Dynamic Heterogeneity and DNA Methylation in Embryonic Stem Cells

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SUMMARY

Cell populations can be strikingly heterogeneous, composed of multiple cellular states, each exhibiting stochastic noise in its gene expression. A major challenge is to disentangle these two types of variability and to understand the dynamic processes and mechanisms that control them. Embryonic stem cells (ESCs) provide an ideal model system to address this issue because they exhibit heterogeneous and dynamic expression of functionally important regulatory factors. We analyzed gene expression in individual ESCs using single-molecule RNA-FISH and quantitative time-lapse movies. These data discriminated stochastic switching between two coherent (correlated) gene expression states and burst-like transcriptional noise. We further showed that the "2i" signaling pathway inhibitors modulate both types of variation. Finally, we found that DNA methylation plays a key role in maintaining these metastable states. Together, these results show how ESC gene expression states and dynamics arise from a combination of intrinsic noise, coherent cellular states, and epigenetic regulation.

INTRODUCTION

Many cell populations appear to consist of mixtures of cells in distinct cellular states. In fact, interconversion between states has been shown to underlie processes ranging from adult stem cell niche control ([Lander et al., 2009; Rompolas et al., 2013](#page-11-0)) to bacterial fitness (Sü[el et al., 2006\)](#page-12-0) to cancer development ([Gupta](#page-11-1) [et al., 2011](#page-11-1)). A central challenge is to identify transcriptional states, along with the mechanisms that control their stability and generate transitions among them.

Single-cell transcriptional studies have revealed substantial gene expression heterogeneity in stem cells ([Canham et al.,](#page-10-0) [2010; Chambers et al., 2007; Chang et al., 2008; Guo et al.,](#page-10-0) [2010; Yamanaka et al., 2010\)](#page-10-0). Moreover, subpopulations expressing different levels of *Nanog*, *Rex1*, *Dppa3*, or *Prdm14* show functional biases in their differentiation propensity [\(Haya](#page-11-2)[shi et al., 2008; Singh et al., 2007; Toyooka et al., 2008; Yamaji](#page-11-2) [et al., 2013](#page-11-2)). This heterogeneity could in principle arise from stochastic fluctuations, or ''noise,'' in gene expression [\(Eldar and](#page-11-3) [Elowitz, 2010; Raj et al., 2008; Zenklusen et al., 2008](#page-11-3)). Alternatively, it could reflect the coexistence of multiple cellular states, each with a distinct gene expression pattern showing correlation between a set of genes [\(Guo et al., 2010; Gupta et al., 2011; Jai](#page-11-4)[tin et al., 2014; Shalek et al., 2013\)](#page-11-4). Disentangling these two sources of variation is important for interpreting the transcriptional states of individual cells and understanding stem cell dynamics.

A related challenge is to understand the mechanisms that stabilize cellular states despite noise. DNA methylation has been shown to be heritable over many generations, is critical for normal development ([Okano et al., 1999\)](#page-12-1), and may help stabilize irreversible cell fate transitions ([Hackett et al., 2013; Reik, 2007;](#page-11-5) Schü[beler et al., 2000; Smith et al., 2012](#page-11-5)). However, the role of DNA methylation in the reversible cell state transitions that underlie equilibrium population heterogeneity has been much less studied ([Fouse et al., 2008; Mohn et al., 2008\)](#page-11-6). Recently, it was reported that exposing ESCs to inhibitors of MEK and GSK3 β (called 2i) abolishes heterogeneity and induces a "naïve" pluripotent state ([Marks et al., 2012; Wray et al., 2011\)](#page-11-7) with reduced methylation [\(Ficz et al., 2013; Habibi et al., 2013; Leitch et al.,](#page-11-8) [2013\)](#page-11-8). However, a causal role linking methylation, heterogeneity, and 2i remains to be elucidated.

Together, these observations provoke several fundamental questions: First, how do noise and states together determine the distribution of expression levels of individual regulatory genes ([Figure 1](#page-1-0)A)? Second, how do gene expression levels vary dynamically in individual cells, both within a state and during transitions between states ([Figure 1B](#page-1-0))? Finally, how do cells stabilize metastable gene expression states, and what role does DNA methylation play in this process?

Dynamics, Stochasticity, and Methylation in ESCs

Using single-molecule RNA-FISH (smFISH), we analyzed the structure of heterogeneity in the expression of key cell fate regulators, finding that distinct cell states account for most variation in some genes, while others are dominated by stochastic bursts. Using time-lapse movies of individual cells, we observed abrupt, step-like dynamics due to cell state transitions and transcriptional bursts. Finally, using perturbations, we observed that DNA methylation modulates the population fraction of cells in the two states, consistent with reciprocal expression of the methyltransferase *Dnmt3b* and the hydroxymethylase *Tet1*. Together, these results suggest how noise, dynamics, and epigenetic regulatory mechanisms contribute together to the overall distribution of gene expression states in stem cell populations.

RESULTS

Mouse ESCs Show Three Distinct Types of Gene Expression Distributions

The process of mRNA transcription is inherently stochastic. As a result, even a clonal cell population in a single state is expected to display variability in the copy number of each mRNA ([Blake](#page-10-1) [et al., 2003; Elowitz et al., 2002; Friedman et al., 2006; Ozbudak](#page-10-1) [et al., 2002; Paulsson and Ehrenberg, 2000; Peccoud and Ycart,](#page-10-1) [1995; Raj et al., 2006; Shahrezaei and Swain, 2008; Suter et al.,](#page-10-1) [2011](#page-10-1)), potentially leading to phenotypic differences between otherwise identical cells ([Cai et al., 2006; Choi et al., 2008; Maa](#page-10-2)mar et al., 2007; Sü[el et al., 2006; Zong et al., 2010\)](#page-10-2).

In order to accurately measure mRNA copy numbers in large numbers of individual ESCs, we developed an automated platform for smFISH [\(Supplemental Information\)](#page-10-3). This system enables rapid analysis of four genes per cell across \sim 400 cells per sample [\(Figures S1](#page-10-3)A–S1D). We validated the system by comparing three measures of expression of the same gene in the same cells using a Rex1-dGFP reporter line [\(Wray et al.,](#page-12-2) [2010](#page-12-2)) [\(Figure S1](#page-10-3)E).

Using this platform, we analyzed 36 pluripotency-associated regulators that play critical roles in ESCs or are heterogeneously

Figure 1. Different Types of Gene Expression **Heterogeneity**

(A) Intrinsic noise in gene expression can lead to uncorrelated variation (left), while the coexistence of distinct cellular states can produce correlated variability in gene expression (right). Both panels depict schematic static "snapshots" of gene expression.

(B) Dynamically, gene expression levels could vary infrequently and abruptly (left) or more frequently and gradually (right) both within and between cellular states (schematic).

expressed, as well as several markers of early cell fates and housekeeping genes. The resulting mRNA distributions exhibited a range of distribution shapes and degrees of heterogeneity [\(Figure 2A](#page-3-0)). We analyzed these distributions within the framework of bursty transcriptional dynamics. In this model, mRNA production occurs in sto-

chastic bursts that are brief compared to the mean interburst interval and are exponentially distributed in size. Bursty dynamics produce negative binomial (NB) mRNA distributions [\(Paulsson](#page-12-3) [et al., 2000; Raj et al., 2006](#page-12-3)), whose shape is determined by the frequency and mean size of bursts.

Genes exhibited three qualitatively distinct types of mRNA distributions. First, most genes were unimodal and well-fit by a single NB distribution ([Figures 2](#page-3-0)B and [S2A](#page-10-3), maximum likelihood estimation [MLE], χ^2 goodness of fit [GOF] test p > 0.05). This class included *Oct4*, *Rest*, *Tcf3*, *Smarcc1*, *Sall4*, and *Zfp281*. Coefficients of variation (CV) were typically \sim 0.5 for the most homogeneous genes ([Figure 2](#page-3-0)A).

Second, a subset of unimodal genes exhibited ''long-tailed'' distributions, in which most cells had few, if any, transcripts, while a small number of cells displayed many transcripts. These distributions were also well fit by a single NB distribution, but with resulting distributions that generally decreased monotonically with increasing mRNA concentration ([Figures 2B](#page-3-0) and [S2A](#page-10-3), χ^2 GOF p > 0.05). The most heterogeneous long-tailed genes had burst frequencies of less than one burst per mRNA half-life. These included $Tbx3$ (CV = 2.13 \pm 0.23, mean \pm SEM), *Dppa3* (CV = 1.76 ± 0.31), and *Prdm14* (CV = 1.599 ± 0.20). Other long-tailed genes such as *Pecam1*, *Klf4*, *Blimp1*, *Socs3*, *Nr0b1*, and *Fgfr2* had higher burst frequencies and less skew. Long-tailed genes arising from rare bursts could provide a source of stochastic variation that could propagate to downstream genes.

Third, there were some genes whose mRNA distributions were significantly better fit by a linear combination of two NB distribu-tions than by one [\(Supplemental Information,](#page-10-3) Akaike's Information Criteria [AIC] and log-likelihood ratio test, p < 0.05). These genes included *Rex1*, *Nanog*, *Esrrb*, *Tet1*, *Fgf4*, *Sox2*, *Tcl1*, and *Lifr* ([Figures 2B](#page-3-0) and [S2A](#page-10-3)). In some cases, the two components of these distributions were well separated from one another (e.g., *Rex1* and *Esrrb*), while in other cases they overlapped strongly (e.g., *Nanog* and *Lifr*), such that the absolute number of transcripts for a single gene did not accurately indicate to which state the cell belonged. These bimodal distributions suggested the existence of multiple cell states (see below).

Markers of most differentiated fates including *Pax6* (neuroectoderm), *Fgf5* (epiblast), *Sox17* and *FoxA2* (definitive endoderm), and *Gata6* (primitive endoderm) showed no detectable expression (data not shown). However, the mesendodermal regulator *Brachyury* (*T*) was expressed at a level of \sim 5–20 transcripts in 6% of *Rex1*-low cells. Similarly, the two-cell-like state marker *Zscan4c* ([Macfarlan et al., 2012](#page-11-9)) showed \sim 3-60 transcripts in 3% of cells ([Figure S2A](#page-10-3)). These genes did not fit well to NB distributions, suggesting that processes other than transcriptional bursting impact their expression in this small fraction of cells.

Bimodal Genes Vary Coherently

We next used the smFISH data to determine whether the bimodal genes were correlated, which would suggest their control by a single pair of distinct cell states, or varied independently, which would suggest a multiplicity of states. The data revealed a cluster of bimodal genes that correlated with one another. *Rex1*, *Nanog*, and *Esrrb* displayed the strongest correlations $(r = \sim 0.7)$, [Figures 2](#page-3-0)C and [S2B](#page-10-3)), while genes with strong overlap between modes, such as *Tcl1*, *Lifr*, *Sox2*, and *Tet1*, displayed somewhat weaker, but still significant, correlations $(r = \sim 0.5,$ [Figures 2](#page-3-0)C and [S2](#page-10-3)B), beyond those observed between bimodal and nonbimodal genes (e.g., *r* = 0.2 for *Rex1* and *Oct4*). Thus, a cell in the high- or low-expression state of one bimodal gene is likely to be in the corresponding expression state of others. Some correlations were negative: expression of the de novo methyltransferase *Dnmt3b* was reduced in the *Rex1*-high state $(r = -0.46,$ [Fig](#page-3-0)[ure 2](#page-3-0)C). Note that cell cycle effects did not explain these correlations [\(Figure S2](#page-10-3)C). Together, these data suggest that bimodal genes appear to be broadly coregulated in two distinct states.

Long-tailed genes exhibited more complex relationships. Those with very large variation ($CV > 1.5$) were correlated with the expression state of the bimodal gene cluster, but not with one another ([Figures 2](#page-3-0)C, 2D, and [S2B](#page-10-3)). For example, genes like *Dppa3*, *Tbx3*, and *Prdm14* burst predominantly in the *Rex1* high state, but even in this state, most cells showed no tran-scripts of these genes (p < 0.001, see [Supplemental Information](#page-10-3) for statistical analysis). Thus, it appears that these genes are expressed in infrequent, stochastic bursts that occur mainly in one of the two cellular states.

Interestingly, expression of *Socs3*, a negative regulator and direct target of Stat signaling [\(Auernhammer et al., 1999\)](#page-10-4), appeared conditional on expression of its bimodally expressed receptor *Lifr* (note absence of *Socs3* expression in low-*Lifr* cells in [Figure S2B](#page-10-3)). Analysis of additional regulators not measured here could in principle reveal additional states or more complex distributions. Overall, however, the multidimensional mRNA distributions measured here are consistent with a simple picture based on two primary states and stochastic bursting.

The Two Primary States Exhibit Distinct DNA Methylation Profiles

These data contained an intriguing relationship between three factors involved in DNA methylation: the de novo methyltransferase *Dnmt3b*, the hydroxylase *Tet1*, which has been implicated in removing methylation ([Ficz et al., 2011; Ito et al., 2010; Koh et al.,](#page-11-10) [2011; Wu et al., 2011](#page-11-10)), and *Prdm14*, which represses expression of *Dnmt3b* [\(Grabole et al., 2013; Leitch et al., 2013; Ma et al.,](#page-11-11) [2011; Yamaji et al., 2013](#page-11-11)). While *Rex1* was anticorrelated with *Dnmt3b* expression and positively correlated with *Tet1* [\(Fig](#page-4-0)[ure 3A](#page-4-0)), *Prdm14* showed a long-tailed distribution conditioned on the *Rex1*-high state [\(Figure 2D](#page-3-0)). Based on these relationships and the observation that methylation increases during early development ([Meissner et al., 2008\)](#page-11-12), we hypothesized that the *Rex1*-low state might exhibit increased methylation compared to the *Rex1*-high state.

To test this hypothesis, we sorted *Rex1*-high and -low cells using the Rex1-dGFP reporter line and performed locus-specific bisulfite sequencing at known targets of methylation *Dazl*, *Mael*, and *Sycp3* ([Borgel et al., 2010](#page-10-5)) ([Figures 3B](#page-4-0), [S3](#page-10-3)A, and S3B). These promoters exhibited 2–3 times greater methylation in *Rex1*-low cells compared to *Rex1*-high cells, indicating the two states are differentially methylated in at least some genes. In contrast, *Rex1*-low cells that subsequently reverted to the *Rex1*-high state recovered the methylation levels of *Rex1*-high cells, indicating that methylation is reversible. Similarly, quantitative ELISA analysis demonstrated both differential methylation and reversibility in global methylation levels [\(Figure 3C](#page-4-0)).

We next asked more generally which genes exhibited differential promoter methylation. We again sorted *Rex1*-high and -low cells and assayed DNA methylation by reduced representation bisulfite sequencing (RRBS), analyzing regions 2 kb upstream to 500 bp downstream of each ESC-expressed mRNA transcriptional start site [\(Meissner et al., 2008; Marks et al., 2012\)](#page-11-12). The distributions of methylation levels across genes were bimodal in both *Rex1* states, with the more highly methylated peak shifted to even higher methylation levels in *Rex1*-low cells [\(Figure 3D](#page-4-0)). By analyzing the shift in methylation on a geneby-gene basis, we found that the increase in methylation in *Rex1*-low cells occurred predominantly through increased methylation of the promoters that were more highly methylated in *Rex1*-high cells ([Figures 3E](#page-4-0) and [S3C](#page-10-3)). Thus, the change in promoter methylation occurs in a specific subset of promoters. Furthermore, the overall methylation level of a gene was related to the number of CpGs in its promoter, such that the larger the CpG content of a promoter, the lower its methylation in both states. Not all gene promoters were well covered by RRBS. However, among those that were, several key ESC regulators including *Esrrb*, *Tet1*, and *Tcl1* all showed increased levels of methylation in the *Rex1*-low state. [Figure 3](#page-4-0)E (inset) and [Fig](#page-10-3)[ure S3C](#page-10-3) show methylation levels of individual CpGs. These results provide a view of the change in promoter methylation that occurs during transitions between the *Rex1*-high and -low states.

Bursty Transcription Generates Dynamic Fluctuations in Individual Cells

Evidently, cells populate two transcriptional states, each characterized by distinct methylation profiles. To understand the dynamic changes in gene expression that occur as individual cells switch between these states, we turned to time-lapse microscopy. We analyzed transcriptional reporter cell lines for *Nanog* and *Oct4*, each containing a histone 2B (H2B)-tagged fluorophore expressed under the control of the corresponding

Figure 3. The Two Rex1 States Are Differentially Methylated

(A) smFISH measurements show that *Rex1* bimodality is correlated with *Tet1* and anticorrelated with *Dnmt3b* expression.

(B) Locus-specific bisulfite sequencing of the *Dazl* promoter. Methylation levels shown are in the *Rex1*-high (top), *Rex1*-low (middle), and *Rex1*-low-to-high reverting (bottom) populations.

(C) Global levels of 5mC measured by quantitative ELISA in the *Rex1*-high, -low, and -low-to-high reverting cells. Data shown are mean ± SD from two independent experiments. * , $p < 0.05$; ** , $p < 0.01$; by two-sample t test.

(D) Histogram of promoter methylation shows bimodality in the *Rex1*-high (top) and -low (bottom) states, as quantified by RRBS.

(E) Scatter plot of promoter methylation between *Rex1*-high and -low states. Each point is the methylation fraction of a single gene promoter, color-coded by the number of CpGs in that promoter. Divergence from the diagonal implies differential methylation between states. Inset: Single CpGs in the promoter of the specific gene labeled, color coded by distance from TSS; see [Figure S3C](#page-10-3) for additional genes.

promoter ([Figures S4](#page-10-3)A and S4B; see also [Supplemental Informa](#page-10-3)[tion](#page-10-3)). We imaged the reporter cell lines for \sim 50 hr periods with 15 min intervals between frames and segmented and tracked individual cells over time in the resulting image sequences. For each cell lineage, we quantified the instantaneous reporter production rate, defined as the rate of increase of total fluorescent protein in the cell, corrected for the partitioning of fluorescent protein into daughter cells during cell division [\(Supplemental](#page-10-3) [Information](#page-10-3)). The H2B-fluorescent protein degradation rate is negligible under these conditions [\(Figure S4](#page-10-3)C), enabling us to

Figure 2. smFISH Reveals Gene Expression Heterogeneity and Correlation

(A) Top: coefficients of variation (CV, mean ± SEM) for ESC-associated regulators and housekeeping genes. Bottom: Distributions (violin plots) normalized by maximum expression level reveal qualitatively distinct gene expression distributions. Genes are sorted by increasing CV.

(B) Smoothed histograms for mRNA distributions overlaid with NB fits. Solid lines show individual NB distributions. Dashed gray lines show their sum (for bimodal genes). * denotes 95th percentile for *Prdm14*. P value: χ^2 goodness of fit test.

(C) Pairwise relationships between genes, analyzed by smFISH (r, Pearson correlation coefficient; p value by 2D K-S test (see [Experimental Procedures](#page-10-6) and [Figures S2](#page-10-3)A and S2B).

(D) Heat maps show examples of four-dimensional data sets.

use the reporter production rate as a measure of instantaneous mRNA level. An advantage of this approach is that it provides relatively strong fluorescence signals per cell, but still enables high time-resolution analysis [\(Locke and Elowitz, 2009\)](#page-11-13). Consistent with static smFISH distributions, the production rate distributions of the *Nanog* and *Oct4* fluorescent reporters were bimodal and unimodal, respectively ([Figure 4](#page-6-0)A).

Dynamically, cells remained in either one of two distinct *Nanog* expression states for multiple cell cycles [\(Figure 4B](#page-6-0)). During these periods, expression levels varied over the full range of Nanog expression levels within each state, with no evidence for persistent substates. However, closer examination revealed fluctuations within a single state, which typically occurred in discrete steps separated by periods of steady expression [\(Fig](#page-6-0)[ure 4C](#page-6-0)). Using a computational step detection algorithm [\(Fig](#page-10-3)[ure S4](#page-10-3)D and [Supplemental Information\)](#page-10-3), we found that *Nanog* and *Oct4* reporters exhibited 0.38 and 0.29 steps per cell cycle, respectively. These steps occurred in a cell cycle phase-dependent manner ([Figure 4](#page-6-0)D), with down-steps clustered around cell division events and up-steps more broadly distributed across cell cycle phases.

Could these step-like dynamics arise simply from transcriptional bursting? To address this question, we simulated singlecell mRNA and protein traces using the bursty transcription model, with parameters determined from the NB fits of the static mRNA distributions [\(Supplemental Information](#page-10-3)). These simulations generated dynamic traces resembling those observed experimentally ([Figures 4E](#page-6-0) and [S4E](#page-10-3)). In the simulations, mRNA half-life and burst frequency determine the characteristics of detectable steps [\(Figure S4F](#page-10-3)); in general, steps were most prominent at low burst frequencies and short mRNA half-lives and became difficult to discriminate at high burst frequencies and long mRNA half-lives.

Step-like dynamics appear to be a natural consequence of stochastic expression, with up-steps reflecting burst-like production of mRNA and down-steps resulting from \sim 2-fold reduction in mRNA copy number at cell division [\(Figure S4G](#page-10-3)). This interpretation is consistent with the observed clustering of down-steps around cell division events and a more uniform cell cycle distribution of up-steps ([Figure 4D](#page-6-0)). Because large bursts can effectively cancel out mRNA dilution at cell division events, they may appear underrepresented near cell division events. Note that most cell cycles showed no up-steps, suggesting that they are not due to increased gene dosage after chromosome replication ([Brewster et al., 2014; Rosenfeld et al., 2005](#page-10-7)).

Dynamic Transitions between Cellular States

We next asked how cells transition dynamically between states. Previous work has relied on cell sorting, which can distort the signaling environments. By contrast, movies enable direct observation of switching events within a mixed cell population. Since the *Nanog* reporter production rate fluctuates even within a single state, we used a hidden Markov model (HMM) to classify each cell as either *Nanog*-high or *Nanog*-low at every point in time [\(Supplemental Information\)](#page-10-3). We trained the HMM using time-series data of *Nanog* reporter production rates, sampled at fixed intervals across all tracked cell cycles, and used it to identify switching events and estimate switching frequencies.

Transitions from the *Nanog*-low to the *Nanog*-high state or vice versa occurred at a rate of 2.3 ± 0.25 , or 7.9 ± 1.2 , transitions per 100 cell cycles (mean \pm SD), respectively ([Figures 4F](#page-6-0) and 4G). These events did not correlate between sister cells ([Table](#page-10-3) [S1\)](#page-10-3), consistent with independent, stochastic events. Interstate switching on average showed bigger and longer-lasting fold changes than intrastate steps in production rates ([Figure S4](#page-10-3)H). Together, these results suggest that gene expression dynamics are dominated by a combination of step-like changes due to bursty transcription on shorter timescales and abrupt, apparently stochastic interstate switching events on longer timescales.

"2i" Inhibitors Modulate Bursty Transcription and State-Switching Dynamics

We next asked how gene expression heterogeneity and dynamics change in response to key perturbations. Dual inhibition of MEK and GSK3 β , known as "2i," were shown to enhance pluripotency and reduce *Rex1* and *Nanog* heterogeneity [\(Marks](#page-11-7) [et al., 2012; Wray et al., 2010\)](#page-11-7). However, it has remained unclear how 2i affects the distribution of other heterogeneously expressed regulatory genes and what impact it has on dynamic fluctuations in gene expression.

We found that addition of 2i to serum + LIF media reduced variability in the mRNA levels of most genes [\(Figure 5A](#page-7-0)). In principle, this could reflect the elimination of one cellular state and/or changes in burst parameters. In 2i, the bimodal genes from [Fig](#page-3-0)[ure 2A](#page-3-0) became unimodal, suggesting that 2i suppresses one of the two cellular states ([Figures 5](#page-7-0)A and [S5A](#page-10-3)). In the case of *Nanog*, the remaining state increased its mean transcript level by 1.5-fold, to what we term *Nanog*-SH (super high). *Tet1*, *Sox2*, and *Tcl1* also became unimodal, but displayed an overall decrease in absolute expression. With long-tailed genes, we found that mean *Dppa3* expression decreased slightly, while *Prdm14* and *Tbx3* became more homogenously expressed, exhibiting an increase in mean expression by \sim 300% and \sim 1,000%, respectively. These changes reflect the fact that nearly all cells were now observed to express *Prdm14* and *Tbx3*. Thus, 2i appeared to reduce variability in most genes, either by eliminating bimodality or by increasing their burst frequency.

Recently, it was shown that 2i-treated cells exhibit differentiation propensity similar to sorted *Rex1*-high subpopulations in embryoid body formation, suggesting they may represent similar functional states [\(Marks et al., 2012\)](#page-11-7). We used the time-lapse movie system to compare the dynamic behavior of 2i-treated cells to that of cells in the *Rex1*-high subpopulation. Consistent with mRNA measurements, 2i shifted most cells into a *Nanog-SH* state (96% of total), characterized by \sim 3fold higher median production rate compared to the *Nanog*high state in serum + LIF [\(Figure 5B](#page-7-0)). Only a small fraction of cells showed expression overlapping with the *Nanog*-low state in serum + LIF at the beginning of the movies (after 6 days in treatment). Moreover, these cells switched to the *Nanog*-SH state at a >40-fold higher rate than the *Nanog*-low to *Nanog*high switching rate measured in serum + LIF, with no reverse transitions observed [\(Figures 5C](#page-7-0) and [S5](#page-10-3)B). These observations suggest that 2i increases the *Nanog*-low to *Nanog*-high

Figure 4. Movies Reveal Transcriptional Bursting and State-Switching Dynamics in Individual Cells

(A) Distribution of *Nanog* and *Oct4* production rates from representative movies in serum + LIF, and Gaussian fits to the components. Production rates were extracted from a total of 376 and 103 tracked cell cycles for *Nanog* and *Oct4*, respectively.

(B) Production rate distributions of individual cell lineage trees, each consisting of closely related cells descending from a single cell. Lineage trees are colorcoded by the state they spend the majority of time in.

(C) Example single lineage traces exhibiting step-like changes in production rates within a state.

(D) Cell cycle phase distribution of steps within the *Nanog*-high state. Step occurrences are normalized by the frequencies of each cell cycle phase observed in the tracked data.

(E) Representative trace showing apparent steps from simulations under the bursty transcription model, using parameters estimated from mRNA distribution for the *Nanog*-high state (see [Supplemental Information;](#page-10-3) see [Figure S4E](#page-10-3) for simulation of *Oct4* dynamics).

(F) Example traces of individual cells switching between *Nanog*-low and *Nanog*-high states.

(G) Empirical transition rates (mean ± SD) between the two *Nanog* states (N^{Hi}, *Nanog-high; N^{Lo}, Nanog-low)*.

Figure 5. 2i and DNA Methylation Modulate Bursty Transcription and State-Switching Dynamics

(A) Comparison of mRNA distributions and CV between cells grown in serum + LIF and 2i + serum + LIF. Top: For each gene, the CV in serum + LIF is plotted on the left, and the CV for 2i + serum + LIF is plotted on the right. *Dnmt3b* in 2i + serum + LIF is represented in gray to reflect its marginal case of poor quality of fit in both bimodal and long-tailed models. Bottom: The left half of each violin represents the mRNA distribution in serum + LIF, while the right represents 2i + serum + LIF. Each gene's distributions are normalized by a value corresponding to the larger 95th percentile between the two treatments. (B) Distribution of *Nanog* production rates from movies in 2i + serum + LIF.

(C) Empirical transition rates between the two *Nanog* states in the presence of 2i (NLo, *Nanog*-low; NSH, *Nanog*-SH).

(legend continued on next page)

switching rate and reduces or eliminates *Nanog*-high to *Nanog*low transitions ([Figure 5C](#page-7-0)).

What effect, if any, does 2i have on the dynamics of gene expression noise? Static distributions suggested that 2i increased *Nanog* burst frequency \sim 45% from 0.39 to 0.55 burst/hr using the *Nanog* mRNA half-life previously estimated [\(Table S1](#page-10-3) in [Sharova et al., 2009\)](#page-12-4) and assuming no change between conditions. To analyze the effects on dynamics, we computed the ''mixing time,'' previously introduced to quantify the mean timescale over which a cell maintains a given expres-sion level relative to the rest of the cell population [\(Sigal et al.,](#page-12-5) [2006\)](#page-12-5). Simulations of the bursty gene expression model showed that higher burst frequencies lead to faster mixing times, while burst size has little effect ([Figure S5](#page-10-3)C). Together with smFISH measurements, this model predicted that Nanog mixing times should be faster in 2i. Qualitatively consistent with this prediction, the mixing time of *Nanog* production rate was reduced from 8.5 hr in *Nanog*-high in serum + LIF media to 1.7 in *Nanog*-SH cells in 2i-containing media ([Figures 5D](#page-7-0) and [S5D](#page-10-3)).

Together, these results indicate that 2i impacts ESC heterogeneity at three levels: First, it reduces gene expression variation in many, but not all, genes. Second, it eliminates one cell state by increasing the rate of transitions out of the *Nanog*-low state and inhibiting the reverse transition. Third, as shown for *Nanog*, 2i increases burst frequency and reduces mixing times, effectively speeding up the intrastate equilibration rate within the cell population.

DNA Methylation Modulates Metastability

Previous work has shown that in addition to reducing heterogeneity, 2i also diminishes global levels of DNA methylation ([Ficz](#page-11-10) [et al., 2011; Habibi et al., 2013; Leitch et al., 2013\)](#page-11-10). While the *Rex1*-high and -low states appear differentially methylated [\(Fig](#page-4-0)[ures 3](#page-4-0)B–3E), it remains unclear whether methylation plays a functional role in stabilizing these states. To address this issue, we used a triple-knockout (TKO) cell line lacking the active DNA methyltransferases Dnmt1, Dnmt3A, and Dnmt3B [\(Tsu](#page-12-6)[mura et al., 2006\)](#page-12-6). We compared the expression distribution of *Rex1*, *Nanog*, and *Esrrb* in TKO cells to its parental line using smFISH. The TKO cell lines had $35\% \pm 2\%$ fewer cells in the *Rex1*-low state [\(Figure 5E](#page-7-0)), with similar results observed for *Nanog* and *Esrrb*. This change did not reflect global upregulation of all genes, as expression of the houskeeping gene *SDHA* was indistinguishable between the two cell lines. These results suggest that DNA methyltransferases increase the population of the *Rex1*-low state.

To see if these results could be recapitulated with acute rather than chronic perturbations to methylation, we assayed changes in heterogeneity in *Rex1*-dGFP reporter cells exposed to 70 nM 5-azacytidine (5-aza), an inhibitor of DNA methylation. Within 6 days, the number of cells in the *Rex1*-low state diminished by more than half from 29% to 13% of all cells ([Figure 5F](#page-7-0)). Thus, acute as well as chronic methylation inhibition reduced the occupancy of the low state.

Finally, we asked whether methylation was similarly required for cells to return to the low state after removal of 2i from 2i + serum + LIF conditions. The *Rex1*-low population began to emerge within 48 hr of 2i removal ([Figure 5G](#page-7-0)). However, when 2i was removed and replaced with 5-aza, the emergence of *Rex1*-low cells was severely delayed and diminished. After 6 days, 5-aza-treated cells only showed 6% *Rex1*-low cells, compared to 25% in DMSO-treated cells. Together, these results suggest that methylation is required for normal exit from the 2i state. Reduced methylation in 2i thus contributes to the stability of the 2i ''ground state'' [\(Ficz et al., 2011; Habibi et al.,](#page-11-10) [2013; Leitch et al., 2013; Marks et al., 2012](#page-11-10)).

DISCUSSION

Recent work on ESC biology from a systems perspective has highlighted the apparent complexity and strong interconnectivity of the circuit governing pluripotency ([Chen et al., 2008; Wang](#page-11-14) [et al., 2006](#page-11-14)). But it has been unclear how variably this circuit behaves in different cells and to what extent population average measurement techniques may obscure its single-cell dynamics. Because gene expression is a stochastic process, levels of both mRNA and protein in each cell are effectively random variables, best characterized by their distributions. The framework of stochastic gene expression provides a tool to more rigorously and quantitatively separate stochastic fluctuations inherent to gene expression from variation due to multiple cell states specified by the underlying transcriptional and signaling circuit. While the simplified model of bursty transcription used here can explain the data, other models, including the ''telegraph'' model of transcription, may provide other insights [\(Iyer-Biswas et al., 2009;](#page-11-15) [Peccoud and Ycart, 1995\)](#page-11-15).

Our data suggest that heterogeneity emerges in three distinct ways: First, gene expression is inherently noisy, occurring in stochastic bursts, even in genes such as Oct4 that are distributed in a relatively uniform fashion. Second, cells switch stochastically between distinct states that impact the expression of many genes in a coordinated manner. Third, ''long-tailed'' regulators such as *Prdm14*, *Tbx3*, and *Dppa3* are uncorrelated with one another and are distributed in a manner consistent with low burst frequencies and large burst sizes, leading to very high variability. Live-cell imaging will be required to determine the absolute burst kinetics for these genes. However, an mRNA distribution in which only a small subpopulation of cells exhibit a large number

⁽D) Mixing time in each condition is estimated from autocorrelation, A(t), of production rate ranks shown in [Figure S5D](#page-10-3), right panels. Red, *Nanog*-high in serum + LIF; purple, *Nanog*-SH in 2i + serum + LIF. Error bars: standard deviation, bootstrap method.

⁽E) Comparison of transcriptional heterogeneity between Dnmt TKO (black line) and the parental line (blue bars) as measured by smFISH for *Rex1*, *Nanog*, *Esrrb*, *and SDHA*. Note that for *Rex1/Nanog/Essrb*, there are fewer ''off'' cells in the leftmost bins for the TKO than WT.

⁽F) *Rex1*-dGFP distribution as measured by flow cytometry grown in serum + LIF with 5-aza or DMSO (carrier control). Time points were taken after 2, 4, and 6 days.

⁽G) Cells were grown in 2i + serum + LIF and subsequently replated into serum + LIF with 5-aza or DMSO (carrier control). Time points were taken after 2, 4, and 6 days. GFP levels were measured by flow cytometry.

of mRNA molecules for a particular gene need not, and most likely does not, indicate a distinct cellular state. Moreover, infrequent bursting may provide a potential mechanism for stochastic priming of cell fate decision-making ([Maamar et al., 2007;](#page-11-16) Sü[el et al., 2006](#page-11-16)). Further investigation of this possibility will require determining whether these bursts propagate to influence subsequent cell fate decision-making events [\(Dunlop et al.,](#page-11-17) [2008; Pedraza and van Oudenaarden, 2005](#page-11-17)).

The data above implicate methylation as a key regulatory mechanism affecting stochastic switching between states. Methylation was previously explored in ESCs at the population level [\(Ficz et al., 2011; Fouse et al., 2008; Habibi et al., 2013;](#page-11-10) [Ito et al., 2010; Leitch et al., 2013; Mohn et al., 2008](#page-11-10)), but it remained unclear whether methylation itself contributes to heterogeneity [\(Chambers et al., 2007; Hayashi et al., 2008; Toyooka](#page-10-8) [et al., 2008; Yamaji et al., 2013](#page-10-8)). smFISH data revealed a strong reciprocal relationship between the hydroxymethylase *Tet1* and the DNA methyltransferase *Dnmt3b*, with *Tet1* expressed more highly in the *Rex1*-high state and *Dnmt3b* expressed more highly in the *Rex1*-low state. This difference in expression correlates with a differential global DNA methylation and in the methylation of the promoters of key pluripotency regulators. Finally, methylation appears to be functionally required for transitions, since either genetic deletion of DNA methyltransferases or pharmacological inhibition both impact the populations of the two cell states and the underlying dynamics of state-switching [\(Fig](#page-7-0)[ures 5](#page-7-0)E–5G). It will be interesting to see whether methylation plays similar functional roles in other stochastic state-switching systems.

These data provoke further questions about the molecular mechanisms through which methylation is regulated and how it modulates metastability. For example, while known methyl binding proteins that aid in methylation-dependent chromatin compaction and silencing are expressed in ESCs ([Marks et al.,](#page-11-7) [2012](#page-11-7)), DNA methylation may also inhibit binding of transcription factors ([Shukla et al., 2011; Takizawa et al., 2001; You et al.,](#page-12-7) [2011](#page-12-7)) and can control mRNA isoform selection via alternative splicing ([Shukla et al., 2011\)](#page-12-7). The *Esrrb* gene, whose activity is central to maintenance of pluripotency ([Festuccia et al., 2012;](#page-11-18) [Martello et al., 2012](#page-11-18)), may provide a good model system to investigate the effects of methylation, since its methylation levels and expression levels are both strongly state dependent. Regulation of this methylation likely involves Prdm14, which is known to inhibit Dnmt3b expression [\(Ficz et al., 2013; Grabole et al.,](#page-11-8) [2013; Habibi et al., 2013; Leitch et al., 2013; Ma et al., 2011;](#page-11-8) [Yamaji et al., 2013\)](#page-11-8). Given the long-tailed expression pattern of *Prdm14* observed here in serum + LIF and its strong upregulation in 2i, it will be interesting to see how much of the variation in *Dnmt3b*/*Tet1*, and methylation more generally, can be attributed to bursty expression of *Prdm14*.

Previous studies of ESC gene expression dynamics have focused on the equilibration of FACS-sorted subpopulations of high and low *Nanog* and *Rex1* expression [\(Chambers et al.,](#page-10-8) [2007; Toyooka et al., 2008](#page-10-8)). Two groups explored transcriptional circuit models to explain the long timescales of state-switching dynamics ([Glauche et al., 2010; Kalmar et al., 2009](#page-11-19)). These included noise-induced bistable switches, oscillators, and noise-excitable circuits ([Furusawa and Kaneko, 2012\)](#page-11-20). Our dynamic data demonstrate that both *Nanog*-high and *Nanog*low states in serum + LIF conditions typically persist for ≥ 4 cell cycles, and that state-switching events are abrupt at the level of promoter activity. Depending on protein and mRNA half-lives, the timescale of resulting protein level changes may follow somewhat more slowly. State-switching events are also infrequent (<0.1 per cell cycle) and uncorrelated between sister cells. Together, these findings appear incompatible with oscillatory or excitable models, which predict deterministic state-switching or an unstable excited state, respectively, but are consistent with the stochastic bistable switch model previously proposed ([Kalmar et al., 2009\)](#page-11-21). These properties could make this state-switching system a useful model for understanding the circuit level dynamics of spontaneous cell state transitions in single cells.

Several competing explanations were proposed for the apparent heterogeneity in *Nanog* expression in serum + LIF conditions. These models suggest heterogeneity is an artifact of knockin reporters [\(Faddah et al., 2013\)](#page-11-22), or that it arises at least in part from monoallelic regulation ([Miyanari and Torres-Padilla,](#page-11-23) [2012\)](#page-11-23) or is manifested biallelically ([Filipczyk et al., 2013; Hansen](#page-11-24) [and van Oudenaarden, 2013\)](#page-11-24). Our smFISH data support the existence of *Nanog* expression heterogeneity in wild-type cells in a standard feeder-free culture condition. Further, both static and dynamic measurements indicate that intrastate heterogeneity in *Nanog* is consistent with bursty transcription, with a relatively low burst frequency of ~ 0.39 burst/hr. Thus, active transcriptional loci analyzed by smFISH against nascent transcripts [\(Miyanari and Torres-Padilla, 2012\)](#page-11-23) would be expected to ''flicker'' on and off stochastically due to bursting. Such bursting could also cause the misleading appearance of weak correlations between alleles in static snapshots and in measurements based on destabilized fluorescent reporters. On the other hand, the *Nanog* protein fusion reporters analyzed by Filipczyk et al. showed correlated static levels between alleles, likely because the longer lifetime of their reporters allowed integration of signal over many transcriptional bursts, and because transitions between cellular states are rare and affect both alleles in a correlated fashion. The results of Faddah et al. with dual transcriptional reporters similarly showed general correlations between the two alleles, consistent with the smFISH correlations shown here ([Figures S1](#page-10-3)E and [S4B](#page-10-3)). Taken together, these previous studies and data presented here appear to converge on a relatively simple picture of heterogeneity based on two states and stochastic bursting.

The quantitative measurement and analysis platform described above should enable further investigation of the structure of static and dynamic heterogeneity in single ESCs. With the advent of higher-dimensionality smFISH ([Lubeck et al.,](#page-11-25) [2014; Lubeck and Cai, 2012\)](#page-11-25), single-cell RNA-seq, and microfluidic high-throughput qPCR approaches, as well as improved methods for rapidly and accurately constructing knockin reporters [\(Wang et al., 2013\)](#page-12-8), it will soon be possible to explore the dynamics of ESC components in higher dimensions in individual cells, both within metastable states and during cell state transitions ([Kueh et al., 2013\)](#page-11-26). Ultimately, this should provide a better understanding of the dynamic architecture of cell fate transition circuits.

EXPERIMENTAL PROCEDURES

Culture Conditions and Cell Lines

E14 cells (E14Tg2a.4) obtained from Mutant Mouse Regional Resource Centers were used for smFISH studies. N_{KI}Cit cells, created by Kathrin Plath, were generated by targeting the endogenous *Nanog* locus in V6.5 cells with H2B-Citrine-IRES-Neo-SV40pA [\(Figure S4A](#page-10-3)). N_{KI}Cit+Cer cells were generated by randomly integrating into N_{KI} Cit cells a linearized PGK-H2B-Cerulean-BGHpA-SV40-Hygro-SV40pA vector. O_{BAC}Cer cells were generated by randomly integrating into E14 cells (a kind gift from Bill Skarnes and Peri Tate) a linearized bacterial artificial chromosome (BAC) containing the *Oct4* locus (BACPAC [CHORI]), in which H2B-Cerulean-SV40pA-PGK-Neo-BGHpA was inserted before the coding sequence ([Figure S4](#page-10-3)A). Rex1-dGFP cells were kindly provided by the Austin Smith lab ([Wray et al., 2011\)](#page-12-9). The *Dnmt* TKO cell line was provided by the RIKEN BRC through the National Bio-Resource Project of the MEXT, Japan. All cells were maintained on gelatin-coated dishes without feeders.

smFISH Hybridization, Imaging, and Analysis

The RNA FISH protocol from [Raj et al., 2008](#page-12-10) was adapted for fixed cells in suspension. See [Supplemental Experimental Procedures](#page-10-3) for details. Semiautomated dot detection and segmentation were performed using custom Matlab software. A Laplacian-of-Gaussian (LoG) Kernel was used to score potential dots across all cells. The distribution of these scores across all potential dots was thresholded by Otsu's method to discriminate between true dots and background dots (see [Figures S1A](#page-10-3)–S1D). Please see Table S2 for the smFISH probe sequences used in this study.

mRNA Distribution Fitting

The Negative Binomial Distribution is defined as

$$
\bm{P}(n,r,p_o) = {n+r-1 \choose n} p'_0 (1-p_o)^n,
$$

where $n =$ number of transcripts per cell, $p_0 =$ probability of transition from the "on" promoter state to the "off" promoter state, and $r =$ number of bursting events per mRNA half-life. The average burst size is computed as $b = (1 - p_0)/p_0$. Using this model, individual mRNA distributions were fit using maximum likelihood estimation. To discriminate between unimodal and bimodal fits, two tests were used to ensure that the improvement of the fit is counterbalanced by the additional degrees of freedom from the added parameters. To be considered bimodal, a distribution was required to pass both Akaike Information Criteria (AIC) and the log-likelihood ratio test $(p < 0.05)$.

Fluorescence Time-Lapse Microscopy and Data analysis

Reporter cells were mixed with unlabeled parental cells at 1:9 ratio and plated at a total density of $20,000$ cells/cm² on glass-bottom plates (MatTek) coated with human laminin-511 (BioLamina) >12 hr before imaging. Images were acquired every 15 min for \sim 50 hr with daily medium change. Cells were segmented and tracked from the acquired images using our own Matlab code (see [Supplemental Information](#page-10-3) for image analysis methods).

2i Perturbation and Analysis

For 2i treatment we supplemented serum + LIF media with MEK inhibitor PD0325901 at 1 μ M and GSK3 inhibitor CH99021 at 3 μ M. Cells grown in serum + LIF media were treated with 2i for 6 days before harvesting for smFISH assay and imaging for movies.

Methylation Analysis and Perturbation

RRBS preparation and high-throughput sequencing were performed by Zymo Research. RRBS data were processed using Bismark and Galaxy. To be included in the analysis, each CpG had to have at least five reads. For perturbation experiments, 5-aza (Sigma) was used at a final concentration of 70 nM. 5mC ELISA was performed with ELISA 5mC kit (Zymo).

ACCESSION NUMBERS

Sequencing data have been deposted in NCBI's GEO under accession number GSE58396.

SUPPLEMENTAL INFORMATION

Supplemental Information includes Supplemental Experimental Procedures, five figures, two tables, and four movies and can be found with this article online at [http://dx.doi.org/10.1016/j.molcel.2014.06.029.](http://dx.doi.org/10.1016/j.molcel.2014.06.029)

AUTHOR CONTRIBUTIONS

Z.S.S. and J.Y. contributed equally and are listed alphabetically. Z.S.S., J.Y., J.T., L.C., M.A.S., and M.B.E. conceived experiments. Z.S.S., J.Y., J.T., and J.A.H. performed experiments and analyzed data, with Z.S.S. leading smFISH and methylation experiments and J.Y. leading the movie experiments and modeling. A.A. contributed computational algorithms. M.A.S. and M.B.E. supervised research. Z.S.S., J.T., J.Y., and M.B.E. wrote the manuscript with substantial input from all authors.

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