



RESEARCH ARTICLE

REVISED Mutation extraction tools can be combined for robust recognition of genetic variants in the literature [v2; ref status: indexed, <http://f1000r.es/3j5>]

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Abstract

As the cost of genomic sequencing continues to fall, the amount of data being collected and studied for the purpose of understanding the genetic basis of disease is increasing dramatically. Much of the source information relevant to such efforts is available only from unstructured sources such as the scientific literature, and significant resources are expended in manually curating and structuring the information in the literature. As such, there have been a number of systems developed to target automatic extraction of mutations and other genetic variation from the literature using text mining tools. We have performed a broad survey of the existing publicly available tools for extraction of genetic variants from the scientific literature. We consider not just one tool but a number of different tools, individually and in combination, and apply the tools in two scenarios. First, they are compared in an intrinsic evaluation context, where the tools are tested for their ability to identify specific mentions of genetic variants in a corpus of manually annotated papers, the Variome corpus. Second, they are compared in an extrinsic evaluation context based on our previous study of text mining support for curation of the COSMIC and InSiGHT databases. Our results demonstrate that no single tool covers the full range of genetic variants mentioned in the literature. Rather, several tools have complementary coverage and can be used together effectively. In the intrinsic evaluation on the Variome corpus, the combined performance is above 0.95 in F-measure, while in the extrinsic evaluation the combined recall performance is above 0.71 for COSMIC and above 0.62 for InSiGHT, a substantial improvement over the performance of any individual tool. Based on the analysis of these results, we suggest several directions for the improvement of text mining tools for genetic variant extraction from the literature.

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REVISED Amendments from Version 1

We have made changes based on the referees' suggestions. Some changes have been done to the tables to add more details to the results.

[See referee reports](#)

Introduction

As the cost of genomic sequencing continues to fall, the amount of data being collected and studied for the purpose of understanding the genetic basis of disease is increasing dramatically. There are large-scale efforts to catalog the results of this research in structured databases, including the Online Mendelian Inheritance in Man (OMIM) database¹ and the Human Gene Mutation Database (HGMD)². Much of the source information relevant to such efforts is available only from unstructured sources such as the scientific literature, and significant resources are expended in manually curating and structuring the information in the literature. As such, there have been a number of systems developed to target automatic extraction of mutations and other genetic variation from the literature using text mining tools³⁻⁹, *inter alia*. Such tools have been shown to perform well, benefiting from a well-defined target vocabulary (nucleic and amino acids), the availability of reference sequences for position validation, and increasing adoption of standard nomenclature such as the Human Genome Variation Society (HGVS) format¹⁰. The natural language descriptors of genetic variation are fairly consistent, and lend themselves well to automated processing.

In previous work^{11,12}, we assessed one of these tools, the Extractor of Mutations (EMU) tool⁶, for its ability to identify the genetic variant information that had been manually curated in the COSMIC¹³ and the International Society for Gastro-intestinal Hereditary Tumours (InSiGHT)¹⁴ databases from targeted literature sources. That work found very low recall for the text mining tool when considering the narrative content of publications alone, and identified processing of the supplementary material associated with publications as a critical component of an approach to automated genetic variant curation from the literature.

In this work, we perform a broad survey of the existing publicly available tools for extraction of genetic variants from the scientific literature. We consider not just one tool but a number of different tools, individually and in combination, and apply the tools in two scenarios. First, they are compared in an *intrinsic* evaluation context, where the tools are tested for their ability to identify specific mentions of genetic variants in a corpus of manually annotated papers, the Variome corpus¹⁵. Unlike previous test corpora, this corpus was not designed exclusively for the purpose of testing mutation extraction tools and hence is a better test of real-world applicability than prior corpora. Second, they are compared in an *extrinsic* evaluation context based on our previous study with COSMIC and InSiGHT. Our results demonstrate that several of the tools have complementary coverage and can be used together effectively. This study suggests several directions for the improvement of text mining tools for genetic variant extraction from the literature.

Background

Text mining of mutations in the scientific literature has been addressed by several tools, including MutationMiner³, MarkerInfoFinder¹⁶, EMU (Extractor of Mutations)⁶, MutationFinder⁴, tmVar⁹, and SETH¹⁷. A summary of previous work can be found in Naderi and Witte (2012)⁷. These tools have been shown to achieve a performance over 0.90 in F1 measure, and in some cases almost perfect Precision/Recall, on intrinsic evaluations. There are also several corpora that are publicly available to support intrinsic evaluation of mutation extraction tools^{4,6,16,18-20}. On the other hand, cross-comparison of these tools has been limited. The most commonly used is the corpus provided with the MutationFinder tool, which covers protein variants. Some of these tools have also been used to reproduce the information curated in existing databases about genetic variants, allowing for extrinsic evaluation of the mutation extraction tools.

Text mining tools for genetic variant extraction

In the following sections, we present the tools for genetic variant extraction that we have considered in our study. Each is a publicly available tool. The tools are introduced and their published results in intrinsic evaluation are presented. Results are presented in terms of precision (P), recall (R) and F1 measure (F).

MutationFinder

MutationFinder (MF) was one of the earliest tools developed for extraction of mutations. This tool performs point mutation extraction based on a set of regular expressions⁴. The coverage of this tool is thus limited compared to the other ones. A corpus, the MutationFinder corpus, was established to guide the construction of the patterns. The development data set is made up of 605 point mutation mentions in 305 abstracts selected randomly from primary citations in PDB. The evaluation data set is made up of 910 point mutation mentions in 508 abstracts annotated by two of the authors, not involved in the development of the system. Mean pairwise inter-annotator agreement, calculated on the fifty overlapping abstracts, was 94%⁴. Performance of MF in the extracted mutations is P: 0.984 R: 0.817 F: 0.893.

Technical Notes: MutationFinder is implemented in Java. It is available either stand-alone or integrated into UIMA. It delivers the protein mutation with information about its position in text. It was easy to integrate in a Java program.

Open Mutation Miner

Open Mutation Miner (OMM) is a tool⁷ that extracts protein mutation mentions and maps them to their properties in the OMM impact ontology, which covers protein mutation types (insertion, deletion, point mutation), protein types and the impact of the mutation. The extraction of mutations component couples grammar rules with a normalization step, e.g. transformation into single-letter format. The system is combined with MutationFinder to identify variants in natural language. OMM has been developed under the GATE platform and it is available as a JAPE-based mutation tagging component. Mutation extraction was evaluated on a set of 11 full text articles, with an average performance of P: 0.99, R: 0.96 and F: 0.97⁷.

Technical Notes: OMM required installing GATE (General Architecture for Text Engineering, <https://gate.ac.uk>), which needs additional components that are required for mutation annotation. It delivers the protein mutation annotations, their position in text and information about the mutated and wild types. In addition, using OMM requires learning to work with GATE, which has a non-trivial learning curve.

Extractor of Mutations

The Extractor of Mutations (EMU) tool⁶ was designed to capture a broader range of mutations than other tools available when it was developed and hence is a better fit for the variants we might expect to find. It identifies protein and DNA point mutations, Single Nucleotide Polymorphism Database (dbSNP) identifiers²¹ (RSIDs), and DNA insertions and deletions. In addition, it links the mutations to the proteins and genes that appear in text and performs sequence verifications using existing sequence databases to increase the precision of the annotations. EMU has been shown to have a performance of 0.92 F1 measure on an intrinsic evaluation⁶, i.e., it has high recall and high accuracy.

Technical Notes: EMU is implemented in Perl, and thus could not easily be called from Java either. We built a wrapper around it to annotate the Variome corpus. For the extrinsic analysis, we produced files compliant with EMU's file format and post-processed the output. Position of the mutation in text is not provided by the tool; it was done by matching the mapped string to produce the EMU annotations for Variome corpus.

tmVar

tmVar⁹ (<http://www.ncbi.nlm.nih.gov/CBBresearch/Lu/pub/tmVar>) is a recently released mutation extraction tool based on a conditional random field model used with a special set of features, which has shown to perform better than MutationFinder on the MutationFinder corpus. tmVar aims to cover a wide range of sequence variants in both protein and gene levels in HGVS format. tmVar has been trained on 334 newly annotated citations, different from the MutationFinder corpus, and evaluated using the MutationFinder test set (P: 0.9880, R: 0.8962, F: 0.9398) and using their own data set made up of 134 manually annotated test citations (P: 0.9138, R: 0.9140, F: 0.9139)⁹.

Technical Notes: tmVar is implemented in Perl. Thus we converted the documents to a text file format accepted by the tool and post-processed the output to identify the different components for our evaluation. A new version of tmVar allows using BioC format²² for input/output. There was a problem in the input format produced for tmVar that required repetition of the Variome corpus experiments. Post-processing of the files produced by tmVar is required before integrating it with other software components.

SNP Extraction Tool for Human Variations

SNP Extraction Tool for Human Variations (SETH) (<http://rocket.github.io/SETH>) implements an Extended Backus–Naur Form (EBNF) grammar proposed by Laros *et al.*²³ to identify mentions of mutation that obey the HGVS nomenclature. Since mentions in text might not follow the HGVS nomenclature, SETH integrates MutationFinder to extend its coverage. SETH returns whether a mutation

is a DNA or protein variant and the type of variant (e.g. *deletion*). Since we are already comparing the performance of MutationFinder, we have not run SETH with MutationFinder activated, so higher recall might be obtained when using the version that includes MutationFinder. This implies that SETH's performance will be different if MutationFinder is activated.

SETH has been evaluated on several corpora, as reported on the SETH web site. Among other corpora, SETH evaluation has been performed on the MutationFinder test set (Precision 0.97 Recall 0.83 F: 0.89), the tmVar corpus (P: 0.94, R: 0.81, F: 0.87), the Thomas *et al.* corpus²⁴ (P: 0.95, R: 0.58, F: 0.72) and the Osiris corpus²⁵ (P: 0.98, R: 0.85, F: 0.91).

Technical Notes: SETH provides detailed information about the mutation, including a classification into several mutation types. SETH has been implemented under SCALA (<http://www.scala-lang.org>), which we were unfamiliar with. We integrated it with Java building a jar file from the SETH distribution and called it from Java directly instead of using a SCALA program.

Evaluation contexts for automated genetic variant extraction

Intrinsic evaluation: annotated corpora. There are several text corpora that have been made available for the evaluation of mutation extraction tools. There are corpora that focus on protein mutations alone, on protein and DNA mutations or on normalizing the mentions to dbSNP identifiers.

Several corpora are available for the evaluation of protein mutation extraction tools. As presented above, the developers of MutationFinder⁴ made available a data set (<http://mutationfinder.sourceforge.net>) of 305 abstracts annotated with point mutations that was used for system development and 508 abstracts available for evaluation. The developers of OMM⁷ (<http://www.semanticssoftware.info/open-mutation-miner>) performed experiments on 11 full text articles annotated manually with protein mutations, although these documents are not publicly available for distribution, the manual annotations are available with the tool download. Other corpora exist annotated with protein residue information either manually annotated^{18,19} or prepared using automatic methods²⁰.

There are corpora available that contain both protein and DNA mutations. The EMU corpus⁶ (<http://bioinf.umbc.edu/EMU/ftp>) was developed for annotation of mutations related to prostate cancer. This data set was developed by querying MEDLINE for the medical subject heading (MeSH) *Mutation* and selecting citations relevant for prostate cancer based on MetaMap annotation. It contains 500 manually annotated abstracts with 95 mutations in 55 abstracts. The tmVar⁹ (<http://www.ncbi.nlm.nih.gov/CBBresearch/Lu/pub/tmVar>) system also comes with its own annotated set. The set comprises 500 abstracts manually annotated from which 334 were used for training tmVar while the remaining 166 were used for testing it. These citations were recovered from PubMed selecting English abstracts containing novel human mutations, targeting formulaic mentions. The Variome corpus¹⁵ (<http://www.openncta.com/home/health/variome>) is an annotated biomedical textual resource pertaining to human genetic variation and its relation to diseases and other entity types.

At present, the corpus comprises ten double annotated full text journal publications on inherited colorectal cancer, which were selected on the basis of their relevance to the genetics of the Lynch Syndrome to support the curation of the InSiGHT database.

There are two corpora to evaluate the normalization of extracted mutations to dbSNP identifiers. OSIRIS²⁵ (<https://sites.google.com/site/laurafurlongweb/databases-and-tools/corpora>) was collected from MEDLINE covering English abstracts for human mutation, limited to 2004 and 2005 and focused on specific project criteria. The annotation is performed focused on evaluation of entity recognition and of disambiguation of variation entities to dbSNP identifiers. The final version of the corpus contains 105 abstracts available with 109 normalized variants and 155 unnormalized ones. The second corpus, developed by Thomas *et al.*²⁴ (<http://www.scai.fraunhofer.de/snp-normalization-corpus.html>), consists of 296 MEDLINE abstracts annotated with 527 mutationdbSNP id pairs. The corpus was annotated initially with MutationFinder and then manually annotated for completion and normalized to dbSNP. Mutations without a valid dbSNP identifier were removed. Only the annotations are available, while the abstracts can be downloaded from PubMed using NCBI's E-utilities (<http://www.ncbi.nlm.nih.gov/books/NBK25500>).

Table 1 summarizes the results of the tools presented in the previous section as reported on available corpora. We can see that not many tools have been evaluated with the same corpus, other than the MutationFinder test set. The different tools evaluated on the MutationFinder corpus show that the performance in precision is generally very high across the tools with some differences in recall. However, the MutationFinder corpus covers only a limited set of protein mutations. In this work, we perform an intrinsic evaluation of the tools

using the Variome corpus as the common reference set, producing a broader comparison of the different mutation extraction tools. We will introduce this corpus in detail in the Methods section.

Extrinsic evaluation: curated databases. In addition to intrinsic evaluation, there have been several efforts in trying to reproduce the information curated in mutation databases through information extraction from the literature. A broader summary of extrinsic evaluation is available in Jimeno and Verspoor (2014)¹¹.

There have been several efforts with varying success in recovering the curated information from variants databases. Krallinger *et al.*⁵ extracted mutations from literature for the kinase domain from abstracts and full text showing different levels of coverage of KinMutBase²⁶, the Swissprot Variant database²⁷, SAAPdb²⁸ and the COSMIC database²⁹, for which only 6% of the mutations were recovered. Schenck *et al.*³⁰ worked on a small set of articles curated in COSMIC that they annotated, recovering up to 30% of their annotated mutations. Caporaso *et al.*³¹, Nagel *et al.*¹⁸ and Verspoor *et al.*³² tried to recover information about protein mutations and residues annotated in PDB protein records with limited coverage when using abstracts and with larger, but still very limited, coverage when using full text. On the pharmacogenomics side, Rance *et al.*³³ and Hakenberg *et al.*⁸ tried recovering variants and related drugs to reproduce the data in PharmGKB with different coverage depending on the target genes.

In a previous study¹¹, we evaluated the ability of a mutation extraction tool to recover the curated mutations in the COSMIC and InSiGHT databases using the articles that were curated in these databases. We found, as in previous studies, that the recall considering the mutations extracted from the abstracts was very low. When

Table 1. Mutation extraction systems evaluation on existing corpora. The results in precision, recall and F1 measure are obtained from already published studies or the tool website like SETH. A dash(-) is used to indicate that no results are available. The tools are MutationFinder (MF), OpenMutationMiner (OMM), Extractor of Mutations (EMU), tmVar and SNP Extraction Tool for Human Variations (SETH). The corpora used for evaluation are MutationFinder corpora (MF), OpenMutationMiner corpora (OMM), EMU Prostate Cancer set (PCa), the tmVar corpora, the OSIRIS corpora and the corpora made available by Thomas *et al.*²⁴ (Thomas).

Tool	Performance measure	Corpus					
		MF	OMM	PCa	tmVar	Osiris	Thomas
MF	P	0.98	-	-	-	-	-
	R	0.82	-	-	-	-	-
	F	0.89	-	-	-	-	-
OMM	P	-	0.99	-	-	-	-
	R	-	0.96	-	-	-	-
	F	-	0.97	-	-	-	-
EMU	P	0.99	-	0.92	-	-	-
	R	0.81	-	0.92	-	-	-
	F	0.89	-	0.92	-	-	-
tmVar	P	0.99	-	-	0.91	-	-
	R	0.90	-	-	0.91	-	-
	F	0.94	-	-	0.91	-	-
SETH	P	0.97	-	-	0.94	0.98	0.95
	R	0.83	-	-	0.81	0.85	0.58
	F	0.89	-	-	0.87	0.91	0.72

considering the full text of these articles the recall increased but was still low. We found that many of the missing mutations were extracted by EMU from tables and supplementary material. In our current work, we have expanded this study by performing an intrinsic evaluation of several mutation extraction tools using the Variome corpus and performing a coverage evaluation of the tools when recovering the curated mutations from COSMIC and InSiGHT. Sample code and the files used for evaluation have been made available from a bitbucket repository: <https://bitbucket.org/readbiomed/mutationtoolcomparison/>.

Methods

We have performed the evaluation and comparison of mutation extraction tools intrinsically, using the Variome corpus¹⁵, developed in collaboration with the Human Variome Project (<http://www.humanvariomeproject.org>), as a reference set. For the extrinsic study, we required a curated database that includes mutations and specific links to the literature (with PubMed identifiers [PMIDs] included for each mutation). We selected the COSMIC and InSiGHT databases for our investigation. These databases are used as reference sets; the information extracted from the corresponding scientific literature is compared directly to the information curated from those articles in the databases. We normalize extracted mutation mentions to Human Genome Variation Society (HGVS) format¹⁰.

The Variome corpus

The Variome corpus¹⁵ (<http://www.opennicta.com/home/health/variome>) is an annotated resource of biomedical texts pertaining to human genetic variation and its relation to diseases and other related entity types. At present, the corpus comprises ten double annotated full text journal publications on inherited colorectal cancer, which were selected on the basis of their relevance to the genetics of the Lynch Syndrome to support the curation of the InSiGHT database. The annotation schema covers thirteen relations, such as *gene-has-mutation*, *mutation-has-size* and *disease-related-to-bodypart*; and eleven entity types, such as genomic categories (e.g., *gene*, *mutation*), phenotypic categories (e.g., *disease*, *body-part*), categories related to the occurrence of mutations in a disease (e.g., *age*, *ethnicity*), and a *characteristic* category for the eventual addition of relevant information. Compared to other variation corpora, the Variome corpus not only annotates mutation mentions but also other entity types, providing a larger set of relevant entities. In addition, it contains annotations for relations between the entities, which provide a more exhaustive context for the training and evaluation of text mining tools supporting the curation of genetic variant databases.

The mutation entity type captures mentions of mutations which specify changes in the protein or DNA sequence as well as mutation terms which refer to general properties of a mutation (e.g. *somatic mutation*) or terms specifying a mutated gene (e.g. *APC+*). Current mutation extraction tools are only concerned with the first type, thus extracting mentions of protein or DNA changes. We have manually catalogued the annotated mutations and identified 118 mutation instances that are annotated in the corpus. From this set, 52 are DNA mutations and 66 are protein mutations.

The mutation databases

In this work, we expand our previous study¹¹ and retain the original data sets for ease of comparison.

COSMIC¹³ (<http://www.sanger.ac.uk/cosmic>) contains comprehensive, curated, information on somatic mutations in human cancer. We used version v62 (from 29th of November 2012) available from COSMIC's FTP site (<ftp://ftp.sanger.ac.uk/pub/CGP/cosmic/>), including mutation information curated from 9,950 unique PubMed articles, as well as Cancer Genome Project (CGP) (<http://www.sanger.ac.uk/genetics/CGP>) studies and international system screens (e.g. International Cancer Genome Consortium (ICGC) (<http://dcc.icgc.org/web>)). We identified 7,898 publications associated to mutation information in this resource. cDNA and protein mutation information is already available in HGVS format. Genes are referenced by name and by HGNC (HUGO Gene Nomenclature Committee) identifier.

InSiGHT¹⁴ maintains a database of genetic variants for both Lynch Syndrome and Familial Adenomatous Polyposis. The current database only has curated mutations for four genes: *MLH1*, *MSH2*, *MSH6* and *PMS2*. The original database was established in the 1990s with mutations reported by individual laboratories. Reports manually extracted from published literature currently comprise the majority of entries in the InSiGHT database (approximately 75%, according to the database curator), with the balance direct submissions from clinics.

We accessed the InSiGHT database on 02 January 2013 to establish our data set. The data includes variants with curated associations linked to 809 PubMed citations. The database contains information about the variants in the fields *Variant/DNA* and *Variant/Protein*. The amino acids in protein variants have been normalized to single letter amino acid abbreviation form.

There are 41 articles that have been curated both in COSMIC and InSiGHT databases. Unfortunately, none of the mutations in the overlapping articles has been curated by both databases because COSMIC is focused on somatic mutations, while InSiGHT is focused on germline mutations in only four genes.

Corpus collection

An abstract for each PMID was retrieved from MEDLINE using NCBI's E-utilities. Abstracts were downloaded in XML format and XML escaped characters were converted to their text characters (e.g., A->T becomes A->T). In the case of the COSMIC database, 17 articles did not seem to be available when querying PubMed.

A small portion of PubMed is available as full text articles through the Open Access collection in PubMed Central (PMC-OA). From the 9,950 PMIDs available from the COSMIC set only 563 were available from PMC-OA. From the 809 citations for InSiGHT only 12 were available through the full text PMC-OA. This represents less than 10% of the overall set referenced by both databases.

Collection of articles' additional material

In addition to narrative text, we have used the mutation extraction tools with further content linked to the papers, which includes the tables and supplementary material and is representative of the broader full text literature³⁴. We collected articles from COSMIC and InSiGHT that are available in the open access part of PubMed Central (PMC), since it already contains the tables in the XML of

the article and there are pointers to the supplementary material. For the set of 13 articles in the InSiGHT database that could be found in PMC, InSiGHT contains 252 mutation triples. COSMIC associates 33,814 mutation triples to the 563 articles in PMC.

We extracted the tables and table captions from the full text PMC articles. The COSMIC database references 394 PMC articles with tables; 197 of these were identified as having mutations in the tables. From the InSiGHT database there are only 8 articles with tables, of which 4 contain mutations. In these articles, no mutations were found in the abstract or full text.

Supplementary material was also identified from links within the PMC articles and downloaded. The InSiGHT set contains a limited number of supplementary material files (in 1/12 articles), while COSMIC has a larger number linked to the papers (in 138/563 articles). In contrast to PMC articles, available in XML following a consistent DTD (Document Type Definition), supplementary material appears in a variety of file formats. The most frequent types of supplementary material in this corpus, shown in Table 2, are, in order of frequency: MS Word documents, MS Excel spreadsheet, PDF documents, TIFF images and MS Powerpoint documents. Text from the supplementary material was extracted with Apache Tika 1.3 (<http://tika.apache.org/1.3>). No image processing was performed.

During the extraction of tables and supplementary material, we realized that some PMC articles do not contain the full text in XML format but a link to a PDF version of it. From the InSiGHT collection, 4 papers out of the 13 contained only the abstract with a link to the full text in PDF format. In the COSMIC collection, the proportion was 76 papers out of 563. The PDF version for these papers has been downloaded from the European PMC mirror (<http://europepmc.org>), which offers a straightforward link to download the PDF files.

Mutation identification in text

We have considered several state of the art mutation extraction tools in this study, as introduced in the *Text mining tools for genetic*

variant extraction section above. We normalized the mutation mentions identified by these tools to follow the HGVS nomenclature, to be comparable to the information in the COSMIC and InSiGHT databases. This normalization required considering the specificities of each tool, thus a normalization program was prepared for each tool. Missense mutations, mutations in the DNA that result in a protein change, are normalized to amino acid (wild type), position, amino acid (mutated), using single letter amino acid abbreviations. Thus, a mutation identified with wild type amino acid *Ala*, position *140* and mutated amino acid *Thr* is converted to *A140T*. DNA mutations identified by any tool are normalized to the format “c.[position][wild type nucleotide]>[mutated nucleotide]”. In the case of insertion and deletions, given position ranges, hyphens are replaced by the underscore character (e.g. *c.597-598delGA* to *c.597_598delGA*).

We ignored EMU’s *Genome* category since genome variants do not appear in COSMIC or InSiGHT. We did not filter out mutation mentions based on sequence validation, so we consider all the extracted mutations from EMU. When EMU identifies a dbSNP identifier, the dbSNP API is queried to obtain further details about the mutations, identifying all available candidates for DNA and protein mutations associated with each ID. There were some mentions in which the position of the DNA or protein substitution mutation was provided as exon/intron number or a codon position. The codon positions were converted to the three candidate nucleotide positions. Exon and intron mentions were removed since no precise position could be derived.

Gene normalization and linkage to the mutations

In the curated databases, the mutations are linked to the genes or proteins where they happen. In addition to the extraction of mutations, we have annotated and normalized the genes in the documents based on a dictionary developed from the NCBI Gene database, using only the human genes. We followed the procedure in Jimeno Yepes *et al.* (2013)³⁵ and removed duplicates and filtered out certain misleading or ambiguous gene names, such as those ending with disease, syndrome, or susceptibility, and removed terms from a standard stopword list. Based on observations from previous work¹², we have added the following variations to the genes related to the InSiGHT database. These terms are variations of the original gene term but prefixing the letter h to indicate that it is a human gene. Thus we have added *hMSH2* for *MSH2*, *hMSH6* for *MSH6* and *hPMS2* for *PMS2*.

We used our own dictionary because this has shown to be effective^{35,36} and human genes are not as ambiguous compared to other species. Since our objective is to investigate the coverage of current approaches when dealing with the curation of existing databases, we can have more control on the false positives and false negatives. In addition, we are considering resources in addition to MEDLINE citations and full text documents, for which current methods based on machine learning approaches do not need to perform as expected. We have used ConceptMapper³⁷ (<http://uima.apache.org/d/uima-addons-current/ConceptMapper/ConceptMapperAnnotatorUserGuide.html>) as the dictionary tagger tool reusing the configuration prepared for the BioCreative 2013 CTD track³⁶, which does not make case distinction, tokens have to be matched in the same order

Table 2. Count of supplementary file types. Each row denotes one of file type. For each type, for COSMIC the number of files and the number of articles, denoted by PMIDs, is shown. In the case of the InSiGHT database, there is only one supplementary file in MS Word format linked to one article.

Set	COSMIC		InSiGHT
	Files	PMIDs	
MS Word	176	87	1
MS Excel	111	57	0
PDF documents	82	70	0
MS Powerpoint	34	17	0
CSV files	1	1	0
Images	101	36	0
Total	505	138	1

and only the longest match is considered and tokens must be adjacent to each other. The identified genes are related to the mutations based on document co-occurrences.

Results

We have performed two types of evaluation. An intrinsic evaluation of the variant extraction methods on an annotated corpus developed for the purpose of variant curation and an extrinsic evaluation based on the ability of the methods to recover the mutations from the articles.

Mutation extraction on the Variome corpus

Intrinsic evaluation of the mutation extraction tools is shown in [Table 3](#) in terms of precision, recall and F1 score. The results are estimated based on two matching schemas: exact matching, in which the annotated entities must match exactly the span of the entities in the reference set, and partial matching, in which the annotated entities may have any overlap with the entities in the reference set. The partial matching relaxes annotation boundaries, so entities with differences like DNA or protein variant prefixes *c.* and *p.* respectively are not considered as errors.

Considering the partial matching, the precision of each tool is over 90%, which is in agreement with previously reported work on different corpora. On the other hand, the tools show different recall values. EMU, SETH and tmVar show the best performance, with SETH showing better results than EMU and tmVar in exact matching and tmVar showing better results in partial matching. MutationFinder has a significantly lower coverage due to its focus

on point mutations. OMM displays better partial matching performance compared to MutationFinder, even though both systems only extract protein mutations. tmVar has lower exact matching performance than expected based on its previously reported performance. This might be due to the fact that tmVar was trained on abstracts, while the Variome corpus consists of full text articles. If we combine the annotations of the tools by simple merge, either selecting the largest or shortest annotation in the same span of text, when evaluating the results based on partial matching, the performance is higher than any single system (precision = 0.9735, recall = 0.9322 and F1 = 0.9524), in particular due to an increase in recall. When using exact matching, we find that selecting the longest match is better compared to the shortest one. This is because some systems annotated mutations without the prefix “p.”, which was annotated in the Variome corpus, e.g. *p.Lys618Ala*. This difference can have a substantial impact on recall in the partial matching scenario.

The Variome corpus contains not only abstracts but also full text. These results show that mutation extraction tools that were developed based on MEDLINE abstracts, once applied to narrative literature still have high precision, and that their combination provides a high precision and recall solution.

Since Open Mutation Miner and MutationFinder explicitly only deal with protein mutations, we have divided the results into DNA and protein mutation subsets and estimated recall for each subset, shown in [Table 4](#) and [Table 5](#) respectively. Unsurprisingly, OMM and MutationFinder did not recover any DNA mutation. The result on protein mutations show that MutationFinder has a very

Table 3. Variant extraction results on the Variome corpus. Results for exact and partial matching are present. Each row shows the performance of each method in terms of true positives (TP), false negatives (FN), false positives (FP), Precision, Recall and F1 measure (F1). The tools are Extractor of Mutations (EMU), OpenMutationMiner (OMM), MutationFinder (MF), tmVar and SNP Extraction Tool for Human Variations (SETH) and their combination (either selecting the longest span Combined_longest or the shortest span Combined_shortest).

Exact	TP	FN	FP	Precision	Recall	F1	Char Overlap (%)
EMU	66	52	25	0.7253	0.5593	0.6316	100.00
OMM	7	111	56	0.1111	0.0593	0.0773	100.00
MF	7	111	10	0.4118	0.0593	0.1037	100.00
tmVar	81	37	26	0.7570	0.6864	0.7200	100.00
SETH	81	37	10	0.8901	0.6864	0.7751	100.00
Combined_shortest	34	84	76	0.3091	0.2881	0.2982	100.00
Combined_longest	78	40	32	0.7091	0.661	0.6842	100.00
Partial	TP	FN	FP	Precision	Recall	F1	Char Overlap (%)
EMU	90	28	3	0.9677	0.7627	0.8531	82.08
OMM	64	54	1	0.9846	0.5424	0.6995	70.01
MF	16	102	1	0.9412	0.1356	0.2370	52.91
tmVar	107	11	3	0.9727	0.9068	0.9386	81.11
SETH	90	28	1	0.9890	0.7627	0.8612	80.99
Combined_shortest	110	8	3	0.9735	0.9322	0.9524	82.03
Combined_longest	110	8	3	0.9735	0.9322	0.9524	83.03

Table 4. DNA variant extraction recall result on the Variome corpus. Results for exact and partial matching are present. Each row shows the performance of each method in terms of true positives (TP), false negatives (FN) and Recall. The tools are Extractor of Mutations (EMU), OpenMutationMiner (OMM), MutationFinder (MF), tmVar and SNP Extraction Tool for Human Variations (SETH).

Exact	TP	FN	Recall	Char Overlap (%)
EMU	20	32	0.3846	100.00
OMM	0	52	0.0000	100.00
MF	0	52	0.0000	100.00
tmVar	28	24	0.5385	100.00
SETH	33	19	0.6346	100.00
Partial	TP	FN	Recall	Char Overlap (%)
EMU	33	17	0.6600	68.60
OMM	0	52	0.0000	0.00
MF	0	52	0.0000	0.00
tmVar	43	9	0.8269	77.83
SETH	40	12	0.7692	78.17

Table 5. Protein extraction recall result on the Variome corpus. Results for exact and partial matching are present. Each row shows the performance of each method in terms of true positives (TP), false negatives (FN) and Recall. The tools are Extractor of Mutations (EMU), OpenMutationMiner (OMM), MutationFinder (MF), tmVar and SNP Extraction Tool for Human Variations (SETH).

Exact	TP	FN	Recall	Char Overlap (%)
EMU	46	20	0.697	100.00
OMM	7	59	0.1061	100.00
MF	7	59	0.1061	100.00
tmVar	53	13	0.8030	100.00
SETH	48	18	0.7273	100.00
Partial	TP	FN	Recall	Char Overlap (%)
EMU	55	11	0.8333	95.12
OMM	64	2	0.9697	70.01
MF	16	50	0.2424	52.91
tmVar	64	2	0.9697	84.18
SETH	50	16	0.7576	83.72

low performance, due to its coverage of only point mutations. Open Mutation Miner has a high recall, over 96%, as well as high precision as previously reported. tmVar has quite a high recall in the protein mutation set compared to the DNA mutation set. SETH has an overall higher performance but in this case, its recall is below EMU for protein mutation. This is because many mutations in text do not exactly follow the HGVS nomenclature. Considering DNA mutations, we find that except for SETH and tmVar, the performance

of the other tools is lower. This is explained because there are specific types of DNA variants, e.g. deletions, that are not as well covered by the other tools as by SETH or tmVar. tmVar performance for DNA mutations is higher than any other system when partial matching is used and below SETH when exact matching is considered. As can be seen in Table 5, tmVar has much stronger coverage of protein mutations as compared to DNA mutations.

Table 6 and Table 7 contain the frequency of false positives and false negatives made by each tool, grouped by type of genetic variation. Types of genetic variation were manually annotated. There are 8 DNA deletions, 2 DNA insertions/deletions, 42 DNA substitutions and 66 protein substitutions. In addition to a substitution that EMU identified incorrectly, all two other false positives are annotations

Table 6. DNA variant extraction error types and their frequency are presented for each system. Only the tools that perform DNA variant extraction are considered. The tools are Extractor of Mutations (EMU), tmVar and SNP Extraction Tool for Human Variations (SETH).

FP	Variant type	Count
EMU	DELETION	1
	SUBSTITUTION	1
tmVar	DELETION	1
	SUBSTITUTION	1
SETH	DELETION	1
FN	Variant type	Count
EMU	SUBSTITUTION	12
	DELETION	5
tmVar	SUBSTITUTION	9
SETH	SUBSTITUTION	10
	DELETION	2

Table 7. Protein variant extraction error types and their frequency are presented for each system. The tools are Extractor of Mutations (EMU), OpenMutationMiner (OMM), MutationFinder (MF), tmVar and SNP Extraction Tool for Human Variations (SETH).

FP	Variant type	Count
EMU	SUBSTITUTION	1
OMM	SUBSTITUTION	1
MF	SUBSTITUTION	1
tmVar	SUBSTITUTION	1
SETH	-	0
FN	Variant type	Count
EMU	SUBSTITUTION	11
OMM	SUBSTITUTION	2
MF	SUBSTITUTION	50
tmVar	SUBSTITUTION	2
SETH	SUBSTITUTION	16

that should be corrected in the Variome corpus. Specifically a protein substitution (*V600E*) and a DNA deletion (*c.2700_2701delTC*). EMU false negatives include deletions *c.3927_3931del AAAGA*, substitutions such as *c.1852_1853AA>GC* and mentions surrounded by parentheses. Considering the false negatives, MutationFinder failed at extracting mutations with three-letter amino acids (e.g., *p.Pro622Thr*) or single-letter amino acids without the *p.* prefix as *M23A*. OMM has only two false negatives that are protein mutations that appear within parentheses in text. SETH fails with expressions such as *C1668 C > T*, that should be *c.1668C > T*. All DNA mutation extraction tools fail with some expressions like *codon 41: A→G*, which might require additional regular expressions.

Mutation extraction for genetic variation curation

Results on the mutation extraction are available in [Table 8](#) for the COSMIC database and in [Table 9](#) for the InSiGHT database. The tables show results for different representations of the articles and different mutation extraction tools used. For each representation group, there is an additional row showing the result of combining the mutations extracted by each method. Each row shows the total number of mutations in the reference set, the number of mutations matched and the recall, which is just the proportion of the matched

mutations with respect to the mutations in the reference set. Matching requires matching the complete triple {PMID, gene, mutation}.

For the COSMIC database, most of the mutations are found in the supplementary material, while for InSiGHT we find that most of the mutations are spread between tables and supplementary material. Low recall is obtained from the mutations extracted from the articles' abstracts and full text representations. This is in accordance with previously published work^{11,12}, in which a similar effect is observed.

We explored the effect of combining the tested tools together, since it is apparent several have complementary scope. The combination was implemented simply by merging the results of the systems. The combination of all systems improves the previously published text mining coverage. Coverage increases from 45.63% recall to 62.30% in the case of the InSiGHT database and from 62.30% recall to 70.56% in the case of the COSMIC database.

The coverage of the COSMIC database is larger than the InSiGHT database. In InSiGHT, a large proportion of the information is found in tables in expressions that involve an intron position, i.e. *IVS17(+5)*

Table 8. COSMIC variant extraction coverage result. The table shows the number of variants in the reference set (Total), the number of matched variants by the mutation extraction tool (Matched), the proportion of matched variants (Recall), the number of variants matched when the gene is not considered (M NG) and the proportion of matched variants when the gene is not considered (Rec NG). The data sets considered are MEDLINE abstracts (medline), Open Access PMC articles (pmc.ft), PDF articles when no Open Access PMC articles are available (pdf), PDF representation for all the articles (pdf.all), tables available from the Open Access PMC Articles' XML (table), supplementary material (sup) and the combination from all the sources (all). The tools are Extractor of Mutations (EMU), OpenMutationMiner (OMM), MutationFinder (MF), tmVar and SNP Extraction Tool for Human Variations (SETH). The row with tool value as All indicates the result when the variants extracted by all the tools are merged.

Data set	Tool	Total	Matched	Recall	M NG	Rec NG
medline	EMU	33814	146	0.0043	157	0.0046
medline	OMM	33814	140	0.0041	147	0.0043
medline	MF	33814	126	0.0037	137	0.0041
medline	SETH	33814	25	0.0007	26	0.0008
medline	tmVar	33814	139	0.0041	145	0.0043
medline	All	33814	156	0.0046	169	0.0050
pmc.ft	EMU	33814	726	0.0215	758	0.0224
pmc.ft	OMM	33814	697	0.0206	726	0.0215
pmc.ft	MF	33814	632	0.0187	658	0.0195
pmc.ft	SETH	33814	141	0.0042	148	0.0044
pmc.ft	tmVar	33814	655	0.0194	682	0.0202
pmc.ft	All	33814	814	0.0241	853	0.0252
pdf	EMU	33814	34	0.0010	47	0.0014
pdf	OMM	33814	1	0.0000	1	0.0000
pdf	MF	33814	5	0.0001	6	0.0002
pdf	SETH	33814	6	0.0002	6	0.0002
pdf	tmVar	33814	4	0.0001	5	0.0001
pdf	All	33814	34	0.0010	47	0.0014

Data set	Tool	Total	Matched	Recall	M NG	Rec NG
pdf.all	EMU	33814	1094	0.0324	1114	0.0329
pdf.all	OMM	33814	1132	0.0335	1137	0.0336
pdf.all	MF	33814	989	0.0292	996	0.0295
pdf.all	SETH	33814	246	0.0073	247	0.0073
pdf.all	tmVar	33814	1049	0.0310	1060	0.0313
pdf.all	All	33814	1304	0.0386	1327	0.0392
table	EMU	33814	580	0.0172	681	0.0201
table	OMM	33814	597	0.0177	699	0.0207
table	MF	33814	462	0.0137	564	0.0167
table	SETH	33814	179	0.0053	207	0.0061
table	tmVar	33814	176	0.0052	233	0.0069
table	All	33814	694	0.0205	831	0.0246
sup	EMU	33814	19177	0.5671	19217	0.5683
sup	OMM	33814	20054	0.5931	20116	0.5949
sup	MF	33814	1286	0.0380	1308	0.0387
sup	SETH	33814	21052	0.6226	21089	0.6237
sup	tmVar	33814	7763	0.2296	7782	0.2301
sup	All	33814	22756	0.6730	22829	0.6751
all	EMU	33814	20203	0.5975	20284	0.5999
all	OMM	33814	20960	0.6199	21040	0.6222
all	MF	33814	2087	0.0617	2133	0.0631
all	SETH	33814	21335	0.6310	21379	0.6323
all	tmVar	33814	8724	0.2580	8762	0.2591
all	All	33814	23859	0.7056	23969	0.7088

Table 9. InSiGHT variant extraction coverage

result. The table shows the number of variants in the reference set (Total), the number of matched variants by the mutation extraction tool (Matched) and the proportion of matched variants (Recall). When relaxing the gene matching (M NG), the results do not change, thus this data is not shown. The data sets considered are MEDLINE abstracts (medline), Open Access PMC articles (pmc.ft), PDF articles when no Open Access PMC articles are available (pdf), PDF representation for all the articles (pdf.all), tables available from the Open Access PMC Articles' XML (table), supplementary material (sup) and the combination from all the sources (all). The tools are Extractor of Mutations (EMU), OpenMutationMiner (OMM), MutationFinder (MF), tmVar and SNP Extraction Tool for Human Variations (SETH). The row with tool value as All indicates the result when the variants extracted by all the tools are merged.

Data set	Tool	Total	Matched	Recall
medline	EMU	252	1	0.0040
medline	OMM	252	4	0.0159
medline	MF	252	0	0.0000
medline	SETH	252	1	0.0040
medline	tmVar	252	4	0.0159
medline	All	252	5	0.0198
pmc.ft	EMU	252	23	0.0913
pmc.ft	OMM	252	5	0.0198
pmc.ft	MF	252	1	0.0040
pmc.ft	SETH	252	3	0.0119
pmc.ft	tmVar	252	22	0.0873
pmc.ft	All	252	26	0.1032

Data set	Tool	Total	Matched	Recall
pdf	EMU	252	7	0.0278
pdf	OMM	252	7	0.0278
pdf	MF	252	7	0.0278
pdf	SETH	252	0	0.0000
pdf	tmVar	252	7	0.0278
pdf	All	252	8	0.0317
pdf.all	EMU	252	41	0.1627
pdf.all	OMM	252	43	0.1706
pdf.all	MF	252	13	0.0516
pdf.all	SETH	252	33	0.1310
pdf.all	tmVar	252	64	0.2540
pdf.all	All	252	85	0.3373
table	EMU	252	39	0.1548
table	OMM	252	31	0.1230
table	MF	252	1	0.0040
table	SETH	252	36	0.1429
table	tmVar	252	30	0.1190
table	All	252	74	0.2937
sup	EMU	252	88	0.3492
sup	OMM	252	0	0.0000
sup	MF	252	0	0.0000
sup	SETH	252	0	0.0000
sup	tmVar	252	92	0.3651
sup	All	252	92	0.3651
all	EMU	252	127	0.5040
all	OMM	252	43	0.1706
all	MF	252	13	0.0516
all	SETH	252	37	0.1468
all	tmVar	252	131	0.5198
all	All	252	157	0.6230

G>C, which are not properly identified by the mutation extraction systems.

In addition to these results, we have relaxed the gene matching requirements, so only the PMID and mutation are required to match. In the result tables, these results are shown in the fields “*M NG*” (NG=No Gene) for the number of matched mutations and the “*Rec NG*” for the proportion of mutations covered from the reference set. We find that there is just a small increase in the case of the COSMIC database. There is no difference for the InSiGHT database and hence this additional data is not shown for the InSiGHT database. The InSiGHT database focuses on only four genes and the dictionary seems to cover all their possible gene name variations.

Considering the tools individually, MutationFinder has low coverage of the curated mutations. This result follows the observations from the intrinsic evaluation performed on the Variome corpus. OMM is focused on protein mutations, thus it also suffers from lower recall. Given its excellent performance in intrinsic protein variant extraction, this suggests that when its performance is low compared to other methods it means that DNA variants are more common, for instance in the supplementary material in the InSiGHT database. The recall of SETH in some cases is lower as compared to other methods. EMU provides a more robust coverage of mutations

overall. However, the combination of different methods shows an increase compared to previous published work based only on this tool. This is partially explained by the coverage provided by OMM of protein mutations but also by the performance of SETH in the extraction of more complex deletions from the InSiGHT database.

During the analysis of the results, we realized that in a small number of cases PMC makes reference to the tables of the article without including their content. In the InSiGHT database this happens only with the PMID:12373605. To mitigate this problem we downloaded all the PDF files for all the PMC documents and converted them to plain text. This is given as *pdf.all* in the result tables. We find that this set contains more mutations than the full text or the table sets, because full text and tables are contained in the PDF of the articles.

There are articles for which no mutation extraction tool could recover any mutation. We have performed an analysis of the coverage of the mutation extraction tools for the articles in which at least one mutation can be identified by any mutation extraction tool and at least one mutation is in the reference set, referred to as the common set. The results on the common set are available from [Table 10](#) and [Table 11](#). Generally the coverage of the common set is higher. This difference is most dramatic when considering the citations for

Table 10. COSMIC variant extraction coverage result when only articles with variants in the reference set as well as at least one result identified by the information extraction tool are considered. The table shows the number of common articles (PMIDs), the number of variants in the reference set (Total), the number of matched variants by the mutation extraction tool (Matched), the proportion of matched variants (Recall), the number of variants matched when the gene is not considered (M NG) and the proportion of matched variants when the gene is not considered (Rec NG). The data sets considered are MEDLINE abstracts (medline), Open Access PMC articles (pmc.ft), PDF articles when no Open Access PMC articles are available (pdf), PDF representation for all the articles (pdf.all), tables available from the Open Access PMC Articles' XML (table), supplementary material (sup) and the combination from all the sources (all). The tools are Extractor of Mutations (EMU), OpenMutationMiner (OMM), MutationFinder (MF), tmVar and SNP Extraction Tool for Human Variations (SETH). The row with tool value as All indicates the result when the variants extracted by all the tools are merged.

Data set	Tool	PMIDs	Total	Matched	Recall	M NG	Rec NG
medline	EMU	128	627	146	0.2329	157	0.2504
medline	OMM	109	483	140	0.2899	147	0.3043
medline	MF	104	498	126	0.2530	137	0.2751
medline	SETH	16	80	25	0.3125	26	0.3250
medline	tmVar	114	515	139	0.2699	145	0.2816
medline	All	137	676	156	0.2308	169	0.2500
pmc.ft	EMU	339	31742	726	0.0229	758	0.0239
pmc.ft	OMM	299	31405	697	0.0222	726	0.0231
pmc.ft	MF	282	30415	632	0.0208	658	0.0216
pmc.ft	SETH	67	1425	141	0.0989	148	0.1039
pmc.ft	tmVar	308	31391	655	0.0209	682	0.0217
pmc.ft	All	351	31848	814	0.0256	853	0.0268
pdf	EMU	61	474	34	0.0717	47	0.0992
pdf	OMM	8	64	1	0.0156	1	0.0156
pdf	MF	16	131	5	0.0382	6	0.0458
pdf	SETH	2	10	6	0.6000	6	0.6000
pdf	tmVar	32	294	4	0.0136	5	0.0170
pdf	all	64	505	34	0.0673	47	0.0931

Data set	Tool	PMIDs	Total	Matched	Recall	M NG	Rec NG
pdf.all	EMU	439	33134	1094	0.0330	1114	0.0336
pdf.all	OMM	341	32428	1132	0.0349	1137	0.0351
pdf.all	MF	330	31774	989	0.0311	996	0.0313
pdf.all	SETH	83	1555	246	0.1582	247	0.1588
pdf.all	tmVar	379	32745	1049	0.0320	1060	0.0324
pdf.all	All	446	33295	1304	0.0392	1327	0.0399
table	EMU	197	1946	580	0.2980	681	0.3499
table	OMM	166	1765	597	0.3382	699	0.3960
table	MF	146	1505	462	0.3070	564	0.3748
table	SETH	38	509	179	0.3517	207	0.4067
table	tmVar	90	1128	176	0.1560	233	0.2066
table	All	211	2019	694	0.3437	831	0.4116
sup	EMU	77	27888	19177	0.6876	19217	0.6891
sup	OMM	86	31156	20054	0.6437	20116	0.6457
sup	MF	76	30897	1286	0.0416	1308	0.0423
sup	SETH	37	28088	21052	0.7495	21089	0.7508
sup	tmVar	73	30285	7763	0.2563	7782	0.2570
sup	All	106	31564	22756	0.7209	22829	0.7233
all	EMU	450	33409	20203	0.6047	20284	0.6071
all	OMM	353	32887	20960	0.6373	21040	0.6398
all	MF	344	32623	2087	0.0640	2133	0.0654
all	SETH	109	29047	21335	0.7345	21379	0.7360
all	tmVar	388	33008	8724	0.2643	8762	0.2655
all	All	458	33676	23859	0.7085	23969	0.7118

Table 11. InSiGHT variant extraction coverage result when only articles with variants in the reference set as well as at least one result identified by the information extraction tool are considered. The table shows the number of common articles (PMIDs), the number of variants in the reference set (Total), the number of matched variants by the mutation extraction tool (Matched) and the proportion of matched variants (Recall). When relaxing the gene matching (M NG), the results do not change, thus this data is not shown. The data sets considered are MEDLINE abstracts (medline), Open Access PMC articles (pmc.ft), PDF articles when no Open Access PMC articles are available (pdf), PDF representation for all the articles (pdf.all), tables available from the Open Access PMC Articles' XML (table), supplementary material (sup) and the combination from all the sources (all). The tools are Extractor of Mutations (EMU), OpenMutationMiner (OMM), MutationFinder (MF), tmVar and SNP Extraction Tool for Human Variations (SETH). The row with tool value as All indicates the result when the variants extracted by all the tools are merged.

Data set	Tool	PMIDs	Total	Matched	Recall
medline	EMU	2	2	1	0.5000
medline	OMM	2	16	4	0.2500
medline	MF	0	0	0	0.0000
medline	SETH	1	1	1	1.0000
medline	tmVar	2	16	4	0.2500
medline	All	3	17	5	0.2941
pmc.ft	EMU	8	179	23	0.1285
pmc.ft	OMM	4	148	5	0.0338
pmc.ft	MF	2	132	1	0.0076
pmc.ft	SETH	2	56	3	0.0536
pmc.ft	tmVar	6	149	22	0.1477
pmc.ft	All	9	234	26	0.1111

Data set	Tool	PMIDs	Total	Matched	Recall
pdf	EMU	3	18	7	0.3889
pdf	OMM	2	14	7	0.5000
pdf	MF	2	14	7	0.5000
pdf	SETH	0	0	0	0.0000
pdf	tmVar	3	18	7	0.3889
pdf	All	3	18	8	0.4444
pdf.all	EMU	11	251	41	0.1633
pdf.all	OMM	8	241	43	0.1784
pdf.all	MF	6	185	13	0.0703
pdf.all	SETH	2	56	33	0.5893
pdf.all	tmVar	11	251	64	0.2550
pdf.all	All	11	251	85	0.3386
table	EMU	4	197	39	0.1980
table	OMM	4	197	31	0.1574
table	MF	3	142	1	0.0070
table	SETH	1	55	36	0.6545
table	tmVar	2	118	30	0.2542
table	All	4	197	74	0.3756
sup	EMU	1	103	88	0.8544
sup	OMM	1	103	0	0.0000
sup	MF	1	103	0	0.0000
sup	SETH	0	0	0	0.0000
sup	tmVar	1	103	92	0.8932
sup	All	1	103	92	0.8932
all	EMU	12	252	127	0.5040
all	OMM	8	241	43	0.1784
all	MF	6	185	13	0.0703
all	SETH	2	56	37	0.6607
all	tmVar	11	251	131	0.5219
all	All	12	252	157	0.6230

which mutations can be identified in the abstract, with 23% and 29% recall in COSMIC and InSiGHT respectively.

When considering tables, the coverage of COSMIC seems to increase only slightly compared to the InSiGHT database. This might mean that many of the mutations are in tables in the InSiGHT database, while this is not the case for the COSMIC database.

Table 12 and Table 13 show the overlap of the mutations extracted by each tool using all the data sources from the articles. The results in the tables show the complementarity of the mutation extraction tools. MutationFinder has the lowest overlap with the mutations extracted by other systems, while EMU has the best coverage. The overlap of MutationFinder and OpenMutationMiner with other tools is lower in the InSiGHT database, which might indicate that there are proportionately more DNA mutations in this set compared to COSMIC.

Intrinsic results show that Open Mutation Miner and SETH have the best performance for protein and DNA mutations respectively. Table 14 shows that the combination recovers a large proportion of the mutations for the COSMIC database. On the other hand, the tools still fail to identify some genetic variants, mainly when they do not follow the HGVS format, as is the case in the supplementary material in InSiGHT. When we add EMU, as shown in Table 15, the coverage increases for InSiGHT, being close to the coverage obtained by combining the different tools.

Discussion

The Results section presented results for two types of experiments. The first one compares the coverage of current mutation extraction tools on a data set intended to support the curation of the InSiGHT

Table 12. Overlap of mutations extracted by each tool on the COSMIC database from all article data sources. The tools are Extractor of Mutations (EMU), OpenMutationMiner (OMM), MutationFinder (MF), tmVar and SNP Extraction Tool for Human Variations (SETH).

COSMIC	EMU	OMM	MF	SETH	tmVar
EMU	-	0.7939	0.5114	0.7546	0.6962
OMM	0.1830	-	0.9783	0.6065	0.6987
MF	0.0266	0.1623	-	0.0064	0.1334
SETH	0.3315	0.7840	0.0498	-	0.7077
tmVar	0.1682	0.4325	0.5056	0.3423	-

Table 13. Overlap of mutations extracted by each tool on the InSiGHT database from all article data sources. The tools are Extractor of Mutations (EMU), OpenMutationMiner (OMM), MutationFinder (MF), tmVar and SNP Extraction Tool for Human Variations (SETH).

InSiGHT	EMU	OMM	MF	SETH	tmVar
EMU	-	0.1463	0.1367	0.3300	0.7249
OMM	0.1797	-	1.0000	0.2700	0.2169
MF	0.1459	0.7134	-	0.0000	0.0106
SETH	0.0712	0.0488	0.0000	-	0.1429
tmVar	0.5162	0.0976	0.0171	0.2700	-

Table 14. COSMIC and InSiGHT variant extraction coverage combining OMM and SETH. The data sets considered are MEDLINE abstracts (medline), Open Access PMC articles (pmc.ft), PDF articles when no Open Access PMC articles are available (pdf), tables available from the Open Access PMC Articles' XML (table), supplementary material (sup) and the combination from all the sources (all).

Database	Data set	PMIDS	Total	Matched	Recall
COSMIC	medline	129	33814	145	0.0043
COSMIC	pmc.ft	341	33814	736	0.0218
COSMIC	pdf	11	33814	7	0.0002
COSMIC	table	182	33814	640	0.0189
COSMIC	sup	95	33814	22562	0.6672
COSMIC	all	400	33814	23544	0.6963
Database	Data set	PMIDS	Total	Matched	Recall
InSiGHT	medline	3	252	5	0.0198
InSiGHT	pmc.ft	6	252	8	0.0317
InSiGHT	pdf	2	252	7	0.0278
InSiGHT	table	4	252	48	0.1905
InSiGHT	sup	1	252	0	0.0000
InSiGHT	all	9	252	61	0.2421

Table 15. COSMIC and InSiGHT variant extraction coverage combining OMM, SETH and EMU. The data sets considered are MEDLINE abstracts (medline), Open Access PMC articles (pmc.ft), PDF articles when no Open Access PMC articles are available (pdf), tables available from the Open Access PMC Articles' XML (table), supplementary material (sup) and the combination from all the sources (all).

Database	Data set	PMIDS	Total	Matched	Recall
COSMIC	medline	149	33814	151	0.0045
COSMIC	pmc.ft	380	33814	794	0.0235
COSMIC	pdf	68	33814	34	0.0010
COSMIC	table	222	33814	692	0.0205
COSMIC	sup	112	33814	22752	0.6729
COSMIC	all	511	33814	23826	0.7046
Database	Data set	PMIDS	Total	Matched	Recall
InSiGHT	medline	3	252	5	0.0198
InSiGHT	pmc.ft	9	252	26	0.1032
InSiGHT	pdf	3	252	7	0.0278
InSiGHT	table	4	252	64	0.2540
InSiGHT	sup	1	252	88	0.3492
InSiGHT	all	12	252	152	0.6032

database. The second type of experiment looks at the performance of the tools in the context of mutation database curation.

The result on mutation extraction shows that performance is quite high using partial matching, with a result over 85 in F1 measure by SETH. We see a big change from the performance of the mutation extraction tools between exact and partial matching regimes, mainly due to the differences in boundaries due to annotation of the prefixes “c.” and “p.” in the gold standard, while not included by the extraction tools.

The split between DNA and protein variants shows the varying scope of the tools. OMM shows a high recall of protein mutations, only missing the mentions *M23A* and *V600E*, which were surrounded by parentheses. MutationFinder missed, in addition to the OMM examples, protein variants using three letter amino acid abbreviations, e.g. *p.Pro622Thr*. In addition, MutationFinder was developed on citations available from PDB. It is quite likely that the mutations from these citations have been introduced by mutagenesis, instead of the natural gene variants covered in COSMIC and InSiGHT, and thus its performance might be influenced by this. EMU missed protein variants surrounded by parenthesis too, and mutations for which the amino acid substitution was specified by an X, e.g. *p.Arg226X*. SETH missed some mentions including variants without the *p.* protein variant prefix.

Considering the DNA variant annotation performed by EMU, tmVar and SETH, we find that all the tools missed expressions such as *codon 33: C>A*, expression that resembles the protein variants *A1796* and very complex expressions. For example, *c. 423 -6delAAATAG-GTinsGAAGCAAGATCAG* in PMID:18433509. EMU missed DNA variants that seem more complex, with ranges in the substitution *c.1852_1853AA>GC* or other expressions *c.4236del8ins13*. tmVar missed some DNA mutations. Many were verbose expressions, e.g. *1799T to A*. SETH, in addition to the examples mentioned above, missed expressions that do not exactly follow the HGVS nomenclature, e.g. *C1668C > T*, even though it recovered the larger set of DNA variants.

Furthermore, the remaining mutation entities without change and location information include terms that are related to mutation. In some cases, there are terms that denote the location of the mutation but not the specific change, e.g. *exon 10*, mention the change without specifying the position, e.g. *G:C to A:T transition*, name a mutated gene *APC+*, and terms that describe the type of mutation *somatic mutation*. The variety of information covered by these terms might require the use of different techniques for each type. The first three types could be annotated using more general regular expressions, while a dictionary approach might be suitable for the terms describing the mutation types.

We processed the mutation types with the NCBO annotator³⁸ (<http://bioportal.bioontology.org/annotator>), which uses a large set of terminologies and ontologies including the NCI thesaurus³⁹ and the Sequence Ontology⁴⁰. Some of the terms are properly annotated with concepts from these resources, e.g. *somatic mutation* or *germline mutation* while others are not covered by any of these resources, e.g. *truncating mutation*, including resources such as the Unified Medical Language System (UMLS)⁴¹. Some terms are partially annotated but some simple rules could be considered to fully annotate them, for example, *pathogenic mutation* where *pathogenic* and *mutation* are annotated with different concepts or *large mutation* where only *mutation* is annotated.

Results on the recovery of curated mutations show two things. First, recall of curated mutations from the narrative part of the documents is very low. Second, a large number of them can be recovered from tables and supplementary material. These results echo our previous

results obtained using the EMU system alone^{11,12}, and demonstrate that the complete set of material associated with a publication is commonly considered in curation of mutation information.

There are mutations not extracted by EMU that have been found by other mutation extraction methods. Most of the annotations missed by EMU are deletions and duplicates that seem to be partly covered by EMU but are better covered by tools like SETH. There are many protein mutations missed by SETH, because of the lack of an explicit *p.* prefix, that are covered by Open Mutation Miner.

The most significant increase in recall of the COSMIC database happens in the supplementary material. The recall of the combination increases from 0.5671 to 0.6730. The overall recall, which was around 0.52 for EMU alone, increases to 0.7053 for the combined outputs.

In the InSiGHT database, the most significant increase in recall takes place when adding the mutations extracted from the tables. The main reason for this is the SETH tool's identification of deletions in the tables. The overall recall, which was previously around 0.45 using EMU, increases to 0.6230 when combining the output of all the mutation tools.

We have annotated the variants available in each of the databases with a mutation type, using SETH. SETH, as mentioned in the methods section, annotates mutations based on grammar defined for HGVS²³ and produces a mutation type for each string it recognizes. The list of mutation types is: SUBSTITUTION, DELETION, DELETION_INSERTION, DUPLICATION, INSERTION, FRAMESHIFT. To this set, we have added the type UNKNOWN in the case SETH does not identify a specific mutation type, typically caused by underspecified phrases such as *c.?* and *p.?*. For a few cases like the substitution *p.H776_C777>QS* SETH also does not return any mutation type. There are many cases in which a DNA mutation cannot be mapped to a protein mutation. Frameshift mutations like *L280FfsX4* are not covered by SETH. On the other hand, neither *p.H776_C777>QS* or *L280FfsX4* follow the HGVS nomenclature. This implies that there is a small portion of the mutations coded in the mutation databases that are not fully compliant with the HGVS nomenclature.

The mutations in the InSiGHT and COSMIC databases are already in HGVS format, and so SETH can be used directly to classify each mutation in the databases by variant type. The analysis by type is available in Table 16 and Table 17. We can see that a large proportion of the variants are substitutions. The analysis of missed variants by type is available in Table 18 and Table 19.

In COSMIC, the most common type of missed mutations are DNA substitutions, mostly from two papers. These articles are PMID:21720365 with 5,589 variants and PMID:18772890 with 1,112 variants. The variants appear spread within supplementary material and in tables. We had already observed this previously¹¹, although here we perform more detailed annotation of the entities. Most of the DNA substitutions result in a known protein mutation, although there are around 805 mutations for which the effect on the protein is unknown.

Table 16. COSMIC database variants, taking into account the DNA variant and the impact on the gene product. Variants have been annotated using SETH, thus the variant types delivered by SETH are considered.

Frequency	DNA variant type	Protein variant type
30080	SUBSTITUTION	SUBSTITUTION
1051	SUBSTITUTION	UNKNOWN
1012	DELETION	FRAMESHIFT
490	UNKNOWN	SUBSTITUTION
351	INSERTION	FRAMESHIFT
215	DELETION	DELETION
179	UNKNOWN	UNKNOWN
171	DELETION	UNKNOWN
63	INSERTION	INSERTION
59	UNKNOWN	DELETION
53	UNKNOWN	FRAMESHIFT
38	INSERTION	UNKNOWN
26	UNKNOWN	INSERTION
19	SUBSTITUTION	FRAMESHIFT
3	DELETION	SUBSTITUTION
3	SUBSTITUTION	INSERTION
1	DUPLICATION	UNKNOWN

Table 17. InSiGHT database variants, taking into account the DNA variant and the impact on the gene product. Variants have been annotated using SETH, thus the variant types delivered by SETH are considered.

Frequency	DNA variant type	Protein variant type
134	SUBSTITUTION	SUBSTITUTION
25	SUBSTITUTION	UNKNOWN
22	DELETION	FRAMESHIFT
16	DELETION	DELETION
12	DELETION	UNKNOWN
12	DUPLICATION	FRAMESHIFT
8	UNKNOWN	SUBSTITUTION
7	DELETION	SUBSTITUTION
4	DUPLICATION	SUBSTITUTION
2	DELETION_INSERTION	SUBSTITUTION
2	DUPLICATION	UNKNOWN
2	SUBSTITUTION	DELETION
2	SUBSTITUTION	FRAMESHIFT
1	DUPLICATION	DUPLICATION
1	DUPLICATION	INSERTION
1	INSERTION	FRAMESHIFT
1	UNKNOWN	DELETION

In contrast to the large number of substitutions missing from the COSMIC database, DNA deletions are the most common variant type missing in the InSiGHT database. In some cases this is due to the failure of the text mining approaches. For instance, in PMID:15655560, only the substring as *1705delAG* of the deletion *c.1704_1705delAG* is identified by the combination of text mining tools. In addition, many of the deletions are not in a form usually expected by the mutation tools. This is the case of deletions expressed in non-standard nomenclature, e.g. *del exon 3*, as well as substitutions or deletions that require transformations, such as *IVS17(+5)G>C*, found in a table in PMID:14970868. The mutation

Table 18. COSMIC missing variants by the combination of variant extraction tools grouped by type, taking into account the DNA variant and the impact on the gene product. Missing variants have been annotated using SETH, thus the variant types delivered by SETH are considered.

Frequency	DNA variant type	Protein variant type
7163	SUBSTITUTION	SUBSTITUTION
805	SUBSTITUTION	UNKNOWN
759	DELETION	FRAMESHIFT
276	INSERTION	FRAMESHIFT
191	DELETION	DELETION
171	UNKNOWN	UNKNOWN
155	DELETION	UNKNOWN
60	UNKNOWN	SUBSTITUTION
56	INSERTION	INSERTION
43	UNKNOWN	DELETION
36	INSERTION	UNKNOWN
33	UNKNOWN	FRAMESHIFT
25	UNKNOWN	INSERTION
19	SUBSTITUTION	FRAMESHIFT
3	SUBSTITUTION	INSERTION
3	DELETION	SUBSTITUTION
1	DUPLICATION	UNKNOWN

Table 19. InSiGHT missing variants by the combination of variant extraction tools grouped by type, taking into account the DNA variant and the impact on the gene product. Missing variants have been annotated using SETH, thus the variant types delivered by SETH are considered.

Frequency	DNA variant type	Protein variant type
18	DELETION	FRAMESHIFT
17	SUBSTITUTION	UNKNOWN
12	DELETION	DELETION
11	DUPLICATION	FRAMESHIFT
9	DELETION	UNKNOWN
8	UNKNOWN	SUBSTITUTION
8	SUBSTITUTION	SUBSTITUTION
3	DELETION	SUBSTITUTION
2	SUBSTITUTION	DELETION
2	DUPLICATION	UNKNOWN
1	UNKNOWN	DELETION
1	SUBSTITUTION	FRAMESHIFT
1	INSERTION	FRAMESHIFT
1	DUPLICATION	SUBSTITUTION
1	DUPLICATION	INSERTION

extraction tools would need to take a closer look at these examples and incorporate the appropriate patterns.

There are mutations that are extracted by the mutation tools that do not appear in the curated databases, as found by Schench *et al.*³⁰. This is because these mutations are not of the interest to the databases. This also explains the low number of matches between InSiGHT and COSMIC within the 40 overlapping articles. COSMIC focuses on somatic mutations while InSiGHT collects germline mutations related to Lynch Syndrome for just four genes. In addition, some of the extracted mentions are not functional or significant for the

disease, as previously described⁴¹. For instance in PMID:10469011, the mutation Ala140Thr is extracted but the article states this mutation ... *is known to be functionally silent*, and hence was excluded from the database.

We have looked at the types of mutations annotated by each tool, using SETH to identify the mutation type. These are available from Table 20 and Table 21. Similarly to existing results, most of the mutations are substitutions and in lower number, deletions and duplications. The tools do not reliably identify insertions, although a large number of variants that could not be annotated by SETH, and were labelled as UNKNOWN, are actually insertions. A list of these mutations is available from the bitbucket repository <https://bitbucket.org/readbiomed/mutationtoolcomparison>. As expected, OMM and MF do not annotate DNA variants and annotate protein substitutions.

Supplementary material for the COSMIC database and tables for the InSiGHT database contribute a large number of mutations. On the other hand, processing these type of resources poses new challenges. Examination of the supplementary files show that many of the mutations listed in MS Excel files and MS Word files are in a tabular format, thus the problem could be reduced to mutation extraction from tables. Table processing for mutation extraction has been already explored by Wong *et al.*⁴². The scope of that work was to classify the type of information in each field in a table, based on a machine-learned model. This work could be extended to properly identify the gene associated to a mutation, even if it appears in the caption of the table, in a column header, and/or as a field in the same row as the mutation. This requires additional mechanisms to extract additional fields and post-process the extracted information in order to normalize the mutation mentions.

Conclusions

We have performed a broad assessment of the state-of-the-art performance of existing tools for extraction of genetic variants from the published biomedical literature, considering and comparing five publicly available extraction tools on two complementary evaluation tasks. We have proposed combining multiple mutation extraction tools together by merging their results, and have shown that their combination results in substantially improved recall of mutations with minimal impact on precision, providing evidence of the complementary nature of the tools.

Our results show that current tools have a very good performance on the narrative parts of published articles, and demonstrate that earlier performance claims for MEDLINE abstracts extend to full text. On the other hand, the excellent extraction performance on this narrative content contrasts with substantially lower recall in the context of database curation, even when all results of all considered tools are merged together. Our results demonstrate that only a small fraction of the curated mutations are available from the narrative part of the articles, and that most of the information is available only in tables and supplementary files associated to the articles. When the tools are deployed against this additional material, we are able to substantially increase recall. This increase is particularly evident when the tools are used together.

Table 20. DNA variants annotated by each mutation extraction tool, using all the sources from the articles, tool grouped by type.

The type of each variant has been annotated using SETH, thus the variant types delivered by SETH are considered. The tools are Extractor of Mutations (EMU), OpenMutationMiner (OMM), MutationFinder (MF), tmVar and SNP Extraction Tool for Human Variations (SETH). A large number of DNA mutations are annotated by EMU. 1887448 of the COSMIC variants are substitutions derived from the supplementary material of a single article, PMID:22622578. Most of these substitutions are provided in terms of chromosome location rather than relative to the gene.

Tool	Type	Frequency (COSMIC)	Frequency (InSiGHT)
EMU	All	1914828	182
	SUBSTITUTION	1914100	172
	DELETION	405	-
	UNKNOWN	186	7
	INSERTION	133	3
	DELETION_INSERTION	4	-
OMM	-	-	-
MF	-	-	-
SETH	All	9029	58
	SUBSTITUTION	8212	25
	DELETION	673	22
	UNKNOWN	113	0
	DUPLICATION	31	11
tmVar	All	1864	135
	SUBSTITUTION	1065	113
	DELETION	464	12
	UNKNOWN	313	10
	DUPLICATION	22	0

Table 21. Protein variants annotated by each mutation extraction tool, using all the sources from the articles, tool grouped by type.

The type of each variant has been annotated using SETH, thus the variant types delivered by SETH are considered. The tools are Extractor of Mutations (EMU), OpenMutationMiner (OMM), MutationFinder (MF), tmVar and SNP Extraction Tool for Human Variations (SETH).

Tool	Type	Frequency (COSMIC)	Frequency (InSiGHT)
EMU	All	35871	102
	SUBSTITUTION	35560	100
	SILENT	157	2
	UNKNOWN	154	-
OMM	SUBSTITUTION	29157	164
MF	SUBSTITUTION	4836	117
SETH	All	28862	42
	SUBSTITUTION	28753	41
	UNKNOWN	109	1
tmVar	All	16461	54
	SUBSTITUTION	16352	53
	DELETION	90	1
	UNKNOWN	19	-

We have further examined in detail the performance of these tools on different types of genetic variants, considering not only the distinction between protein variation and DNA variation, but also contrasting performance on different types of variation, e.g. substitutions, deletions, and insertions. We demonstrate that the coverage of different tools is quite complementary with respect to these distinctions, providing an explanation for the performance benefit obtained by merging their results.

Future work involves integrating our results into a genetic variant database curation tool. Before achieving this goal, there are several improvements to perform. As we have seen, the combination of mutation extraction tools recover a large part of the mutations curated in existing databases, and therefore combining several tools together is a viable strategy for genetic variant extraction, but there are still variants that are not covered. Our error analysis shows that a particular gap is mutations that do not include an explicit location or that imply a variation in a specific region in a gene (e.g. *Del exon 3*). Coverage of DNA insertions is low, and could be a particular target for improvement.

Furthermore, special processing is required to recover information from tables. To address this, we plan to extend work previously done by Wong *et al.*⁴². Finally, a curation tool must consider the differing scopes of different genetic variant databases, i.e. COSMIC is interested in somatic mutations while InSiGHT is interested in germline mutations. Further extension to this work would therefore include classifying the variants into these two categories.

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Author contributions

AJ designed and carried out the experiments, participated in the development of the methods and drafted the manuscript. KV designed the experiments, participated in analysis of the results, and drafted the manuscript.

Competing interests

No competing interests were disclosed.

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Current Referee Status:



Referee Responses for Version 2



Cecilia N Arighi

Center for Bioinformatics and Computational Biology, University of Delaware, Newark, DE, USA

Approved: 08 July 2014

Referee Report: 08 July 2014

doi:[10.5256/f1000research.4577.r5372](https://doi.org/10.5256/f1000research.4577.r5372)

The authors have responded satisfactorily to my comments and explained where some items could not be addressed.

I have no further comments.

I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Competing Interests: No competing interests were disclosed.

Referee Responses for Version 1



Cecilia N Arighi

Center for Bioinformatics and Computational Biology, University of Delaware, Newark, DE, USA

Approved with reservations: 28 March 2014

Referee Report: 28 March 2014

doi:[10.5256/f1000research.3422.r3722](https://doi.org/10.5256/f1000research.3422.r3722)

This article shows a thorough evaluation of the performance of five text mining tools for mutation extraction. The authors perform two types of evaluation: one to test the ability of the tools in identifying specific mentions of genetic variation in the manually-annotated Variome corpus and the other, in the context of database curation, to compare results against the data in COSMIC and InSiGHT databases. The authors analyzed the tools both individually and combined.

The main findings include:

- The tools have complementary coverage of genetic variants mentioned in the literature (e.g. some tools covered only single point mutations whereas others covered deletions and insertions as well), therefore the combination of tools proves to be more effective.
-

- The external evaluation on the database corpora is important to assess the utility of the tools for biocuration.
- The main results derive from the location of the data in the article.
- The majority is located in the Supplementary materials (for COSMIC) and also in Tables (for InSiGHT).
- The authors also demonstrated that the tools, even when they were developed for medline abstracts, can be applied to other text.

The article is well written, provides sufficient background information, is scientifically sound, and both the title and abstract reflect the main research results and conclusions of this work. However, there is still room for improvement.

Here are some suggestions:

1. The article talks about mutations referring more narrowly to natural gene variants (at least that would be the case for the databases mentioned above). However, at least one tool (MutationFinder) seems to consider mutation in a broader sense. The fact that the corpus was based on PMIDs in PDB suggests that these are most likely to be mutations introduced by mutagenesis (e.g. I checked a couple of examples of the corpus used by MF and, in fact, some are mutagenesis experiments) rather than genetic variants. This may also affect the performance of the tool if most of the examples that it was exposed to were of these kind. I would suggest including some comment on this in the article.
2. The description of TmVar in the background section should include the type of variant it is able to extract. This description is present in all other systems and should be described in the paragraph where they are referred to in the paper.
3. Both exact and partial matches are used for the calculation of performance. Partial match is simply defined as "*annotated entities may have any overlap with the entities in the reference set*". Have you analyzed the range of percent overlap?
4. Tables 8, 9, 14 and 15 need some clarification. I understand that the point the authors want to convey is about the coverage not only of the tools, but of the data in the various sections of the article (main text, tables, supplementary material, abstract vs full length). The value therefore, that is presented in the "Recall" column is like a fractional recall, as it is compared to the total number of variants in the reference set. The last row is probably the only line presenting the total Recall measure. The table is missing a row before the last one with Dataset:ALL, Tool: each tool. This row and the previous one should be highlighted as these are the ones showing the total recall.
5. I would also add a column with the number of articles and annotations in each of the data sets (medline, pmc, pdf, etc), and the performance at each of these levels, i.e. calculate the recall on a section (Medline) based on the total annotation present in such section.
6. I would change the title of Recall for the one showing fractional recall (calculated against Total). Maybe use a term such as coverage fraction or coverage recall? (Except for the Data set all).

I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Competing Interests: No competing interests were disclosed.

1 Comment

Author Response

Antonio José Jimeno Yepes, NICTA, Australia

Posted: 20 May 2014

Thank you for your suggestions. You can find our answers to the points you raised below:

1. This is an important detail that allows for a better understanding of the behaviour by MutationFinder. We have added the following sentence in the discussion section: *In addition, MutationFinder was developed on citations available from PDB. It is quite likely that the mutations from these citations have been introduced by mutagenesis, instead of the natural gene variants covered in COSMIC and InSiGHT, and thus its performance might be influenced by this.*

2. Thank you for pointing this out. The following sentence has been added to the manuscript: *tmVar aims to cover a wide range of sequence variants in both protein and gene levels in HGVS format.*

3. This is a good idea since it provides a better overview of the matched mutations. We have added the information about the character overlap in tables 3, 4 and 5. Discussion about the results has been added to the manuscript.

4. A set of rows for *Dataset:All* have been added in tables 8 to 10.

5. This would be an interesting result. Unfortunately, the curated databases used for this extrinsic evaluation do not offer this level of detail, i.e. it is not possible to tell from which source the information was curated from (i.e. whether the curator used an abstract, full text, etc.).

6. Since the recall per data set section cannot be obtained, we have decided to leave the current recall label.

Competing Interests: No competing interests were disclosed.



Max Haeussler

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Approved with reservations: 27 March 2014

Referee Report: 27 March 2014

doi: [10.5256/f1000research.3422.r3961](https://doi.org/10.5256/f1000research.3422.r3961)

The authors have done the formidable job of running five programs that search for mutations in English text on 10 annotated full text articles (they call this "intrinsic") and also on articles that have been annotated by curators of two databases ("extrinsic"). The results make sense, they analyze them well, the article is very readable and the methods are suitable. The conclusions are relevant for everyone who is

developing mutation mining tools, probably most users of text mining algorithms, and they are well justified.

Why I have reservations: The authors were able to conduct this study thanks to a great practice in bioinformatics, namely to provide the source code of the program used in a scientific article. They were able to download the source code of at least three programs from other websites or supplemental data and were even able to benchmark a program which has not been published yet in an article (SETH), and found that it led the field. This shows how the state of the art progresses by sharing code (even without publications) - the authors did not have to write their own mutation finding tool, they could run existing programs on a new corpus.

However, it is hard to understand why the authors do not do the same. Anyone who would like to inspect or extend the results of this article would have to redo the same work again: write parsers for five programs, convert both corpora, put the main corpus into a suitable format, including downloading some files from EuropePMC, others from PMC, and write a program to evaluate the differences.

I fully agree with Referee 1 (**Philippe Thomas**) that when claims about performance of open source programs are made that have been written by various teams, then at least the corpus + annotations have to be added as a supplemental file. Otherwise it is very hard for a field to improve their algorithms and validate claims made in the benchmark.

In this case, the code for running the mutation detection programs should also be provided. Philippe Thomas' (the author of SETH) comment that the results of SETH should have been better for a particular case can only be answered by looking at the code, the version (or the github commit ID in case of pre-publication code) the authors used and the converter, to find out where the differences come from. For a reader, it is currently impossible to validate the observation about SETH. This could be really easy to change, if the authors just attached their corpus+program as a supplemental file or on github, like most other authors in this field today.

I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Competing Interests: No competing interests were disclosed.

1 Comment

Author Response

Antonio José Jimeno Yepes, NICTA, Australia

Posted: 20 May 2014

Thank you for your suggestions. We have made available evaluation files in a bitbucket repository (<https://bitbucket.org/readbiomed/mutationtoolcomparison>). The brat mutation annotation files for the Variome corpus evaluation have been included as well. In addition, the tool brateval (https://bitbucket.org/nicta_biomed/brateval) can be used to reproduce the evaluation presented in the paper for the experiments with the Variome corpus. There are three sets of annotation files. One for all the mutations and then one set for the protein mutations only and another one for the

DNA mutations only.

The benchmark files for the database evaluation have been made available for COSMIC and for InSiGHT. We have made available the PMIDs of the articles and the genes and mutations curated in each one of them.

We have not redistributed the text of the articles since due to license restrictions it is not possible to redistribute them. They can be obtained from the providers of the documents as indicated in the paper. The code to collect the data from PMC has been provided.

Competing Interests: No competing interests were disclosed.



Philippe Thomas

Knowledge Management in Bioinformatics, Computer Science Department, Humboldt-Universität zu Berlin, Berlin, Germany

Approved with reservations: 05 February 2014

Referee Report: 05 February 2014

doi:[10.5256/f1000research.3422.r3233](https://doi.org/10.5256/f1000research.3422.r3233)

This article comprehensively evaluates a series of named entity recognition tools for identifying mutation mentions. The different tools are evaluated using two kinds of scenarios: First, they are evaluated in an intrinsic setting, using the manually annotated "Variome" corpus. This strategy represents the typical evaluation of named entity recognition tools. Second, tools are compared in an extrinsic context, where the goal is to reconstruct mutational information contained in the COSMIC and InSiGHT databases. In the latter experiment, the authors identified supplemental material as the biggest resource for mutation mentions. The overall verdict of this publication is that several tools have complementary coverage and combination of methods leads to a performance increase in both evaluation settings. Finally, the authors provide some ideas to improve the recognition of genetic variants in text.

This work is scientifically sound and provides an interesting analysis of five different mutation recognition tools. I only have a few remarks considering the presentation:

1. The results for the intrinsic evaluation, shown in Table 5, require some more details. For all tools a considerable increase of recall can be observed when switching from the exact matching to the partial matching scenario. However, for OpenMutationMiner, recall increases by almost 86 percentage points whereas the increase for the other tools is about 10 percentage points. This is a surprising result and should be at least briefly mentioned in the text.
2. A frequently found statement is that SETH recognizes only mutation mentions described in HGVS nomenclature. However, as a participant in the SETH project (see competing interests), I suggest that this claim is incorrect as SETH builds on MutationFinder to detect mutations not following the nomenclature. Furthermore, SETH modifies MutationFinder's original capabilities in order to match a wider scope of mutations (DNA mutations, nonsense mutations, and ambiguous mutations) not following the HGVS nomenclature. This is done by modifying the original MutationFinder implementation together with additional and modified regular expressions. Furthermore, SETH contains a separate component (OldNomenclature.java) for the recognition of insertions, deletions, and frameshift mutations written in deprecated HGVS nomenclature. For these reasons it is

surprising, that SETH achieves lower recall than MutationFinder in many extrinsic evaluation settings (Table 8 and Table 9). In my opinion, SETH should at least find as many mutations as MutationFinder.

3. Please use the citation provided on the SETH project site to cite SETH. (Thomas, P., Rocktäschel, T., Mayer, Y., and Leser, U. (2014). SETH: SNP Extraction Tool for Human Variations. <http://rockt.github.io/SETH/>.)

I also have a list of minor remarks, which I would like to mention here. However, these are just ideas to further improve the publication.

- Table 3 shows that merging results of all tools increases performance in the partial evaluation setting. It would be interesting to see how this compares to exact matching. Do you see similar effects? It would be great to mention the numbers in the table.
- *"For a few cases like the substitution p.H776_C777>QS SETH also does not return any mutation type. There are many cases in which a DNA mutation cannot be mapped to a protein mutation and frameshift mutations like L280FfsX4 are not covered by SETH."* I find this example a bit contrived, as neither p.H776_C777>QS nor L280FfsX4 follow the HGVS nomenclature.
- The sentence *"There are many cases in which a DNA mutation cannot be mapped to a protein mutation and frameshift mutations like L280FfsX4 are not covered by SETH"* on page 13 is (in my opinion) confusing and could be reformulated. If I understand correctly, you are saying that COSMIC and InSiGHT cannot provide protein mutations for all DNA mutations.
- Integration of different tools usually is an ungrateful task. It would be very interesting if the authors could share some of their experiences made using the five different tools.
- *"The tools do not reliably identify insertions, although a large number of variants that could not be annotated by SETH are actually insertions."* It would be highly interesting to see a list of these mutations (maybe as Supplemental data), to help tool developers improve named entity recognition tools. Additionally, I think that it would be very valuable to add all mutation mentions extracted by the five different tools to the Supplement as well. This information should facilitate the improvement of existing named entity recognition tools.

I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Competing Interests: I am one of the authors of the benchmarked tools (i.e., SETH).

1 Comment

Author Response

Antonio José Jimeno Yepes, NICTA, Australia

Posted: 20 May 2014

Thank you for your comments and remarks. You can find the answers to the points you raised below:

1. Indeed, the increase in performance is significant. The reason is that some tools do not annotate the prefix of the mutation. For instance, in the corpus there is the mutation *p.Val600Glu* but OMM just annotates *Val600Glu*. This means that using exact match, all these mutations will be identified as false positives instead of true positives. We have added a statement to this effect in the text.
2. The claim about non HGVS compliance has been rewritten in the manuscript - The reason for this is that we have considered the version of SETH in which only its main components are used, which does not include MutationFinder. This point has been clarified in the paper.
3. This has been corrected in the manuscript.

The answers to your minor remarks can be found below:

1. We have updated the results in Table 3. There is an increase in recall in both cases and the results, which is a bit better than previously reported in the partial matching approach. We have corrected a problem with the tmVar input files and this explains further changes in the results.
 2. Thank you for pointing this out. This implies as well that a small part of the mutations in the databases are not the fully compliant with the HGVS nomenclature. This point has been made in the manuscript with the following text: *On the other hand, neither p.H776_C777>QS or L280FfsX4 follow the HGVS nomenclature. This implies that there is a small portion of the mutations coded in the mutation databases are not fully compliant with the HGVS nomenclature.*
 3. Thank you for pointing out this issue. The sentence has been rewritten as: *COSMIC and InSiGHT cannot provide protein mutations for all DNA mutations thus these mutations could not be annotated by SETH. Frameshift mutations like L280FfsX4 are not covered by SETH.*
 4. Technical Notes have been added for each tool, in a brief "Technical Notes:" section for each tool.
 5. A file has been added as supplementary material in a bitbucket repository (<https://bitbucket.org/readbiomed/mutationtoolcomparison>), within the data folder, with these mutations and has been referenced in the paper.
- Competing Interests:** No competing interests were disclosed.