

## Review article

## Molecular mechanisms and therapeutic interventions in sarcopenia

Sung Sup Park<sup>a, b</sup>, Eun-Soo Kwon<sup>a</sup>, Ki-Sun Kwon<sup>a, b, \*</sup><sup>a</sup> Aging Research Center, Korea Research Institute of Bioscience and Biotechnology, Daejeon, Korea<sup>b</sup> Korea University of Science and Technology, Daejeon, Korea

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## ABSTRACT

Sarcopenia is the degenerative loss of muscle mass and function with aging. Recently sarcopenia was recognized as a clinical disease by the International Classification of Disease, 10th revision, Clinical Modification. An imbalance between protein synthesis and degradation causes a gradual loss of muscle mass, resulting in a decline of muscle function as a progress of sarcopenia. Many mechanisms involved in the onset of sarcopenia include age-related factors as well as activity-, disease-, and nutrition-related factors. The stage of sarcopenia reflecting the severity of conditions assists clinical management of sarcopenia. It is important that systemic descriptions of the disease conditions include age, sex, and other environmental risk factors as well as levels of physical function. To develop a new therapeutic intervention needed is the detailed understanding of molecular and cellular mechanisms by which apoptosis, autophagy, atrophy, and hypertrophy occur in the muscle stem cells, myotubes, and/or neuromuscular junction. The new strategy to managing sarcopenia will be signal-modulating small molecules, natural compounds, repurposing of old drugs, and muscle-specific microRNAs.

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## 1. Introduction to sarcopenia

The term sarcopenia was first described as an age-related decline in muscle mass using the cutting point of two standard deviations below the young adult mean [1]. The aging process represents the composition change of body components including skeletal muscle, fat, and bone mass. The osteoporosis in bone is strongly associated with the sarcopenia in skeletal muscle [2]. Moreover, according to the conditions related to musculoskeletal composition, the diseases have been precisely defined in terms such as sarcopenia, sarcopenic obesity, and osteosarcopenic obesity [3]. However, sarcopenia was considered as a description rather than a definition [4]. Sarcopenia was a reduced muscle mass with limited mobility, which excluded secondary conditions originated from a specific disease such as cancer [5]. It is an important step for the study and therapy of sarcopenia, since sarcopenia has been accepted as an independent disease by an International Classification of Disease, 10th revision, Clinical Modification code (M62.84) [6].

Although several definitions of clinical diagnosis of sarcopenia have been proposed [7–13], they still need to have worldwide consensus. The European Working Group on Sarcopenia in Older People (EWGSOP) has established a new clinical definition and developed consensus criteria for sarcopenia diagnosis [14]. The diagnostic method by EWGSOP uses three parameters including physical performance, muscle mass, and muscle strength. Recommended assessment methods for physical performance are 6-m usual gait speed (m/s). Muscle mass is measured by bioelectrical impedance analysis and dual energy X-ray absorptiometry, and is represented with relative appendicular skeletal mass/height ( $\text{kg}/\text{m}^2$ ). Muscle strength is measured by handgrip strength (kg). The harmful outcomes including mortality, the rate of falls, and incidence of hospitalization were the consequence of sarcopenia defined by EWGSOP [15].

Currently, sarcopenia biomarkers include interleukin-6, C-terminal agrin fragment, follistatin, and transforming growth factor beta (TGF $\beta$ ) family members such as myostatin, activin A, growth and differentiation factor (GDF)-15, bone morphologic proteins, brain-derived neurotrophic factor, and irisin [16–22].

## 2. Signaling pathways and molecular targets for pharmacological intervention

Understanding the mechanisms in sarcopenia could help in

\* Corresponding author. Aging Research Center, Korea Research Institute of Bioscience and Biotechnology, 125, Gwahak-ro, Yuseong-gu, Daejeon 34141, Korea.  
E-mail address: [kwonks@kribb.re.kr](mailto:kwonks@kribb.re.kr) (K.-S. Kwon).

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designing intervention trials. The onset of sarcopenia may be the consequence of an imbalance between muscle protein synthesis and degradation, resulting in the skeletal muscle loss. The molecular and cellular mechanisms in sarcopenia include extrinsic changes in systemic environments and intrinsic changes within skeletal muscles [23]. The key molecules of signaling pathway in sarcopenia include Akt and Smad [24,25] (Fig. 1). Insulin-like growth factor 1 (IGF-1)-PtdIns-3-OH kinase (PI3K)-Akt signaling is responsible for muscle protein synthesis [26]. Muscle loss can be indicated by the decreased level of positive regulators of muscle growth such as follistatin and irisin [18,22], and/or increased level of negative regulators of muscle growth such as myostatin, activin A, and TGF $\beta$  [18,20]. There are myriad factors involved in the symptoms of sarcopenia. Sarcopenia can be categorized into primary and secondary sarcopenia [14]. Primary sarcopenia has no other evident cause except aging, which include reduced sex hormones, apoptosis, and mitochondrial dysfunction. Secondary sarcopenia is related to activity (disuse, bed rest, and zero-gravity conditions), disease (failures or diseases in heart, lung, liver, kidney, brain, inflammatory, and endocrine), and nutrition (inadequate dietary intake of protein and energy).

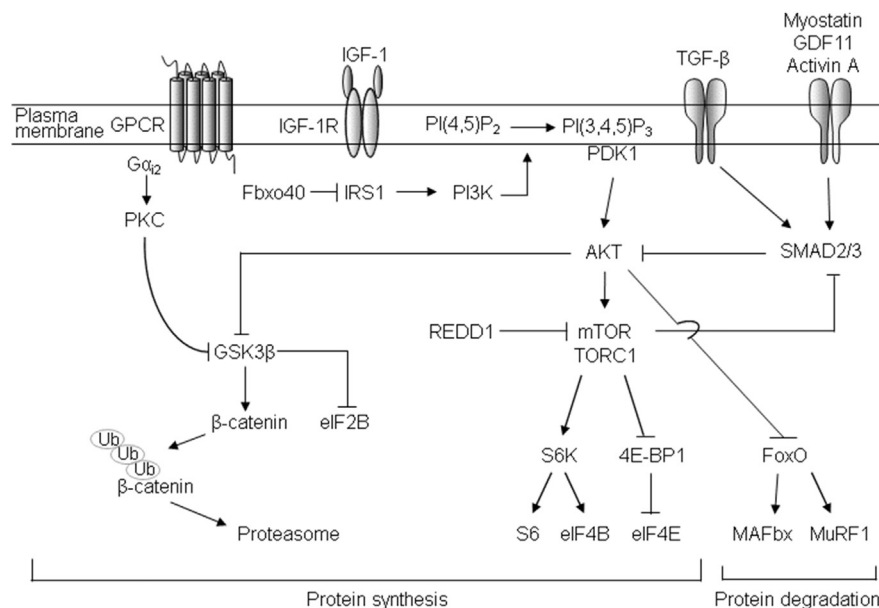
IGF-PI3K-Akt signaling promotes skeletal myotube hypertrophy by activating mammalian target of rapamycin (mTOR) and by inactivating glycogen synthase kinase 3 (GSK3) [26]. mTOR subsequently phosphorylates the 70-kDa ribosomal protein S6 kinase, resulting in activating protein synthesis. Additionally, Akt inactivates GSK3 $\beta$ , which in turn enhances protein translation via the eIF-2B, while Akt inhibits protein degradation through Forkhead box O (FOXO)-mediated proteasome activity. The activation of mTOR complex 1 (mTORC1) in response to growth factors, feeding, and increased mechanical loading is a key step in inducing muscle hypertrophy by increasing protein synthesis [27].

Apoptosis is an indispensable process for maintaining tissue homeostasis in multicellular organisms. Apoptosis of satellite cells

have contributed to the decline in muscle mass and function with aging [28]. Ablation of Nrf2, a redox-dependent transcription factor, leads to the activation of apoptosis pathways and decreased stem cell population, which result in impaired muscle regeneration in an oxidative stress condition [29]. The genetic deletion of peroxiredoxin 3, a major mitochondrial antioxidant enzyme, induces reactive oxygen species-mediated mitochondrial fragmentation and impaired mitochondrial membrane potential, leading to fatigue of muscle contraction in mice [30]. Denervation induces muscle atrophy through greater mitochondrial apoptotic susceptibility [31].

Proteolytic systems including calpain, proteasome, and lysosome are responsible for the majority of protein degradation in muscle cells. Among the muscle-specific proteins, desmin and dystrophin are susceptible to these protease activities, but alpha-actinin, tropomyosin, and filamin are relatively insensitive to these protease activities [32]. E3 ubiquitin ligases such as atrogin-1 and muscle ring finger-1 (MuRF-1) have been known to promote protein degradation in sarcopenia. Myostatin-linked molecules have also demonstrated to be abundant in sarcopenic muscles [33]. Increased protein degradation and decreased protein synthesis in sarcopenia is attributed to the activity of the ubiquitin-proteasome system interconnected with autophagy [34].

Autophagy is a self-destructive mechanism by which cells remove unnecessary components from themselves in order to promote survival. Muscle-specific deletion of a major autophagy gene, Atg7, shows sarcopenia phenotype, suggesting autophagy plays a role in the maintenance of muscle mass and strength by removing abnormal mitochondria and inclusions [35]. A recent study has shown that autophagy maintains muscle mass as well as its function during muscle aging. Boosting autophagy has prevented from age-related muscle dysfunction by enhancing the selective degradation of misfolded proteins and dysfunctional organelles [36]. The presence of insoluble protein aggregates in



**Fig. 1.** The signaling pathways involved in sarcopenia [24,25]. Insulin/IGF-1 signaling positively regulates muscle mass by activation of Akt. IGF-1 activates Akt, and subsequently mTORC1 via IRS1-PI3K axis. mTORC1 induces protein synthesis by phosphorylating S6K and 4E-BP. Akt inhibits GSK3 $\beta$ , which in turn relieves inhibition onto the translation initiation factor eIF2B, resulting in increased protein synthesis. Akt also inhibits FOXO transcription factors, and thereby decreases the expression of MAFbx and MuRF1. Another E3 ligase, Fbxo40, ubiquitinates IRS1. Galpha-i2 pathway induces muscle hypertrophy in a PKC-dependent manner. The myostatin/GDF11/activin/TGF $\beta$  are negative muscle regulators. Activation of Smad proteins inhibits the Akt signal to FOXO-mediated expression of MAFbx and MuRF1. Akt-mTORC1 inhibits Smad 2,3 in a reciprocal manner. 4E-BP, eIF4E-binding protein; FOXO, Forkhead box O; GDF11, growth differentiation factor 11; GSK3 $\beta$ , glycogen synthase kinase 3 beta; IGF-1, insulin-like growth factor 1; IRS1, insulin receptor substrate 1; MAFbx, muscle atrophy Fbox; mTORC1, mammalian target of rapamycin complex 1; MuRF1, muscle ring finger 1; PI3K, PtdIns-3-OH kinase; S6K, S6 kinase 1.

aged muscle may be due to the autophagic changes or defective autophagy signaling in aged skeletal muscles [37]. Sustained activation of mTORC1 in skeletal muscle cells reduces autophagy activity and leads to the accumulation of protein aggregates and myopathy [38]. Defective autophagy in aged satellite cells causes the cell cycle to exit into senescence, which consequently decreases the number and function of satellite cells. The cell cycle re-entering by re-establishment of autophagic activity can rejuvenate the aged satellite cells to some extent [39].

The function of neuromuscular system gradually deteriorates with age. Aged neuromuscular junctions exhibit elevated branches in presynaptic nerve terminals and increased distribution of receptor sites for neurotransmitters in the postsynaptic terminals [40]. Neuromuscular junctions deteriorate morphologically and show altered features in functional components therein, such as nicotinic acetylcholine receptor and agrin upon sarcopenia [41]. Low-density lipoprotein receptor-related protein 4 has acted bidirectionally and regulates synapse formation by forming a complex with muscle and skeletal receptor tyrosine-protein kinase (MuSK), binding agrin, and activating MuSK activity, thus leading to postsynaptic differentiation, while by functioning as a muscle-derived retrograde signals for the differentiation and stabilization of motor nerve terminals [42]. Loss of muscle strength is more relevant than loss of muscle mass in sarcopenia. Although loss of muscle mass contributes to the loss of muscle strength in older people, this loss of muscle strength precedes the associated loss of muscle mass. There are therapeutic concerns about maintaining or increasing muscle mass regardless of improvement in muscle strength [43].

Ca<sup>2+</sup> signaling molecules have been associated with age-dependent muscle degeneration. In aged muscle, decreased expression of mitsugumin-29 induces abnormal interaction of dihydropyridine receptor with ryanodine receptor 1 (RyR1), which leads to compromised Ca<sup>2+</sup> spark signaling [44]. Among the typical Ca<sup>2+</sup> channels in muscles, RyR1 from aged mice is oxidized and cysteine-nitrosylated, resulting in leaky channels with increased open probability, which causes muscle weakness [45]. In addition, inositol 1,4,5-trisphosphate receptor expression was dramatically repressed in aged myoblasts, resulting in undetectable Ca<sup>2+</sup> oscillation, which in turn modulated myogenic transcription factor such as myogenin. Thus, perturbation of Ca<sup>2+</sup> homeostasis in aged muscle deteriorates not only excitation-contraction coupling but also myogenic potential, resulting in sarcopenia [46].

Satellite cells, skeletal muscle stem cells, are quiescent myogenic precursors found in the adult muscle between the basal lamina and the sarcolemma. Sarcopenia is developed by unbalanced protein synthesis and degradation as well as dysfunction of satellite cells. Studies investigating an age-related content of satellite cell contents in soleus muscle have revealed that the quality rather than quantity of satellite cells may be responsible for sarcopenia [47]. With aging, skeletal muscles lose their regenerative potential, in part due to deficiencies in satellite cells. The level of Smad4 proteins in satellite cells increases with aging, and suggestively restricts satellite cell amplification to enhance satellite cell differentiation during muscle regeneration [48]. Satellite cells lose their regenerative potential and self-renewal capacity by loss of their normal quiescent state with age. Derepression of p16(INK4) in aged satellite cells causes the conversion from the reversible quiescence state to a senescence state. Silencing of p16(INK4) restores the satellite cell self-renewal and muscle regenerative potential in aged muscle [49]. IGF-I could enhance aged muscle regrowth by decreasing the cell cycle inhibitor, p27Kip1, in satellite cells through the PI3K/Akt signaling axis [50]. The changes in the environment of satellite cells (niche), attributed by the neighboring diverse cell types such as immune cells, fibroblasts, capillary cells, during disuse and exercise play an important role in self-renewal and regenerative potential of

satellite cells [51].

### 3. Possible therapeutic intervention strategies

Sex hormones are required to maintain the muscle mass and strength [52,53]. Transdermal testosterone replacement has improved muscle strength and body compositions in hypogonadal men [54]. Meta-analyses of clinical trials provide evidence that testosterone treatment increases the skeletal muscle mass and also muscle strength to some degree [55]. Although the lack of significant changes in serum levels of prostate specific antigen has been demonstrated in testosterone administration, testosterone therapy has the concern of side effects involved in prostate [56]. An efficacious administration paradigm has proposed that testosterone replacement effects are fiber-type dependent, restricted to increases in cell size, and dependent on the treatment schedule [57]. *In vitro* studies have revealed that androgen increases local expression of IGF-1 levels [58] and inhibits FOXO, and activates both p38 mitogen-activated protein kinases and peroxisome proliferator-activated receptor-gamma coactivator 1 alpha [59]. Testosterone supplementation has reversed sarcopenia through regulation of myostatin, c-Jun NH2-terminal kinase, Notch, and Akt signaling pathways [60].

Androgen has protective effects in skeletal muscle catabolism by inhibition of nuclear factor- $\kappa$ B-inducing kinase (NIK) accumulation [61]. In this report, the increase of NIK expression induced by glucocorticoid in skeletal muscle cells was prevented in the presence of testosterone. Selective androgen receptor modulators have been claimed to be beneficial for the treatment of sarcopenia [62]. Several reviews have described the therapeutic potential of selective androgen receptor modulators for skeletal muscle wasting [63–66].

Myostatin (growth differentiation factor-8, GDF8), a member of TGF $\beta$  superfamily, is a negative regulator of myogenesis, because the absence of myostatin increased muscle size in mice and cattle [67]. GDF11 is highly homologous to myostatin, both mediate downstream signaling via activin receptor and Smad complex [68]. It is known that follistatin binds and neutralizes activin, myostatin, and GDF11 [69]. However, the function of GDF11 in skeletal muscle is controversial. GDF11 was identified as a circulating rejuvenating factor from heterochronic parabiosis mice [70]. Recently the observation has revealed that GDF11 and myostatin both inhibit myoblast differentiation, GDF11 significantly inhibits muscle regeneration and decreased satellite cell expansion in mice [71]. GDF11 treatment has resulted in a significant increase in tissue fibrosis, accompanied by attenuated functional recovery in a complex rat model of skeletal muscle injury that mimics physiological injuries seen in human patients [72]. The considerable attention focused on the blockade of activin receptor signaling for the suppression of skeletal muscle loss by soluble receptors and monoclonal antibodies [73–75]. Small-molecule screening methods have been developed for inhibitors of cellular response to myostatin and activin A [76].

Satellite cells isolated from old human and rodents have more apoptotic signals than youngsters [77]. The reduced antioxidant activity of catalase and glutathione transferase in satellite cells isolated from the elderly individuals has been observed compared to those in cells derived from young people [78]. It is suggested that this decrease in the antioxidant capacity may reduce the regenerative ability of aged satellite cells. Green tea extract, a nutraceutical, increased satellite cell proliferation and differentiation, and decreased oxidative stress in aged rat [79]. Epigallocatechin-3-gallate supplementation reduced the apoptotic index and proapoptotic proteins, and improved muscle recovery after the atrophic stimulus [80]. However it is unlikely that nutraceuticals impact of

**Table 1**  
The screening systems to discover and evaluate enhancing compounds in myogenesis.

Gene & reporter	Cells	Reference
Viability/ATPlite (luciferase)	Mouse, myoblast	Kang et al. [84]
Myogenesis (phenotype evaluation)	Human, satellite	Nierobisz et al. [85]
Myogenesis (state-selective fluorophore)	Mouse, C2C12	Wagner et al. [86]
Mitochondrial biogenesis (Tfam-luciferase)	Mouse, C2C12	Yoshino et al. [87]
Myofusion index	Mouse, C2C12	Yang et al. [88]
Myotube fusion rate (2 fragment of GFP)	Mouse, C2C12	Kodaka et al. [89]
E-box and MCK (luciferase, GFP)	Mouse, C2C12	Ozturk-Kaloglu et al. [90]
Myogenesis (eMHC, InCell Elisa)	Mouse, myoblast/C2C12	Park SS unpublished

green tea are restricted in satellite cell function including apoptosis. Epigallocatechin-3-gallate has protected sarcopenic muscles, in part through suppressing protein degradation and the ubiquitin-proteasome pathway, together with increased expression of anabolic factors [81]. Many mammalian tissues, especially in skeletal muscle contain taurine as a natural amino acid [82]. The involvement and therapeutic potential of taurine have been discussed in pathophysiological conditions and skeletal muscle myopathy [83]. The screening systems to discover new therapeutic molecules and to evaluate compounds involved in satellite cell proliferation and fusion using human and mouse primary myoblast as well as mouse C2C12 cells are summarized in Table 1 [84–90].

The results from a randomized controlled trial of angiotensin-converting enzyme (ACE) inhibitors on physical function involving 130 older patients with impairment of daily activities suggest a beneficial effect of ACE inhibitors in sarcopenia [91]. In a recent study, leprosy survivors who had taken 4,4'-diaminodiphenyl sulfone showed greater skeletal muscle mass and strength than those who had not taken the drug [92]. This result suggests that drug repurposing is a new strategy for the therapeutic approach of sarcopenia.

The finding that the elimination of Dicer activity in the myogenic compartment during embryogenesis display decreased skeletal muscle mass accompanied by abnormal myofiber morphology has demonstrated the crucial roles for microRNAs (miRNAs) as critical components required for myogenesis [93]. Expression profiling analysis of miRNAs and messenger RNAs revealed the contribution of miRNAs to muscle aging through various fields such as transcription, metabolic process, and kinase activity [94]. The differential expression with aging in mouse skeletal muscle has shown that 15 miRNAs are up-regulated and 19 miRNAs are down-regulated in total 34 miRNAs including miR-206 and miR-434 [94]. In myoblasts, 118 miRNAs were differentially expressed (47 up- and 71 down-regulated) [95]. Comparative

analysis and validation studies have revealed that miR-455-3p was significantly decreased in muscle of atrophy model, whereas miR-434-3p was decreased in serum [96]. In the comparative expression analysis of miRNAs between young and aged mouse muscles showed that miR-431, a novel age-associated miRNA, modulates the skeletal myogenesis via regulation of Smad4 expression [95]. The finding that the overexpression of miR-206 in Duchenne muscular dystrophy mouse muscle increased the levels of several muscle-specific proteins has expected to provide a therapeutic potential of miRNAs for Duchenne muscular dystrophy [97]. Chemicals, antibodies, and food supplements are also in clinical trials (Table 2).

The lifecourse approach to sarcopenia is an interesting new strategy. This approach proposed that the conditions and environments in early life influences on the muscle mass and function in later life at a molecular or cellular level [98]. A recent report based on the epidemiology of the 3 distinct physiological components of sarcopenia focuses on the similarities and differences between their patterns of variation with age, sex, geography, time, and the individual risk factors that cluster selectively with muscle mass, strength, and physical function [99].

#### 4. Conclusions

Sarcopenia is well-defined as the gradual loss of muscle mass with aging due to unbalanced protein synthesis and degradation, which leads to a decline in muscle function. Multifactorial consequence of aging including chronic inflammation, neuromuscular junction dysfunction, and degenerative diseases contributes to the onset of sarcopenia. The detailed understanding of the molecular and cellular mechanisms in sarcopenia from the cell-based analysis and human/animal studies will shed lights on the developing the new therapeutic interventions. The new strategy to understanding and management of sarcopenia includes systemic approach to

**Table 2**  
Trends in drug development for sarcopenia.

Company or institute	Brand name	Component	Clinical trial
Abbott Nutrition	AN777	Medical food mixture	Phase III
Merck Sharp & Dohme	MK-677	GH releasing peptide	Phase III
Merck Sharp & Dohme	MK-0773	Anabolic steroid	Phase II
Novartis	BYM338 (Bimagrumab)	Antibody (ActRIIB)	Phase II
Sanofi	REGN1033 (SAR391786)	Antibody (myostatin)	Phase II
Takeda Pharmaceuticals	Pioglitazone (Actos)	PPAR- $\gamma$ agonist	Phase IV
Johns Hopkins University	Losartan	AT <sub>2</sub> R antagonist	Phase II
Mayo Clinic	Omega-3	Unsaturated fatty acids	Phase I
National Institute on Aging	Anastrozole	Estrogen synthesis inhibition	Phase II
Seoul National University	Cetylpyridinium chloride	Cationic ammonium compound	Investigator trials
University of Colorado	Acetaminophen	NSAID	Investigator trials
University of Pennsylvania	Ghrelin	Hunger hormone	Phase II
Washington University	Dehydroepiandrosterone	Androgen precursor	Phase III

ActRIIB, active receptor type IIB; AT<sub>2</sub>R, angiotensin II receptor; GH, growth hormone; NSAID, nonsteroidal anti-inflammatory drug; PPAR- $\gamma$ , peroxisome proliferator-activated receptor-gamma.

Adapted from <https://clinicaltrials.gov/>.

sarcopenia, signal modulating small molecules, drug repositioning (drug repurposing, new tricks for old drugs), and new finding of muscle-specific miRNAs.

### Conflicts of interest

No potential conflict of interest relevant to this article was reported.

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