ORIGINAL RESEARCH

Nonalcoholic Fatty Liver Disease and Risk of Heart Failure Among Medicare Beneficiaries

Marat Fudim , MD, MHS; Lin Zhong, MS; Kershaw V. Patel , MD; Rohan Khera , MD; Manal F. Abdelmalek, MD; Anna Mae Diehl, MD; Robert W. McGarrah, MD; Jeroen Molinger , MS; Cynthia A. Moylan, MD, MHS; Vishal N. Rao , MD, MPH; Kara Wegermann , MD; Ian J. Neeland , MD; Ethan A. Halm, MD, MPH, MBA; Sandeep R. Das , MD, MPH, MBA; Ambarish Pandey , MD, MSCS

BACKGROUND: Nonalcoholic fatty liver disease (NAFLD) and heart failure (HF) are increasing in prevalence. The independent association between NAFLD and downstream risk of HF and HF subtypes (HF with preserved ejection fraction and HF with reduced ejection fraction) is not well established.

METHODS AND RESULTS: This was a retrospective, cohort study among Medicare beneficiaries. We selected Medicare beneficiaries without known prior diagnosis of HF. NAFLD was defined using presence of 1 inpatient or 2 outpatient claims using *International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM)*, claims codes. Incident HF was defined using at least 1 inpatient or at least 2 outpatient HF claims during the follow-up period (October 2015–December 2016). Among 870 535 Medicare patients, 3.2% (N=27 919) had a clinical diagnosis of NAFLD. Patients with NAFLD were more commonly women, were less commonly Black patients, and had a higher burden of comorbidities, such as diabetes, obesity, and kidney disease. Over a mean 14.3 months of follow-up, patients with (versus without) baseline NAFLD had a significantly higher risk of new-onset HF in unadjusted (6.4% versus 5.0%; *P*<0.001) and adjusted (adjusted hazard ratio [HR] [95% CI], 1.23 [1.18–1.29]) analyses. Among HF subtypes, the association of NAFLD with downstream risk of HF was stronger for HF with preserved ejection fraction (adjusted HR [95% CI], 1.24 [1.14–1.34]) compared with HF with reduced ejection fraction (adjusted HR [95% CI], 1.24 [5.0001]).

CONCLUSIONS: Patients with NAFLD are at an increased risk of incident HF, with a higher risk of developing HF with preserved ejection fraction versus HF with reduced ejection fraction. The persistence of an increased risk after adjustment for clinical and demographic factors suggests an epidemiological link between NAFLD and HF beyond the basis of shared risk factors that requires further investigation.

Key Words: heart failure
heart failure with preserved ejection fraction
heart failure with reduced ejection fraction
nonalcoholic fatty liver disease

Nonalcoholic fatty liver disease (NAFLD) encompasses hepatic steatosis, nonalcoholic steatohepatitis, and nonalcoholic steatohepatitis–related cirrhosis. NAFLD is often asymptomatic and underdiagnosed, but is assumed to affect 10% to 30% of the general US population.¹ The incidence of NAFLD in the

United States has increased 5-fold over the past 2 decades.² Cardiovascular disease is a leading cause of mortality among patients with NAFLD and to a greater degree than in the general population, and growing evidence suggests that NAFLD is an independent risk factor for cardiovascular disease.³

Correspondence to: Ambarish Pandey, MD, MSCS, Division of Cardiology, University of Texas Southwestern Medical Center, 5323 Harry Hines Blvd, Dallas, TX 75390-9047. E-mail: ambarish.pandey@utsouthwestern.edu

Supplementary material for this article is available at https://www.ahajournals.org/doi/suppl/10.1161/JAHA.121.021654

For Sources of Funding and Disclosures, see page 6.

^{© 2021} The Authors. Published on behalf of the American Heart Association, Inc., by Wiley. This is an open access article under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs License, which permits use and distribution in any medium, provided the original work is properly cited, the use is non-commercial and no modifications or adaptations are made.

JAHA is available at: www.ahajournals.org/journal/jaha

CLINICAL PERSPECTIVE

What Is New?

- Over a follow-up period of 14 months, patients with (versus without) baseline nonalcoholic fatty liver disease had a significantly higher risk of new-onset heart failure (HF).
- The association with HF and preserved ejection fraction was stronger than for HF with reduced ejection fraction.

What Are the Clinical Implications?

- Our findings suggest an epidemiological link between nonalcoholic fatty liver disease and HF beyond shared risk factors.
- Our findings support further clinical investigation into nonalcoholic liver disease in patients with HF with preserved ejection fraction.

Nonstandard Abbreviations and Acronyms

HFpEF	heart failure with preserved ejection fraction
HFrEF	heart failure with reduced ejection fraction
NAFLD	nonalcoholic fatty liver disease

Similar to NAFLD, heart failure (HF) is marked by high morbidity and mortality and has reached epidemic proportions. NAFLD, independent of other established risk factors for HF, is associated with adverse cardiac remodeling and diastolic dysfunction.^{4,5} Specifically, a link between NAFLD and HF with preserved ejection fraction (HFpEF) has been proposed on the basis of shared pathophysiologic characteristics.^{6–9} However, epidemiological evidence linking NAFLD to downstream risk of incident HF, including HF subtypes, is lacking. Better understanding of the epidemiological association of NAFLD and HF is essential to develop future public health interventions aimed at preventing and treating HF. Accordingly, in this Medicare claimsbased cohort study, we evaluated the association between presence of NAFLD and downstream risk of incident HF among Medicare beneficiaries.

METHODS

This retrospective cohort study represents a secondary analysis of deidentified data, is considered exempt from informed consent, and is approved by the institutional review board of the University of Texas Southwestern Medical Center.

Data Source and Study Population

The present analysis used a subset of the national 5% sample of all fee-for-service Medicare beneficiaries (Part A and Part B) with no prior HF, followed up from October 2015 to December 2016. The data source has been described previously and included fee-forservice claims submitted from inpatient and outpatient encounters, as well as physician or carrier claims.¹⁰ The start of study period coincided with the availability of International Classification of Diseases, Tenth Revision (ICD-10), codes in the Medicare claims data to allow for the evaluation of HF subtypes (HFpEF and HF with reduced ejection fraction [HFrEF]). Beneficiaries with prevalent HF were identified by presence of any HF claims (Table S1 for specific diagnosis codes) over a 2-year look-back period from the start of the study (October 2015) and excluded from the present analysis.11

The data that support the findings of this study are available from the corresponding author on reasonable request.

Identification of Clinical NAFLD and Other Relevant Comorbidities at Baseline

The presence of clinical NAFLD at the start of the study period was determined by presence of 1 inpatient or 2 outpatient claim codes for NAFLD at baseline through 2-year look back (Table S2 for specific International Classification of Diseases, Ninth Revision [ICD-9], codes). Patients diagnosed with other liver diseases with any ICD-9 codes for viral, alcoholic, or cholestatic liver disease were excluded from the clinical NAFLD group. These diagnostic codes identify clinical NAFLD cases with 85% accuracy.² A sensitivity analysis defined the presence of NAFLD using with only 1 inpatient or 1 outpatient claim code (instead of 2 outpatient codes) (Tables S3 and S4 and Figure S1). Presence of relevant HF risk factors was ascertained on the basis of presence of 1 inpatient or 2 outpatient/carrier file claims during the 2-year look back from October 1, 2015, using specific claims codes consistent with prior studies (Table S1 for specific ICD-9 codes).

Assessment of Incident HF Outcome

Consistent with prior studies, the incident HF outcome was ascertained using either 1 inpatient or 2 outpatient/carrier file claims for HF diagnosis on follow-up (Table S1 for specific *ICD-9* codes). Starting October 2015, time to HF event was calculated using the date for first HF diagnosis encountered on follow-up. Incident HFpEF and HFrEF outcomes were captured using HF subtype-specific *ICD-10* codes (I50.3x for HFpEF and I50.2x for HFrEF).

Statistical Analysis

Clinical characteristics of participants with versus without NAFLD were compared using χ^2 test and Wilcoxon rank sum test for categorical and continuous variables, respectively. The unadjusted risk of HF outcomes among patients with versus without NAFLD was assessed using cumulative incidence curves. Cox proportional hazard models were constructed to evaluate the association between NAFLD and risk of HF. Models included the following covariates selected a priori based on biologic plausibility and prior work¹²: age, sex, race, region, hypertension, diabetes, obesity, prior myocardial infarction, atrial fibrillation, kidney disease, and valvular disease. Separate models were constructed for overall HF, HFpEF, and HFrEF, with death and other HF subtype treated as censoring events. HF with missing subtype diagnosis and HF with other subtype diagnosis were considered censoring events for HFpEF and HFrEF outcome models. Interaction between presence of NAFLD and sex, race, and obesity for the risk of HF was also assessed by adding multiplicative interaction terms to the model (NAFLD status×sex, NAFLD status×race, and NAFLD status×obesity). The 2-sided level of statistical significance was set at P<0.05 for all analyses. Statistical analysis was performed using R, version 3.6.0.

RESULTS

There were 870 535 unique beneficiaries with continuous Medicare enrollment for at least 2 years before October 1, 2015. Of them 3.2% (N=27 919) had the claims-based diagnosis of clinical NAFLD. Beneficiaries with (versus without) NAFLD were more commonly women, were less commonly Black individuals, and had a higher burden of HF risk factors (Table 1).

During а mean follow-up duration of 14.3±2.5 months, 5% (N=43 667) were newly diagnosed with HF, of whom 25% (N=10 923) had a claims-based diagnosis of HFrEF, 37% (N=16 062) had a diagnosis of HFpEF, and the rest (N=16 682) had a missing HF subtype diagnosis. The cumulative incidence of HF was higher among patients with NAFLD versus no NAFLD (6.4% versus 5.0%; P<0.001) (Table 2 and the Figure). In adjusted Cox models, NAFLD was significantly associated with a higher risk of HF, independent of other potential confounding factors (hazard ratio, 1.23; 95% Cl, 1.18-1.29; P<0.001; Table 2). For HF subtypes, the cumulative incidence of HFpEF and HFrEF was numerically higher among patients with versus without NAFLD, with a statistically significant difference noted only for HFpEF. In adjusted Cox models, NAFLD was significantly associated with the risk of HFpEF but

		No baseline NAFLD	With baseline NAFLD	
Variables	Total	(N=842 616; 96.8%)	(N=27 919; 3.2%)	P values
Age, mean (SD), y	74.5 (7.1)	74.6 (7.1)	72.4 (5.7)	<0.001
Sex				<0.001
Women	494 904 (56.9)	478 414 (56.8)	16 490 (59.1)	
Race or ethnicity				<0.001
White	757 690 (87)	733 362 (87)	24 328 (87.1)	
Black	59 075 (6.8)	57 668 (6.8)	1407 (5)	
Others*	45 204 (5.2)	43 308 (5.1)	1896 (6.8)	
Region				<0.001
Northeast	155 582 (17.9)	150 494 (17.9)	5088 (18.2)	
Midwest	197 541 (22.7)	192 222 (22.8)	5319 (19.1)	
South	347 067 (39.9)	334 918 (39.7)	12 149 (43.5)	
West	166 195 (19.1)	160 936 (19.1)	5259 (18.8)	
Others	4150 (0.5)	4046 (0.5)	104 (0.4)	
Myocardial infarction	38 273 (4.4)	36 862 (4.4)	1411 (5.1)	<0.001
Peripheral vascular disease	113 330 (13)	108 034 (12.8)	5296 (19)	<0.001
Cerebrovascular disease	102 659 (11.8)	98 482 (11.7)	4177 (15)	<0.001
Chronic pulmonary disease	148 256 (17)	141 141 (16.8)	7115 (25.5)	<0.001
Diabetes	450 900 (51.8)	430 598 (51.1)	20 302 (72.7)	<0.001
Chronic kidney disease	78 368 (9)	74 917 (8.9)	3451 (12.4)	<0.001
Hypertension	707 309 (81.2)	681 517 (80.9)	25 792 (92.4)	<0.001
Obesity	222 618 (25.6)	209 876 (24.9)	12 742 (45.6)	<0.001
Atrial fibrillation	114 269 (13.1)	110 116 (13.1)	4153 (14.9)	<0.001

Table 1. Baseline Characteristics

Data are given as number (percentage), unless otherwise indicated. NAFLD indicates nonalcoholic fatty liver disease. *Others includes Asian, Hispanic, Native American, and others.

Table 2.	Cox Regression on NAFLD Versus Study Outcomes
----------	---

Incident outcome	Cohort with NAFLD, No. of events/No. at risk (%)	Cohort without NAFLD, No. of events/No. at risk (%)	Adjusted HR (95% CI) of NAFLD vs no NAFLD	<i>P</i> value
Overall HF	1800/27 919 (6.4)	41 867/842 616 (5)	1.23 (1.18–1.29)	<0.001
HFpEF*	677/27 919 (2.4)	15 385/842 616 (1.8)	1.24 (1.14–1.34)	<0.001
HFrEF*	384/27 919 (1.4)	10 539/842 616 (1.3)	1.09 (0.98–1.2)	0.12

HFpEF indicates heart failure with preserved ejection fraction; HFrEF, heart failure with reduced ejection fraction; HR, hazard ratio; and NAFLD, nonalcoholic fatty liver disease.

*The heart failure (HF) subtype-specific diagnosis codes were available in 62% of all incident HF cases and included in the HF subtype analysis. HF with missing subtype diagnosis and HF with other subtype diagnosis were considered censoring events for HFpEF and HFrEF outcome models. Model adjusted for age, sex, race, region, baseline hypertension, diabetes, obesity, acute myocardial infarction, atrial fibrillation, chronic kidney disease, and valvular disease.

not HFrEF (Table 2). The association of NAFLD with risk of HF outcomes was not modified by sex, race, or obesity status (*P*-interaction>0.1 for all).

The results of a sensitivity analysis using only 1 inpatient or 1 outpatient claim code to define NAFLD (instead of 2 outpatient codes) are presented in Tables S3 and S4 and Figure S1. The incidence of NAFLD increased from 3.2% to 3.5% without significantly affecting baseline characteristics or the relationship between NAFLD and incident HF and its subtypes.

DISCUSSION

In this cohort of 5% Medicare beneficiaries, we observed that patients with NAFLD were at \approx 20% higher risk of developing HF when compared with patients without NAFLD. Over an average of 14.3 months of follow-up, 6.4% of patients with NAFLD developed HF. The increased risk of HF appeared to be independent of risk factors commonly associated with both NAFLD and HF. Finally, NAFLD was associated with a higher risk for HFpEF than HFrEF. Our study provides an epidemiological link between NAFLD and incident HF, particularly HFpEF that requires further mechanistic exploration.

Despite the observational nature of our investigation, our findings support the hypothesis that HF (particularly HFpEF) is not merely associated with NAFLD but rather a potential consequence of NAFLD.^{4,5,13,14} NAFLD impacts cardiorenal metabolism, which may explain NAFLD-associated subclinical changes of left ventricular structure and function and precipitate the onset of distinct HF phenotypes.^{4,13-15} Although metabolic disease and obesity appear to account for a large portion of those early cardiac changes,¹⁴ we found that incident HF was independent of common cardiometabolic risk factors, including obesity.

The apparent discrepancy between the estimated prevalence of NAFLD in the US population (10%–30%) and the incidence of 3.2% that we found in our cohort has likely several reasons. The estimates of a high prevalence

of NAFLD in the US population refer to NAFLD globally, and encompass clinical and subclinical disease. In our analysis, the inclusion and exclusion criteria for NAFLD were stringent to include what is likely to be clinical NAFLD (inpatient claim code or ongoing outpatient evaluation [2 outpatient claim codes]). At the same time, patients with other liver diseases with any history of *ICD-9* codes for viral, alcoholic, or cholestatic liver disease were excluded from the clinical NAFLD group.

Furthermore, the primary focus of the analysis was the risk of incident HF in this cohort of clinical NAFLD. The analysis of incident rate among the chosen NAFLD cohort should remain valid, even though we might underestimate the true prevalence of NAFLD in the Medicare population.

This study has several limitations. We used previously validated administrative claims data to define comorbidities^{2,16} but could not independently confirm them. The use of ICD-9 codes underestimates prevalent NAFLD because the vast majority of NAFLD is subclinical. Whether missing some true but silent NAFLD would change the true risk of associated HF is unknown. Furthermore, we could not definitively exclude prevalent HF among the original study population. However, a 2-year look-back period to define prevalent HF minimized inadvertent inclusion of patients with HF. HF was classified using claims data and not on the basis of ejection fraction, as this information was not available. Because follow-up was limited to only ~14 months and the Medicare data do not provide cause-specific mortality data, we did not analyze mortality as an outcome. Finally, our data set did not allow adjustment for laboratory values, such as liver function test results or markers of liver fibrosis, which have been closely associated with HF-related morbidity and mortality.17,18

Our findings suggest an epidemiological link between NAFLD and HF beyond shared risk factors. Thus, the current epidemic of NAFLD¹⁹ could significantly drive the changing landscape of HF epidemiological features, where HFpEF is set to become the predominant HF subtype in the upcoming 2 decades.²⁰





NAFLD indicates nonalcoholic fatty liver disease.

ARTICLE INFORMATION

Received May 4, 2021; accepted August 9, 2021.

Affiliations

Division of Cardiology, Duke University Medical Center, Durham, NC (M.F., R.W.M., J.M., V.N.R.); Duke Clinical Research Institute, Durham, NC (M.F., V.N.R.); Division of Cardiology, University of Texas Southwestern Medical Center, Dallas, TX (L.Z., E.A.H.); Department of Cardiology, Houston Methodist DeBakey Heart and Vascular Center, Houston, TX (K.V.P.); Division of Cardiology, Yale Medical Center, New Haven, CT (R.K.); Division of Gastroenterology, Duke University Medical Center, Durham, NC (M.F.A., A.M.D., C.A.M., K.W.); Durham Veterans Affairs Medical Center, Durham, NC (C.A.M.); Harrington Heart and Vascular Institute, University Hospitals Cleveland Medical Center, Case Western Reserve University School of Medicine, Cleveland, OH (I.J.N.); and Department of Internal Medicine, Cardiology Division, University of Texas Southwestern Medical Center, Dallas, TX (S.R.D., A.P.).

Sources of Funding

Dr Fudim was supported by K23HL151744 from the National Heart, Lung, and Blood Institute (NHLBI) and the American Heart Association grant 20IPA35310955. Dr Khera was supported by grant 5T32HL125247-02 from the NHLBI and grant UL1TR001105 from the National Center for Advancing Translational Sciences of the National Institutes of Health (NIH). Dr Rao reports grants from NIH (T32 HL069749). Dr Pandey has received research support from the Texas Health Resources Clinical Scholarship, the Gilead Sciences Research Scholar Program, the National Institute on Aging GEMSSTAR Grant (1R03AG067960), and Applied Therapeutics.

Disclosures

Dr Fudim is supported by a Mario Family Award and Translating Duke Health Award; and consulting fees from AstraZeneca, AxonTherapies, CVRx, Daxor, Edwards LifeSciences, Galvani, NXT Biomedical, and Respicardia. Dr Pandey reported serving on the advisory board of Roche Diagnostics and received non-financial support from Pfizer and Merck. Dr Neeland reports being a former speaker/consultant for Boehringer Ingelheim, being a former scientific advisory board member for AMRA Medical, receiving a grant from Novo Nordisk, and receiving prior consulting honoraria from Merck. The remaining authors have no disclosures to report.

Supplementary Material

Tables S1–S4 Figure S1

REFERENCES

- Lonardo A, Sookoian S, Pirola CJ, Targher G. Non-alcoholic fatty liver disease and risk of cardiovascular disease. *Metabolism*. 2016;65:1136– 1150. doi: 10.1016/j.metabol.2015.09.017
- Allen AM, Therneau TM, Larson JJ, Coward A, Somers VK, Kamath PS. Nonalcoholic fatty liver disease incidence and impact on metabolic burden and death: a 20 year-community study. *Hepatology*. 2018;67:1726– 1736. doi: 10.1002/hep.29546
- 3. Paik JM, Henry L, De Avila L, Younossi E, Racila A, Younossi ZM. Mortality related to nonalcoholic fatty liver disease is increasing in the United States. *Hepatol Commun.* 2019;3:1459–1471. doi: 10.1002/hep4.1419
- VanWagner LB, Wilcox JE, Colangelo LA, Lloyd-Jones DM, Carr JJ, Lima JA, Lewis CE, Rinella ME, Shah SJ. Association of nonalcoholic fatty liver disease with subclinical myocardial remodeling and dysfunction: a population-based study. *Hepatology*. 2015;62:773–783. doi: 10.1002/hep.27869
- Wijarnpreecha K, Lou S, Panjawatanan P, Cheungpasitporn W, Pungpapong S, Lukens FJ, Ungprasert P. Association between diastolic cardiac dysfunction and nonalcoholic fatty liver disease: a systematic review and meta-analysis. *Dig Liver Dis.* 2018;50:1166–1175. doi: 10.1016/j.dld.2018.09.004

- Fudim M, Sobotka PA, Dunlap ME. Extracardiac abnormalities of preload reserve: mechanisms underlying exercise limitation in heart failure with preserved ejection fraction, autonomic dysfunction, and liver disease. *Circ Heart Fail*. 2021;14:e007308. doi: 10.1161/CIRCHEARTF AILURE.120.007308
- Mantovani A, Pernigo M, Bergamini C, Bonapace S, Lipari P, Pichiri I, Bertolini L, Valbusa F, Barbieri E, Zoppini G, et al. Nonalcoholic fatty liver disease is independently associated with early left ventricular diastolic dysfunction in patients with type 2 diabetes. *PLoS One*. 2015;10:e0135329. doi: 10.1371/journal.pone.0135329
- So-Armah KA, Lim JK, Lo Re V III, Tate JP, Chang C-C, Butt AA, Gibert CL, Rimland D, Marconi VC, Goetz MB, et al. FIB-4 stage of liver fibrosis is associated with incident heart failure with preserved, but not reduced, ejection fraction among people with and without HIV or hepatitis C. *Prog Cardiovasc Dis.* 2020;63:184–191. doi: 10.1016/j. pcad.2020.02.010
- Peters AE, Pandey A, Ayers C, Wegermann K, McGarrah RW, Grodin JL, Abdelmalek MF, Bekfani T, Blumer V, Diehl AM, et al. Association of liver fibrosis risk scores with clinical outcomes in patients with heart failure with preserved ejection fraction: findings from TOPCAT. *ESC Heart Fail.* 2021;8:842–848. doi: 10.1002/ehf2.13250
- Khera R, Kondamudi N, Zhong L, Vaduganathan M, Parker J, Das SR, Grodin JL, Halm EA, Berry JD, Pandey A. Temporal trends in heart failure incidence among Medicare beneficiaries across risk factor strata, 2011 to 2016. *JAMA Netw Open*. 2020;3:e2022190. doi: 10.1001/jaman etworkopen.2020.22190
- Khera R, Pandey A, Ayers CR, Agusala V, Pruitt SL, Halm EA, Drazner MH, Das SR, de Lemos JA, Berry JD. Contemporary epidemiology of heart failure in fee-for-service Medicare beneficiaries across healthcare settings. *Circ Heart Fail*. 2017;10:e004402. doi: 10.1161/CIRCHEARTF AILURE.117.004402
- Patel KV, Simek S, Ayers C, Neeland IJ, deFilippi C, Seliger SL, Lonergan K, Minniefield N, Mentz RJ, Correa A, et al. Physical activity, subclinical myocardial injury, and risk of heart failure subtypes in black adults. *JACC Heart Fail*. 2021;9:484–493. doi: 10.1016/j.jchf.2021.04.003
- Cohen JB, Schrauben SJ, Zhao L, Basso MD, Cvijic ME, Li Z, Yarde M, Wang Z, Bhattacharya PT, Chirinos DA, et al. Clinical phenogroups in heart failure with preserved ejection fraction: detailed phenotypes, prognosis, and response to spironolactone. *JACC Heart Fail*. 2020;8:172–184. doi: 10.1016/j.jchf.2019.09.009
- VanWagner LB, Wilcox JE, Ning H, Lewis CE, Carr JJ, Rinella ME, Shah SJ, Lima JAC, Lloyd-Jones DM. Longitudinal association of nonalcoholic fatty liver disease with changes in myocardial structure and function: the CARDIA study. *J Am Heart Assoc.* 2020;9:e014279. doi: 10.1161/JAHA.119.014279
- Flint KM, Shah SJ, Lewis EF, Kao DP. Variation in clinical and patientreported outcomes among complex heart failure with preserved ejection fraction phenotypes. *ESC Heart Fail*. 2020;7:811–824. doi: 10.1002/ ehf2.12660
- Kucharska-Newton AM, Heiss G, Ni H, Stearns SC, Puccinelli-Ortega N, Wruck LM, Chambless L. Identification of heart failure events in Medicare claims: the Atherosclerosis Risk in Communities (ARIC) Study. J Card Fail. 2016;22:48–55. doi: 10.1016/j.cardfail.2015.07.013
- Ambrosy AP, Vaduganathan M, Huffman MD, Khan S, Kwasny MJ, Fought AJ, Maggioni AP, Swedberg K, Konstam MA, Zannad F, et al. Clinical course and predictive value of liver function tests in patients hospitalized for worsening heart failure with reduced ejection fraction: an analysis of the EVEREST trial. *Eur J Heart Fail*. 2012;14:302–311. doi: 10.1093/eurjhf/hfs007
- Soloveva A, Kobalava Z, Fudim M, Ambrosy AP, Villevalde S, Bayarsaikhan M, Garmash I, Naumenko M. Relationship of liver stiffness with congestion in patients presenting with acute decompensated heart failure. *J Card Fail*. 2019;25:176–187. doi: 10.1016/j.cardfail.2019.01.020
- Jennings J, Faselis C, Yao MD. NAFLD-NASH: an under-recognized epidemic. *Curr Vasc Pharmacol.* 2018;16:209–213. doi: 10.2174/15701 61115666170622074007
- Oktay AA, Rich JD, Shah SJ. The emerging epidemic of heart failure with preserved ejection fraction. *Curr Heart Fail Rep.* 2013;10:401–410. doi: 10.1007/s11897-013-0155-7

SUPPLEMENTAL MATERIAL

Table S1. International Classification of Disease (ICD) 9 & 10 Codes Used to IdentifyHeart Failure and Comorbidities Amongst Medicare Beneficiaries.

Heart Failure	The ICD-9 CM codes including
	$428 \times 402 \times 1$ 404 x1 and 404 x3
	+20.X, +02.X1, +04.X1, and +04.X0
	ICD-10 CM codes including
	IEOv 111 0 112 and 112 2
Lisset Failure with Drasser d	150X, 111.0, 115, and 115.2
Heart Failure with Preserved	ICD-10 codes: 150.3
Ejection Fraction	
Heart Failure with Reduced Election	ICD-10 codes: 150.2
Fraction	
Acute Myocardial Infarction	ICD9 substrate CD1. 1,3) in ('410') and substrate
	CD1,5,5) not in ('2') or ICD 10 CCS diagnosis group
	ʻ100'
Atrial Fibrillation	ICD9 diagnoses including '42731' or ICD 10 diagnosis
	148 xx
Chronic Kidney Disease	ICD 9 codes: 585.1–585.6
	ICD10 code N18.x
Diabetes	ICD9 diagnoses including
	24900' 25000' 25001' 7902' 79021' 79022' 79029' 79
	15' '7916' '\/4585' '\/5391' '\/6546' '24901' '24910' '2491
	1' '2/020' '2/021' '2/030' '2/031' '2/040' '2/070' '2/070'
	24051 24060 24061 24061 24070 24071 24080 24081
	24901,24900,24901,24970,24971,24900,24901,
	24990,24991,25002,25000,25010,25011,25012,2
	0013,20020,20021,20022,20023,20030,20031,20
	032,25033,25040,25041,25042,25043,25050,250
	51, 25052, 25053, 25060, 25061, 25062, 25063, 2507
	0','25071','25072','25073','25080','25081','25082','25083'
	,'25090','25091','25092','25093') or ICD 10 CC diagnosis
	groups '49','50'
Hypertension	ICD9 diagnoses including
	4011','4019','4010','40200','40201','40210','40211','4029
	0','40291','4030','40300','40301','4031','40310','40311','4
	039','40390','40391','4040','40400','40401','40402','4040
	3','4041','40410','40411','40412','40413','4049','40490','4
	0491','40492','40493','40501','40509','40511','40519','40
	591','40599','4372' or ICD10 CC diagnosis groups I10-
	116
Obesity	ICD9 diagnoses including
-	'2780'.'27800'.'27801'.'27802'.'27803'.'79391'.'\/8521'.'\/
	8522' '\/8523
	'\/8524' '\/8525' '\/8530' '\/8531' '\/8532' '\/8532' '\/8534'
	·\/8535' '\/8536' '\/8537' '\/8538' '\/8530' \/8534' '\/8537' '\/8538' '\/8536' '\/8537' '\/8538' '\
	│, VOJJJ, VOJJU, VOJJ <i>I</i> , VOJJO, VOJJ J , VOJ4 , VOJ4 ,

	V8542','V8543','V8544','V8545','V8554','Z6841','Z6842',' Z6843','Z6844','Z6845' or ICD 10 diagnosis group with E66
Valvular Disease	ICD-9 codes 395.x, 396.x, 398.9, 424.1, 7463, and 7464 (aortic valve disease); 394.x, 396.x, 398.9, 424.0, 7465, and 7466 (mitral valve disease); 397.0, 398.9, 424.2, and 746.1 (tricuspid valve disease); and 397.1, 424.3, 746.00, 746.02, and 746.09 (pulmonary valve disease).

Table S2. Inclusion and exclusion International Classification of Diseases-9 Codes (ICD-9) codes used in the algorithm of NAFLD cases identification.

INCLUSION

571.8 other chronic nonalcoholic liver disease 571.9 unspecified chronic liver disease without alcohol 571.5 cirrhosis without alcohol **EXCLUSION** HEPATITIS (VIRAL AND AUTOIMMUNE) 070.0 viral hepatitis A with hepatic coma 070.1 viral hepatitis A without hepatic coma 070.20 viral hepatitis B with hepatic coma acute or unspecified without hepatitis delta 070.21 viral hepatitis B with hepatic coma acute or unspecified with hepatitis delta 070.22 chronic viral hepatitis B with hepatic coma without hepatitis delta 070.23 chronic viral hepatitis B with hepatic coma with hepatitis delta 070.30 viral hepatitis B without hepatic coma acute or unspecified without hepatitis delta 070.31 viral hepatitis B with hepatic coma acute or unspecified with hepatitis delta 070.32 chronic viral hepatitis B without hepatic coma without hepatitis delta 070.33 chronic viral hepatitis B without hepatic coma with hepatitis delta 070.41 acute hepatitis C with hepatic coma 070.42 hepatitis delta without active hepatitis B with hepatic coma 070.43 hepatitis E with hepatic coma 070.44 chronic hepatitis C with hepatic coma 070.49 other specified viral hepatitis with hepatic coma 070.51 acute hepatitis C without mention of hepatic coma 070.52 hepatitis delta without active hepatitis B disease or hepatic coma 070.53 hepatitis E without hepatic coma 070.54 chronic hepatitis C without hepatic coma 070.59 other specified viral hepatitis without hepatic coma 070.6 unspecified viral hepatitis with hepatic coma 070.70 unspecified viral hepatitis C without hepatic coma 070.71 unspecified viral hepatitis C with hepatic coma 070.9 unspecified viral hepatitis without hepatic coma V02.60 carrier or suspected carrier of viral hepatitis unspecified V02.61 carrier or suspected carrier of hepatitis B V02.62 carrier or suspected carrier of hepatitis C V02.69 carrier or suspected carrier of other viral hepatitis 571.40 chronic hepatitis unspecified 571.41 chronic persistent hepatitis 571.42 autoimmune hepatitis 571.49 chronic hepatitis 573.1 hepatitis in viral diseases classified elsewhere 573.2 hepatitis in other infectious diseases classified elsewhere ALCOHOLIC LIVER DISEASE 571.0 alcoholic fatty liver disease 571.1 acute alcoholic hepatitis 571.2 alcoholic cirrhosis of liver 571.3 alcoholic liver damage OTHER 571.6 biliary cirrhosis

Table S3. Baseline characteristics for the cohort using a sensitivity analysis.The sensitivity analysis defined the presence of NAFLD using with only 1 inpatient or 1 outpatientclaim code (instead of 2 outpatient) the full study cohort.

Variables	Total	No baseline NAFLD (N=840,090, 96.5%)	With baseline NAFLD (N=30,445, 3 5%)	p values
Age (mean, SD)	74.5 (7.1)	74.6 (7.1)	72.3 (5.7)	<0.001
Sex				<0.001
Female	494,904 (56.9%)	477,133 (56.8%)	17,771 (58.4%)	
Race				<0.001
White	757,690 (87%)	731,527 (87.9%)	26,163 (86.8%)	
Black	59,075 (6.8%)	57,375 (6.9%)	1,700 (5.6%)	
Others	45,204 (5.2%)	42,938 (5.2%)	2,266 (7.5%)	
Region				<0.001
Northeast	155,582 (17.9%)	150,031 (17.9%)	5,551 (18.2%)	
Midwest	19,7541 (22.7%)	191,788 (22.8%)	5,753 (18.9%)	
South	347,067 (39.9%)	333,963 (39.8%)	13,104 (43%)	
West	166,195 (19.1%)	160,273 (19.1%)	5,922 (19.5%)	
Others	4,150 (0.5%)	4,035 (0.5%)	115 (0.4%)	
Myocardial Infarction	38,273 (4.4%)	23,546 (2.8%)	1,245 (4.1%)	<0.001
Peripheral Vascular				
Disease	113,330 (13%)	107,567 (12.8%)	5,763 (18.9%)	<0.001
Cerebrovascular Disease	102,659 (11.8%)	98,095 (11.7%)	4,564 (15%)	<0.001
Chronic Pulmonary				
Disease	148,256 (17%)	140,451 (16.7%)	7,805 (25.6%)	<0.001
Diabetes	450,900 (51.8%)	428,961 (51.1%)	21,939 (72.1%)	<0.001
Chronic Kidney Disease	78,368 (9%)	74,541 (8.9%)	3,827 (12.6%)	<0.001
Hypertension	707,309 (81.2%)	679,281 (80.9%)	28,028 (92.1%)	<0.001
Obesity	222,618 (25.6%)	209,049 (24.9%)	13,569 (44.6%)	<0.001
Atrial Fibrillation	114,269 (13.1%)	109,752 (13.1%)	4,517 (14.8%)	<0.001

Table S4. Cox regression on NAFLD vs. study outcomes for the cohort using a sensitivity analysis. The sensitivity analysis defined the presence of NAFLD using with only 1 inpatient or 1 outpatient claim code (instead of 2 outpatient) the full study cohort.*

Incident Outcome	Cohort with NAFLD No of events/No. at risk (%)	Cohort without NAFLD No of events/No. at risk (%)	Adjusted HR (95% CI) NAFLD vs. no NAFLD	P- value
Overall HF	1985/28460 (6.5%)	43425/861969 (5%)	1.26 (1.21 – 1.32)	<0.001
HFpEF*	743/29702 (2.4%)	15986/861969 (1.9%)	1.27 (1.18 – 1.36)	<0.001
HFrEF*	418/30027 (1.4%)	10858/861969 (1.3%)	1.1 (1.0 – 1.21)	0.078

HF subtype specific diagnosis code were available in 62% of all incident HF cases and included in the HF subtype analysis. HF with missing subtype diagnosis as well as other subtype diagnosis were considered censoring events for HFpEF and HFrEF outcome models. Model adjusted for age, sex, race, region, baseline hypertension, diabetes, obesity, AMI, AF, CKD and valvular disease.

Abbreviations: HFrEF- heart failure with reduced ejection fraction, HFpEF- heart failure with preserved ejection fraction, NAFLD- non-alcoholic fatty liver disease





Abbreviations: HFrEF- heart failure with reduced ejection fraction, HFpEF- heart failure with preserved ejection fraction, NAFLD- non-alcoholic fatty liver disease