the study population was carried out by the third author under the supervision of the first and second authors. Subsequently, the first and second authors evaluated the clinical diagnosis and confirmed the ratings and interpretation of the scales. In the remaining 3 months, data compilation, data entry, statistical analyses and writing of the results and discussion were completed. The report was submitted to the Indian Council of Medical Research in the stipulated time that gave the approval for this research report. Subsequently, the manuscript was prepared for publication.

### Inclusion criteria

- ▶ Older adults (males and females) aged 60 years and above:
  - i. Confirmation of the age was done by using one or more of the following criteria:
    - a. An authentic document/certificate;
    - b. Retirement year (if retired);
    - c. Date of birth before the year of Indian independence (1947).
- ▶ Cooperative persons.

### Exclusion criteria

- ▶ Having been diagnosed as suffering from any major psychiatric disorder as a main or comorbid condition other than MCI and dementia.
- ▶ Having any problem suggesting significant organic pathology, such as head injury, seizure, mental retardation, substance abuse, etc, or having physical health problems that affect an individual's daily functioning during the past 1 year.
- ▶ Having problems with speech, hearing and vision, which can impede the interview.

### Sample size determination and technique

In this area of study, there were 5 blocks and each block had 12 subblocks, that is, a total of 60 subblocks. Each subblock was screened, and three subjects were randomly selected for the study. It was based on the maximum prevalence of psychological morbidity, cognitive dysfunction and elder abuse.<sup>11 14 15</sup> Using the prevalence of 43% for cognitive dysfunction, psychological morbidity

and abuse, the relative marginal error is 25% on either side at  $\alpha = 5\%$ , and a sample size of 85 subjects is required. To calculate the sample size, a formula,  $4pq/dd^2$  where ( $p = 0.43$ ;  $q = 0.57$ ;  $d = 25\%$  of  $p$ ), was used. Multiplying by a design effect factor of 1.5 (because of the block and subblock clustering effect), the sample got escalated to 128 subjects. Non-responders were expected to be 10%. Therefore, the minimum sample of 141 cases was committed for the study. However, during the study period, we were able to include 185 cases due to the availability of time and resources, that is, 3 subjects per subblock.

### Data collection procedures and instruments

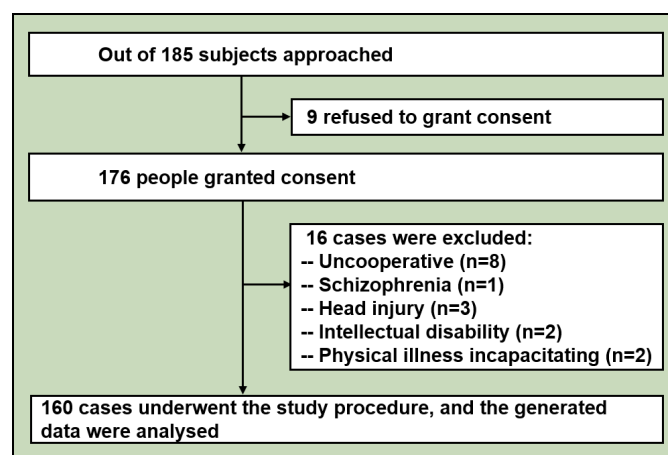
A written informed consent from the study subject was obtained after explaining the intention of the study. Confidentiality of information was assured to the participants, and they were interviewed in the privacy of their home. The patient information was collected in privacy and noted in a semistructured data sheet specially designed for the purpose of the study. The interview was conducted by the trained investigator (author 3) under the supervision of senior psychiatrists (other authors). The collected information was validated by the supervisors (authors 1 and 2) using further elaborate interviews, history taking and detailed clinical assessments for cognitive dysfunction, psychological morbidity and abuse in the second stage interviews conducted in the hospital setting so that appropriate interventions could be provided to study subjects on priority. Sixteen study subjects were excluded from the study as shown in figure 1. The selection of patients is given in figure 1.

The following measures were used:

1. The patient information was noted in a semistructured sociodemographic and history data sheet.
2. The Kuppuswamy Scale<sup>16</sup> gives the socioeconomic status of the Indian population. This scale uses the following parameters, namely, family income, education and occupation. The sum of scores of these three parameters gives the level of socioeconomic status. Three levels of socioeconomic status with the following scores

were considered, namely, upper (26–29), middle (11–25) and lower ( $< 5$ –10) socioeconomic levels. A modified version of the Kuppuswamy Scale was used, in which the family income scale scores specifically for the Indian population were revised.

3. A Hindi version of MMSE (HMSE) was used<sup>17</sup> that had 21 questions with a total score of 30. HMSE was developed in an Indo-US collaboration. The development of the Hindi version involved combining a group of American, rural elderly people with Hindi-speaking, rural Indian elderly people. The purpose was to develop a tool for conducting epidemiological studies of dementia for the rural elderly population that was largely illiterate and Hindi speaking. HMSE has modified questions on orientation to time and place, registration, attention (days of the week in backward order instead of 'WORLD', serial seven subtraction in the form of a problem), naming, repetition, reading (removed), carrying out commands, writing (removed) instead of saying a command allowed and copying a figure, so that all these modified items were culturally sensitive, locally acceptable and could be easily understood by the largely illiterate elderly population. HMSE scores of 23 or less were indicative of cognitive decline.
4. Dementia Assessment by Rapid Test (DART)<sup>18</sup> is a quick screening tool for the assessment of dementia. DART has a sensitivity of 95.5% and specificity of 60.0% when compared with MMSE. It contains four questions that involve repeating dissimilar words, naming, recalling dissimilar words and clock drawing, with each item getting a score of 0 or 1. Low scores indicate no impairment and higher scores reflect cognitive impairment. The range of scores is from 0 to 4.
5. The Hindi version of the Goldberg General Health Questionnaire (GHQ)<sup>19</sup> was developed to detect psychiatric morbidity on comparing the normal population with psychiatric patients. The Hindi version of GHQ was found to be a reliable and valid tool as compared with the English version. Each item was scored on a Likert scale, 0-0-1-1, in the following order: better than usual, same as usual, worse than usual, much more worse than usual. A twelve-item version of GHQ is a reliable tool to study psychiatric morbidity in the elderly population.<sup>20</sup> A risk of developing psychiatric disorders was found with a score  $> 2$ . There are questions related to overall happiness, disturbed sleep, depression and anxiety symptoms and stress in recent weeks or days. The scale has included clarifications, non-specific encouragement and cues so that the questions can be easily understood by the study sample. This tool was helpful in screening the community-based population. The psychiatric diagnosis was subsequently confirmed by the principal and second author.
6. The Hindi version of the Social Support Questionnaire (SSQ) is an adaptation of SSQ by Pollack and Harris with good internal consistency, reliability and validity.<sup>21</sup> It consists of 18 questions, each question scoring from 0-1-2-3-4, and the maximum score of the scale is 72.



**Figure 1** Schematic representation of selection of cases.



**Table 1** Sociodemographic profile of elderly subjects

Sociodemographic variables	Patients (n=160) n (%)
<b>Gender</b>	
Male	86 (53.8)
Female	74 (46.3)
<b>Qualification</b>	
Professional/Honours	2 (1.3)
Graduate/Postgraduate	4 (2.5)
Intermediate or posthigh school diploma	0 (0)
High school	21 (13.1)
Middle school	8 (5)
Primary school	12 (7.5)
Illiterate	113 (70.6)
<b>Occupation</b>	
Semi-profession	10 (6.3)
Clerical, shop-owner	11 (6.9)
Skilled worker	10 (6.3)
Semi-skilled worker	18 (11.2)
Unskilled worker	44 (27.5)
Unemployed	67 (41.8)
<b>Income (rupees)</b>	
>41 430	11 (6.9)
20 715–41 429	30 (18.8)
15 536–20 714	8 (5.0)
10 357–15 535	26 (16.2)
6 214–10 356	52 (32.5)
2 092–6 213	33 (20.6)

“n” indicates number, and figures in bracket are percentages

The higher the score, higher is the perceived support. The purpose of this scale is to quantify the availability and satisfaction with social support. Social support helps individuals to withstand the deleterious effects of stress.

- The Patient Abuse Questionnaire (Hindi version) was developed by authors based on the WHO Domestic Violence Questionnaire.<sup>22</sup> This tool was found to be useful in a previous study on psychiatric patients to assess emotional, physical and sexual abuse and neglect (medical negligence, negligence related to food intake, and economic deprivation). The scale had 11 items with yes or no responses for each of the items. The distribution of different items is as follows: emotional abuse 2 items (11 questions), physical abuse 1 item (6 questions), sexual abuse 2 items (3 questions and 1 question showing picture), 3 items confirming emotional, physical and sexual abuse, medical neglect 1 item, food negligence 1 item, economic deprivation 1 item.

Tools included HMSE<sup>14</sup> containing 21 questions (total score of 30). Those with scores 23 or less were assessed further for cognitive decline. DART containing four questions with a score of 0–4 was used to detect dementia.<sup>14</sup> GHQ-12 (Hindi version, containing 12 items, each with a score of 0 or 1) was used to detect those likely to have or be at risk of developing psychiatric disorders (ie, score >2).<sup>15</sup> The psychiatric diagnosis was confirmed by the principal and second author. SSQ (in Hindi, having 18 items with a score of 1–4 and a maximum score of 72) was used to quantify availability and satisfaction with social support.<sup>16</sup> To detect the pattern of abuse and neglect, the Hindi version of Patient Abuse Questionnaire (having 11 items with yes or no answers) was used.<sup>17</sup>

### Sampling technique and statistical analysis

The collected data were edited, coded and entered into Epi-Data V.3.1 and then exported into Statistical Package for Social Sciences<sup>23</sup> V.20 for analysis. Descriptive statistics was used. Mean (SD) was calculated and frequency distribution was given. Graphs were drawn in Microsoft Excel for a pictorial representation of the data.

## RESULTS

### Sociodemographic characteristics

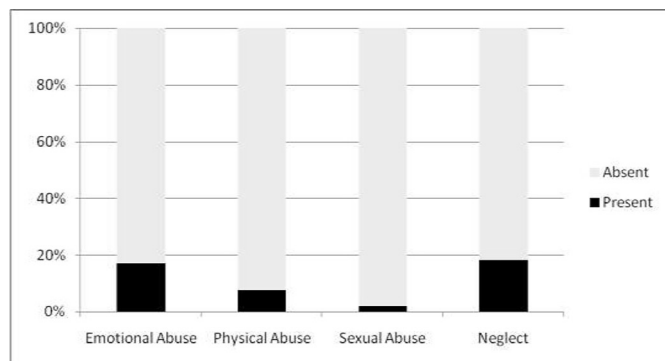
Out of 185 elderly subjects who were approached, 9 (4.86%) refused. One hundred seventy-six (95.14%) responded to the study purpose. Another 16 cases were excluded due to other reasons as given in the flowchart in figure 1. Out of the 160 patients studied, 74 (46.3%) were females and 86 (53.8%) were males. The mean age of the subjects was calculated to be 70.29 (8.87) years. A total of 126 (78.8%) subjects were married and 34 (21.3%) were either divorced or widowed; 139 (86.9%) were Hindus, followed by Muslims (N=18; 11.3%) and Sikhs (N=3; 1.8%); and 106 (66.6%) belonged to lower socioeconomic strata with 120 (75%) living with children while 40 (25%) were living independently. Most of the subjects (70.6%) had an education below the primary level. The sociodemographic profile of elderly subjects (n=160) is shown in table 1.

### Clinical characteristics

#### Cognitive dysfunctions and psychological morbidity

On HMSE, 50 subjects (31.3%) were found to have cognitive dysfunction. A total of 53 subjects (33.1%) were screened positive on DART for dementia. On GHQ-12, 91 subjects (56.9%) were found to be having psychological morbidity (table 2).

HMSE was used to control the education and language bias. Those with scores < 23 are supposed to have mild cognitive decline and should be assessed further. Fifty (31.3%) of the elderly subjects had a score of 23 or below on HMSE. A total of 110 (68.8%) elderly subjects had no cognitive impairment with an HMSE score > 23. Age-wise cognitive impairment was calculated to be 30% in subjects



**Figure 2** Distribution of different types of abuse and neglect in the study sample (n=160).

aged 80 years or above out of a total of 50 cases showing cognitive impairment.

On evaluation, using DART, a score of 0 signifying no impairment was found in 107 (66.9%) elderly subjects. Fifty-three (33.1%) subjects were screened positive for cognitive impairment with a score ranging from 1 to 4.

**Pattern of abuse**

Of 160 subjects, 27 subjects (16.9%) had reported perceived psychological abuse in various forms such as invalidation of decisions, lack of privacy, troublesome, required to ask for permission, suicidal ideation, blamed and others made fun of their flaws. Twelve subjects (7.5%) had reported physical abuse in all forms such as pushed forcefully, locked in a room and attempted to strangulate, use or warning to use weapons against them. Three subjects (1.9%) had reported sexual abuse in terms of forced or unwilling sex by the partner or others. Out of 160 subjects, a total of 29 subjects (18.1%) had reported neglect in various forms such as lack of financial support (18.1%), food neglect (10.6%) and medical neglect (16.3%). **Figure 2** shows the distribution of different types of abuse and neglect in the study sample (n=160).

**Social support**

The mean social support score was 46.22 (12.227) with a maximum score of 61 and a minimum score of 25 in the elderly sample (n=160).

**Table 2** Cognitive dysfunction and psychological morbidity in the elderly sample (n=160)

	Subjects	Percentage (%)
<b>Cognitive dysfunction according to age (years) (N=50)</b>		
60–69	23	46
70–79	12	24
80 and above	15	30
<b>Psychological morbidity according to age (years) (N=91)</b>		
60–69	39	42.8
70–79	24	26.4
80 and above	28	30.8

**DISCUSSION**

**Main findings**

In a study by Tripathi and Tiwari<sup>6</sup> on normally ageing of 104 urban older adults, 15 cases (14.42%) were having cognitive dysfunction. In the present study, out of 160 subjects, 31.3% had cognitive dysfunction; 23 (23.7%) cases from 60 to 69 years of age, 12 (37.5%) cases from 70 to 79 years of age and 15 (48.3%) from 80 years of age were affected by cognitive dysfunction. Normally, ageing subjects had objective cognitive dysfunction in the areas of orientation and concentration and functioning or self-care. It was found in elder adults with increasing age.

Similar findings were found using the DART scale,<sup>18</sup> which has the potential to detect cognitive changes ranging from transitional changes from the preclinical stage to mild cognitive changes to dementia; 33.1% screened positive for dementia. The findings were similar on both the HMSE and DART scales. In a study on the elderly population by Gambhir *et al*,<sup>15</sup> 42.9% had scored below 23 on HMSE. The difference in the findings could be due to the better methodology of cognitive assessment using two screening tools as well as a detailed clinical examination providing higher sensitivity of detecting cognitive impairment. In a study carried out in Shanghai, an elderly sample (n=480) over 80 years of age for a period of 2 months using MMSE reported cognitive impairment of 30%, a finding similar to our study.<sup>24</sup>

In the present study, psychological morbidity was 42.8% in the age range of 60–69 years. In the same age range, Tiwari and Srivastava,<sup>1</sup> Prasad *et al*<sup>25</sup> and Nandi *et al*<sup>26</sup> reported morbidity of 59.7%, 74% and 87.6%, respectively. In the present study, 26.3% of cases in the 70–79 age group had psychological morbidity. Nandi *et al*<sup>26</sup> and Tiwari and Srivastava<sup>1</sup> reported 22.3% and 24.27%, respectively, had psychological morbidity among patients in the same age group. In the 80 years and above category in our study, 30.7% of cases had psychological morbidity. Nandi *et al*<sup>26</sup> and Tiwari and Srivastava<sup>1</sup> reported a morbidity of 11.6% and 16.02%, respectively. Reddy *et al*<sup>14</sup> reported depression in 47% of the elderly population in rural areas of South India. A study by Xu *et al* on 3325 Chinese elderly people aged 60 years and above carried out in Tianjin city involved screening using a GHQ and a subsequent standardised assessment by a trained psychiatrist similar to our study. The one-month prevalence of mental disorders in that study was 14.27%, and the lifetime prevalence of mental disorders was 24.20%.<sup>27</sup>

In a study by Saikia *et al*,<sup>11</sup> aiming to find the prevalence and risk factors of abuse among the community-dwelling elderly people of Guwahati city, Assam, reported the prevalence of elder abuse to be 9.31%. Neglect was the most common type of abuse reported. In a study by Kaur *et al*,<sup>28</sup> it was found that among the elderly residing in urban areas, social neglect was most common, followed by psychological abuse and financial abuse whereas among the elderly belonging to rural areas, physical abuse was more prevalent. In the present study, social neglect was present in 18.1% followed by

emotional abuse (16.9%) and physical abuse (7.5%). Sexual abuse was found to be the least (1.9%), probably due to the hesitancy to open up easily to an investigator. Overall, the results of abuse coincided with the previous studies, but the overall prevalence of abuse was found to be much higher (18.1%) than reported (9.1%) in the study by Saikia *et al.*<sup>11</sup> In a sample of 412 elderly subjects from mainland China, elder abuse and neglect was found in 35% of the participants.<sup>29</sup>

In a study on perceived social support and stigma in adults with diseased and normal control, Prakash *et al.*<sup>30</sup> found mean social support to be 46.23 (5.50). In the present study, the mean was found to be 46.22 (5.50). However, these mean figures on SSQ of the study sample were much lower than the maximum score of 72 that can be obtained using this scale, thereby reflecting poor social support in our study sample. The care of elderly people in India rests on the family members, who in turn experience caregiver burden associated with role overload. Role overload is defined as multiple social roles that the caregiver performs, often finding it difficult to manage time. Caregiver burden is reduced if the relationship quality of the elderly is better and they assist the caregiver in support tasks.<sup>31</sup> In the present study, authors found that 75% of the elderly live with families. A recent meta-analysis on 24 observational studies carried out from Asia found good social support, living with the family, living with the spouse/partner and having good social network were associated with less depressive symptoms.<sup>32</sup>

### Limitations

The limitation of the study was that it was a cross-sectional study having a small sample size, containing predominantly males. The results of the study cannot be generalised to the entire Indian population. No community-based intervention was used, and study subjects were shifted to an adjacent hospital setting. The positive aspects of the study were that it was a community-based study using standardised questionnaires and it had added knowledge on these important aspects.

### Implications

It was found that cognitive dysfunction and psychological morbidity were more prevalent in the individuals with increasing age, and neglect was the most common form of abuse followed by emotional, physical and sexual abuse. This signifies that appropriate steps at the policy level need to be undertaken so that this menace is prevented and early intervention can be carried out. In addition, the screening of the elderly population helps to detect cognitive dysfunction (33.1%) and psychological morbidity (56.9%). Early timely intervention will help in improving quality of life in the elderly population. Future studies are needed to examine the impact of elder abuse on stress biomarkers associated with elder abuse. There is also the need to provide separate

laws to deal with problems of elder abuse as had been in force for child abuse.<sup>24</sup>

**Contributors** MSB and SS conceptualised the research work and study design. VM collected the initial screening data under supervision of MSB and SS. MSB and SS carried out the evaluation, interpretation of the scales and findings. All the three authors were involved in analysing the results. MSB and SS interpreted the results. All authors approved the final manuscript.

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**Competing interests** None declared.

**Patient consent for publication** Not required.

**Ethics approval** Institutional ethics committee approval was taken for carrying out the research work (number IEC-HR/2017/29/14).

**Provenance and peer review** Not commissioned; externally peer reviewed.

**Data availability statement** No data are available. Data collected are confidential and not in the public domain.

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