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Transarterial chemoembolization plus multi-imaging-guided radiofrequency ablation for elimination of hepatocellular carcinoma nodules measuring 3.1 to 5.0 cm: a single-center study

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### Abstract

**Objective:** This study was performed to determine whether transarterial chemoembolization (TACE) plus multi-imaging-guided radiofrequency ablation (MIG-RFA) can completely eliminate 3.1- to 5.0-cm hepatocellular carcinoma (HCC) nodules and identify factors that may influence the complete elimination rate (CER) of this therapy.

**Methods:** Patients who underwent TACE+MIG-RFA for initial treatment of HCC from January 2008 to January 2016 were retrospectively reviewed. In total, 162 patients with 216 HCC nodules (3.1–5.0 cm) were enrolled. TACE was performed first; MIG-RFA was performed 2 to 4 weeks later. Contrast-enhanced computed tomography was performed 1, 3, 6, and 12 months after TACE+MIG-RFA. If tumor enhancement was not detected by the end of the 12-month follow-up, the lesion was considered completely eliminated. Additional TACE+MIG-RFA was performed for residual lesions. The CER was calculated 12 months after the last therapy. Factors that may influence the CER were analyzed.

**Results:** In total, 207 (95.8%) nodules showed no residual lesions and were completely eliminated after one or more TACE+MIG-RFA sessions. Nine (4.2%) nodules were incompletely eliminated even with repeated TACE+MIG-RFA. Tumor location was the only significant prognostic factor influencing the CER.

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Creative Commons Non Commercial CC BY-NC: This article is distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 License (http://www.creativecommons.org/licenses/by-nc/4.0/) which permits non-commercial use, reproduction and distribution of the work without further permission provided the original work is attributed as specified on the SAGE and Open Access pages (https://us.sagepub.com/en-us/nam/open-access-at-sage). **Conclusions:** TACE+MIG-RFA can eliminate 3.1- to 5.0-cm HCC nodules; the tumor location may affect the treatment outcome.

### Keywords

Hepatocellular carcinoma, multi-imaging-guided radiofrequency ablation, transarterial chemoembolization, complete elimination rate, computed tomography, tumor enhancement, residual lesions

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# Introduction

Elimination of local lesions is very important for the treatment of small hepatocellular carcinoma (HCC). For tumor nodules measuring <3 cm, radiofrequency ablation (RFA) alone can be curative and as effective as surgical resection or liver transplantation. For nodules measuring >3 cm, however, RFA alone has inferior outcomes that may result from incomplete ablation, which may lead to recurrence of the original tumor.<sup>1–3</sup> Therefore, new techniques with which to improve the local therapeutic effects for HCC should be considered. The present study was performed to determine whether transarterial chemoembolization (TACE) plus multi-imaging-guided RFA (MIG-RFA) can successfully eliminate local HCC nodules measuring 3.1 to 5.0 cm. We also analyzed factors that may influence the complete elimination rate (CER) of the combined therapy.

# **Materials and methods**

### Patient selection

This study was approved by the Ethics Committee of Peking University First Hospital. This was a retrospective study, and we only evaluated treatment efficacy. Written informed consent for all therapeutic procedures performed was obtained from the patients before the procedures.

Records of patients who underwent TACE+MIG-RFA for HCC from January 2008 to January 2016 in our medical center were reviewed. The inclusion criteria were HCC nodules measuring 3.1 to 5.0 cm in diameter, no previous treatment for target nodules, and follow-up for at least 1 year after the last TACE+MIG-RFA session. HCC was diagnosed according to the criteria of the American Association for the Study of Liver Disease.<sup>4</sup>

# Tumors in special locations

Special locations of the liver were defined in our study as areas adjacent to the diaphragm, liver surface, bile ducts, large vessels, or visceral organs with a range of  $\leq$ 5 mm.

# TACE procedure

A 5-French catheter was introduced into the celiac trunk from the right femoral artery, and common hepatic artery angiography was performed to evaluate the arterial blood supply to the tumor. A 2.8-French microcatheter was then superselectively introduced into the feeding artery of the tumor. TACE was performed using 20 to 40 mg of epirubicin mixed with lipiodol, and further embolization was performed with a gelatin sponge or polyvinyl alcohol granules. After embolization, angiography was performed to determine the extent of vascular occlusion and assess blood flow in other arteries.

# MIG-RFA procedure

All RFA procedures were performed under multi-imaging guidance with intravenous anesthesia. MIG-RFA was defined as the performance of RFA under the guidance of more than one imaging system, including ultrasonography (US) + computed tomography (CT), in which US guidance was used during the puncture process and CT was then used to make fine adjustments of the location of the needle tip; US + fluoroscopy, in which fluoroscopy was used to adjust the needle deployment after puncture of the lesion by US guidance; and US+cone-beam CT, in which RFA was performed under US guidance and both fluoroscopy and CT were performed during the same procedure. The guiding method was chosen according to the location of the HCC nodule and the appearance of lipiodol deployment. Coordination of the patient's breathing rhythm was also an important factor in choosing the guiding method; if the patient could not breathe evenly, we chose a real-time guiding method with US or fluoroscopy.

The RFA system was a Model 1500X radiofrequency generator manufactured by RITA Medical Systems (Latham, NY, USA). The radiofrequency needle was a StarBurst open type (AngioDynamics, Latham, NY, USA), and the cluster needle contained nine electrodes. Upon completion of ablation, the needle was withdrawn and track ablation was simultaneously performed to prevent bleeding and tumor seeding. Electrocardiographic monitoring was performed for 12 hours after RFA.

### Follow-up procedure

Contrast-enhanced CT was performed 1, 3, 6, and 12 months after the combined therapy. If tumor enhancement was not detected after the 12-month follow-up, the HCC nodules were considered completely eliminated. If residual lesions were detected during the follow-up, additional TACE+MIG-RFA was performed. The CER was calculated 12 months after the last combined therapy. Factors that may influence the CER were also analyzed.

# Statistical analysis

The patients' baseline characteristics were documented. The following treatmentrelated variables were assessed by univariate analysis of variance: age, sex, tumor size, tumor location, Karnofsky performance score, Barcelona Clinic Liver Cancer grade, and Child–Pugh classification. The differences in CER were compared by the log-rank test. A significant difference was considered to be present when P $\leq$ 0.05. All statistical analyses were performed using IBM SPSS Statistics, version 20.0 (IBM Corp., Armonk, NY, USA).

# Results

In total, 162 patients (127 male, 35 female) with 216 nodules measuring 3.1 to 5.0 cm were enrolled in our study. The patients' general conditions are shown in Table 1. Technical success was achieved in all patients. No severe complications occurred.

At the end of the follow-up, 207 (95.8%) nodules were completely eliminated, and 9 (4.2%) nodules remained viable. Complete elimination after just one TACE+MIG-RFA session occurred in 180 (83.3%) nodules, after two TACE+MIG-RFA sessions in 24 (11.1%) nodules, and after three TACE+MIG-RFA sessions in 3 (1.4%) nodules (Table 2).

car characteristics.	
Age, y	$\textbf{60.2} \pm \textbf{11.2}$
60	81 (50.0)
$\geq$ 60	81 (50.0)
Sex	
Male	127 (78.4)
Female	35 (21.6)
Child–Pugh classification	
Α	143 (88.2)
В	19 (11.8)
BCLC grade	
A	74 (45.7)
В	53 (33.7)
С	35 (21.6)
AFP, ng/L	$\textbf{255.6} \pm \textbf{428.5}$
<400	132 (81.4)
≥400	30 (19.6)
KPS	$\textbf{92.2}\pm\textbf{8.2}$
<90	133 (82.1)
≥ <b>90</b>	29 (17.9)
Tumor size, cm	$\textbf{3.81} \pm \textbf{0.748}$
3.1–4.0	133 (61.6)
4.1–5.0	83 (38.4)
Tumor location	
Normal	123 (56.9)
Special	93 (43.1)

**Table I.** Patients' baseline demographic and clinical characteristics.

Data are presented as mean  $\pm$  standard deviation or n (%). BCLC, Barcelona Clinic Liver Cancer; AFP, alpha fetoprotein; KPS, Karnofsky performance score

 Table 2.
 Therapeutic effects after transarterial chemoembolization plus multi-imaging-guided radiofrequency ablation.

	Viable nodules	Complete elimination	Incomplete elimination
I Session	216	180	36
2 Sessions	36	24	12
3 Sessions	12	3	9

Data are presented as number of nodules.

Seven variables with possible effects on the CER were analyzed. Tumor location was a significant prognostic factor for the CER (P<0.001) (Table 3). The log-rank test showed that the presence of nodules in special areas of the liver was significantly correlated with incomplete tumor elimination (P<0.001, Exp (B) (95% CI)=63.9 (8.4–484.5)). After three therapy sessions, the remaining nine viable nodules were all located in special areas of the liver: very close to vessels (n=3), near the gallbladder or intestine (n=2), adjacent to the diaphragm (n=3), and very close to the heart (n=1).

### Discussion

Tumor recurrence from the residual lesion is the main problem directly affecting overall survival when RFA is compared with surgical resection or transplantation. The size of the lesion to be ablated is closely associated with the risk of local recurrence after RFA. The optimal lesion size for curative RFA is considered to be <3 cm. For tumors even 1 mm larger than 3 cm, a significant increase in local recurrence and lower recurrence-free and disease-free survival rates are found in association with RFA when compared with surgical resection or transplantation.<sup>5</sup> Therefore, for HCC nodules of >3 cm, how to decrease the local recurrence rate and improve the elimination rate in RFA remains a challenging problem and may have a strong effect on the therapeutic outcome.

TACE before RFA has been confirmed to have several advantages. The decreased arterial blood flow induced by TACE may reduce the heat sink effect of large vessels adjacent to the lesion, which may result in considerable enlargement of the ablation zone by RFA.<sup>6</sup> Moreover, the effect of chemotherapy and hypoxic injury induced by TACE on tumor cells is enhanced by the high temperature during RFA, making it possible to extend the ablation zone.<sup>7</sup> In addition, tumor boundaries could be better delineated by deposition of lipiodol inside the tumor, providing a clear target for perfect RFA.

		Incomplete	_		
Characteristics	n	l st treatment	2nd treatment	3rd treatment	P value
Age, y					
60	104	15	4	3	0.402
$\geq$ 60	112	21	8	6	
Sex					0.6
Male	169	28	7	5	
Female	47	8	5	4	
Child–Pugh classification					0.134
A	190	30	10	7	
В	26	6	2	2	
BCLC grade					0.484
A	102	7	2	2	
В	63	13	4	3	
С	51	17	6	4	
AFP, ng/L					0.823
400	177	25	8	5	
$\geq$ 400	39	11	4	4	
Tumor size, cm					0.378
3.0-4.0	133	11	2	2	
4.0-5.0	83	25	10	7	
Tumor location					<0.001
Ordinary	123	1	0	0	
Special	93	35	12	9	
KPS score					0.018
90	39	15	4	3	
≥ <b>90</b>	177	21	8	6	

**Table 3.** Risk factors for poor treatment effects by univariate analysis of variance.

Data are presented as number of tumor nodules. BCLC, Barcelona Clinic Liver Cancer; AFP, alpha fetoprotein; KPS, Karnofsky performance score

Kim et al.<sup>8</sup> compared TACE+RFA with hepatectomy in patients with HCC within the Milan criteria. The 5-year overall survival and disease-free survival rates in the combination group were 75% and 27%, respectively. Kim et al.<sup>9</sup> evaluated 37 patients who underwent TACE+RFA for treatment of a single HCC ranging from 2.0 to 5.0 cm, and the 4-year overall survival and disease-free survival rates in the combination group were 78.4% and 69.4%, respectively. In the present study, we used an innovative guidance technique

during RFA and investigated whether this technique after conventional TACE can eliminate HCC nodules with confidence. The overall survival rate was not the main focus in this study.

The guidance system used during RFA is a major factor for a successful procedure. New guidance systems consisting of optical, electromagnetic, or fusion imaging have been developed,<sup>10</sup> but their current clinical application is still limited. US is the most widely used modality to guide percutaneous procedures in the liver.<sup>11</sup> When US guidance is not suitable,<sup>12</sup> CT is a valid alternative.<sup>13</sup> Cone-beam CT guidance is a new emerging technique incorporating both real-time fluoroscopy and CT-like imaging in the same device.<sup>14,15</sup> In our center, we puncture the lesion under US guidance. This provides real-time imaging to avoid breathing disturbances, and US can allow for safer treatment of lesions in some special areas such as those near the diaphragm or heart (Figure 1). CT or cone-beam CT is routinely used for further adjustment of the branch of the needle tip, which makes it easier to cover the whole lesion with the RFA needle (Figures 2 and 3).

In the present study, 180 (86.1%) HCC nodules achieved complete ablation after just one TACE+MIG-RFA session. For the remaining 36 incompletely ablated nodules, 24 (75.0%) achieved complete elimination after the second cycle of the combined therapy. Finally, after the third combined treatment of the remaining 12 nodules, 3 (25.0%) achieved complete elimination. Therefore, among the whole group, 207 (95.8%) nodules achieved complete elimination by the end of 3 TACE+MIG-RFA sessions. Nine (4.2%) nodules were incompletely eliminated. Univariate analysis of variance showed that the tumor location was the major factor influencing the treatment effect, and location of tumors in special areas was the major factor associated with incomplete elimination. Complications are a great concern among most practitioners when treating lesions in special areas of the liver, especially those near large vessels, the



**Figure 2.** Computed tomography provided a very clear image of the needle tip, ensuring the safety and quality of the ablation process.



**Figure I.** (a) Transarterial chemoembolization plus multi-imaging-guided radiofrequency ablation for a hepatocellular carcinoma nodule in an area adjacent to the diaphragm and heart. (b) Ultrasonography allowed for safe puncture, and the use of computed tomography allowed for fine adjustment of the needle tip.



**Figure 3.** Cone-beam computed tomography provided (a) real-time fluoroscopic imaging and (b) a computed tomography-like reconstructional image that clearly shows the needle tip.

heart, or surrounding organs. In such cases, most doctors would rather use a more conservative RFA technique; however, this may lead to incomplete ablation of the target lesion. In the present study, 93 (43.1%) nodules were located in special areas of the liver, and 84 of them were eliminated by TACE+MIG-RFA; only 9 remained viable. No severe complications occurred. Thus, TACE+MIG-RFA may be very helpful in ablating lesions in special areas of the liver. The two main points that will help to manage lesions in special areas of the liver are clear delineation of the target lesion by deposition of lipiodol post-TACE and accurate RFA through the entire ablation procedure under multiimaging monitoring.

In conclusion, the present study has confirmed that TACE followed by MIG-RFA can ablate HCC nodules ranging from 3.1 to 5.0 cm with a fairly high success rate. The tumor location is associated with the treatment effect. TACE+MIG-RFA is helpful for lesions in special areas of the liver, but a more effective technique still needs to be developed. The long-term effects of TACE+MIG-RFA on overall survival is the next subject of our research.

### **Declaration of conflicting interest**

The authors declare that there is no conflict of interest.

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