

Contents lists available at ScienceDirect

Biofilm



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Out of control: The need for standardised solvent approaches and data reporting in antibiofilm assays incorporating dimethyl-sulfoxide (DMSO)

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ARTICLE INFO

Keywords: Biofilm methods Lipophilic compounds Antibacterial Drug discovery *In vivo* screening Bioassay Solvent DMSO Hormesis

ABSTRACT

Bacteria in biofilm formations are up to 1000 times less susceptible to antibiotics than their planktonic counterparts. Recognition of the role of biofilms in \sim 80% of chronic infections, their contribution to bacterial tolerance and development of antimicrobial resistance, and thus the search for compounds with antibiofilm properties, has increased greatly in recent years. The need for robust experimental methods is therefore critical but currently undermined by inappropriate controls when dimethyl-sulfoxide (DMSO) is used to enhance test compound solubility. DMSO is known to have a limited effect on planktonic growth, but emerging data indicates that the solvent can affect biofilm formation even at low concentrations. Here, we present both a literature review on the application of DMSO in in vitro antibiofilm studies, as well as a series of experiments and Bayesian hormetic dose-response modelling to define the effects of DMSO alone and in combination with standard antibiotics using two clinically important biofilm-forming bacteria. DMSO has been used in 76 published studies to solubilise a wide variety of synthesised and natural products, including plant extracts, isolated secondary metabolites, modified lead molecules and proteins, in in vitro antibiofilm assays. DMSO solvent concentrations to which biofilms were exposed ranged between <1 and 100% but unfortunately, 35% of articles did not specify the DMSO concentrations used, 50% of articles did not include solvent controls and, of those that did, 26% did not specify control concentrations, 47% did not report or discuss control data, and 53% omitted media controls. In a further 12 studies, DMSO is used as a biofilm treatment, demonstrating the antibiofilm properties of this solvent at higher concentrations. We provide evidence that DMSO (between 0.03 and 25%) significantly inhibits biofilm formation in Pseudomonas aeruginosa, but not Streptococcus pneumoniae, and acts synergistically with standard antibiotics at very low concentrations (<1%). Interestingly, intermediate concentrations of DMSO (~6%) strongly promote the growth of P. aeruginosa biofilms. As the research community strives to identify bioactive antimicrobial compounds, there is a need for increased scientific rigour when using DMSO as a solvent in antibiofilm assays.

1. Introduction

Most antibiotic agents have been developed based on demonstrated effectiveness against free-living (planktonic) cells *in vitro*, but rarely do bacteria exist in this state [1–3]. Rather, the majority of bacterial cells are present as biofilms, that is, communities of microorganisms (bacteria and/or fungi) within a viscous, self-secreted matrix of polysaccharides and proteins, termed extracellular polymeric substance (EPS) [4–6]. This EPS matrix facilitates surface adhesion, gene transfer, cell-cell

communication (quorum sensing), sorption of nutrients and water, and thus affords bacterial resistance to mechanical stressors, host immune defences and antimicrobial interventions [4,7]. Biofilms play a significant role in infections of the respiratory system (e.g., pneumonia, otitis media, sinusitis, and recurrent infections in cystic fibrosis and chronic obstructive pulmonary disease patients), as well as those associated with wounds and medical implants or devices (e.g., urinary catheters, prosthesis, pacemakers, intrauterine devices, and respiratory apparatus) [1,2,8]. Nonetheless, clinical biofilm-specific treatment

https://doi.org/10.1016/j.bioflm.2022.100081

Received 27 June 2022; Received in revised form 3 August 2022; Accepted 3 August 2022 Available online 17 August 2022

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options are limited; despite considerable research efforts in this field, high-dose antimicrobial combination therapy remains the recommended approach [9]. To address the issues of antimicrobial tolerance [10] and development of resistance [11,12] there is not only a need for new antimicrobial agents in the traditional bactericidal sense, but for compounds with novel mechanisms of action to attenuate biofilm formation and persistence (e.g., EPS disruption and dispersal, quorum sensing inhibition, antibiotic potentiation).

Solubility is one of the most important parameters in bioactivity screening, determining cellular (and ultimately, systemic) bioavailability and pharmacological response to a compound [13,14]. However, around half of all newly-discovered natural and synthetic products are hydrophobic, requiring either structural or chemical modifications to introduce them into a cellular system [13]. The addition of an organic solvent, most often dimethyl-sulfoxide (DMSO; Fig. 1) (and less often ethanol, methanol, acetone or *N*,*N*-Dimethylformamide) is therefore necessary.

DMSO is an aprotic organosulfur molecule with an amphipathic nature making it ideal for dissolving poorly soluble polar and non-polar drug molecules [15] (Fig. 1). During the past century, DMSO has been widely employed in toxicology and experimental pharmacology and is recommended, among *in vitro* and *in vivo* studies and standard protocols for antimicrobial screening of lipophilic synthetic and natural products [16–22]. DMSO is generally accepted as nontoxic below 10% (v/v) and in practice, the use of DMSO is regarded so ubiquitous and safe that applied concentrations (which are usually below 2%) are often unreported and biological effects are assumed negligible [15,23,24]. However, research is emerging to suggest that DMSO may be a potent biofilm inhibitor (and in some cases in fact promote biofilm formation) at very low concentrations (<2%), potentially interfering with interpretation of antibiofilm assay results [21,25–27].

Research interest into screening compounds for antibiofilm activity has increased markedly in recent years. This is anticipated to continue as biofilms are further understood. But, routine in vitro antimicrobial susceptibility testing (i.e., planktonic growth inhibition) has limited value in anticipating the effectiveness of a given agent against biofilm infection [28]. A timely review of methodological approaches and limitations in antibiofilm compound screening is therefore important, moving toward the development of standard antibiofilm screening protocols and the identification of bioactive compounds. Here, we provide a literature review on the application of DMSO in peer-reviewed in vitro antibiofilm studies. We also experimentally define the effects of DMSO alone and the effect of DMSO on the activity of standard antibiotics using two clinically important biofilm-forming bacteria. By using these discrete but complementary lines of evidence, we first identify then demonstrate the problem. We aim to encourage discussion and development of standardised approaches to solvent use and controls in antibiofilm assays which will improve the reliability and interpretation of data among the biomedical research community.

2. Methods

2.1. Literature review

The Scopus database was searched by article title, abstract and keywords without date limits (as of September 2021) using the string "biofilm AND (dimethyl sulfoxide OR dmso)" returning 191 hits. These were screened by relevance and finally 88 articles were reviewed in which DMSO was used as a solvent (n = 76 articles) or itself as a



Fig. 1. Dimethyl-sulfoxide (DMSO; $[CH_3]_2S$): the solvent-of-choice for the dissolution of small hydrophobic drug molecules.

treatment (n = 12 articles) (Supplementary spreadsheet). Important variables extracted included compound-DMSO treatment preparation, experimental controls and control reporting, data calculation and results. Descriptive statistics were calculated in Excel.

2.2. Experimental work

2.2.1. Chemicals and media

Standard antibiotics– ampicillin trihydrate and gentamicin sulfate (CAS 7177-48-2 and 1405-41-0, Sigma-Aldrich) were reconstituted in phosphate buffered saline (PBS) to 64 μ g/mL stocks for use against *S. pneumoniae* and *P. aeruginosa*, respectively [22]. DMSO, crystal violet, acetic acid, PBS, and other reagents used in this study were HPLC grade (Sigma-Aldrich). Cation-adjusted Mueller Hinton II Broth (CAMHB) (BD BBLTM, Thermo Fisher) was prepared from dehydrated powder in MilliQ water and sterilised as per manufacturer instructions. CAMHB was used as growth media for *P. aeruginosa*. Defibrinated horse blood (Edwards Group, Australia) was lysed over five freeze-thaw cycles before addition to CAMHB at 5% v/v for use with *Streptococcus pneumoniae*.

2.2.2. Bacterial culture conditions

We used *S. pneumoniae* laboratory strain ATCC 51916. *P. aeruginosa* 385 was a mucoid strain serotype 2, phagetype 21/44/109/119X/1214 typed by the Central Public Health Laboratory, London, United Kingdom) originally isolated from sputum of a chronically infected patient with cystic fibrosis. Cryopreserved bacteria were maintained at -80° until being revived on horse blood agar (HBA) and incubated for 20–22 h at 37 °C with 5% CO₂ Isolated colonies were suspended in 1 mL media and grown to the exponential phase in a shaking incubator at 37 °C with 5% CO₂ for 3–4 h until blank-corrected absorbance was 0.06–0.17 for *P. aeruginosa* and 0.1–0.2 for *S. pneumoniae*; these absorbances were equivalent to ~10⁸ CFU/mL, predetermined experimentally and checked for suspensions prepared separately for each assay. Stock was diluted in media to achieve a working suspension of 10⁶ CFU/mL, finally reduced to 5 × 10⁵ CFU/mL in assays.

2.2.3. Antimicrobial-antibiofilm coupled assay

The liquid growth microdilution method using 96-well plates was applied as per Clinical and Laboratory Standards Institute (CLSI) procedures [22] for antimicriobial assays with some modifications for determination of biofilm inhibition. Three experiments were run for each bacteria species; these were designed to test: 1) exposure to DMSO alone across a range of DMSO concentrations (0.05–25% DMSO in log₁₀ serial dilutions), 2) antibiotics (0.03–16 ug/ml) in combination with fixed 1% and 2% DMSO, and, 3) antibiotics (0.03–16 ug/ml) with varying proportional changes in DMSO concentration (0.03–12.5%), respectively.

The plates were prepared as follows: Experiment 1) 50 μ L media was added to all wells, then 50 μ L of pure DMSO was added to column A and ten-fold serial dilutions were made to consecutive rows before adding 50 μ L of bacterial suspension; Experiment 2) 49 μ L media and 48 μ L media were added to rows D-E and G-H, respectively before adding 50 μ L of antibiotic stocks to wells in column A and serially diluting 10-fold. 50 μ L of bacterial suspension were added to all treatments and finally1 μ L DMSO (1%) was added to rows D-E and 2 μ L DMSO (2%) to rows G-H; Experiment 3) antibiotic stocks were reconstituted in 1:1 PBS:DMSO then plates were prepared with media and bacteria as per experiment 1. All plates included two positive-growth media controls, two blank media controls, and duplicate ten-fold dilutions of antibiotics (0% DMSO) as negative controls– control data were pooled across all experiments for comparisons.

Plates were incubated for 18–22 h at 37 $^{\circ}$ C with 5% CO₂ then read spectrophotometrically at OD 600 to measure antimicrobial activity. The same plates were evaluated for inhibition of biofilm formation, similar to [29–31]. Planktonic bacteria and media were carefully aspirated from wells before 200 μ L PBS was added to each well then

discarded and repeated to rinse. Plates were sprayed liberally with 80% v/v ethanol and allowed to dry for 20 min to fix adhered biofilms, which were then stained with 200 μ L 0.1% crystal violet over 20 min. Excess stain was discarded and plates were again twice rinsed with 200 μ L PBS and tapped dry onto absorbent pads. Stained biofilms were solubilised with 200 μ L 5% v/v glacial acetic acid and OD was measured at 570 nm.

Treatments were duplicated in each assay. Assays were repeated seven times in Experiment 1, five times in Experiment 2, and three times in Experiment 3 (total 15 assays per species). DMSO controls were not used to correct data because their purpose was to test the hypothesis that the addition of DMSO to standard antibiotic treatments results in significantly different dose-responses compared to antibiotics without DMSO, thereby demonstrating the importance of appropriate controls and data corrections. Blanks were used to correct all raw measurements and means of duplicate data from each assay were used in the statistical analysis. MIC values were recorded as the minimum treatment concentrations inhibiting growth relative to untreated (media-only) blanks, as per [22]. Biofilm inhibition was calculated as a percentage relative to the positive-growth control (100-[treatment/positive control] \times 100).

2.2.4. Statistical analysis

We modelled the absorbance and inhibition data following inverse U-shaped and U-shaped Bayesian hormetic dose-response curves, respectively [32]. For the inverse U-shaped absorbance curves, the response (y) of observation i, for i = 1, 2, ..., n observations, was assumed normally distributed with expected value following:

$$\mathsf{E}(y_i) = c + \frac{d - c + f \exp \frac{-1}{x_i^{\alpha}}}{1 + \left(\frac{x_i}{e}\right)^b}$$

And for the U-shaped inhibition curves, the response (*y*) of observation i, for 1,2, ...,*n*, following [33]:

$$\mathrm{E}(\mathbf{y}_i) = c - \frac{c - d + f \exp \frac{-1}{x_i^a}}{1 + \left(\frac{x_i}{e}\right)^b}$$

where x_i is the concentration of the treatment, *c* and *d* are the expected response at infinite and 0 (baseline) concentration, respectively, f is the hormesis parameter (hormesis present for f > 0), α is a rate parameter, eis the median effective concentration (EC_{50}) in the absence of hormesis (providing a lower bound on the EC_{50} in the presence of hormesis), and b is the slope at e [32]. For Experiment 1 (DMSO-alone), we fit species-specific curves with x representing DMSO concentration for both absorbance and inhibition. Experiment 2 (antibiotic with fixed 1% and 2% DMSO) and Experiment 3 (antibiotics with variable DMSO concentrations) were analysed together and presented along with antibiotic controls (0% DMSO) to facilitate comparison of the effects of DMSO. We fit species- and treatment-specific curves with x representing respective antibiotic concentrations, for both absorbance and inhibition. For each model, we included covarying random trial effects. We used NIMBLE 0.12.2 [34] through R 4.1.0 [35] for Bayesian inference using Markov chain Monte Carlo (MCMC) methods to estimate posterior distributions of all model parameters, except for α , which was fixed at 0.5 [32]; the R script is available at https://github.com/mhollanders/dmso. We used weakly informative priors: for absorbance models, c was fixed at 0, $d \sim$ N(0, 1²), and $f \sim$ Half-N(0, 1²); for inhibition modes, *c* was fixed at 100, $d \sim N(0, 100^2)$, and $f \sim Exponential(1)$; for inhibition models, c was fixed at 100, $d \sim N(0, 100^2)$, and $f \sim Exponential(0.01)$. For both models, $e \sim \text{Uniform}(0, x_{\text{max}})$, where x_{max} is the highest concentration of x used, and $b \sim \text{Exponential}(0.1)$ For the residual error and random trial effects, we specified Exponential(1) and Exponential(0.1) priors for absorbance and inhibition models, respectively, and we used LKJ priors on the Cholesky factors of correlation matrices of random trial effects. To test for the presence of hormesis, we included reversible jump MCMC (RJMCMC; [36]) on the hormesis parameter. Briefly, RJMCMC samples models with different dimensions and can be used to test for the

inclusion of model parameters. In the presence of hormetic effects, f will be included in the model and can take non-zero positive values; in the absence of hormesis, f will be excluded from the model and the corresponding value of f will be 0, replacing the models above with a standard log-logistic dose-response curve. We ran two chains for 60,000 iterations, discarded 10,000 as burn-in, and thinned remaining samples by 10, yielding 10,000 posterior samples per model. Convergence was confirmed by visual inspection of traceplots and Rhat values. We reported parameters as medians and 95% (equal-tailed) credible intervals (CIs), with RJMCMC inclusion probabilities where applicable. To identify differences in EC₅₀ baseline inhibition between different treatments, we compared the posterior distributions of e and d, respectively, between groups in respective models. Differences were considered significant when 95% CIs of the differences did not overlap 0.

3. Results and discussion

3.1. Use of DMSO in the in vitro antibiofilm literature

3.1.1. Overall trends

A total of 88 articles are included in this review, three guarters of which (n = 65) were published within the past five years (Fig. 2). The most common method (61%, n = 69 articles) used to assess antibiofilm activity was by colorimetric quantification of the formation/degradation of biofilms established in 96-well plates using crystal violet to stain adhered cells (the crystal violet assay) (Fig. 2). Alternatively, 14% of articles (n = 12) determined biofilm cell viability by colorimetric metabolic reduction assays using XTT ([2,3-bis-[2-methoxy-4-nitro-5sulfophenyl]-2H-tetrazolium-5-carboxanilide]), MTT (3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyltetrazolium bromide) or resazurin (7-hydroxy-3H-phenoxazin-3-one 10-oxide) (Fig. 2; Supplementary spreadsheet). Other methods included visualising biofilms grown on glass slides or coverslips by confocal or scanning electron microscopy [37,38], quorum sensing assays [39-41], and novel experimental approaches such as scraping or sonicating biofilms off growth surfaces and culturing on agar to determine viable colonies [37,42–45].

3.1.2. Articles using DMSO as a solvent

Since 2001, there have been at least 76 published articles using treatments incorporating DMSO to enhance the solubility of over 1500 different natural or synthetic products for in vitro antibiofilm screening (Table 1; Supplementary spreadsheet). Types of tested products range from crude or semi-purified extracts, mainly of plant material, to isolated phenols, terpenes, nanoparticles, proteins, aldehydes, azoles and fatty acids; targets have included a range of human and veterinary bacterial pathogens, as well as fungal Candida sp. (Supplementary spreadsheet). The concentrations of DMSO used to enhance extract/ compound solubility to which biofilms were exposed ranged from <1 to 100% but were typically less than 10% (n = 43 articles) and most commonly less than 1% (n = 28 articles) (Table 1). While pure DMSO was often used to prepare stock solutions of test substances, only final exposure concentrations were of interest and were almost always lower than stocks following dilution with bacterial suspensions in media [46-48] (Supplementary spreadsheet). However, in assays measuring degradation of preformed biofilms whereby test solutions were added to effectively dry, adhered bacterial cells after media was discarded, high (50-100%) final DMSO exposures occurred if stocks were applied undiluted [49,50] (Table 1; Supplementary spreadsheet). Final DMSO exposure concentrations were directly specified or otherwise calculable based on information provided in methods in 66% of articles (n = 50) (Table 1). Final DMSO exposure concentrations were neither directly stated nor calculable and therefore considered altogether unspecified in 34% (n = 26 articles) (Table 1). In recognition of the potential confounding effects of the solvent, methods should always directly state the final DMSO concentrations to which treated biofilms are exposed and/or provide solution volumes and dilution factors to enable their calculation



Fig. 2. In vitro antibiofilm studies, and the dominant assays used, incorporating DMSO published over the past decade (n = 88) reflecting an overall increase in biofilm research in recent years.

Table 1

DMSO solvent concentrations and experimental controls reported in articles testing natural or synthetic extracts/compounds for antibiofilm activity *in vitro*.

| % DMSO used to solubilise treatments | Total number | % of total articles ($n = 76$) | | |
|--------------------------------------|--------------|----------------------------------|--|--|
| | of afficies | 70) | | |
| Stated or calculable: | | | | |
| <1% | 21 | 28 | | |
| 1.0-2.0% | 6 | 8 | | |
| 2.1-5.0% | 12 | 16 | | |
| 5.1–10.0% | 4 | 5 | | |
| 10.1–50.0% | 2 | 3 | | |
| 50.1–100% | 5 | 7 | | |
| Total stated or calculable | 50 | 66 | | |
| Unspecified: | 26 | 34 | | |
| Total: | 76 | | | |
| Was at least one DMSO control | | % of total articles ($n =$ | | |
| present? | | 76) | | |
| Yes | 38 | 50 | | |
| % of articles by QI | | | | |
| No | 38 | 50 | | |
| % of articles by QI | | | | |
| If present, was DMSO control | | % of articles with DMSO | | |
| concentration provided? | | control present ($n = 38$) | | |
| Yes | 28 | 74 | | |
| No | 10 | 26 | | |
| If present, was DMSO control data | | % of articles with DMSO | | |
| reported? | | control present ($n = 38$) | | |
| Yes | 20 | 53 | | |
| No | 18 | 47 | | |
| If DMSO control present, was there | | % of articles with DMSO | | |
| an additional media control? | | control present ($n = 38$) | | |
| Yes | 18 | 47 | | |
| No | 20 | 53 | | |
| | | | | |

(the former is preferrable to avoid potential error when interpreting methods).

Concerningly, only half of the studies using DMSO as a solvent included at least one DMSO control in the experimental design (Table 1). Those that did not include relevant DMSO controls (n = 38 articles) often used low concentrations ($\leq 1\%$ DMSO) to deliver treatments, which likely contributed to a reduced perceived need for a solvent control [47,51–55]. Studies that used higher DMSO concentrations without solvent controls were more concerning [49,50,56–64] as were studies that did not provide concentrations of DMSO used in treatments

and did not include solvent controls [43,65–77]. Media-only controltreated biofilms were frequently used in the calculations [50,56,57,78, 79] although it is most appropriate to compare treatments delivered with DMSO to DMSO-only control-treated biofilms normalised to 100% growth as in [41,80–82]. Alternatively, the range of treatments may be delivered with and without DMSO, as per Campbell et al. [83] who applied a range of ethyl 4-ethoxybenzoate (EEB) concentrations in media as well as the same EEB concentrations in media with 1% DMSO, to *Staphylococcus aureus, Bacillus subtilis* and *Streptococcus mutans* biofilms. EEB with 1% DMSO resulted in significant biofilm inhibition relative to the positive media control whereas EEB without DMSO did not, and the authors noted this potentiation effect (pp. 6).

Of the studies that did employ at least one DMSO control, 26% (n =10 articles) did not specify the control concentration. However, when the concentration of DMSO used to solubilise treatments was provided, it can be reasonably assumed that the same DMSO concentration was used for the control [84-86] and vice versa [82,87]. More problematic were studies wherein both solvent (test solution) and control DMSO concentrations were not clearly specified [45,73,81,88]. While statements were occasionally included to imply that the experiments were controlled to a degree, greater transparency regarding the control conditions and any findings relative to the treatments would be best. It was also problematic when DMSO concentrations in treatments varied and a single control concentration was used [41,89], or when the DMSO exposure and control concentrations did not match [46]. Moving forward, DMSO control concentrations should reflect all DMSO concentrations that biofilms are exposed to by means of delivering the extract/compound of interest. Sometimes, DMSO solvent and/or control details were provided for certain assays/components of the study, but information was lacking as to whether these conditions were identical for the biofilm inhibition assays [48,65,69,80]; it can be assumed that conditions were the same, yet this is not always obvious or reliable. Despite these studies being evidently comprehensive, there is value in clearly explaining antibiofilm assay method to reduce any ambiguity in how to replicate it and interpret the results.

Of the studies that did include DMSO controls, 47% (n = 18) did not report or discuss the control data (even as supplementary) and additional media controls were frequently excluded (53% of studies; [90–92]), such that it was firstly difficult to interpret exactly how antibiofilm activity (i.e., % inhibition data) was calculated and secondly if any effects of DMSO were observed (Table 1; Supplementary spreadsheet). Whilst statements regarding the inclusion of a DMSO control and lack of effect thereof can provide reassurance that the potential for confounding solvent effects was considered, we did not consider ambiguous statements without supporting data as sufficient control reporting for the purpose of this review [43,54,84,93]. In future, the percent inhibition of treatments delivered in DMSO should always be benchmarked against the relevant solvent controls (not the media control [94]) to avoid potentially incorrect estimations of effective concentrations and all data should be reported.

Often, antibiotic controls were used in the antimicrobial assay but no equivalent control was used in the antibiofilm component [61,66,68, 84]. This indicates an additional need to routinely include standard antibiofilm agents or antibiotics specifically known to inhibit biofilms, and ideally develop species-specific reference data similar to MIC breakpoints [16], for data quality assurance and to facilitate inter-laboratory comparison of data.

The issues aforementioned were detected across a wide variety and prestige of journals (Q1-Q4, Supplementary spreadsheet). This implies a fairly widespread lack of appreciation for the potential confounding effects of DMSO in *in vitro* antibiofilm experiments and a need to revisit

the importance of adequate controls in any biological assay. It is necessary to collate further evidence for the direct effects of DMSO on biofilms and rigorously investigate potential synergistic effects when DMSO is used to solubilise potential or established antimicrobial agents.

3.1.3. Articles using DMSO as a treatment

There have been 12 studies (one published as early as 1989 [95], another in 1999 [96], but others only more recently – from 2014 onward [Supplementary spreadsheet]), that have used DMSO alone as a biofilm treatment thereby acknowledging it's potential antibiofilm properties (Table 2). DMSO applied between 0.01 and 100% has shown significant effects on biofilm formation in clinically important species including Gram-negative *P. aeruginosa* (4 studies), *Escherichia coli* (4 studies) and *Salmonella typhimurium* (4 studies), and Gram-positive *Staphylococcus* sp. (2 studies) (Table 2). Yahya et al. [26] forthrightly state that "DMSO...is regarded as an effective antibiofilm agent" (pp. 29) albeit at a relatively high concentration of 32%. In early antimicrobial/antibiofilm experiments Sampaio et al. [42] used DMSO to solubilise a methanolic plant extract but in a subsequent biofilm model used water as opposed to DMSO "to avoid interference [of the solvent] in cell adhesion" (pp. 293).

Table 2

Studies using dimethyl-sulfoxide (DMSO) as a treatment in *in vitro* antibiofilm assays: general results and proposed mechanisms of action. ATCC: American Type Culture Collection; EPS: exopolysaccharide; OS: guorum sensing.

| Target species | DMSO conc. | DMSO effect on biofilms | Proposed mechanism of action | Ref |
|--|---------------------------------------|---|--|---------------------|
| Burkholderia cepacia; B. pyrrocinia (clinical isolate); Pseudomonas aeruginosa | 10–100% | DMSO dissociated double-stranded segments of cepacian (EPS) molecules leading to dispersion of polymeric chains and formation of a porous biofilm | DMSO induces disruption of polymer chain aggregation in polysaccharides | [102] |
| Staphylococcus aureus strains 72, 80, 510 ATCC 29213 | 1/1, 1/3, 1/9 | When directly adding DMSO to a biofilm, a complete disruption of this biofilm was macroscopically observed | Not provided | [<mark>96</mark>] |
| P aeruginosa (PAO1), Escherichia coli | 2% v/v (10% v/v for model) | DMSO significantly attenuated a range of QS-controlled virulence factors and biofilm formation at a non- inhibitory growth concentration; DMSO did not affect antibiotic MICs up to 2%; DMSO treatment reduced mortality in a murine model of <i>P. aeruginosa</i> wound infection | Reduction of C ₄ -HSL (<i>N</i> -butanoyl- <i>i</i> -homoserine lactone) involved in <i>las</i> and <i>rhl</i> QS systems was the main influence on virulence factors; "[the impact of DMSO] on virulence factors of bacterial pathogens complicates its usage as a solvent in biological and medicinal studies." | [25] |
| E. coli UT189, UT189csgA, MC4100, MC4100csgA | 0.05–4% | At low concentrations (<1%) DMSO had no effect, at high concentrations (2–4%) DMSO (and ethanol, but to a lesser extent) increased cellular agglutination in broth and increased curli expression (adhesion molecule) to <i>enhance</i> biofilm formation | Effects currently not understood at the molecular and atomic level; "DMSO was not being metabolized or transformed by <i>E. coli</i> ." | [108] |
| E. coli (n = 10), Klebsiella pneumoniae (n = 10), and P. aeruginosa (n = 8) isolates | 30% | DMSO significantly reduced preformed biofilm biomass and viable colony forming units; more effective than other tested agents (hypochlorous agents, ozone, antimicrobial peptide mimic); different efficacy depending on bacteria species | Not provided | [113] |
| Pseudomonas fluorescens (H2S) | 2% and 5% | "Treatment with DMSO produced different results in separate experiments, causing a slight decrease in biofilm thickness at 2% and at times an increase at 5% (data not shown)" | Not provided | [95] |
| Shewanella sp. (20 strains from various environmental and clinical sources) | 0.55–70 mM | DMSO (35 mM) increased biofilm production up to 3- fold in some isolates, but not in others, under different conditions- addition of nitrates (electron acceptors) resulted in a 3-fold reduction in biofilm formation at the same DMSO concentration | DMSO reduction is variable among certain isolates; respiration-driven biofilm formation may constitute a mechanism of niche colonization by specialized strains; a terminal DMSO reductase is involved in extracellular respiration and uses sulfoxides and N- oxides as substrates | [110] |
| Staphylococcus epidermidis (ATCC 35984) | 0.0039–1% | Biofilm formation stimulated by 12–42% (p $<$ 0.05) with DMSO | Likely strain dependent; recommend use of $<1\%$ methanol as solvent as opposed to DMSO | [109] |
| Corynebacterium pseudotuberculosis (clinical isolate); Salmonella typhimurium ATCC 14028 | 50, 25, 12.5, 6.25, 3.13, 1.56% | DMSO significantly inhibited <i>C. pseudotuberculosis</i> biofilm formation at all concentrations relative to the control but the effect was similar between concentrations. | DMSO may inhibit functional linkages between glycolytic enzymes (hub proteins) | [103] |
| C. pseudotuberculosis (clinical isolate); S. typhimurium (ATCC 14028) | 50, 25, 12.5, 6.25, 3.13, 1.56% | All DMSO concentrations significantly inhibited <i>C. pseudotuberculosis</i> biofilm but not <i>S. typhimurium</i> and was the more effective than EDTA and EtOH | "Inhibition of bacterial growth by DMSO is known to involve membrane perturbation." | [114] |
| S. typhimurium (ATCC 14028) | 1–32% | DMSO (32%) inhibited pellicle formation, biofilm viability, biofilm biomass and several important components of the EPS matrix; planktonic bacteria were affected differentially by different DMSO concentrations | "Protein interaction network analysis identified several biological pathways to be affected, including glycolysis, PhoP–PhoQ phosphorelay signalling and flagellar biosynthesis; DMSO may inhibit multiple biological pathways to control biofilm formation." | [100] |
| E. coli ATCC 1299, P. aeruginosa (ATCC 10145), and S. typhimurium (ATCC 14029) | 1–32% | Significantly lower EPS protein conc with DMSO alone (32%) and afatanib + DMSO treatments; "planktonic fractions were affected differentially by DMSO" - killing effect at 10% DMSO | "DMSO, but not afatinib, is regarded as an effective antibiofilm agent [at 32%]. Chemical modification of EPS matrix may account for, at least, a part of the mode of action of DMSO." | [26] |

Several authors appear to have discovered an effect of DMSO somewhat accidently, including Guo and colleagues [25] who unexpectedly found that DMSO (2%, v/v) exhibited significant antagonistic activities on the quorum sensing system of *P. aeruginosa* and warned that "[the impact of DMSO] on the virulence factors of bacterial pathogens complicates its usage as a solvent in biological and medicinal studies. At the least, the use of caution over such an effect is warranted when DMSO is used as a vehicle in antibiotic research" (pp. 7168). To our knowledge, Guo et al. [25] are the only other authors to identify and report the issue emphasised by this article.

DMSO has long been used in biomedical research and practice to treat a range of diseases; it is approved for cell/organ cryopreservation and treatment of interstitial cystitis, and to assist with topological and anti-inflammatory treatments in veterinary medicine [15,97–99]. But, the clinical utility of DMSO is controversial since the specific pharma-cological mechanisms are largely unknown [99,100]. Nevertheless, the bioactivities of DMSO are considered functions of the polarity of the molecule and its ability to scavenge reactive oxygen species [101]. With

reference to mechanisms of antibiofilm activity, it has been suggested that DMSO may disrupt polymer chain aggregation in polysaccharides (i.e., the major component of bacterial EPS matrices, and yeast cell walls comprised of glucan and mannan) [26,102], chemically reduce critical components of quorum sensing (i.e., regulating biofilm proliferation) pathways [25], inhibit functional protein linkages [100,103], and alter the electrostatic charge, solubility and interactions between polysaccharides [104] and proteins [105] to weaken the overall adhesion forces between the biofilm and the surface. This is in general agreement with certain clinical antibiofilm agents which effectively disperse/disassemble EPS components [106]. However, more research is warranted to fully ascertain the species-specific mechanisms by which DMSO affects biofilm formation as this information is mostly speculative at present (Table 2).

The relationship between DMSO concentration and biofilm inhibition/degradation (and planktonic growth above threshold concentrations) is, in most cases, inverse and high concentrations (generally >10%) show strong antimicrobial and antibiofilm activity [107] (Fig. 3;



Fig. 3. Predicted dose-response curves (median and 95% credible intervals) for antimicrobial activity (as absorbance) (A–B) and biofilm inhibition (as percentage reduction relative to 100% positive-growth control) (C–D) of *Streptococcus pneumoniae* and *Pseudomonas aeruginosa* as functions of dimethyl-sulfoxide (DMSO) concentration in media. Points are means of blank corrected measured data from repeated assays (n = 7 per species). The curve of D was fitted excluding points marked in red. (For interpretation of the references to color in this figure legend, the reader is referred to the online version of this article.)

Fig. 4). However, microorganisms may respond differently to DMSO, particularly at low and intermediate concentrations, depending on the specific strain, their metabolic state, and growth conditions [108]. Four studies reported that relatively low DMSO concentrations actually stimulated biofilm formation: 2% DMSO caused a decrease in Pseudomonas fluorescens (Gram-negative) biofilm thickness, but 5% DMSO caused an increase in biofilm thickness [95]; Staphylococcus epidermidis (Gram-positive) biofilms were stimulated by 0.0039–1% DMSO [109]; 35 mM DMSO (equivalent to \sim 0.25%) showed both stimulatory and inhibitory activity on Shewanella sp. (Gram-negative) biofilms, depending on the strain [110]; and 2-4% DMSO stimulated biofilm formation in uropathogenic Escherichia coli, but <0.1% DMSO showed no effect [108] (Table 2). This could reflect differential induction of biofilm formation in response to variable respiration pathways i.e. certain strains of bacteria may be able to use DMSO as an electron acceptor, particularly under anerobic conditions [108]. As well, there is limited evidence suggesting that low DMSO concentrations may provide a protective effect from reactive oxygen species, increasing bacterial tolerance to antimicrobial agents [111]. Our investigations confirmed strong stimulation of P. aeruginosa biofilm formation at 6.3% DMSO before causing significant inhibition (Fig. 4). This is a hormetic response whereby low doses of a xenobiotic agent induce a response opposite to that at high doses resulting in a biphasic dose-response relationship, which is a frequently encountered phenomenon in (eco)toxicological literature [112]. A hormetic or otherwise variable dose-response would only be detected/reported in the literature if a wide range of DMSO concentrations were tested, so it may be the case that the stimulatory effects of DMSO noted in selected articles were a function of limited DMSO test concentration ranges. Further studies are required to investigate why DMSO exerts a hormetic effect on P. aeruginosa biofilm formation. Regardless of the rationale or underlying mechanisms, the effect of DMSO is not always predictable nor linear and accordingly each DMSO concentration used in antibiofilm assays requires a discrete control.



Fig. 4. Predicted dose-response curves (median and 95% credible intervals) for antimicrobial activity (as reduction in absorbance) (A–B) and biofilm inhibition (as percentage reduction relative to 100% positive-growth control) (C–D) of *Streptococcus pneumoniae* and *Pseudomonas aeruginosa* as functions of antibiotic (ampicillin and gentamicin) concentration with different dimethyl-sulfoxide (DMSO) additions (i.e., 0% DMSO; antibiotic with 1% and 2% DMSO added to all treatments, antibiotic with variable proportionate changes in DMSO). Points are means of blank corrected measured data from repeated assays (n = 5 for 1% and 2% DMSO, n = 3 for variable DMSO, n = 15 for 0% DMSO). Superscripted letters in the legends show significant differences in 50% effective concentration (EC₅₀) values between DMSO treatments.

3.2. Experimental work

3.2.1. QA/QC

In all assays, MICs were 0.25 µg/mL ampicillin for *S. pneumoniae* and 2 µg/mL gentamicin for *P. aeruginosa* providing data quality assurance (CLSI MIC breakpoints \leq 0.25 µg/mL ampicillin for *Streptococcus* sp., \leq 4 µg/mL gentamicin for sensitive *P. aeruginosa*) [16].

3.2.2. Experiment 1: The effect of DMSO alone

3.2.2.1. Antimicrobial activity (planktonic growth inhibition). The MIC for DMSO alone was 12.5% (\pm 0.00) against both *S. pneumoniae* and *P. aeruginosa*. Using Bayesian hormetic dose-response curves [32] we estimated antimicrobial EC₅₀ values for DMSO alone of 6.44% (95% credible intervals [CI]: 4.037, 7.374) for *S. pneumoniae* and 5.34% (95% CI: 4.704, 8.052) for *P. aeruginosa*, and the susceptibility of the species was similar (difference in EC₅₀ of 1.11% [95% CI: -0.77, 2.93]) (Fig. 3A–B, Table 3). A hormetic response was detected (Reversible Jump Markov chain Monte Carlo [RJMCMC] inclusion: 100%, *f* = 0.72 [95% CI: 0.29, 1.18]) for *P. aeruginosa* with DMSO at intermediate concentrations causing an increase in planktonic growth before it declined at \geq 6.3% DMSO (Fig. 3A–B). There were no hormetic responses detected for *S. pneumoniae* (RJMCMC inclusion: 0%).

3.2.2.2. Biofilm inhibition. DMSO inhibited the formation of *S. pneumoniae* and *P. aeruginosa* biofilms with EC₅₀ values of 5.904% (95% CI: 4.308, 7.561) and 2.907% (95% CI: 1.374, 2.907), respectively, which differed significantly between the species (2.994% [95% CI: 0.835, 5.337]) (Fig. 3C–D; Table 3). *P. aeruginosa* biofilms were therefore almost twice as susceptible to DMSO than *S. pneumoniae*. There was some evidence for a hormetic response in *P. aeruginosa* (RJMCMC inclusion: 60.3%, f = 17.904 [95% CI: 0, 78.727]) but not in *S. pneumoniae* (RJMCMC inclusion: 4.9%).

P. aeruginosa biofilm formation was inhibited at low DMSO concentrations (mean 5% and 40% biofilm inhibition was observed at 1.6% DMSO and 3.1% DMSO, respectively) and high DMSO concentrations (>98% inhibition observed at \geq 12.5% DMSO) but interestingly, the intermediate concentration of 6.3% DMSO had a strong promotion effect with a mean 262% increase in biofilm formation (i.e., 262% decreased inhibition, Fig. 3D). These data deviated considerably from the observed trend and were excluded from the dose-response model to enable calculation of EC₅₀ values though were nonetheless remarkable and highly repeatable (Fig. 3D).

3.2.3. Experiments 2 & 3: The effect of DMSO on the activity of standard antibiotics

3.2.3.1. Antimicrobial activity (planktonic growth inhibition). DMSO had

limited impact on the antimicrobial activity of ampicillin against *S. pneumoniae* with no significant differences in EC_{50} between treatments (Table 3, Fig. 4A). Although 95% CIs marginally included 0, there appeared to be differences in baseline antimicrobial activity (parameter *d* in dose-response curves, Table 3) between DMSO treatments: 1% and 2% DMSO treatments had borderline significantly lower antimicrobial activity at baseline compared to 0% and variable DMSO treatments (Fig. 4A). No hormetic effects were detected for *S. pneumoniae* with low RJMCMC inclusions probabilities and 95% CIs of hormetic effects (*f*) encompassing 0.

DMSO had a more considerable effect on the antimicrobial activity of gentamicin against *P. aeruginosa*. Antimicrobial EC_{50} values were reduced from 1.505 µg/mL (95% CI: 1.329, 1.759) for gentamicin alone (0% DMSO) (Exp. 1, 2, 3) to 1.378 µg/mL (95% CI: 1.116, 1.672) with 1% DMSO, 1.172 µg/mL (95% CI: 1.088, 1.272) with 2% DMSO (Exp. 2), and 1.048 µg/mL (95% CI: 1.004, 1.118) with variable DMSO (Exp. 3). EC_{50} values for 2% and variable DMSO treatments were significantly lower than gentamicin alone (0% DMSO) (Fig. 4B, Table 3). These data suggest an increase in the activity of gentamicin with each treatment and thus the importance of DMSO controls in antimicrobial activity assays using *P. aeruginosa* even when DMSO concentrations are reasonably low. Hormetic effects were detected for all DMSO treatments with high RJMCMC inclusion probabilities and all 95% CIs not encompassing 0.

3.2.3.2. Biofilm inhibition. Antibiofilm EC_{50} values for ampicillin against *S. pneumoniae* decreased stepwise with 1% (0.246 µg/mL [CI: 0.112, 0.382]), 2% (0.235 µg/mL [CI: 0.110, 0.366]) and variable (0.196 µg/mL [CI: 0.038, 0.377]) DMSO implying stronger activity than ampicillin alone (0% DMSO; 0.258 µg/mL [CI: 0.183, 0.336]) (Fig. 4C; Table 3). However, in alignment with results for antimicrobial activity, none of these differences in EC_{50} or baseline inhibition (*d*) were significant (Fig. 4C, Table 2). No hormetic effects were detected with low RJMCMC inclusions probabilities and hormetic effect posterior distributions encompassing 0.

Results for *P. aeruginosa* were more notable since low DMSO concentrations definitely interfered with the measured activity of gentamicin. Variable DMSO significantly reduced the EC₅₀ value for gentamicin (EC₅₀ 1.504 µg/mL [95% CI: 1.203, 1.793]) compared to gentamicin alone (0% DMSO; EC₅₀ 2.032 µg/mL [95% CI: 1.953, 2.114]) and gentamicin with 1% and 2% DMSO (1.943 µg/mL [95% CI: 1.752, 2.087] and 2.179 µg/mL [95% CI: 1.96, 2.535], respectively) (Fig. 4D, Table 3). Variable DMSO also acted synergistically to promote biofilm formation at low gentamicin concentrations (Fig. 4D). Baseline inhibition (*d*) increased with 1% (17.7% [95% CI: 4.79, 30.9]) and 2% (53% [95% CI: 39.8, 66]) relative to 0% (-14.4% [95% CI: -22.4, -6.36]) DMSO indicating stronger activity at lower gentamicin concentrations with these treatments (Fig. 4D).

Table 3

Posterior distributions of 50% effective concentrations (EC₅₀) values (median, standard deviation [SD], and 95% credible intervals [CI]) for DMSO and antibiotics (Amp: ampicillin, Gent: gentamicin) estimated using Bayesian hormetic dose-response curves based on *in vitro* antimicrobial-antibiofilm assays using *Streptococcus pneumoniae* and *Pseudomonas aeruginosa* (per species: n = 7 for DMSO alone, n = 5 for DMSO 1% and 2%, n = 3 for variable DMSO, n = 15 for ampicillin/gentamicin alone).

| Bacteria sp. | Experiment | Treatment | EC50 values for antimicrobial activity | | | EC50 values for biofilm inhibition (%) | | |
|---------------|------------|----------------------|--|-------|----------------|--|-------|----------------|
| | | | Median | SD | 95% CI | Median | SD | 95% CI |
| S. pneumoniae | 1 | DMSO alone | 6.474% DMSO | 0.797 | [4.915, 8.088] | 5.904% DMSO | 0.815 | [4.308, 7.561] |
| | 2 | Amp alone (0% DMSO) | 0.168 μg/mL Amp | 0.015 | [0.139, 0.200] | 0.258 µg/mL Amp | 0.039 | [0.183, 0.336] |
| | | Amp + 1% DMSO | 0.168 µg/mL Amp | 0.03 | [0.114, 0.229] | 0.246 µg/mL Amp | 0.068 | [0.112, 0.382] |
| | | Amp + 2% DMSO | 0.16 µg/mL Amp | 0.03 | [0.106, 0.223] | 0.235 µg/mL Amp | 0.064 | [0.110, 0.366] |
| | | Amp + variable DMSO | 0.143 µg/mL Amp | 0.031 | [0.081, 0.206] | 0.196 µg/mL Amp | 0.087 | [0.038, 0.377] |
| P. aeruginosa | 1 | DMSO alone | 5.226% DMSO | 0.442 | [4.377, 6.141] | 2.907% DMSO | 0.785 | [1.374, 4.431] |
| | 2 | Gent alone (0% DMSO) | 1.505 μg/mL Gent | 0.121 | [1.329, 1.759] | 2.032 µg/mL Gent | 0.041 | [1.953, 2.114] |
| | | Gent + 1% DMSO | 1.378 µg/mL Gent | 0.134 | [1.116, 1.672] | 1.943 µg/mL Gent | 0.084 | [1.752, 2.087] |
| | | Gent + 2% DMSO | 1.172 µg/mL Gent | 0.047 | [1.088, 1.272] | 2.179 µg/mL Gent | 0.149 | [1.960, 2.535] |
| | | Gent + variable DMSO | 1.048 µg∕mL Gent | 0.029 | [1.004, 1.118] | 1.504 μg/mL Gent | 0.154 | [1.203, 1.793] |

P. aeruginosa is the most common biofilm model organism (used in 30% [n = 26] of articles reviewed) owing to the clinical significance and virulence of biofilms formed by this species and the relative ease of establishing them in vitro. P. aeruginosa biofilms are also most susceptible to the effects of DMSO, based on the literature [25,26,113] and our data (Figs. 3 and 4). As some explanation, Yahya et al. [26] demonstrated a significant reduction in total EPS protein with DMSO treatment [26] and Guo et al. [25] demonstrated that DMSO attenuated quorum sensing controlled biofilm formation. Acknowledging that DMSO significantly affects P. aeruginosa biofilm formation (alone and in combination with standard antibiotics) and that this effect is non-linear and variable, it is of paramount importance that DMSO solvent concentrations are as low as possible and DMSO controls are precise when using this species. A caveat to this point is that, considering our non-significant findings for S. pneumoniae versus significant findings for P. aeruginosa (Figs. 3 and 4) and the differences between responses reported in the literature (Table 2), the effect of DMSO is highly species dependent (and probably also influenced by the different extract/compound it is delivering). This may be due to differences in EPS polysaccharide/protein composition, concentration and chemical nature [115] and guorum sensing molecules between species and Gram-type. So, assumptions ought not be made as to whether the use of DMSO is concerning and/or whether controls should or should not be used based on the species of bacteria: when DMSO is used as a solvent, controls must be unequivocally included as standard practice in all antibiofilm assays.

4. Conclusion and recommendations

Screening for antibiofilm activity is an important area of pre-clinical research and product solubility challenges are commonly overcome using carrier solvents. By convention, controls should always be performed in any biological assay to exclude the solvent as a confounding factor. Unfortunately, insufficient DMSO controls undermine the validity of some recently published studies investigating the antibiofilm activity of many products with potential pharmacological utility. Our new experimental data confirms that DMSO can significantly affect biofilm formation in the model organism *P. aeruginosa*. Even at low concentrations, DMSO potentially alters the activity (somewhat unpredictably) of the antibiofilm agent of interest. Moving forward, the following criteria are essential when using DMSO as a solvent in *in vitro* antibiofilm assays:

- Specify the DMSO concentrations used to solubilise treatments and whether this is consistent or variable across dilutions; provide volumes and dilution factors to enable clear calculation of DMSO concentrations if not directly stated
- 2. Use positive-growth DMSO controls at specified concentrations corresponding to each of those used as the solvent for treatments
- 3. Include a positive-growth media control and compare to DMSO controls; provide control data
- 4. Include media-only blanks for data correction
- Include species-specific standard antimicrobial controls for data QA/ QC
- 6. Calculate biofilm inhibition relative to DMSO and media controls (normalised to 100% growth) to accurately determine the activity of the compound of interest. As a percentage, applicable to most colorimetric (crystal violet and metabolic reduction) assays, the formula for calculating standardised percent biofilm inhibition (and % degradation) is:

% inhibition =
$$100 - (\frac{\text{treatment with DMSO } x\% - \text{blank}}{\text{pos growth DMSO } x\% \text{ control} - \text{blank}}) \times 100$$

where:

 $Treatment = compound \ of \ interest \ including \ DMSO \ at \ concentration \ x\%$

Pos growth DMSO control = DMSO x% corresponding to treatment DMSO x% with biofilm growth (normalised to 100% biofilm growth)

Blank = media only (no biofilm growth)

In which case, the positive-growth DMSO controls may be separately compared to the positive-growth media control to elucidate the effect of the solvent alone, if of interest. Alternatively, the following may be used to incorporate all variables:

 $\times 100$

where:

Treatment = compound of interest including DMSO at concentration x%

Pos growth DMSO control = DMSO x% corresponding to treatment DMSO x % with biofilm growth

Pos growth media control = media with biofilm growth (normalised to 100% biofilm growth)

Blank = media only (no biofilm growth)

Data availability statement

The authors confirm that the data supporting the findings of this study are available within the article and its supplementary materials and at https://github.com/mhollanders/dmso.

CRediT authorship contribution statement

Kate Summer: Conceptualization, Methodology, Investigation, Literature review, Formal analysis, Writing – original draft, Writing – review & editing. **Jessica Browne:** Supervision, Conceptualization, Methodology. **Matthijs Hollanders:** Formal analysis, Writing – review & editing. **Kirsten Benkendorff:** Supervision, Conceptualization, Methodology, Writing – review & editing.

Declaration of competing interest

The authors declare no competing interests.

Acknowledgements

K.S. is the recipient of an Australian Government Research Training Program (RTP) stipend. Project funding and research facilities were provided by the SCU Faculty of Science and Engineering and SCU Faculty of Health.

Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.bioflm.2022.100081.

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