

1 **People with HIV receiving suppressive antiretroviral therapy show typical antibody durability after**  
2 **dual COVID-19 vaccination, and strong third dose responses**

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14 **Running title:** COVID19 vaccine in people with HIV

15 **Footnotes**

16 **Conflict of Interest Statement:** The authors have no conflicts of interest to declare.

17 **Funding statement:** This work was supported by funding from Genome BC, the Michael Smith  
18 Foundation for Health Research, and the BCCDC Foundation for Public Health through a rapid SARS-  
19 CoV-2 vaccine research initiative in BC award (VAC-009 to ZLB, MAB). It was also supported by the  
20 Public Health Agency of Canada (PHAC) through two COVID-19 Immunology Task Force (CITF)  
21 COVID-19 Awards (to ZLB, MGR, MAB and to CTC, CC, AHA), the Canadian Institutes for Health  
22 Research through the Coronavirus Variants Rapid Response Network (FRN-175622, to MAB), the  
23 Canada Foundation for Innovation through Exceptional Opportunities Fund – COVID-19 awards (to CJB,

1 CFL, MAB, MN, MLD, RP, ZLB), a British Columbia Ministry of Health–Providence Health Care  
2 Research Institute COVID-19 Research Priorities Grant (to CJB and CFL), the CIHR Canadian HIV  
3 Trials Network (CTN) (to AHA) and the National Institute of Allergy and Infectious Diseases of the  
4 National Institutes of Health (R01AI134229 to RP). MLD and ZLB hold Scholar Awards from the  
5 Michael Smith Foundation for Health Research. FA was supported by an SFU Undergraduate Research  
6 Award. GU and FHO are supported by Ph.D. fellowships from the Sub-Saharan African Network for  
7 TB/HIV Research Excellence (SANTHE), a DELTAS Africa Initiative [grant # DEL-15-006]. The  
8 DELTAS Africa Initiative is an independent funding scheme of the African Academy of Sciences  
9 (AAS)’s Alliance for Accelerating Excellence in Science in Africa (AESA) and supported by the New  
10 Partnership for Africa’s Development Planning and Coordinating Agency (NEPAD Agency) with funding  
11 from the Wellcome Trust [grant # 107752/Z/15/Z] and the UK government. The views expressed in this  
12 publication are those of the authors and not necessarily those of PHAC, CITF, AAS, NEPAD Agency,  
13 Wellcome Trust, the Canadian or UK governments or other funders.

14 **Meetings where information was previously presented:** Preliminary data was presented at the 2022  
15 Canadian Association for HIV Research (CAHR) conference as:  
16 Hope R. Lapointe<sup>1\*</sup>, Francis Mwimanzi<sup>2\*</sup>, Peter K. Cheung<sup>1,2\*</sup>, Yurou Sang<sup>2</sup>, Fatima Yaseen<sup>3</sup>, Olga  
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18 Mark A. Brockman<sup>1,2,3#</sup>, Zabrina L. Brumme<sup>1,2#</sup> and the BC COVID-19 vaccine immune response study  
19 team. Humoral responses to one, two and three COVID-19 vaccine doses in people living with HIV  
20 receiving suppressive antiretroviral therapy: a longitudinal study. Abstract 302, 2022 Canadian  
21 Association for HIV Research Conference, April 27-29, 2022 (Virtual).

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1 **ABSTRACT**

2 **Background:** Longer-term humoral responses to two-dose COVID-19 vaccines remain incompletely  
3 characterized in people living with HIV (PLWH), as do initial responses to a third dose.

4 **Methods:** We measured antibodies against the SARS-CoV-2 spike protein receptor-binding domain,  
5 ACE2 displacement and viral neutralization against wild-type and Omicron strains up to six months  
6 following two-dose vaccination, and one month following the third dose, in 99 PLWH receiving  
7 suppressive antiretroviral therapy, and 152 controls.

8 **Results:** Though humoral responses naturally decline following two-dose vaccination, we found no  
9 evidence of lower antibody concentrations nor faster rates of antibody decline in PLWH compared to  
10 controls after accounting for sociodemographic, health and vaccine-related factors. We also found no  
11 evidence of poorer viral neutralization in PLWH after two doses, nor evidence that a low nadir CD4+ T-  
12 cell count compromised responses. Post-third-dose humoral responses substantially exceeded post-second-  
13 dose levels, though Omicron-specific responses were consistently weaker than against wild-type.  
14 Nevertheless, post-third-dose responses in PLWH were comparable to or higher than controls. An mRNA-  
15 1273 third dose was the strongest consistent correlate of higher post-third-dose responses.

16 **Conclusion:** PLWH receiving suppressive antiretroviral therapy mount strong antibody responses after  
17 two- and three-dose COVID-19 vaccination. Results underscore the immune benefits of third doses in  
18 light of Omicron.

19 **KEY WORDS:** HIV, COVID-19, vaccines, immune response, humoral, antibodies, neutralization, third  
20 dose

## 1 INTRODUCTION

2 As people living with HIV (PLWH) may be at increased risk of severe COVID-19 due to  
3 immunosuppression, higher rates of multi-morbidity and/or social determinants of health [1-4],  
4 vaccination is expected to benefit this group. While two-dose COVID-19 vaccination protects against  
5 severe disease [5-7], impaired responses have been observed in certain immunocompromised groups [8-  
6 12], prompting research into COVID-19 vaccine responses in PLWH. This is because, while antiretroviral  
7 therapy can reverse HIV-induced immune dysfunction to a large extent [13-16], persistent HIV-related  
8 immunopathology can nevertheless blunt vaccine responses [17-19]. Clinical trials [20, 21] and real-world  
9 studies however [22-28], including an initial study of the present cohort [29], reported generally strong  
10 immune responses to two-dose COVID-19 vaccination in PLWH with controlled HIV loads on therapy  
11 and preserved CD4+ T-cell counts [20-24, 29], though weaker responses have been observed in PLWH  
12 who are not receiving therapy or who have CD4+ T-cell counts  $<200$  cells/mm<sup>3</sup> [22, 25-27].

13 VaccineBut, vaccine-induced antibody responses decline over time, which can increase SARS-  
14 CoV-2 infection risk [30-32], particularly with the more transmissible Omicron variant [33-37]. Though  
15 immune response durability following two-dose COVID-19 vaccination has been examined among  
16 PLWH participants of the ChAdOx1 clinical trial [38], few real-world studies have investigated this.  
17 Furthermore, no studies to our knowledge have investigated immune responses in PLWH to third vaccine  
18 doses, despite their widespread recommendation to maintain protection [39-41]. We extend our previous  
19 report [29] to characterize humoral responses to both wild-type and Omicron SARS-CoV-2 variants up to  
20 one month post-third vaccine dose, in 99 PLWH and 152 controls without HIV.

## 21 METHODS

22 **Participants.** We recruited 99 adult PLWH and 152 controls without HIV, the latter predominantly health  
23 care workers, in British Columbia (BC), Canada [29]. Serum and plasma (collected in

1 ethylenediaminetetraacetic acid [EDTA] for all PLWH and 16% of controls, or anticoagulant citrate  
2 dextrose [ACD] for the remainder) were collected before vaccination; one month after the first dose; one,  
3 three and six months post-second dose; and one month post-third dose. Here, we extend our previous  
4 study [29] to include all post-second-dose, and the one month post-third dose, study visits. A cohort  
5 flowchart is shown in **Supplemental Figure 1**.

6 **Ethics approval.** All participants provided written informed consent. This study was approved by the  
7 University of British Columbia/Providence Health Care and Simon Fraser University Research Ethics  
8 Boards.

9 **Data sources.** Sociodemographic, health and COVID-19 vaccine data were collected through self-report  
10 and medical records. We assigned a score of 1 for each of 11 chronic conditions: hypertension; diabetes;  
11 asthma; obesity (body mass index  $\geq 30$  kg/m<sup>2</sup>); chronic diseases of lung, liver, kidney, heart or blood;  
12 cancer; and immunosuppression due to chronic conditions or medication. For PLWH, a recent CD4+ T-  
13 cell count  $< 200$  cells/mm<sup>3</sup> constituted immunosuppression.

14 **Binding antibody assays.** Binding antibodies were measured using two commercial assays. We measured  
15 total binding antibodies against SARS-CoV-2 nucleocapsid (N) and spike (S) receptor binding domain  
16 (RBD) in serum using the Elecsys Anti-SARS-CoV-2 and Anti-SARS-CoV-2 S assays, respectively, on a  
17 cobas e601 module analyzer (Roche Diagnostics). Post-infection, both assays should be positive, whereas  
18 post-vaccination only the S assay should be positive. Both tests report results in arbitrary Units/mL. For  
19 the S assay, sera were tested undiluted, with samples above the upper limit of quantification (ULOQ) re-  
20 tested at 1:100 dilution, allowing a measurement range of 0.4-25,000 U/mL. Anti-RBD binding IgG  
21 concentrations in serum were also quantified using the V-plex SARS-CoV-2 (IgG) Panel 22 ELISA kit  
22 (Meso Scale Diagnostics) on a Meso QuickPlex SQ120 instrument. This assay quantifies both wild-type-

1 and Omicron-specific IgG. Sera were diluted 1:10000, allowing a broader dynamic range than the Roche  
2 assay. Results are reported in arbitrary Units/mL.

3 ***ACE2 displacement assay.*** We assessed the ability of serum antibodies to block the RBD-ACE2 receptor  
4 interaction by competition ELISA (Panel 22 V-plex SARS-CoV-2 [ACE2]; Meso Scale Diagnostics) on a  
5 Meso QuickPlex SQ120 instrument. This represents a higher throughput approach to estimate potential  
6 virus neutralizing activity, and is commonly used as a surrogate for this function [42]. Sera were diluted  
7 1:40 and results reported as % ACE2 displacement.

8 ***Live virus neutralization.*** Neutralizing activity in plasma was examined in live SARS-CoV-2 assays using  
9 isolate USA-WA1/2020 (BEI Resources) and a local Omicron BA.1 isolate (GISAID Accession #  
10 EPI\_ISL\_9805779) on VeroE6-TMPRSS2 (JCRB-1819) target cells. As described previously [29], viral  
11 stock was adjusted to 50 TCID<sub>50</sub>/200 µl in the presence of serial two-fold plasma dilutions (from 1/20 to  
12 1/2560) and added to target cells in 96-well plates in triplicate. The appearance of viral cytopathic effects  
13 (CPE) in was recorded three days post-infection. Neutralizing activity is reported as the reciprocal of the  
14 highest plasma dilution able to prevent CPE in all triplicate wells. Samples exhibiting partial or no  
15 neutralization at 1/20 dilution were defined as below the limit of quantification (BLOQ).

16 ***Statistical analysis.*** Continuous variables were compared using the Mann-Whitney U-test (unpaired data)  
17 or Wilcoxon test (paired data). Relationships between continuous variables were assessed using  
18 Spearman's correlation. Multiple linear regression was used to investigate the relationship between HIV  
19 infection and COVID-19 vaccine-related immune outcomes using a confounder model that adjusted for  
20 variables that could influence vaccine responses and/or that differed in prevalence between PLWH and  
21 controls. For neutralization at 6 months post-second dose, we used multiple logistic regression due to the  
22 high proportion of results BLOQ. Variables included HIV infection (controls as reference group), age (per  
23 year), sex at birth (female as reference), ethnicity (non-white as reference), number of chronic conditions

1 (per additional), interval between first and second doses (per day), sampling date after vaccination (per  
2 day), dual ChAdOx1 as the initial regimen (mRNA or mixed [ChAdOx1/mRNA] regimen as the  
3 combined reference group), and prior COVID-19 (COVID-19-naive as reference). Plasma neutralization  
4 models also corrected for anticoagulant (ACD as reference). Post-third-dose analyses also corrected for  
5 third dose mRNA vaccine brand (BNT162b2 as reference) and the interval between second and third  
6 doses (per day). All tests were two-tailed, with  $p < 0.05$  considered statistically significant. Analyses were  
7 conducted using Prism v9.2.0 (GraphPad).

## 8 **RESULTS**

### 9 *Cohort characteristics*

10 As described previously [29], all PLWH had suppressed plasma HIV loads on therapy (**Table 1**). Recent  
11 and nadir CD4+ T-cell counts were a median 715 cells/mm<sup>3</sup> (Interquartile Range [IQR] 545-943; range  
12 130-1800) and a median 280 cells/mm<sup>3</sup> (IQR 123-490; range <10-1010), respectively. PLWH and controls  
13 were broadly similar in age and the number of chronic conditions, but the PLWH group included more  
14 males and white ethnicity. Because the majority of controls were health care workers who were vaccinated  
15 prior to the general population (including PLWH), some vaccine-related variables differed between  
16 groups. The interval between first and second doses was longer for controls (median 89 days versus 58 for  
17 PLWH), as those vaccinated earlier in Canada's campaign waited up to 112 days between doses due to  
18 initially limited vaccine supply [43]. Fewer controls received dual ChAdOx1 vaccines as their initial  
19 regimen (<1%; compared to 8% of PLWH), as initially only mRNA vaccines were available in Canada.  
20 Vaccine timing also indirectly influenced post-vaccination SARS-CoV-2 infection incidence. While a  
21 comparable number of PLWH (8%) and controls (10%) were anti-N seropositive at study entry, a larger  
22 proportion of PLWH (18%) experienced post-vaccination infections compared to controls (9%). This is  
23 unlikely due to increased infection susceptibility, but rather because the first Omicron "wave" occurred

1 just prior to the post-third-dose study visit for many PLWH, whereas most controls had already completed  
2 this visit. Third dose eligibility and timing also differed between groups. Third doses began to be offered  
3 in BC in October 2021 to priority populations, including PLWH who had one or more of: age  $\geq 65$  years,  
4 prior AIDS-defining illness, prior CD4 count  $<200$  cells/mm<sup>3</sup> or prior CD4 fraction  $\leq 15\%$ , any plasma  
5 HIV load  $>50$  copies/mL in 2021, or perinatally-acquired HIV. The majority of PLWH in BC met at least  
6 one criterion, though not all eligible individuals were vaccinated immediately. By January 2022, all  
7 remaining adults in BC aged  $\geq 18$  years were eligible for a third dose six months after their second dose.  
8 At the time of writing, 80% of PLWH participants and 88% of controls had received a third dose, on  
9 average 6.3 months following their second dose. All third doses were mRNA vaccines, and more PLWH  
10 (70%) received mRNA-1273 compared to controls (59%). Third mRNA-1273 dose recommendations also  
11 differed by risk group: 100 mcg was recommended for adults aged  $\geq 70$  years and PLWH meeting any  
12 priority criterion, whereas the standard 50 mcg booster was recommended for all other adults.

### 13 *Binding antibody responses*

14 Initial responses to two-dose vaccination in this cohort have been described previously  
15 [29]. Briefly, one month following two-dose vaccination, anti-RBD antibody concentrations were a  
16 median 3.9 [IQR 3.7-4.1] log<sub>10</sub> U/mL in PLWH compared to a median of 4.0 [IQR 3.8-4.2] log<sub>10</sub> in  
17 controls (p=0.04, **Figure 1A**). Only two participants were non-responders: one PLWH with non-HIV-  
18 related immunodeficiency, and one  $>80$  year old control participant with three chronic conditions. By  
19 three months following the second dose, antibody concentrations had declined to a median of 3.4 [IQR  
20 3.2-3.6] log<sub>10</sub> U/mL in PLWH compared to a median of 3.6 [IQR 3.4-3.8] log<sub>10</sub> U/mL in controls  
21 (p=0.0001). HIV infection however did not remain significantly associated with antibody concentrations  
22 at these two time points after controlling for sociodemographic, health- and vaccine-related variables  
23 (HIV-related estimates and p-values shown in **Table 2**; full models shown in **Supplemental Table 1**).

1 Rather, older age, a greater number of chronic conditions and dual ChAdOx1 vaccination were associated  
2 with lower antibody concentrations at both time points, while a longer dose interval was associated with  
3 higher antibody concentrations, regardless of HIV status.

4 By six months after the second dose, anti-RBD antibody concentrations had declined to a median of 3.1  
5 [IQR 2.9-3.3]  $\log_{10}$  U/mL in PLWH versus a median 3.2 [IQR 3.0-3.4]  $\log_{10}$  U/mL in controls ( $p=0.0021$ ,  
6 **Figure 1A**), though no effect of HIV infection on antibody concentrations remained after multivariable  
7 correction ( $p=0.64$ ; **Table 2**; full model in **Supplemental Table S2**). Rather, dual ChAdOx1 vaccination  
8 was the strongest correlate of poorer responses at this time point, while a longer time between vaccination  
9 and sampling was associated with marginally higher antibody concentrations. The latter observation is  
10 likely due to confounding by age, as 13 controls aged  $\geq 70$  years did not contribute samples at this time  
11 point as they had already received third doses as per BC's age-based rollout, and a further 25 participants  
12 aged  $\geq 65$  years contributed this sample early due to imminently scheduled third doses. Prior COVID-19  
13 was also associated with superior antibody concentrations at this time point, though 11 recent infections  
14 (red dots in **Figure 1A**) influenced this result.

15 We next assessed temporal reductions in antibody concentrations after two vaccine doses (**Figure**  
16 **1B**). Assuming exponential decay, and restricting the analysis to COVID-19-naïve participants with a  
17 complete post-second-dose longitudinal series with no values above the assay upper limit of quantification  
18 (ULOQ), we estimated antibody half-lives to be a median of 53 [IQR 47-70] days in PLWH versus a  
19 median of 59 [IQR 51-75] days in controls ( $p=0.023$ , **Figure 1C**). This difference however did not remain  
20 significant after multivariable correction ( $p=0.63$ ; **Table 2**; full model in **Supplemental Table S2**).

21 A third vaccine dose boosted antibody concentrations to an average of 0.4-0.5  $\log_{10}$  U/mL higher  
22 than peak post-second dose levels (within-group  $p<0.0001$  for both PLWH and controls), to a median of  
23 4.3 [IQR 4.2 to >ULOQ]  $\log_{10}$  U/mL in PLWH and 4.4 [IQR 4.2 to >ULOQ]  $\log_{10}$  U/mL in controls

1 (between-group  $p=0.83$ ), values that were comparable to those in participants with prior COVID-19  
2 (**Figure 1A**). Multivariable analyses were not performed as nearly 50% of values were >ULOQ.

3 Consistent with our previous observations at one and three months post-second vaccine dose [29],  
4 we observed no significant relationship between most recent or nadir CD4+ T-cell count and antibody  
5 concentrations either six months post-second dose or one month post-third dose in PLWH  
6 (**Supplementary Figure 2**). We also observed no significant relationship between these CD4 parameters  
7 and antibody half-life post-second dose (Spearman  $\rho \leq 0.16$ ,  $p \geq 0.3$ ; not shown).

### 8 *Viral neutralization*

9 One month post-second vaccine dose, SARS-CoV-2 neutralization was achieved at a median  
10 reciprocal plasma dilution of 160 (IQR 40-320) in PLWH compared to a median of 80 (IQR 40-160) in  
11 controls (Mann-Whitney  $p=0.06$ , **Figure 2A**). By three months post-second dose this activity declined to  
12 40 [IQR 20-80] in both PLWH and controls ( $p=0.23$ ). Multivariable analyses confirmed no association  
13 between HIV infection and neutralization at these time points (HIV-related estimates in **Table 2**; full  
14 model in **Supplemental Table 1**). Rather, older age, a higher number of chronic conditions and dual  
15 ChAdOx1 vaccination was associated with weaker neutralization at one or both of these time points ,  
16 while prior COVID-19 was associated with stronger neutralization. By six months post-second dose,  
17 neutralization had declined to BLOQ in 52% of COVID-19-naive participants, to a median reciprocal  
18 dilution of 20 [IQR BLOQ-40] in PLWH and a median of BLOQ [IQR BLOQ-20] in controls ( $p=0.07$ ,  
19 **Figure 2A**). Due to the large proportion of BLOQ values, we applied multivariable logistic regression  
20 with neutralization as a binary variable, and confirmed no association between HIV infection and  
21 neutralization at this time point (HIV-related estimates in **Table 2**; full model in **Supplemental Table 3**).  
22 We identified only prior COVID-19 as a biological correlate of neutralization at this time point, though  
23 this is influenced by 11 recent infections.

1 A third COVID-19 vaccine dose boosted neutralization to an average of four-fold higher than peak  
2 post-second-dose levels (within-group  $p < 0.0001$  for PLWH and controls; **Figure 2A**). In fact,  
3 neutralization activities in PLWH (median reciprocal dilution of 640 [IQR 160-1280]) exceeded those of  
4 controls (median of 320 [160-320]; Mann-Whitney  $p = 0.0006$ ) at this time point, though this did not  
5 remain significant following multivariable adjustment ( $p = 0.15$ , **Table 2**; full model in **Supplemental**  
6 **Table 4**). Rather, having received mRNA-1273 as a third dose and having prior COVID-19 correlated  
7 with better neutralization at this time point, though this is again influenced by numerous recent infections.

8 We observed no significant relationship between the most recent CD4+ T-cell count and  
9 neutralization at either six months post-second dose nor at one month post-third dose in COVID-19 naive  
10 PLWH; nor any relationship between nadir CD4+ T-cell count and neutralization at six months post-  
11 second dose (**Supplemental Figure 2**). An inverse relationship between nadir CD4+ T-cell count and  
12 neutralization one month after the third dose however was found (Spearman  $\rho = -0.28$ ;  $p = 0.04$ ).

### 13 *Humoral Omicron-specific responses*

14 To estimate the extent to which a third vaccine dose boosts protection against the now-dominant  
15 Omicron variant, we compared peak wild-type- and Omicron-specific responses one month post-second  
16 and -third doses using a platform that simultaneously quantifies responses to both antigens (Meso Scale  
17 Diagnostics V-plex assay; see methods). To avoid confounding by infection-induced immunity, we  
18 restricted this analysis to COVID-19-naive individuals. For both PLWH and controls, Omicron-specific  
19 anti-RBD serum IgG concentrations were on average  $\sim 0.6 \log_{10}$  U/mL lower than wild-type-specific ones  
20 at both time points (all within-group comparisons  $p < 0.0001$ ; **Figure 3A**). Nevertheless, the third dose  
21 significantly boosted anti-Omicron IgG concentrations to an average of 0.3-0.5  $\log_{10}$  U/mL higher than  
22 post-second-dose levels in both groups (within-group comparisons  $p < 0.0001$ ). One month post-second  
23 dose, anti-Omicron IgG concentrations were a median 4.13 [IQR 3.95-4.35]  $\log_{10}$  U/mL in PLWH and a

1 median of 4.28 [IQR 3.97-4.56]  $\log_{10}$  U/mL in controls ( $p=0.06$ ). After three doses however, these  
2 responses reached equivalence, with medians of 4.51 [IQR 4.26-4.93]  $\log_{10}$  U/mL in PLWH versus 4.56  
3 [IQR 4.24-4.74]  $\log_{10}$  U/mL in controls ( $p=0.63$ ). In fact, a multivariable analysis of Omicron-specific  
4 IgG concentrations post-third dose identified HIV infection as being associated with an adjusted 0.36  $\log_{10}$   
5 U/mL *higher* Omicron-specific IgG concentration ( $p=0.0017$ , **Table 2**; full model in **Supplemental Table**  
6 **5**). Having received mRNA-1273 for the third dose, and a longer interval between second and third doses,  
7 were also significantly associated with higher Omicron-specific anti-RBD IgG responses, while male sex  
8 was associated with lower responses. We also confirmed that total wild-type specific anti-RBD antibody  
9 concentrations (measured by the Roche Elecsys assay in **Figure 1**) and total wild-type specific anti-RBD  
10 IgG concentrations (measured by Meso Scale Diagnostics assay in **Figure 3**) correlated strongly as  
11 expected (**Supplemental Figure S3**).

12 We also assessed the ability of plasma to block the RBD-ACE2 interaction, which estimates  
13 potential viral neutralization [42]. This activity was significantly weaker against Omicron compared to  
14 wild-type for both groups at both time points (all within-group comparisons  $p<0.0001$ ; **Figure 3B**), where  
15 the discrepancy was most pronounced after two doses (*e.g.* median wild-type- and Omicron-specific  
16 activities in PLWH were 97% versus 42%, respectively, at this time). The third dose nevertheless  
17 universally boosted Omicron-specific responses to above second-dose levels (all within-group  
18 comparisons  $p\leq 0.0009$ ), with median Omicron-specific activity in PLWH rising from 42% after two doses  
19 to 57% after three ( $p=0.0009$ ). Omicron-specific ACE2 % displacement activities were comparable  
20 between groups at both time points: one month post-second dose these were a median 42% [IQR 27-61] in  
21 PLWH compared to 39% [IQR 20-62] in controls ( $p=0.55$ ), rising to a median 57% [IQR 33-77] in PLWH  
22 compared to 62% [IQR 44-77] in controls one month post-third dose ( $p=0.37$ ). Multivariable analyses  
23 confirmed no association between HIV infection and Omicron-specific ACE2 displacement activity after

1 three doses ( $p=0.57$ , **Table 2**; full model in **Supplemental Table 5**). After three doses, we observed a  
2 weak inverse relationship between nadir CD4+ T-cell count and Omicron-specific ACE2 % displacement  
3 (Spearman  $\rho= -0.3$ ;  $p=0.02$ ), but no relationship between other CD4+ T-cell count measures and Omicron-  
4 specific responses (**Supplemental Figure 2**).

5 Finally, we assessed plasma neutralization against live wild-type and Omicron viruses at one  
6 month post-second and third doses in a subset of COVID-19-naive participants (**Figure 4**). While  
7 Omicron-specific neutralization was significantly weaker than wild-type at both time points in both  
8 PLWH and controls (all within-group comparisons  $p<0.0001$ ), the third dose significantly boosted  
9 Omicron-specific neutralization above second dose levels (both within-group comparisons  $p<0.0001$ ).  
10 One month post-second dose, both PLWH and controls neutralized Omicron at a median reciprocal  
11 dilution of 20 [IQR BLOQ - 40] ( $p=0.71$ ). One month post-third dose, anti-Omicron neutralization  
12 increased to a median reciprocal dilution of 80 [IQR 40-160] in PLWH compared to a median 40 [IQR 40-  
13 80] in controls ( $p=0.03$ ). This was consistent with the superior neutralization of wild-type virus observed  
14 in PLWH at this time point (**Figure 2**). Neutralization of wild-type and Omicron viruses correlated  
15 significantly with ACE2 displacement activities as expected (all  $p<0.0001$ , **Supplemental Figure 4**).

## 16 **DISCUSSION**

17 Our study confirms that anti-SARS-CoV-2 antibody concentrations and neutralizing activities  
18 naturally decline following two-dose COVID-19 vaccination [32, 44]. Nevertheless, we found no  
19 evidence that PLWH receiving suppressive antiretroviral therapy exhibited lower antibody concentrations  
20 at any time point up to six months following two-dose vaccination, nor did they exhibit faster rates of  
21 antibody decline during this period compared to controls, after accounting for sociodemographic, health-  
22 and vaccine-related factors. Similarly we found no evidence that PLWH exhibited poorer neutralization at  
23 any time point after two doses compared to controls. The lack of significant difference in immune

1 response decline in PLWH compared to controls following two-dose vaccination is consistent with data  
2 from PLWH participants of the original ChAdOx1 trial [38].

3 Our results also showed that a third vaccine dose boosted binding antibody concentrations and  
4 function to significantly higher than post-second-dose levels [45], including against Omicron [46].  
5 Consistent with accumulating evidence [34, 36, 37, 47], Omicron-specific antibody responses remained  
6 universally weaker than wild-type-specific ones at all times tested. Nevertheless, after three doses,  
7 antibody concentrations in PLWH were equivalent to controls, while neutralization activities (including  
8 against Omicron) were slightly higher. The latter is likely attributable to PLWH more frequently  
9 receiving mRNA-1273 (vs. BNT162b2) third doses, which was the strongest correlate of higher  
10 neutralization after three-dose vaccination (**Supplemental Table 3**). In fact, most PLWH were eligible for  
11 100mcg mRNA-1273 third doses, which likely boosted responses still further, though we could not  
12 confirm this directly.

13 Our study has several limitations. Our results may not be generalizable to PLWH who are not  
14 receiving antiretroviral therapy, who have multi-morbidities or who have CD4+ T-cell counts <200  
15 cells/mm<sup>3</sup>, though we found no evidence that a low *nadir* CD4+ T-cell count negatively influenced  
16 COVID-19 vaccine response (in fact, initial post-third-dose viral neutralization and Omicron-specific  
17 ACE2 displacement functions were slightly higher in PLWH with lower nadir CD4+ counts, possibly due  
18 to their eligibility for 100mcg mRNA-1273 third doses). We did not investigate T-cell responses, which  
19 may play critical roles, particularly against variants [48, 49]. Canada's decision to increase the interval  
20 between first and second vaccine doses to 112 days [43] and to mix mRNA and viral-vector vaccines may  
21 affect generalizability. Of the participants who received mRNA-1273 third doses, 36% received 100mcg,  
22 23% received 50mcg, and data for the remainder were unavailable, so we could not assess dose effects on  
23 vaccine responses. As immune correlates of vaccine-mediated protection are still being elucidated [50],

1 the implications of our results on individual-level protection remain uncertain, particularly in light of  
2 Omicron.

3 In conclusion, adult PLWH with well-controlled viral loads and preserved CD4+ T-cell counts  
4 mount strong and functional antibody responses to two and three-dose COVID-19 vaccination, including  
5 to Omicron, though it will be important to monitor these responses over time. Studies of PLWH who are  
6 not receiving antiretroviral treatment or who have low CD4+ T-cell counts are also needed.

## 7 **ACKNOWLEDGEMENTS**

8 We thank the leadership and staff of Providence Health Care for their support of this study. We  
9 thank the phlebotomists and laboratory staff at St. Paul's Hospital, the BC Centre for Excellence in  
10 HIV/AIDS and Simon Fraser University for assistance. Above all, we thank the participants, without  
11 whom this study would not have been possible.

12 This work was supported by funding from Genome BC, the Michael Smith Foundation for Health  
13 Research, and the BCCDC Foundation for Public Health through a rapid SARS-CoV-2 vaccine research  
14 initiative in BC award (VAC-009 to ZLB, MAB). It was also supported by the Public Health Agency of  
15 Canada (PHAC) through two COVID-19 Immunology Task Force (CITF) COVID-19 Awards (to ZLB,  
16 MGR, MAB and to CTC, CC, AHA), the Canadian Institutes for Health Research through the  
17 Coronavirus Variants Rapid Response Network (FRN-175622, to MAB), the Canada Foundation for  
18 Innovation through Exceptional Opportunities Fund – COVID-19 awards (to CJB, CFL, MAB, MN,  
19 MLD, RP, ZLB), a British Columbia Ministry of Health–Providence Health Care Research Institute  
20 COVID-19 Research Priorities Grant (to CJB and CFL), the CIHR Canadian HIV Trials Network (CTN)  
21 (to AHA) and the National Institute of Allergy and Infectious Diseases of the National Institutes of Health  
22 (R01AI134229 to RP). MLD and ZLB hold Scholar Awards from the Michael Smith Foundation for  
23 Health Research. FA was supported by an SFU Undergraduate Research Award. GU and FHO are

1 supported by Ph.D. fellowships from the Sub-Saharan African Network for TB/HIV Research Excellence  
2 (SANTHE), a DELTAS Africa Initiative [grant # DEL-15-006]. The DELTAS Africa Initiative is an  
3 independent funding scheme of the African Academy of Sciences (AAS)'s Alliance for Accelerating  
4 Excellence in Science in Africa (AESAs) and supported by the New Partnership for Africa's Development  
5 Planning and Coordinating Agency (NEPAD Agency) with funding from the Wellcome Trust [grant #  
6 107752/Z/15/Z] and the UK government. The views expressed in this publication are those of the authors  
7 and not necessarily those of PHAC, CITF, AAS, NEPAD Agency, Wellcome Trust, the Canadian or UK  
8 governments or other funders.

9

1 **FIGURE LEGENDS**

2 **Figure 1. Concentrations of total binding antibodies in serum to spike RBD following two and three**

3 **COVID-19 vaccine doses. Panel A:** Binding antibody responses to the SARS-CoV-2 spike RBD in serum  
4 at one, three and six months following the second dose, and one month following the third vaccine dose,  
5 in PLWH (orange) and controls (blue) who were COVID-19 naive at the studied time point, as well as  
6 individuals who had recovered from COVID-19 at the studied time point (COVID group, black).

7 Participants who experienced a post-vaccination infection were relocated from their original group into the  
8 COVID group at their first post-infection study visit, where they are denoted by a red symbol. Participant  
9 Ns are shown at the bottom of the plot. The thick horizontal red bar represents the median; thinner  
10 horizontal red bars represent the IQR. P-values were computed using the Mann-Whitney U-test (for  
11 comparisons between groups) or the Wilcoxon matched pairs test (for comparisons across time points  
12 within a group) and are uncorrected for multiple comparisons. ULOQ: upper limit of quantification;

13 LLOD: lower limit of detection. *Panel B:* Temporal declines in serum binding antibody responses to  
14 spike-RBD following two vaccine doses in individual PLWH (light orange) and controls (light blue) who  
15 remained COVID-19 naive during this period. Thick lines in corresponding colors denote averages for  
16 each group. Only participants with a complete longitudinal data series with no values above the ULOQ are

17 shown. *Panel C:* Binding antibody half-lives following two COVID-19 vaccine doses, in PLWH (orange)  
18 and controls (blue) who remained COVID-19 naive during this period. Values were calculated by fitting  
19 an exponential curve to the data shown in panel B. The two outliers are individuals whose antibody levels  
20 did not decay or decayed exceedingly slowly, producing half-lives > 500 days. For visualization purposes  
21 their half-lives are shown as 500 days. Ns are indicated at the bottom of the plot. Red bars and whiskers  
22 represent the median and IQR. P-value computed using the Mann-Whitney U-test.

1 **Figure 2. Live virus neutralization activities following two and three COVID-19 vaccine doses.** Viral  
2 neutralization activity in plasma at one, three and six months following the second dose, and one month  
3 following the third vaccine dose, in PLWH (orange) and controls (blue) who were COVID-19 naive at the  
4 studied time point, as well as individuals who had recovered from COVID-19 at the studied time point  
5 (COVID group, black). Plasma neutralization was defined as the reciprocal of the highest plasma dilution  
6 at which vial cytopathic effect was prevented in all triplicate assay wells. Plasma samples showing  
7 neutralization in fewer than three wells at the lowest plasma dilution of 1/20 were coded as having a  
8 reciprocal dilution of 10, corresponding to the lower limit of quantification (LLOQ) in this assay. The  
9 highest dilution tested was 1/2560, which corresponds to the upper limit of quantification (ULOQ).  
10 Participants who experienced a post-vaccination infection were relocated from their original group into the  
11 COVID19 group at their first post-infection study visit, where they are denoted by a red symbol.  
12 Participant Ns are shown at the bottom of the plot. The thick horizontal red bar represents the median;  
13 thinner horizontal red bars represent the IQR. P-values were computed using the Mann-Whitney U-test  
14 (for comparisons between groups) or the Wilcoxon matched pairs test (for comparisons across time points  
15 within a group) and are uncorrected for multiple comparisons.

16 **Figure 3: Anti-Omicron IgG binding and ACE2 displacement activities one month after the second**  
17 **and third COVID-19 vaccine doses.** *Panel A:* Binding IgG responses in plasma to the wild-type (WT)  
18 and Omicron (OM) spike-RBD (S-RBD), measured using the Meso Scale Diagnostics V-Plex assay, in  
19 PLWH (orange) and controls (blue) who remained COVID-19 naive throughout the study. Participant Ns  
20 are shown at the bottom of the plot. Thick horizontal red bar represents the median; thinner horizontal red  
21 bars represent the IQR. P-values were computed using the Wilcoxon matched pairs test (for all within-  
22 group comparisons) or the Mann-Whitney U-test (for between-group comparisons) and are uncorrected

1 for multiple comparisons. *Panel B*: same as A, but for ACE2 displacement activity, measured using the V-  
2 plex SARS-CoV-2 (ACE2) assay, where results are reported in terms of % ACE2 displacement.

3

4 **Figure 4: Anti-Omicron neutralization activities one month after the second and third COVID-19**  
5 **vaccine doses.** Neutralization activities, reported as the reciprocal of the highest plasma dilution at which  
6 neutralization was observed in all triplicate assay wells, against the wild-type (WT) and Omicron (OM)  
7 virus isolates a subset of PLWH (orange) and controls (blue) who remained COVID-19 naive throughout  
8 the study. Participant Ns are shown at the bottom of the plot. Thick horizontal red bar represents the  
9 median; thinner horizontal red bars represent the IQR. P-values were computed using the Wilcoxon  
10 matched pairs test (for within-group comparisons) or the Mann-Whitney U-test (for between-group  
11 comparisons) and are uncorrected for multiple comparisons.

12

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29

**Table 1: Participant characteristics**

Characteristic	PLWH (n=99)	Controls (n=152)
<b>HIV-related variables</b>		
Receiving antiretroviral therapy, n (%)	99 (100%)	-
Most recent plasma viral load, copies HIV RNA/mL, median [IQR]	<50 [<50 - <50]	-
Most recent CD4+ T-cell count in cells/mm <sup>3</sup> , median [IQR]	715 [545-943]	-
Nadir CD4+ T-cell count in cells/mm <sup>3</sup> , median [IQR]	280 [123-490]	-
<b>Sociodemographic and health variables</b>		
Age in years, median [IQR]	54 [40-61]	47 [35-70]
Male sex at birth, n (%)	87 (88%)	50 (33%)
Ethnicity, n (%)		
white/Caucasian	69 (69%)	78 (51%)
Black	5 (5%)	1 (0.7%)
Asian	10 (10%)	59 (38%)
Latin American	8 (8%)	4 (2.6%)
Middle Eastern/Arab	3 (3%)	0 (0%)
Mixed ethnicity	3 (3%)	8 (5.3%)
Not disclosed	1 (1%)	2 (1.3%)
Number of chronic health conditions, median [IQR]	0 [0-1]	0 [0-1]
Hypertension, n (%)	15 (15%)	22 (14.5%)
Diabetes, n (%)	6 (6%)	6 (3.9%)
Asthma, n (%)	7 (7%)	15 (9.9%)
Obesity, n (%)	15 (15%)	14 (9.2%)
Chronic lung disease, n (%)	4 (4%)	3 (2%)
Chronic liver disease, n (%)	4 (4%)	1 (0.7%)
Chronic kidney disease, n (%)	1 (1%)	1 (0.7%)
Chronic heart disease, n (%)	1 (1%)	4 (2.6%)
Chronic blood disease, n (%)	1 (1%)	2 (1.3%)
Cancer, n (%)	4 (4%)	4 (2.6%)
Immunosuppression, n (%)	4 (4%)	0 (0%)
At least one of the above, n (%)	45 (45%)	50 (33%)
<b>COVID-19 status</b>		
COVID-19 convalescent (anti-N Ab+) at study entry, n (%)	8 (8%)	15 (10%)
COVID-19 post-vaccination	18 (18%)	13 (9%)

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**Vaccine details**

Initial two-dose regimen		
mRNA - mRNA	82 (82%)	148 (97%)
ChAdOx1 - mRNA (heterologous)	8 (8%)	3 (2%)
ChAdOx1- ChAdOx1	8 (8%)	1 (0.7%)
ChAdOx1 - not disclosed	1 (1%)	-
Time between first and second doses in days, median [IQR]	58 [53-67]	89 [65-98]
Third dose		
BNT162b2	23 of 80 (29%)	56 of 137 (41%)
mRNA-1273	56 of 80 (70%)	81 of 137 (59%)
Unknown	1 of 80 (1%)	-
Time between second and third doses in days, median [IQR]	183 [143-191]	198 [173-216]

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**Specimen collection**

Specimen one month after second dose, n (%)	97 (97%)	151 (99%)
Day of collection one month after second dose, median [IQR]	30 [29-30]	30 [29-32]
Specimen three months after second dose, n (%)	96 (96%)	148 (97%)
Day of collection three months after second dose, median [IQR]	90 [90-91]	90 [89-91]
Specimen six months after second dose, n (%)	62 (62%)	136 (89%)
Day of collection six months after second dose, median [IQR]	180 [177-182]	180 [178-182]
Specimen one month after third dose, n (%)	80 (80%)	137 (90%)
Day of collection one month after third dose, median [IQR]	30 [30-32]	30 [29-32]

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1  
2

1 **Table 2: Impact of HIV infection on humoral responses to COVID-19 vaccination: summary of estimates from multivariable**  
 2 **analyses**

Humoral measure	SARS-CoV-2 strain	Study time point	HIV infection estimates from multivariable model			
			Estimate	95% CI	p-value	Full model
Log <sub>10</sub> anti-RBD Abs <sup>a</sup>	wild-type	1 month after 2nd dose	-0.017	-0.18 to 0.14	0.83	Supplemental Table 1
	wild-type	3 months after 2nd dose	-0.13	-0.27 to 0.019	0.088	Supplemental Table 1
	wild-type	6 months after 2nd dose	-0.036	-0.19 to 0.11	0.64	Supplemental Table 2
Antibody half-life after the 2nd dose, in days <sup>b</sup>	wild-type	Calculated from all post-2nd dose timepoints	6.33	-19.92 to 32.59	0.63	Supplemental Table 2
Log <sub>2</sub> Viral neutralization <sup>c</sup>	wild-type	1 month after 2nd dose	0.20	-0.47 to 0.86	0.56	Supplemental Table 1
	wild-type	3 months after 2nd dose	-0.063	-0.74 to 0.62	0.86	Supplemental Table 1
	wild-type	6 months after 2nd dose <sup>d</sup>	Odds Ratio = 0.51 <sup>d</sup>	0.12 to 1.77	0.32	Supplemental Table 3
	wild-type	1 month after 3rd dose	0.58	-0.22 to 1.37	0.15	Supplemental Table 4
Omicron-specific log <sub>10</sub> binding IgG <sup>e</sup>	Omicron	1 month after 3rd dose	0.36	0.14 to 0.58	<b>0.0017</b>	Supplemental Table 5
Omicron-specific ACE2 % displacement <sup>e</sup>	Omicron	1 month after 3rd dose	3.49	-8.48 to 15.47	0.57	Supplemental Table 5

3 This table summarizes the estimates (coefficients, or odds ratios as appropriate), 95% confidence intervals (CI) and p-values of the  
 4 relationship between HIV infection and specific humoral responses to COVID-19 vaccination at the time points shown. All estimates  
 5 are calculated using multivariable linear regression except for viral neutralization at 6 months after the 2nd dose (see footnote d). Full  
 6 models, adjusted for clinical, demographic and SARS-CoV-2 vaccination variables, are shown in Supplemental Tables as indicated.

7 <sup>a</sup> Quantified in serum using the Roche Elecsys anti-S assay

8 <sup>b</sup> Antibody half-lives were calculated from anti-RBD antibody concentrations from all participants with a complete longitudinal data  
 9 series following the second vaccine dose, with no values above the ULOQ, and no evidence of prior COVID-19

10 <sup>c</sup> For viral neutralization, reciprocal plasma dilutions were log<sub>2</sub> transformed prior to multivariable analysis.

11 <sup>d</sup> Results for the "6 months after 2nd dose" time point are presented as the adjusted Odds Ratios and associated 95% CI of detectable  
 12 viral neutralization activity at this time point, calculated using multivariable logistic regression.

13 <sup>e</sup> Quantified in serum using the Meso Scale Diagnostics V-Plex assay (panel 22)

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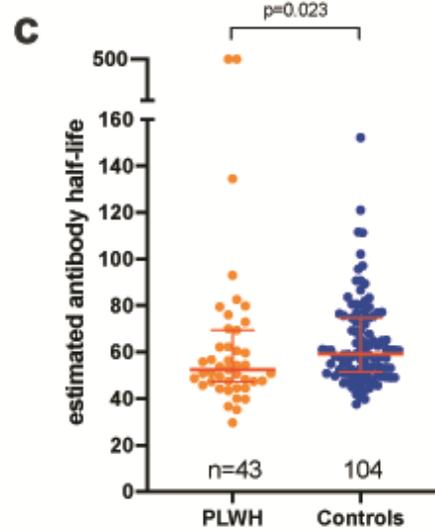
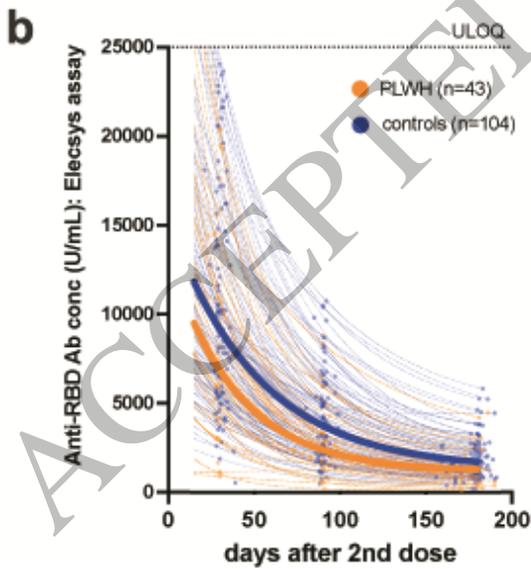
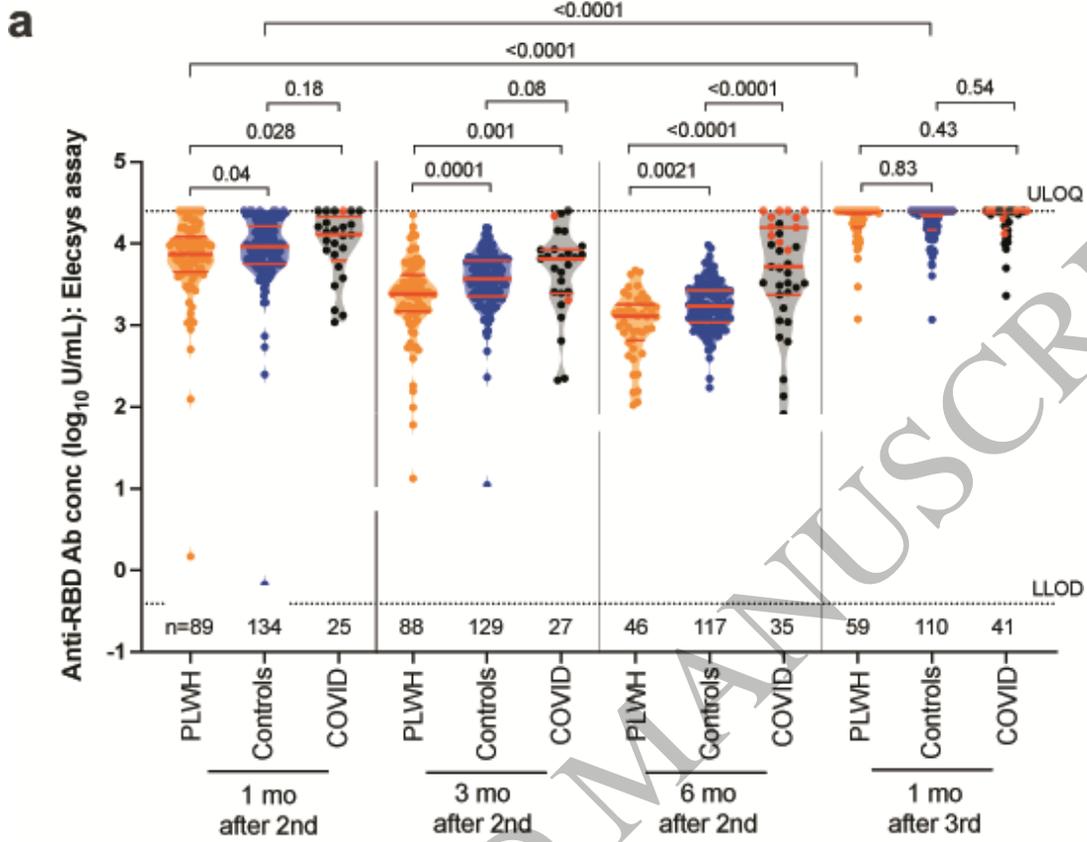


Figure 1  
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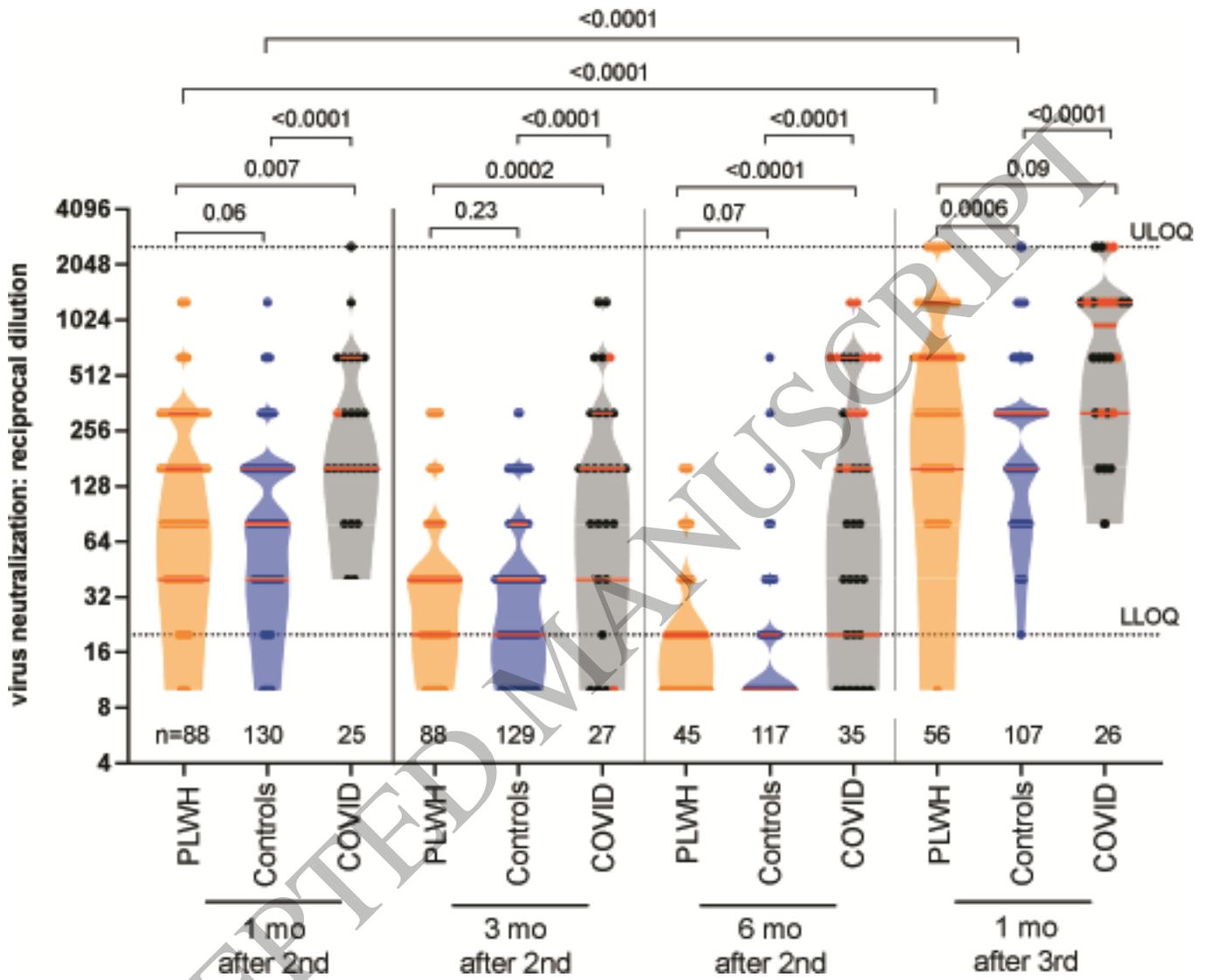


Figure 2  
159x113 mm (x DPI)

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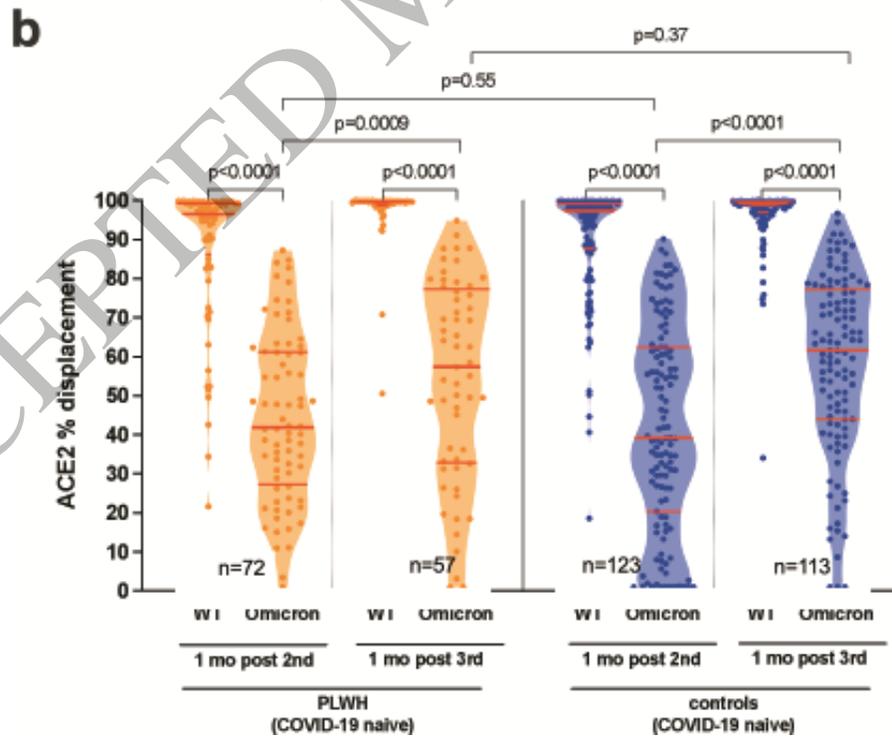
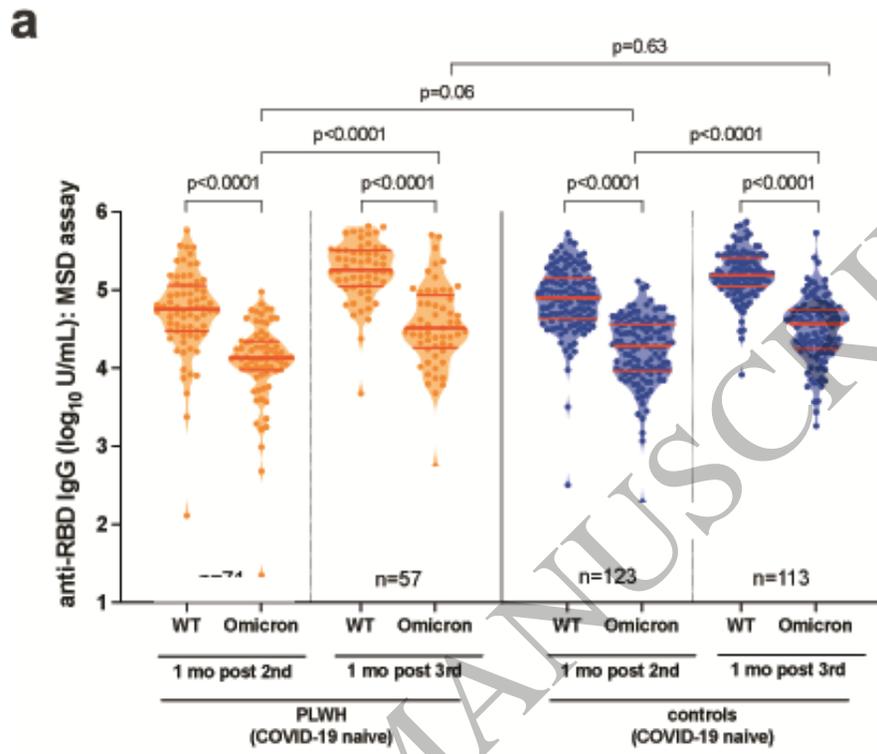


Figure 3  
159x113 mm ( x DPI)

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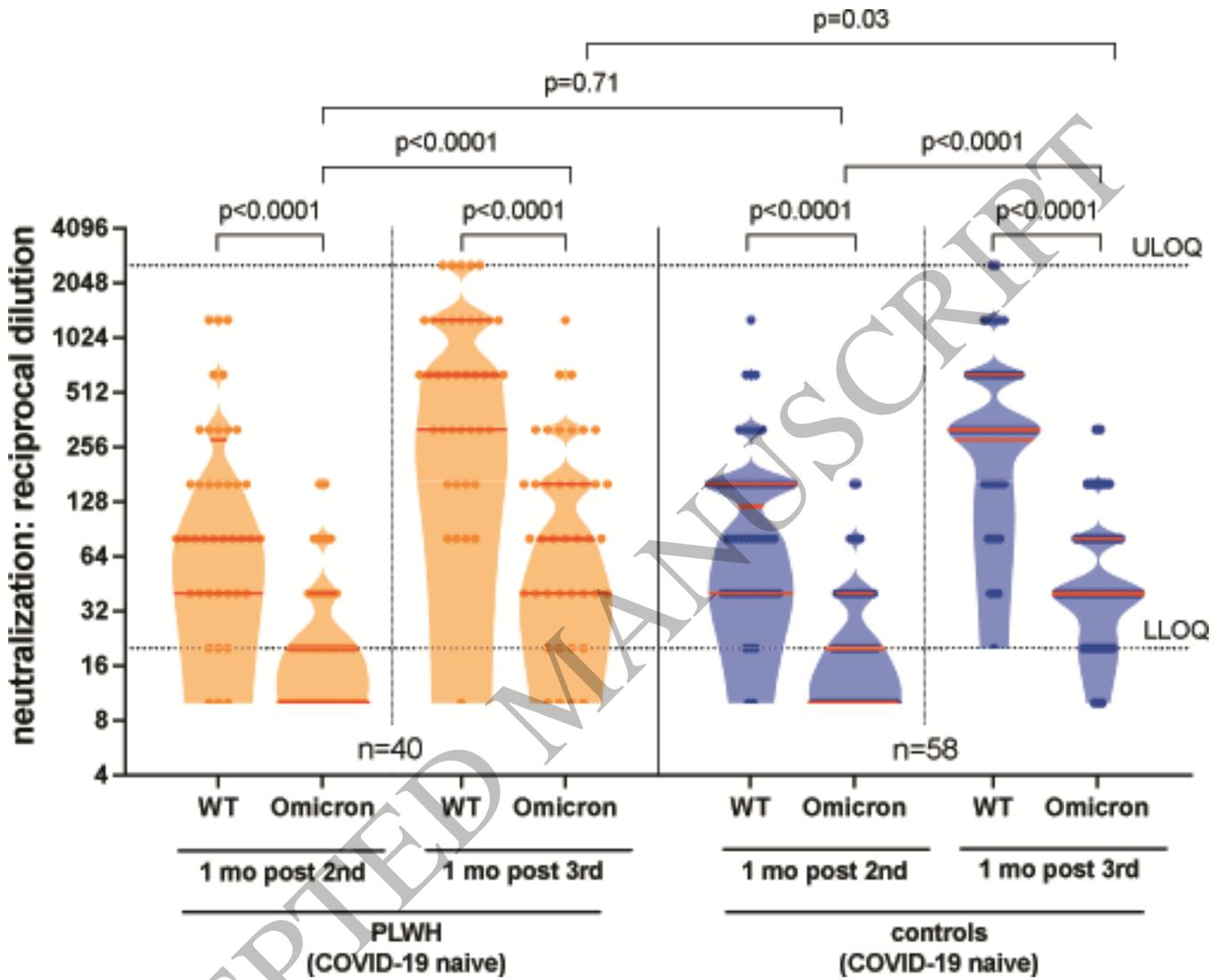


Figure 4  
159x113 mm (x DPI)

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