Calibrating the genomic clock of modern birds using fossils

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Wu et al. (1) conducted a phylogenomic analysis resulting in a new time frame for the diversification of modern birds, concluding that the rapid radiation of Neoaves occurred well before the Cretaceous–Paleogene (K–Pg) extinction event and that this catastrophic event did not affect their diversification dynamics. Here, we show that the divergence times obtained by Wu et al. were compromised by problems with their choices of fossils and calibration strategy.

 Of the 20 fossil-based age constraints used by Wu et al., 11 are problematic. The only maximum constraint in the bird clade, applied to the crown age of Neornithes, was based on the oldest known fossil of *Ichthyornis dispar* . A fossil can provide a maximum bound for the age of a node if it is a direct ancestor of the node but the oldest fossil of a sister group constrains the minimum stem age, not the maximum age of the crown clade (Fig. 1A) (2, 3). Because *Ichthyornis* is neither a direct ancestor nor the sister group of Neornithes (4), its oldest fossil cannot directly inform age bounds for modern birds.

 Ten minimum age constraints were also problematic. In eight, Wu et al. overlooked well-known fossils that are older and of higher quality, according to current best practices (8) (Table 1). For three of these, they overlooked fossils in the sister clade, which constrain the stem age as much as fossils in the focal clade (3). As a result, the underestimation of minimum ages ranged from −1.7 to 26.3 Ma across calibrations (Table 1). In the case of Aequornithes, the estimated divergence times were younger than the minimum ages indicated by two high-quality and precisely dated fossils (Table 1).

 Despite minimum ages set too young, the age of Neornithes estimated by Wu et al. (130 Ma) was much older than even their assumed maximum bound of 94.3 Ma. Our maximumlikelihood analysis of clock-like coding sequences from Wu et al. resulted in similarly old dates when using their minimum-maximum calibration constraints (Fig. 1B). In contrast, when we used information from calibration densities derived from the fossil record (5, 10), we found younger ages supporting a rapid radiation of Neoaves near the K–Pg boundary (Fig. 1*C*). We obtained similar results with different genomic data types and partitioning schemes (additional analyses available at <https://doi.org/10.5281/zenodo.11074217>) and using a more thorough Bayesian analysis of a larger phylogenomic dataset (9). Therefore, in addition to problems with the fossils, the old ages found by Wu et al. may be the result of using illdefined minimum and maximum bounds which do not represent appropriately the calibration information that can be derived from fossils.

 Therefore, the conclusion by Wu et al. that the rapid diversification of Neoaves occurred in the mid-Upper Cretaceous, with the K–Pg extinction event having little influence, is unsubstantiated. When information from the fossil record is used more thoroughly, a rapid radiation of modern birds is evident around the K-Pg boundary (5, 9).

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Table 1.   Problematic minimum age calibration constraints in Wu et al. (1)

Fig. 1.   Time-trees of modern birds from fossil calibrations. (*A*) *Ichthyornis* constrains the minimum possible age of Ornithurae because the clade cannot be younger than its oldest fossil (*Top*) but, not being a direct ancestor, *Ichthyornis* does not constrain the maximum possible age of Neornithes, which can be older than *Ichthyornis* (*Bottom*). (*B*) *Top*: Time-tree obtained by maximum-likelihood analysis based on calibration bounds (red brackets) from Wu et al. (1) (an alternative calibration using a 130 Ma maximum age constraint for Neornithes based on their results, is shown in light blue). *Bottom*: Time-tree based on the same maximum-likelihood tree but calibration information from ref. 5, using the medians of the calibration densities as fixed ages. The initial maximum-likelihood tree was generated by using IQ-TREE (6) to analyze the 1000 clock-like coding loci from Wu et al. (1), using the GTR + gamma + invariable sites model partitioned by codon position. Time-trees were obtained from the maximum likelihood tree by maximum-likelihood time-tree rescaling using a relaxed molecular clock with five discrete rate categories in the function *chronos* in *R* (7). The yellow line represents that Cretaceous–Paleogene (K–Pg) boundary. Illustration of the skull of *Ichthyornis* modified from O.C. Marsh 1886, Public Domain, via Wikimedia Commons. Sequence alignments, code, alternative analyses, and resultant trees are available at [https://doi.org/10.5281/zenodo.11074217.](https://doi.org/10.5281/zenodo.11074217)

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