

# Depletion of B-Cells With Rituximab Improves Endothelial Function and Reduces Inflammation Among Individuals With Rheumatoid Arthritis

Priscilla Y. Hsue, MD; Rebecca Scherzer, PhD; Carl Grunfeld, MD, PhD; John Imboden, MD; Yuaner Wu, RDCS, PhD; Gus del Puerto, MS; Elaine Nitta, BA, MPH; Judy Shigenaga, BS; Amanda Schnell Heringer, BA, RN; Peter Ganz, MD; Jonathan Graf, MD

**Background**—Individuals with rheumatoid arthritis (RA) are at increased risk for cardiovascular disease, partly due to systemic inflammation and endothelial dysfunction. B-cells play an important pathogenic role in the inflammatory process that drives RA disease activity. Rituximab, a chimeric murine/human monoclonal antibody that depletes B-cells, is an effective therapy for RA. The purpose of this study was to determine whether B-cell depletion with rituximab reduces systemic inflammation and improves macrovascular (brachial artery flow-mediated dilation, FMD) and microvascular (reactive hyperemia) endothelial function in RA patients.

*Methods and Results*—RA patients received a single course of rituximab (1000 mg IV infusion at baseline and on day 15). FMD, reactive hyperemia, inflammatory markers, and clinical assessments were performed at baseline, week 12, and week 24. Twenty patients (95% female, median age 54 years) completed the study. Following treatment, FMD improved from a baseline of  $4.5\pm0.4\%$  to  $6.4\pm0.6\%$  at 12 weeks (mean $\pm$ SE; *P*<0.0001), followed by a decline at week 24; a similar pattern was observed for hyperemic velocity. Significant decreases in RA disease scores, high-sensitivity C-reactive protein, erythrocyte sedimentation rate, and circulating CD19+ B-cells were sustained through week 24. Cholesterol and triglycerides became significantly although modestly elevated during the study.

*Conclusions*—Depletion of B-cells with rituximab improved macrovascular and microvascular endothelial function and reduced systemic inflammation, despite modest elevation in lipids. Given these results, rituximab should be evaluated in the future for its possible role in reducing excess cardiovascular risk in RA.

*Clinical Trial Registration*—URL ClinicalTrials.gov. Unique identifier: NCT00844714. (*J Am Heart Assoc.* 2014;3:e001267 doi: 10.1161/JAHA.114.001267)

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I ndividuals with rheumatoid arthritis (RA) have a higher risk of cardiovascular (CV) morbidity and mortality relative to persons without RA.<sup>1-3</sup> This increased risk is not entirely

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**Correspondence to:** Priscilla Y. Hsue, MD, Room 5G1, Division of Cardiology, San Francisco General Hospital, 1001 Potrero Avenue, San Francisco, CA 94110. E-mail: phsue@medsfgh.ucsf.edu

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© 2014 The Authors. Published on behalf of the American Heart Association, Inc., by Wiley Blackwell. This is an open access article under the terms of the Creative Commons Attribution-NonCommercial License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes. attributable to traditional cardiac risk factors,<sup>4</sup> but has been strongly associated with high levels of systemic inflammation<sup>5</sup> as well as polymorphisms associated with inflammation.<sup>6</sup> Chronic inflammation is an essential component of RA disease activity and likely promotes the progression of both RA and atherosclerosis<sup>7</sup>; for example, proinflammatory cyto-kines that include tumor necrosis factor- $\alpha$  (TNF) and interleukin-6 are produced in the synovium of RA patients and also play a key role in the development of atherosclerosis.<sup>7</sup> Advances in disease-modifying RA therapy not only more effectively control joint activity and inflammation but also likely reduce CV risk, as suggested by observational cohort studies in which treatment with agents such as methotrexate<sup>8</sup> and anti-TNF- $\alpha$  therapy was associated with a reduced risk for CV events.<sup>9</sup>

Endothelial dysfunction is one of the earliest manifestations of atherosclerosis<sup>10</sup> that can be studied noninvasively using brachial artery flow-mediated vasodilation (FMD).<sup>11</sup> In

From the Divisions of Cardiology (P.Y.H., Y.W., E.N., A.S.H., P.G.), and Rheumatology (J.I., G.P., J.G.), Department of Medicine, San Francisco General Hospital, University of California, San Francisco, CA; San Francisco Veterans Affairs Medical Center, Department of Medicine UCSF, University of California, San Francisco, CA (R.S., J.S., C.G.).

addition, during the brachial artery ultrasound/Doppler test, microvascular endothelial function can be assessed by the magnitude of reactive hyperemia following the deflation of an occlusive cuff.<sup>12</sup> Endothelial dysfunction is prevalent in RA patients,<sup>13</sup> correlates with higher levels of high-sensitivity Creactive protein (hsCRP),<sup>14</sup> and is improved with anti-TNF- $\alpha$ therapy after 12 weeks of treatment.<sup>14</sup> However, a proportion of RA patients experience persistent disease activity and inflammation despite treatment with anti-TNF therapy. Rituximab is a monoclonal antibody that induces rapid and sustained depletion of peripheral B-cells by binding specifically to CD20 antigen on the surface of B lymphocytes<sup>15</sup>; it is indicated for the treatment of patients with moderate to severe RA who have had inadequate response to TNF inhibitor therapy.<sup>16,17</sup> After treatment using the standard approved dosage (two 1000-mg infusions given 2 weeks apart), most individuals achieve a significant improvement in RA disease activity.<sup>18</sup> We studied whether RA patients who are refractory or intolerant to anti-TNF- $\alpha$  therapy derive a CV benefit from treatment with rituximab by measuring changes in systemic inflammatory markers and endothelial function using FMD. The primary objective of this study was to determine the effect of a single course of rituximab on brachial artery FMD (ie, macrovascular endothelial function) in the setting of RA. Secondary objectives included determination of the effect of rituximab on hyperemic velocity (ie, microvascular function), inflammatory markers and lipid profiles, RA disease activity, and subclinical vascular disease (as assessed by carotid artery intima-media thickness [IMT]).

# Methods

Patients were recruited from the University of California, San Francisco observational RA cohort, which requires individuals to fulfill the 1987 American College of Rheumatology classification criteria for RA.<sup>19</sup> To qualify for enrollment in our study, patients had to inadequately respond to, be intolerant of, or otherwise be clinically appropriate for treatment with rituximab as determined by their treating rheumatologist, and they had to demonstrate high levels of RA disease activity as assessed by (1) disease activity score using 28 joints (DAS28 >5.1), or (2) a swollen joint count  $\geq$ 8 plus either hsCRP  $\geq$ 10 mg/L or erythrocyte sedimentation rate (ESR) >30 mm/h. Eligibility for enrollment was determined at a screening visit 2 weeks prior to the baseline visit. Patients were allowed to take disease-modifying antirheumatic medication (defined as methotrexate, leflunomide, sulfasalazine, hydroxychloroguine, or prednisone) during the study. Stable doses of methotrexate <25 mg/week for at least 4 months or prednisone  $\leq 10 \text{ mg/d}$  for 1 month) were required if patients were taking these medicines. Individuals who were treated with anti-TNF- $\alpha$  therapies were required to discontinue infliximab and adalimumab 2 months prior to study entry and etanercept 1 month before study entry. Patients were excluded if they had a history of coronary artery disease, HIV infection, hepatitis B/C infection, prior treatment with rituximab, blood pressure >140/90 mm Hg (individuals with treated hypertension were allowed as long as the blood pressure was  $\leq$ 140/90), and use of high or unstable doses of prednisone (>10 mg/d). Use of phosphodiesterase type 5 inhibitors (ie, sildenafil, tadafil, and vardenafil) was not permitted 1 week prior to the FMD study to avoid potential interactions with nitroglycerin given as part of the FMD test.

# **Study Protocol**

A detailed chart review was used to ascertain age, gender, RA disease duration, history of erosive arthritis, medication history, and presence of traditional CV risk factors. At the baseline visit, patients underwent a physical examination by a trained rheumatologist, including determination of the numbers of tender and swollen joints, and global assessments of disease by both the physician and patient using standardized visual analog scales. A blood draw was performed for assessment of inflammatory markers and lipids, and FMD and carotid IMT studies were performed as detailed below. All of these procedures were repeated at weeks 12 and 24. In addition, paired FMD tests were performed 14 days apart at baseline in a subset of study individuals to demonstrate stability and establish reproducibility of this measurement before treatment was given. A single course of rituximab 1000 mg IV was administered at the baseline visit and on day 15 according to standard practice guidelines (rituximab product insert). The University of California, San Francisco Committee on Human Research approved the study, and all subjects provided written informed consent.

# Laboratory Measurements

Fasting serum lipids (total cholesterol, high-density lipoprotein [HDL] cholesterol, and triglycerides) and hsCRP (Dade Behring, Deerfield, IL) were measured at the San Francisco General Hospital clinical lab. Blood was drawn in the fasting state at the San Francisco General Hospital clinical laboratories and aliquots of serum and plasma were stored at  $-80^{\circ}$ C until analysis. Total cholesterol, HDL-cholesterol, and triglycerides were measured by colorimetric assay kits (Thermo Fisher Scientific, Middletown, VA). ApoA1 and apoB were measured by nephelometric immunoassays (BNII; Siemens Healthcare Diagnostics, Deerfield, IL). Plateletactivating factors acetylhydrolase activity (PAH) and secretory phospholipase A<sub>2</sub> levels were measured by kits from Cayman Chemical (Ann Arbor, MI). Lecithin-cholesterol acyltransferase, and oxidized low-density lipoprotein levels were measured with commercially available ELISA kits from ALPCO (Salem, NH), and Mercodia (Winston Salem, NC), respectively. Paraoxonase arylesterase activity was determined by using phenyl acetate as substrate as described by Furlong et al.<sup>20</sup>

Percentage of CD19 B-cells was assessed using quantitative flow cytometry performed at the San Francisco General Hospital reference laboratory (ARUP Laboratories, test code 0095920).

#### Measurements of RA Disease Activity

RA disease activity was assessed using the following standardized measures: the Disease Activity Score 28-joints (DAS28), the Clinical Disease Activity Index, and the Simplified Disease Activity Index.<sup>21–24</sup> Each provides a numerical score of activity with defined cut points for remission and low, moderate, or severe activity.<sup>21–24</sup> The DAS28 is based on the patient global assessment of disease, physician-determined tender and swollen joint counts (28 joints), and a measure of inflammation: either the erythrocyte sedimentation rate (DAS28-ESR) or the serum level of C-reactive protein (DAS28-CRP).<sup>21,24</sup> The Clinical Disease Activity Index uses both patient and physician global assessments and the tender and swollen 28 joint counts (but no measure of inflammation).<sup>22</sup> The Simplified Disease Activity Index incorporates CRP but otherwise is identical to the Clinical Disease Activity Index.<sup>23</sup>

#### **Endothelial Function Studies**

High-resolution ultrasound and Doppler velocity measurements of the right brachial artery were performed using a 10-MHz linear array probe and the GE Vivid 7 Imaging System. A blood pressure cuff was inflated to suprasystolic pressures on the forearm for 5 minutes, and the change in brachial artery diameter was measured during reactive hyperemia 1 minute following cuff deflation (FMD). Nitroglycerin-mediated dilation was measured 3 minutes after administration of 0.4 mg sublingual nitroglycerin. Ten patients underwent repeat scans within 14 days of study enrollment prior to rituximab infusion with a difference in FMD of 0.01% (95% CI -0.06 to +0.04%, P=0.99). We also assessed microvascular function as maximal reactive hyperemia from the mean velocity-time integral of the Doppler signal of the first 3 complete, consecutive beats after cuff release, a measure that strongly correlates with CV disease risk.<sup>12,25</sup> Ten individuals also had repeat assessment of hyperemic velocity 14 days apart at baseline before rituximab infusion with a mean difference in hyperemic velocity of -0.06 cm (95% CI -0.58 to 0.46, P=0.82). The coefficient of variation was 0.65%, and the intraclass correlation coefficient was 0.99.

We used the GE Vivid 7 Imaging System and a 10-MHz linear array probe to perform carotid artery IMT measurements. We used a protocol similar to that used in the Atherosclerosis Risk in Communities study, which includes measurements of the near and far wall of the common carotid artery, the bifurcation region, and the internal carotid artery.<sup>26–28</sup> Our scanning protocol, reproducibility, and measurement techniques have been previously described.<sup>29</sup>

#### **Statistical Analysis**

Demographic and clinical characteristics were summarized at baseline using median and interquartile range for continuous parameters, and frequency and percentage for categorical parameters. We analyzed changes over time in FMD and other continuous measures using linear mixed models with a heterogeneous first-order autoregressive structure for the within-subject errors. There was a suggestion that the effects of time were nonlinear for several measures, including FMD; we incorporated nonlinear effects of time using linear splines that allowed different slopes for the first study period (weeks 0 to 12) and the second study period (weeks 12 to 24). We did not adjust for multiple comparisons, because there were many inter-related positive results that reinforced each other by fitting together in a coherent pattern. Right-skewed variables such as hsCRP and triglycerides were log-transformed to normalize their distributions. We also used Spearman coefficients to evaluate correlations between continuous measures. All statistical analyses were conducted with the SAS system, version 9.3 (SAS Institute, Inc, Cary, NC).

## Results

#### **Patient Characteristics**

Twenty-four individuals were screened for the study; 2 patients decided not to enroll, another individual did not enroll due to a possible past history of heart failure, and 1 individual withdrew after the first infusion. Twenty patients completed the study; their median age was 53 years (interquartile range 46 to 62) and 95% were female (Table 1). Forty percent of participants had hypertension, 25% hyperlipidemia, 20% were diabetic, and 25% were current smokers. The median ESR and CRP were 43.5 mm/h (IQR 31.5 to 59.5) and 9.1 mg/L (interquartile range 6.5 to 46.7), respectively, and 90% of participants were using disease-modifying antirheumatic drugs. At week 24, circulating levels of CD19+ B-cells were undetectable or below normal in all but 1 patient.

Parameter	RA Patients (n=20)	
Age, y	53 (46, 62)	
RA disease duration, y	12 (6.5 to 14.75)	
Female, n (%)	19 (95)	
Race		
Hispanic, n (%)	17 (85)	
Black, n (%)	1 (5)	
Other, n (%)	2 (10)	
Rheumatoid factor, n (%)	17 (85)	
Anti-CCP positive, n (%)	17 (89)	
Radiographic changes, n (%)	14 (70)	
Prior CAD, n (%)	1 (5)	
Hypertension, n (%)	8 (40)	
Hyperlipidemia, n (%)	5 (25)	
Diabetes mellitus, n (%)	4 (20)	
Current smoking, n (%)	5 (25)	
Ever smoked, n (%)	6 (30)	
Family history of CAD, n (%)	3 (15)	
ASA/NSAID, n (%)	12 (60)	
Taking prednisone, n (%)	16 (80)	
Taking methotrexate, n (%)	12 (60)	
BMI, kg/m <sup>2</sup>	33.5 (27.6, 35.8)	
DAS28-ESR	6.6 (6.1, 7.1)	
DAS28-CRP	6.0 (5.2, 6.6)	
Swollen joint count	13.3 (10.0, 17.0)	
Tender joint count	12.8 (7.0, 16.0)	
DMARD use, %	18 (90)	

Table 1.	Baseline	Demographic	and	Clinical	Characteristics
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Values are represented as medians (interquartile range), unless otherwise noted. Anti-CCP positive indicates anti-cyclic citrullinated peptide antibodies; ASA/NSAID, use of aspirin or non-steroidal anti-inflammatory drugs; BMI, body mass index; CAD, coronary artery disease; DAS28-CRP, disease activity score 28-joints based on C-reactive protein; DAS28-ESR, disease activity score 28-joints based on erythrocyte sedimentation rate; DMARD, disease-modifying antirheumatic drugs; RA, rheumatoid arthritis.

# Changes in Endothelial Function, Inflammatory Markers, Lipid Levels, and Disease Activity

The FMD and hyperemic velocity at baseline, week 12, and week 24 are shown in Figure 1A and Table 2. At 12 weeks of the study, we observed improvements from baseline in %FMD (from mean $\pm$ SE: 4.5 $\pm$ 0.4 to 6.5 $\pm$ 0.6, *P*<0.0001) (Figure 1A), disease activity (including DAS score, physician/patient global, and tender/swollen joint counts) (Table 3 and Figure 1B), and inflammatory markers including ESR and hsCRP (as shown in Table 4). At week 24, there was a decline in %FMD toward baseline (5.2 $\pm$ 0.6, *P*=0.25 versus baseline, *P*=0.0087 versus week 12). By contrast as shown in Tables 3 and 4, and Figure 1B, all assessments of disease activity and serologic





**Figure 1.** Changes in FMD from baseline to week 24 (A) and DAS28 CRP (B) following B-cell depletion with rituximab. Following rituximab therapy, FMD improved significantly at week 12 as compared to baseline; however, by week 24, the FMD was no longer significantly different from baseline. In contrast, assessment of disease activity (DAS28 using CRP) improved significantly at week 12 as compared to baseline and remained improved at week 24. Red=overall raw or geometric mean $\pm$ SE; black=individual participants. *P*-values from linear mixed models, controlling for repeated measures over time. BL indicates baseline; CRP, C-reactive protein; DAS, disease activity score; FMD, flow-mediated dilation.

markers of inflammation showed sustained improvement from baseline to 24 weeks. Hyperemic velocity improved numerically from baseline to week 12 (mean $\pm$ SE: 54.8 $\pm$ 4.5 versus

 Table 2.
 Assessments of Endothelial-Dependent Vasodilation (FMD), Endothelial Independent Vasodilation (NMD), and HV and

 Changes From Baseline
 Changes From Baseline

Parameter	Statistic	Baseline (n=20)	Week 12 (n=20)	Week 24 (n=20)
Baseline diameter (mm)	Median (IQR)	3.4 (3.3 to 3.8)	3.4 (3.2 to 3.7)	3.4 (3.3 to 3.7)
	Change from baseline		<i>P</i> =0.27	<i>P</i> =0.86
FMD, %	Median (IQR)	3.9 (3.4 to 5.8)	6.1 (4.9 to 7.6)	4.3 (3.3 to 6.1)
	Change from baseline		<i>P</i> <0.0001	<i>P</i> =0.25
NMD, %	Median (IQR)	13.0 (11.9 to 16.5)	16.7 (13.3 to 19)	13.8 (11.3 to 15.9)
	Change from baseline		<i>P</i> =0.021	<i>P</i> =0.46
HV, cm/s	Median (IQR)	57.7 (38.6 to 68.0)	58.0 (50.6 to 82.2)	59.4 (49.8 to 65.6)
	Change from baseline		<i>P</i> =0.065	<i>P</i> =0.68

Values are represented as medians (interquartile range), unless otherwise noted. *P* values reported represent change from baseline. FMD indicates flow-mediated vasodilation; HV, hyperemic velocity; IQR, interquartile range; NMD, nitroglycerin-mediated vasodilation.

66.9 $\pm$ 5.1 cm/s, *P*=0.065), but declined by week 24 to levels similar to baseline (mean $\pm$ SE: 56.4 $\pm$ 4.2, *P*=0.68, Figure 2). Results were similar in a sensitivity analysis in which we excluded the 1 male participant (data not shown). We found no change in mean carotidIMT from baseline to week 24 (mean  $\pm$ SE: 0.97 $\pm$ 0.06 versus 0.98 $\pm$ 0.06 mm, *P*=0.89). Likewise, there were no statistically significant changes in IMT from baseline to week 24 in either the bifurcation region, internal carotid, or common carotid regions (data not shown).

We observed modest but statistically significant increases in triglycerides and total cholesterol, as well as a transient increase in calculated LDL (Table 5). A similar trend was seen for HDL cholesterol from baseline to weeks 12 and 24, although the change did not reach statistical significance. Apo A1, apo B, and oxidized LDL did not change significantly from baseline to week 12 or from baseline to week 24. Paraoxonase arylesterase activity and PAH increased significantly from baseline to week 12. A reduction was seen at week 24 for paraoxonase arylesterase activity, while levels of PAH remained stable. There was a trend toward increases for lecithin-cholesterol acyltransferase at both time points.

Parameter	Statistic	Baseline (n=20)	Week 12 (n=20)	Week 24 (n=20)
Patient global	Median (IQR)	70.5 (61.0 to 88.5)	36.0 (23.0 to 56.0)	50.5 (19.0 to 71.5)
	Change from baseline		<i>P</i> <0.0001	<i>P</i> =0.0007
Physician global	Median (IQR)	35.5 (32.0 to 43.5)	24.0 (20.0 to 28.0)	27.0 (13.5 to 31.5)
	Change from baseline		<i>P</i> =0.0006	<i>P</i> =0.0002
Swollen joint count	Median (IQR)	12.5 (10.0 to 17.0)	7.0 (5.0 to 10.0)	7.0 (5.0 to 13.0)
	Change from baseline		<i>P</i> <0.0001	<i>P</i> =0.0002
Tender joint count	Median (IQR)	12.0 (7.0 to 16.0)	3.0 (2.0 to 7.0)	2.0 (0.0 to 9.0)
	Change from baseline		<i>P</i> <0.0001	<i>P</i> <0.0001
SDAI	Median (IQR)	37.9 (31.5 to 45.8)	15.7 (14.0 to 30.5)	17.7 (11.5 to 31.0)
	Change from baseline		<i>P</i> <0.0001	<i>P</i> <0.0001
CDAI	Median (IQR)	35.0 (26.8 to 45.2)	15.2 (12.4 to 28.6)	17.2 (10.8 to 30.3)
	Change from baseline		P<0.0001	<i>P</i> <0.0001
DAS-ESR	Median (IQR)	6.7 (6.1 to 7.1)	4.7 (4.4 to 5.9)	4.4 (3.2 to 6.0)
	Change from baseline		P<0.0001	<i>P</i> <0.0001
DAS-CRP	Median (IQR)	5.9 (5.1 to 6.4)	4.0 (3.4 to 4.9)	3.9 (3.0 to 5.1)
	Change from baseline		<i>P</i> <0.0001	<i>P</i> <0.0001

Table 3. Assessments of Disease Category and Changes From Baseline

Values are represented as medians (interquartile range), unless otherwise noted. P values reported represent change from baseline. CDAI indicates clinical disease activity index; DAS-CRP, disease activity score based on c-reactive protein; DAS-ESR, disease activity score based on erythrocyte sedimentation rate; IQR, interquartile range; SDAI, simple disease activity index.

Parameter	Statistic	Baseline (n=20)	Week 12 (n=20)	Week 24 (n=20)
hsCRP, mg/L	Median (IQR)	9.1 (6.5 to 46.7)	5.4 (4.0 to 16.1)	5.7 (2.9 to 9.3)
	Change from baseline		<i>P</i> =0.14	<i>P</i> =0.012
ESR, mm/h	Median (IQR)	43.5 (31.5 to 59.5)	33.0 (23.0 to 51.0)	31.0 (18.0 to 37.5)
	Change from baseline		<i>P</i> =0.0031	<i>P</i> =0.0002
SAA, mg/L	Median (IQR)	41.6 (11.8 to 282.9)	19.9 (7.9 to 62.5)	14.7 (6.6 to 27.8)
	Change from baseline		<i>P</i> =0.0065	<i>P</i> =0.0029
IL-6, pg/mL	Median (IQR)	5.5 (1.8 to 35.7)	4.4 (1.7 to 12.9)	2.3 (1.5 to 4.8)
	Change from baseline		<i>P</i> =0.14	<i>P</i> =0.0098
Fibrinogen, mg/dL	Median (IQR)	460 (357 to 615)	473 (334 to 551)	415 (339 to 491)
	Change from baseline		<i>P</i> =0.43	<i>P</i> =0.40

Values are represented as medians (interquartile range), unless otherwise noted. P values reported represent change from baseline. ESR indicates erythrocyte sedimentation rate; hsCRP, high-sensitivity C-reactive protein; IL-6, interleukin 6; IQR, interquartile range; SAA, serum amyloid A.

# Predictors of Baseline Endothelial Function and Change in Endothelial Function

We evaluated whether baseline measurements of hyperemic velocity, serum inflammatory markers, and RA disease activity correlated with baseline FMD and change in FMD over



**Figure 2.** Change in hyperemic velocity from baseline to week 24 following B-cell depletion with rituximab. After rituximab therapy, there was a trend toward improved microvascular function as assessed by hyperemic velocity from baseline to week 12 that was no longer present when comparing baseline to week 24. Red=overall mean $\pm$ SE; black=individual participants. *P*-values from linear mixed models, controlling for repeated measures over time. BL indicates baseline.

12 weeks (Table 6). We also determined whether the change in any of these measurements from baseline to week 12 correlated with change in FMD over the same time period (Table 6). At baseline, hyperemic velocity and clinical assessments of RA disease severity including patient global assessment and Clinical Disease Activity Index were significantly associated with more impaired FMD (r=-0.37, P=0.004). The Simplified Disease Activity Index was also associated with lower FMD, although the association did not reach statistical significance (r=-0.25, P=0.055). We found negative correlations of changes in inflammation with changes in FMD for hsCRP, serum amyloid A, and fibrinogen in the expected directions, but while the effects sizes were substantial, the associations did not reach statistical significance. Changes in levels of hyperemic velocity and clinical assessments of disease activity were not significantly associated with changes in FMD over 12 weeks (Table 6). Baseline measures showed little correlation with changes from baseline to week 12 FMD.

# Discussion

In this study, we demonstrate that among individuals with active RA, a single course of B-cell depletion treatment with rituximab had a significant but temporally differential impact on endothelial function compared to markers of inflammation and RA disease activity over the course of 24 weeks. We also demonstrate that higher levels of clinical disease activity correlate with more impaired endothelial function prior to rituximab therapy in this particularly severe population of RA patients. However, while FMD improved on rituximab therapy at week 12, this improvement did not correlate with changes in disease activity, and a negative correlation was found with inflammatory markers that did

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<b>Table</b>	5.	Assessments	of	Lipid	Values	and	Changes	From	Baseline	
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Parameter	Statistic	Baseline (n=20)	Week 12 (n=20)	Week 24 (n=20)
sPLA2, ng/mL	Median (IQR)	16.1 (6.0 to 23.4)	15.0 (6.5 to 33.3)	10.6 (8.1 to 12.8)
	Change from baseline		<i>P</i> =0.64	<i>P</i> =0.19
T cholesterol, mg/dL	Median (IQR)	174 (145 to 224)	196 (173 to 249)	208 (163 to 258)
	Change from baseline		<i>P</i> =0.0061	<i>P</i> =0.066
HDL-c, mg/dL	Median (IQR)	43.6 (35.1 to 52.8)	48.1 (39.4 to 55.6)	46.0 (37.8 to 55.6)
	Change from baseline		<i>P</i> =0.076	<i>P</i> =0.11
TG, mg/dL	Median (IQR)	113.4 (91.2 to 171.7)	160.1 (96.2 to 186.5)	155.6 (129.2 to 215.5)
	Change from baseline		<i>P</i> =0.025	<i>P</i> =0.012
Non-HDL, mg/dL	Median (IQR)	138.1 (101.2 to 181.1)	147.6 (125.1 to 200.1)	151.7 (112.7 to 190.9)
	Change from baseline		<i>P</i> =0.0090	<i>P</i> =0.12
LDL calculated, mg/dL	Median (IQR)	104.4 (79.1 to 144.2)	115.2 (100.0 to 167.0)	108.6 (89.3 to 159.8)
	Change from baseline		<i>P</i> =0.020	<i>P</i> =0.34
Apo A1, mg/dL	Median (IQR)	147 (132 to 167)	155 (141 to 170)	155 (138 to 170)
	Change from baseline		<i>P</i> =0.34	<i>P</i> =0.085
Apo B, mg/dL	Median (IQR)	93.0 (71.8 to 108.0)	94.9 (84.3 to 106.4)	91.7 (77.6 to 111.9)
	Change from baseline		<i>P</i> =0.21	<i>P</i> =0.65
PON Aryl, µ/min per milliliter	Median (IQR)	90.0 (70.4 to 94.3)	98.3 (82.8 to 101.5)	94.7 (77.1 to 101.9)
	Change from baseline		<i>P</i> =0.015	<i>P</i> =0.12
OxLDL, μ/L	Median (IQR)	57.6 (46.8 to 63.5)	58.1 (46.6 to 67.4)	59.6 (46.4 to 76.5)
	Change from baseline		<i>P</i> =0.50	<i>P</i> =0.11
LCAT, µg/mL	Median (IQR)	10.4 (9.0 to 11.2)	12.0 (10.0 to 12.8)	10.9 (9.6 to 11.9)
	Change from baseline		<i>P</i> =0.067	<i>P</i> =0.088
PAH, nmol/min per milliliter	Median (IQR)	8.4 (8.4 to 12.7)	10.6 (8.4 to 12.7)	10.6 (8.4 to 12.7)
	Change from baseline		<i>P</i> =0.043	<i>P</i> =0.083

Values are represented as medians (interquartile range), unless otherwise noted. *P* values reported represent change from baseline. Apo A1 indicates apolipoprotein A1; Apo B, apolipoprotein B; HDL-c, high-density lipoprotein-cholesterol; IQR, interquartile range; LCAT, lecithin-cholesterol acyltransferase; LDL, low-density lipoprotein; OXLDL, oxidized low-density lipoprotein; PAH, platelet-activating factor acetylhydrolase; PON Aryl, paraoxonase arylesterase; sPLA2, secretory phospholipase A<sub>2</sub>; TG, triglycerides; T cholesterol, total cholesterol.

not quite reach statistical significance. While treatment with rituximab improved both FMD and hyperemic velocity at 12 weeks, these findings were not sustained at 24 weeks even though CD19+ B-cells remained depleted. While the improvement in several inflammatory markers was sustained, they were not normalized, as is common in RA therapy. These results suggest that both subjective clinical assessments of RA disease activity and objective measurements of inflammatory biomarkers may not fully reflect the degree of impaired endothelial function and CV risk in these rituximab-treated RA patients. While rituximab therapy effectively suppresses RA disease activity and markers of inflammation over a 24-week time period, our results suggest that CV risk may not be similarly reduced during that entire time period, and that other biomarkers are needed to better assess CV risk in order to guide therapy in this population of RA patients.

CV disease is the main cause of increased mortality among individuals with RA,1,30-32 resulting in diminished lifespan despite improvements in longevity in the general population. Prior studies have demonstrated that RA is associated with increased subclinical vascular disease as assessed by carotid IMT<sup>33,34</sup> as well as endothelial dysfunction using flowmediated vasodilation of the brachial artery.<sup>13,34,35</sup> The European League Against Rheumatism has published guidelines on CV risk management in patients with RA that include the recommendation to control RA disease activity for the purpose of lowering CV risk,30 with the best evidence supporting the use of methotrexate and anti-TNF therapy. Our findings also suggest a possible role for rituximab in reducing RA disease activity and CV risk, but with several caveats. First, a single course of rituximab improves endothelial function in most patients at least up to 12 weeks but appears to lose most of its apparent benefit on vascular

	Correlation of Baseline Measures With Baseline FMD	Correlation of Change in Measures From Baseline to Week 12 With Change From Baseline to Week 12 FMD	Correlation of Baseline Measures With Change From Baseline to Week 12 FMD
Variable	Corr (95% CI)	Corr (95% CI)	Corr (95% CI)
Hyperemic velocity	0.26 (0.01, 0.49), <i>P</i> =0.041	-0.07 (-0.49, 0.39), <i>P</i> =0.78	0.17 (-0.29, 0.57), <i>P</i> =0.46
Inflammatory markers			
CRP	0.18 (-0.08, 0.42), <i>P</i> =0.16	-0.28 (-0.65, 0.20), <i>P</i> =0.25	0.38 (-0.09, 0.71), <i>P</i> =0.11
IL-6	0.24 (-0.01, 0.47), <i>P</i> =0.062	-0.03 (-0.46, 0.42), <i>P</i> =0.91	0.30 (-0.16, 0.66), <i>P</i> =0.20
SAA	0.13 (-0.13, 0.37), <i>P</i> =0.34	-0.35 (-0.68, 0.11), <i>P</i> =0.13	0.29 (-0.17, 0.65), <i>P</i> =0.21
sPLA2	0.08 (-0.18, 0.33), <i>P</i> =0.53	-0.17 (-0.58, 0.31), <i>P</i> =0.48	0.35 (-0.12, 0.70), <i>P</i> =0.14
Fibrinogen	-0.08 (-0.33, 0.18), <i>P</i> =0.53	-0.41 (-0.73, 0.06), <i>P</i> =0.085	0.14 (-0.34, 0.56), <i>P</i> =0.57
Clinical variables			
DAS28-ESR	-0.25 (-0.48, 0.01), <i>P</i> =0.057	-0.08 (-0.51, 0.39), <i>P</i> =0.75	-0.08 (-0.51, 0.37), <i>P</i> =0.73
DAS28-CRP	-0.20 (-0.44, 0.05), <i>P</i> =0.12	-0.28 (-0.65, 0.20), <i>P</i> =0.25	-0.03 (-0.46, 0.42), <i>P</i> =0.91
Physician global	-0.20 (-0.43, 0.06), <i>P</i> =0.13	-0.12 (-0.55, 0.35), <i>P</i> =0.62	0.01 (-0.43, 0.45), <i>P</i> =0.96
Patient global	-0.37 (-0.57, -0.12), <i>P</i> =0.0044	-0.08 (-0.52, 0.39), <i>P</i> =0.74	-0.21 (-0.59, 0.26), <i>P</i> =0.38
Tender joint count	-0.23 (-0.46, 0.03), <i>P</i> =0.084	-0.18 (-0.59, 0.29), <i>P</i> =0.45	-0.12 (-0.53, 0.34), <i>P</i> =0.61
Swollen joint count	-0.19 (-0.43, 0.07), <i>P</i> =0.15	0.07 (-0.40, 0.50), <i>P</i> =0.79	0.08 (-0.37, 0.51), <i>P</i> =0.73
ESR	0.09 (-0.16, 0.34), <i>P</i> =0.47	-0.35 (-0.68, 0.11), <i>P</i> =0.14	0.21 (-0.26, 0.59), <i>P</i> =0.38
CDAI	-0.26 (-0.49, -0.01), <i>P</i> =0.044	-0.05 (-0.50, 0.41), <i>P</i> =0.82	-0.09 (-0.51, 0.37), <i>P</i> =0.71
SDAI	-0.25 (-0.48, 0.01), <i>P</i> =0.055	-0.08 (-0.51, 0.39), <i>P</i> =0.76	-0.09 (-0.51, 0.37), <i>P</i> =0.72

Table 6. Correlation of Hyperemic Velocity, Inflammatory Markers, and Clinical Variables With FMD

This table reports Spearman correlation coefficients for baseline measures with baseline FMD and changes from baseline to week 12 in each measure with the change from baseline to week 12 in FMD. CDAI indicates clinical disease activity index; CRP, C-reactive protein; DAS28-CRP, disease activity score 28-joints based on C-reactive protein; DAS28-ESR, disease activity score 28-joints based on erythrocyte sedimentation rate; FMD, flow-mediated dilation; IL, interleukin; SAA, serum amyloid A; SDAI, simplified disease activity index; sPLA2, secretory phospholipase A<sub>2</sub>.

function by 6 months, the time at which current guidelines suggest retreating RA patients with additional courses of rituximab therapy. Second, RA disease activity scores and inflammatory markers, which are used to assess the severity of RA, were unreliable predictors of CV risk in this patient population, suggesting the need for improved biomarkers that better correlate with endothelial function and ultimately, CV risk, regardless of form of RA therapy. Third, rituximab treatment was associated with a modest increase in triglycerides and a transient increase in total cholesterol and calculated LDL cholesterol, which may increase CV risk.

Rituximab is a monoclonal antibody that depletes peripheral CD20+ B-cells and is administered as a single course of 2 infusions of 1000 mg each.<sup>18</sup> Retreatment is suggested at 6-month intervals or when symptoms recur. Two prior studies have evaluated the role of rituximab and FMD. A small study of 6 RA patients with active disease refractory to TNF- $\alpha$  blockade demonstrated that treatment with rituximab was associated with improved FMD assessed at week 2 and at 6 months of continued therapy.<sup>36</sup> The improvement in FMD was associated with a decrease in CRP and DAS-28 score.<sup>36</sup> This same group evaluated 7 patients using anti-TNF $\alpha$  therapy

and demonstrated a transient improvement in FMD 2 days following infliximab infusion that returned to baseline at 4 weeks.<sup>37</sup> Another even smaller study of 5 RA patients without known CV disease, diabetes mellitus, hypertension, cigarette smoking, or renal failure treated with rituximab demonstrated improved FMD and decreased carotid IMT at weeks 2, 6, and 16.38 In that study, increases in total cholesterol and elevations in HDL cholesterol were noted, although absolute values were not presented. We did not demonstrate any significant change in IMT in our study over 24 weeks, and a longer follow-up period of at least 1 year would be needed to determine the potential impact of rituximab on carotid IMT. Our study was larger (n=20) than the other 2 studies combined and included patients who were older and had a longer disease duration of RA (53 years, RA disease duration of 11 years) compared to the study by Kerekes et al (n=5, age of 41.6 years, duration of RA of 5.8 years, respectively).<sup>38</sup> In contrast, our patients were younger and had shorter disease duration than those studied by Gonzalez-Juanatey (n=6, median age 65 years, duration of RA 16 years).<sup>36</sup> We included individuals with stable, low doses of prednisone in our study, while none of the patients in the

study by Kerekes et al were on corticosteroids.<sup>38</sup> In addition, the time points at which endothelial function was performed in our study differed slightly from the other studies, as we performed evaluations at baseline, week 12, and week 24.<sup>36,38</sup> Impaired endothelial function was evident at baseline with similar median FMD across all 3 studies.

The mechanism by which rituximab improves RA disease activity and reduces CV risk as evidenced by improvements in endothelial function is not fully known. A single course of rituximab resulted in complete and sustained peripheral B-cell depletion in our study population through at least week 24. The mechanism(s) responsible for this improvement in RA is unknown but may be multifactorial. Depletion of B-cells may reduce the production of B-cell-dependent cytokines that drive RA disease activity, inflammation, and endothelial dysfunction. Because B-cells also express major histocompatibility complex class II molecules and serve as effective antigen-presenting cells, their depletion may downregulate autoantigen presentation that perpetuates aberrant immune responses. Finally, short-lived plasma cells may serve as a source of pathogenic immune complexes that induce joint inflammation in RA, and rituximab may eliminate a population of B-cells that would otherwise mature to replace those senescent plasma cells.

Rituximab-mediated peripheral B-cell depletion was sustained through week 24, and markers of inflammation including ESR, hsCRP, interleukin-6, serum amyloid A, and RA disease activity assessed by DAS28 were significantly improved through week 24 as well. Rituximab-treated individuals developed modest increases in lipids, including triglycerides at weeks 12 and 24, LDL cholesterol, paraoxonase arylesterase activity, and lecithin-cholesterol acyltransferase at week 12 and a trend toward increased HDL at weeks 12 and 24. As LDL, paraoxonase arylesterase activity, and HDL are negative acute-phase reactants, increases in these lipids following rituximab treatment are at least partly consistent with recovery of lipid levels from an artificially depressed state related to baseline systemic inflammation. In contrast, the increase in triglycerides and PAH are the opposite of what might be expected.

However, despite the improvements in DAS28 scores and inflammatory markers, the improvement in FMD seen at week 12 was no longer present at week 24. Although we confirmed continued peripheral B-cell depletion at week 24, we did not study the degree to which B-cells were depleted from synovial tissue or other possible sanctuary sites. These sanctuaries of B-cells may not be represented by clinical assessment of RA disease activity and thus may contribute to ongoing endothelial dysfunction and CV risk among individuals with RA.

The association between markers of inflammation and FMD in the literature is largely mixed,<sup>39</sup> with some larger studies demonstrating an inverse correlation between hsCRP,

interleukin-6, intercellular adhesion molecule-1, and FMD, which weakened after adjustment for traditional risk factors,<sup>40</sup> while others showed no correlation.<sup>41</sup> Our finding of failure to sustain improvements in FMD, despite reduction of inflammation as evidenced by sustained decreases in several, but not all, inflammatory biomarkers in rituximab-treated individuals, raises questions about our current understanding of inflammatory pathways, systemic biomarkers used to assess inflammation, and FMD.

The T-cell component of the immune system has largely been implicated in the development and progression of atherosclerosis.<sup>42–44</sup> In contrast, the role of B-cells and atherosclerosis remains controversial. B2 cells, which make up the majority of circulating B-cells, produce proinflammatory cytokines,<sup>45,46</sup> including TNF- $\alpha$  and interferon- $\gamma$ , which can accelerate atherosclerosis. Among individuals with chronic inflammation such as RA patients, B-cells may play a unique role in RA-associated atherosclerosis. Postmortem studies of individuals with RA demonstrate a predominance of B-lymphocytes within atherosclerotic plaque as compared to individuals without RA who have T-cell infiltration.<sup>47</sup> Animal studies have shown that anti-CD20 treatment is associated with reductions in atherosclerosis,<sup>48</sup> which may be due to changes in immune responses of T-cells decreasing interferon- $\gamma$  levels and increasing interleukin-17.<sup>49</sup> Certain B-cell populations can promote the expansion of dendritic cells and shift the immunologic response to a  $T_{H1}$ , resulting in atherosclerosis.50

In summary, we demonstrate that B-cell depletion following a single course of rituximab improved endothelial function for at least 12 weeks in RA patients with active and difficult-tocontrol disease. While improvements in both clinical disease activity and most inflammatory markers were sustained at 24 weeks following a single course of rituximab, the observed improvement in endothelial function was transient, and changes in endothelial function were not reliably reflected in either clinical assessment scores or conventional markers of inflammation. Both FMD and hyperemic velocity may be more sensitive indices for disease recurrence in sanctuary sites and may imply that more frequent treatment with rituximab (as opposed to the dosing regimen of 6 months) may be needed to reduce CV risk, a hypothesis that will require larger studies with clinical end points to definitively establish. Our study also demonstrates that RA disease activity and inflammatory markers are not strongly correlated with FMD in patients who have received rituximab therapy, suggesting that different markers may be needed to ascertain CV risk in the setting of RA.

## Strengths and Limitations

The size of this study was modest, yet it is the largest study to focus on the vascular effects of rituximab therapy to date. As

all individuals enrolled in the study met criteria for rituximab therapy and had active RA, it was not ethical to administer placebo in lieu of treatment. In the absence of placebo, we performed repeat baseline studies of FMD 2 weeks apart prior to rituximab administration in a subset of patients, which demonstrated that FMD remained stable and was highly reproducible. A limitation of this study is that nearly all participants were female; therefore, we were unable to determine whether there were gender differences in the effect of rituximab on endothelial function and inflammation.

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