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### Brain-Derived Neurotrophic Factor (BDNF) Val<sup>66</sup>Met Polymorphism Differentially Predicts Hippocampal Function in Medication-Free Patients with Schizophrenia

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#### Abstract

A Val<sup>66</sup>Met single nucleotide polymorphism (SNP) in the brain-derived neurotrophic factor (BDNF) gene impairs activity-dependent BDNF release in cultured hippocampal neurons and predicts impaired memory and exaggerated basal hippocampal activity in healthy humans. Several clinical genetic association studies, along with multi-modal evidence for hippocampal dysfunction in schizophrenia indirectly suggest a relationship between schizophrenia and geneticallydetermined BDNF function in the hippocampus. To directly test this hypothesized relationship, we studied 47 medication-free patients with schizophrenia or schizoaffective disorder and 74 healthy comparison individuals with genotyping for the Val<sup>66</sup>Met SNP and [<sup>15</sup>O]H<sub>2</sub>O positron emission tomography (PET) to measure resting and working memory-related hippocampal regional cerebral blood flow (rCBF). In patients, harboring a Met allele was associated with significantly less hippocampal rCBF. This finding was opposite to the genotype effect seen in healthy participants, resulting in a significant diagnosis-by-genotype interaction. Exploratory analyses of interregional resting rCBF covariation revealed a specific and significant diagnosis-by-genotype interaction effect on hippocampal-prefrontal coupling. A diagnosis-by-genotype interaction was also found for working-memory related hippocampal rCBF change, which was uniquely attenuated in *Met* allele-carrying patients. Thus, both task-independent and task-dependent hippocampal neurophysiology accommodates a Met allelic background differently in patients with schizophrenia than in control subjects. Potentially consistent with the hypothesis that cellular sequelae of the BDNF Val<sup>66</sup>Met SNP interface with aspects of schizophrenic hippocampal and frontotemporal dysfunction, these results warrant future investigation to understand the contributions of unique patient trait or state variables to these robust interactions.

#### Conflict of Interest

All authors report no financial conflict of interest with regard to this manuscript.

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#### Keywords

Schizophrenia; BDNF; hippocampus; PET; Val66Met; working memory

#### Introduction

Brain-derived neurotrophic factor (BDNF) is an important determinant of hippocampal function throughout the lifespan, facilitating neuronal survival and differentiation, synaptic structure and plasticity, long-term potentiation, and learning and memory<sup>1-8</sup>. Because schizophrenia is postulated to have a neurodevelopmental etiology involving disrupted hippocampal function<sup>9</sup> and has been associated with abnormalities in hippocampal-dependent cognitive functions<sup>10</sup>, hippocampal basal neural activity<sup>11-14</sup>, and hippocampal-prefrontal functional relationships<sup>15,16</sup>, an important role for BDNF in schizophrenic pathophysiology has been repeatedly proposed<sup>17-19</sup>.

In keeping with this hypothesis, post-mortem studies have identified reduced BDNF expression in hippocampal<sup>20-22</sup> and prefrontal<sup>21-24</sup> (though see Durany et al<sup>20</sup>) tissues in schizophrenia. Consistent with these reports, BDNF blood levels are reduced in schizophrenia patients – a finding replicated in medication-naïve, first-episode patients<sup>25</sup> and associated with cognitive or clinical measures in some experiments<sup>26-28</sup>, though definitive work linking peripheral and central BDNF measures is lacking. Finally, although negative studies have been published, in some cohorts there exists an association between variation in the BDNF gene and schizophrenia risk<sup>29,30</sup> or treatment response<sup>31</sup>.

This variation includes a single nucleotide polymorphism (SNP), rs6265, in the conserved, 5'-pro-protein-coding region, which entails a valine-to-methionine substitution (Val<sup>66</sup>Met) causing inefficient BDNF trafficking and reduced activity-dependent BDNF secretion<sup>32</sup>. Healthy *Met* carriers demonstrate diminished episodic memory performance, reduced hippocampal neuronal integrity measured with magnetic resonance spectroscopy, and attenuated hippocampal deactivation during working memory<sup>32</sup>.

Despite evidence in schizophrenia for disturbances in the same hippocampal functions that are modulated by BDNF, abnormal post-mortem brain and *in vivo* peripheral BDNF measurements, and select genetic association data, it remains unclear whether there is any meaningful relationship between observed hippocampal pathophysiological changes and genetically determined BDNF signaling. Consequently, we took advantage of [<sup>15</sup>O]H<sub>2</sub>O PET, a gold-standard method, to ascertain direct measurements of task-independent ("resting") and task-related rCBF in patients with schizophrenia and healthy comparators, all genotyped for the Val<sup>66</sup>Met polymorphism. We hypothesized that if such an interaction existed, not only might patients show abnormal hippocampal physiology at baseline<sup>11-13</sup> and during cognitive challenge<sup>16</sup>, they might also show differential effects of the Val<sup>66</sup>Met polymorphism on hippocampal function compared to healthy individuals. Critically, given prior findings suggesting antipsychotic-induced changes in hippocampal function<sup>33</sup> and hippocampal BDNF concentrations<sup>9</sup>, we studied patients in a medication-free state.

#### Subjects and Methods

#### Subjects

Forty-seven individuals (mean age 28+6, 34 male, 37 Caucasian, 40 right-handed) meeting DSM-IV criteria for schizophrenia or schizoaffective disorder (6) by clinician-administered structured interview<sup>34</sup> and confirmatory longitudinal inpatient psychiatric evaluation participated in a double-blind, placebo-controlled pharmacotherapy withdrawal protocol. After stabilization on a single, standard antipsychotic medication (and no other psychotropic agents), patients were switched to placebo treatment and four weeks later, still medication-free, underwent PET scanning and clinician-administered Positive and Negative Symptom Scale (PANSS) ratings<sup>35</sup>. Duration of illness was estimated retrospectively by history obtained from the patient, family, and past clinical documentation<sup>28</sup>.

Comparison data were acquired from 74 healthy individuals (mean age 30+7, 50 male, 62 Caucasian, 69 right-handed), without Axis I disorder<sup>34</sup>. For all participants, absence of pregnancy, confounding medical condition, and recent psychoactive substance use were confirmed by clinical history, physical examination, and laboratory studies. A subset of resting rCBF and *BDNF* genotype data from healthy individuals was also used in prior work<sup>36</sup>. All participants provided written informed consent, and all procedures were approved by the NIH Institutional Review Board and Radiation Safety Committee.

#### Genotyping

DNA was extracted from blood samples via standard methods and genotyped for the *BDNF* Val<sup>66</sup>Met SNP via TaqMan 5'exonuclease assay (Applied Biosystems, Foster City, CA). Given previous work implicating the *COMT* Val<sup>158</sup>Met SNP in cognition and medial temporal lobe physiology<sup>37</sup> and potential relevance of this SNP to schizophrenia, genotypes for this variant were similarly obtained to rule out confounding *COMT* stratification effects.

#### Scanning Procedures

Participants abstained from caffeine and nicotine for at least four hours prior to a single brain PET session using a GE Advance PET scanner operating in 3-dimensional mode. An eight-minute transmission scan for attenuation correction and sixteen 60-second emission scans for rCBF measurements, six minutes apart, each following intravenous injection of [<sup>15</sup>O]H<sub>2</sub>O (10 mCi/injection), were acquired. During emission scans, participants performed the n-back task (seven 0-back sensorimotor control scans alternating with seven 2-back working memory scans) as previously described<sup>16</sup>, or quietly rested with eyes closed (two scans). Unlike fMRI, which depends on detecting changes in signal between different task conditions performed in the same imaging run (typically a task of interest alternating with rest), this PET method maps rCBF during each condition separately with entirely independent scans. For n-back conditions, a continuous series of single digits ("1"-"4") shown at the points of a diamond were presented in random order. Subjects responded with a right-handed button-press corresponding to either the present stimulus (for 0-back) or that of the stimulus two presentations prior (for 2-back).

#### **Statistical Procedures**

After attenuation-correction and reconstruction, scans were background-activity corrected, registered, spatially normalized (Montreal Neurological Institute space), smoothed (10 mm<sup>3</sup> full-width/half-maximum Gaussian kernel), and proportionally scaled. To allow randomeffects analyses, images were averaged by condition (resting, 0-back, or 2-back), and n-back scans were contrasted by condition (2-back scans minus 0-back scans) to create three single condition-specific rCBF maps (for resting, 0-back, and 2-back separately) and a single working memory activation map (2-back minus 0-back) for each individual, respectively. Mean hippocampal rCBF for all conditions and activation values were measured using a bilateral hippocampal anatomical region of interest (ROI) derived from WFU PickAtlas software. ROI, demographic and clinical data analyses employed SPSS (SPSS Inc., Chicago, IL). Hardy-Weinberg exact tests were performed in R (http://cran.r-project.org)<sup>38</sup>. In addition, voxel-wise, general linear analyses of hippocampal rCBF and activation were performed with SPM5 (Wellcome Department of Cognitive Neurology, London). Planned contrasts compared resting hippocampal rCBF or activation between diagnostic (patient versus control) and genotype groups (Val homozygote versus Met carrier), and tested for diagnosis-by-genotype interactions.

To understand how hippocampal regions most relevant to schizophrenia might differentially predict activity in other brain regions, particularly the prefrontal cortex<sup>39</sup>, based on diagnosis and *BDNF* genotype, we conducted post-hoc explorations of hippocampal functional coupling ("connectivity") across the whole brain. 'Functional coupling' in this context refers to interindividual regional covariation ascertained by voxel-wise regression of resting rCBF or activation across the entire brain on mean-centered hippocampal seed resting rCBF or activation, respectively. Each seed (one for resting rCBF and one for activation) was defined as a 6mm radius sphere centered on the most significant peak voxel result from the hippocampal diagnostic group comparisons. Between-group differences in functional connectivity were ascertained by contrast tests of beta-weights associated with each group's hippocampal seed regressor.

For all whole-brain analyses, reported results met a cluster-level threshold of p<0.05, corrected for multiple comparisons, as determined by 10,000 Monte Carlo simulations implemented with AFNI AlphaSim software (NIMH, Bethesda, MD) using a voxel-level uncorrected threshold of p< $0.005^{40}$ . For all hippocampal rCBF or activation voxel-wise comparisons, the search volume was restricted to the hippocampal ROI, and a voxel-wise false discovery rate (FDR) correction for multiple comparisons was applied.

#### Results

#### **Demographics, Genotypes and Clinical Ratings**

In the schizophrenia group, there were 32 *BDNF Val* homozygotes (mean age 27+5, 22 male, 26 Caucasian, 27 right-handers, 21 *COMT Val* carriers, mean age at onset 21+4, mean illness duration 6+4) and 15 *BDNF Met* carriers (mean age 29+9, 12 male, 11 Caucasian, 13 right-handers, 10 *COMT Val* carriers, mean age at onset 19+3, mean illness duration 10+8). In the control group there were 49 *BDNF Val* homozygotes (mean age 30+7, 34 male, 43

Caucasian, 45 right-handers, 32 *COMT Val* carriers) and 25 *BDNF Met* carriers (mean age 29+7, 16 male, 19 Caucasian, 23 right-handers, 17 *COMT Val* carriers). Across these four groups, there were no significant differences in age (F(3,117)=1.223, p=0.304) or in sex, race, handedness, or *COMT* genotype distributions (all Fisher's exact test statistics 1.612, p 0.711). Healthy and patient groups did not differ in *BDNF* genotype distribution ( $\chi^2(1,N=121)=0.045$ , p=0.831). No deviations from Hardy-Weinberg equilibrium existed for either gene (all p's 0.133). Within the patient group, there were no significant differences in illness duration by genotype (t(45)=1.674, p=0.112).

Inpatient PANSS ratings were available for all but five individuals whose data were lost due to transcription error. Average ratings for total PANSS (maximum 210), and Negative (maximum 49), Positive (maximum 49), and General Psychopathology (maximum 112) subscales were 72+22, 20+7, 17+7, and 34+11, respectively, and did not significantly vary between genotypes (all t's(40) 1.237; p 0.223).

Resting rCBF data were available for all participants. Working memory rCBF data for nine patients were excluded due to data acquisition problems (three) or 2-back performance at or below chance (six). The remaining patient group contained 25 *Val* homozygotes (mean age 26+5) and 13 *Met* carriers (mean age 27+8). Mean age differences across groups did not reach statistical significance (F(3,108)=1.904, p=0.133). Post-hoc pair-wise age comparisons (Tukey's HSD test) of all groups were performed and indicated that control *Val* homozygotes (mean age 30+7) non-significantly tended to be older than patient *Val* homozygotes (p=0.128; all other p's>0.483). Demographic comparisons across these subgroups remained nonsignificant for sex, race, handedness, and *COMT* genotype distributions (Fisher's exact test statistics (N=112) 2.298, p 0.517). Again, healthy and patient groups did not differ in *BDNF* genotype distributions ( $\chi^2(1,N=112)=0.002$ , p=0.964).

Inpatient PANSS ratings were available for all but two individuals in the working memory patient group. Average ratings on the total PANSS, and Negative, Positive, and General Psychopathology subscales were 66+16, 19+6, 16+5, and 32+9, respectively. These did not significantly vary between genotypes (t's(34) 0.501, p 0.620).

#### Working Memory Task Performance

As expected, patients showed worse accuracy on the 2-back task than healthy volunteers (patients mean=70%+0.2, controls mean=83%+0.16; t(108)=3.912, p<0.001), but all performed above chance (25%) level. A trend for worse accuracy among *Met* carriers was not significant (*Met* carriers mean=76%+0.19, *Val* homozygotes mean=81%+0.17; t(108)=1.744, p=0.084), and there was no significant diagnosis-by-genotype interaction (t(108)=0.355, p=0.186).

#### **Resting Hippocampal rCBF: ROI Comparisons**

Planned, two-tailed contrast tests revealed a main effect of diagnostic group on mean hippocampal resting rCBF (t(117)=3.042; p=0.003) indicating lower basal hippocampal rCBF in patients. No main effect of genotype existed (t(117)=0.511, p=0.611), but a highly robust diagnosis-by-genotype interaction (t(117)=3.258, p=0.001) did: whereas control *Met* 

carriers had greater resting hippocampal rCBF than *Val* homozygotes (t(117)=2.224, p=0.028), patients showed the opposite pattern (t(117)=2.396, p=0.018), with abnormally low rCBF in patient *Met* carriers. Notably, this same interaction pattern was observed within the 0-back (t(108)=2.841, p=0.005) and 2-back (t(108)=2.414, p=0.017) conditions alone (Figure 1). PANSS ratings (total and subscales) did not correlate with resting hippocampal rCBF in patients (all r's |0.075|, p's 0.635).

#### **Resting Hippocampal rCBF: Voxel-wise Comparisons**

Confirmatory voxel-wise hippocampal rCBF comparisons identified bilateral diagnosis effects (controls>patients), with the strongest difference in the left anterior hippocampus; Figure 1). As with the ROI analyses above, no main effects of genotype on hippocampal rCBF were found. The robust diagnosis-by-genotype interaction observed in the ROI analyses also localized bilaterally, with the strongest effect in the left mid-posterior hippocampus (Figure 1; Supplementary Table 1).

#### Resting Hippocampal Functional Coupling: Voxel-wise Comparisons

When whole-brain, voxel-wise patterns of resting hippocampal functional coupling were explored, there was no significant main effect of diagnosis. A genotype main effect arose in several midline regions, including the medial frontal gyrus and several cingulate cortex clusters as well as in the amygdala and left inferior temporal and postcentral gyri, where strong positive relationships with hippocampal rCBF occurred in *Met* carriers but not *Val* homozygotes. The opposite effect (*Val* homozygotes showing more positive relationships with hippocampal rCBF occurred in *Met* carriers but not *Val* homozygotes. The opposite effect (*Val* homozygotes showing more positive relationships with hippocampal rCBF than *Met* carriers) existed in the middle occipital and superior temporal gyri. Importantly, a highly significant diagnosis-by-genotype interaction localized to a large lateral prefrontal cortex (PFC) region (Table 1): whereas healthy *Val* homozygotes showed a significant positive relationship between resting hippocampal and prefrontal rCBF, healthy *Met* carriers had a trend for an inverse relationship between these regions; in contrast, patients evidenced the opposite pattern, with *Met* carriers, but not *Val* homozygotes, demonstrating a strong positive hippocampal-PFC relationship (Figure 2). Less significant diagnosis-by-genotype interactions occurred in select posterior regions: lingual and fusiform gyri and posterior cingulate (Table 1).

#### Working Memory-Related Hippocampal Activation: ROI Comparisons

As expected from previous reports<sup>32,39</sup>, hippocampal rCBF was generally lower during working memory relative to during the sensorimotor 0-back condition (i.e., 'deactivation'; see Figure 1). However, planned two-tailed contrast tests revealed a significant diagnosis main effect on mean hippocampal working memory activation (t(108)=2.330; p=0.022) indicating that patients showed diminished hippocampal deactivation. Also, whereas healthy volunteers showed no significant genotype-related hippocampal activation differences (t(108)=1.158, p=0.249), patient *Met* carriers had significantly less hippocampal deactivation than *Val* homozygote patients (t(108)=3.564, p=0.001), resulting in an overall main effect of genotype (t(108)=3.570; p=0.001) and diagnosis-by-genotype interaction (t(108)=2.218, p=0.029; Figure 3) Working memory performance did not correlate with hippocampal activation (r=-0.05, p=0.603), and diagnosis, genotype and diagnosis-by-

#### Working Memory-Related Hippocampal Activation: Voxel-wise Comparisons

Confirmatory voxel-wise comparisons of hippocampal working memory activation identified greatest effects of diagnosis (less deactivation in patients), genotype (less deactivation in *Met* carriers), and diagnosis-by-genotype interaction (greater genotype effect in patients) in the left anterior hippocampus (Figure 3; Supplementary Table 1). These results remained significant even when accounting for performance or age in the model.

## Working Memory-Related Hippocampal Activation Functional Coupling: Voxel-wise Comparisons

When voxel-wise patterns of hippocampal covariation across the brain were explored, diagnosis predicted differences in functional coupling within critical working memory and 'default' network nodes, including the lateral PFC and cingulate cortices respectively (Table 1). In patients but not controls, greater hippocampal deactivation predicted stronger activation in the lateral PFC and stronger deactivation in the anterior and posterior cingulate cortices. Additionally, there was a regionally specific main effect of genotype in the left inferior frontal gyrus, where greater hippocampal deactivation was more predictive of less activation among *Met* carriers relative to *Val* homozygotes. A significant diagnosis-by-genotype interaction existed in the left inferior parietal lobule, where greater activation was predicted by less hippocampal deactivation only in patient *Met* carriers and control *Val* homozygotes. No interactions existed in the PFC.

#### Discussion

#### **Resting rCBF Studies**

In the hippocampus, a BDNF-rich structure consistently implicated in schizophrenic pathophysiology, functional genetic variation in *BDNF* predicted basal blood flow in a markedly divergent manner depending on diagnosis. BDNF enhances glutamatergic signaling and long-term potentiation in the hippocampus, promoting neuronal excitability in animal and tissue preparation models<sup>41-45</sup>. Knock-out mice and chronic BDNF infusion studies have supported positive effects of BDNF on both activity-dependent and basal neurotransmission in the hippocampus<sup>2,46</sup>. However, in healthy *Met* carriers, selective and moderate reductions of activity-dependent BDNF signaling<sup>32</sup> are hypothesized to result in compensatory increases in resting paralimbic neural activity<sup>36</sup>. Such an increase was not observed in *Met* carriers with schizophrenia, who, in contrast, showed marked reduction in hippocampal activity, yielding the observed gene-by-diagnosis interaction. Assuming equivalent cellular effects of the Val<sup>66</sup>Met polymorphism on BDNF trafficking and secretion in patients and healthy individuals, the present data suggest that genetically-determined inefficiency in BDNF signaling<sup>32</sup> differentially biases task-independent hippocampal neurophysiology in medication-free patients with schizophrenia.

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We found lower basal hippocampal rCBF in patients than controls, consistent with several<sup>11-13</sup> but not all<sup>47,48</sup> PET reports of resting hippocampal activity in medication-free individuals with schizophrenia. This is in contrast to resting state studies of medicated individuals with schizophrenia, which have more consistently suggested elevated resting hippocampal activity<sup>14,49-52</sup>. Because of the heterogeneity existing even within studies of patients in medication-free states (e.g., see Medoff et al<sup>47</sup>, showing hippocampal hyperperfusion and Erkwoh et al<sup>48</sup>, showing lateral but not medial temporal hypoperfusion), important variation in past findings has remained unaccounted for. Given that patient and control *Val* homozygotes showed equivalent mean hippocampal rCBF, the diagnostic main effect found here was largely driven by the *Met* carriers, indicating that some aspects of observed resting state neuropathophysiology in schizophrenia, and, by extension, heterogeneity across the literature, may be attributable to differential effects of common polymorphisms within patients, such as those reported here, and not simply to clinical illness or its correlates alone.

Importantly, despite compelling recent results obtained from basal, resting fMRI<sup>53</sup> and rCBF<sup>37,54</sup> measurements in schizophrenia (as used here), variation in thought processes and content during the resting state are necessarily unrestricted, and genetic and illness-related predilections toward certain types of unguided intrapsychic behaviors, rather than, or in conjunction with, differential neural physiology independent of cognitive condition, could potentially contribute to resting state findings. It is particularly striking, therefore, to note the same diagnosis-by-genotype interaction pattern of absolute, mean hippocampal rCBF was preserved under diverse cognitive conditions (i.e., resting, sensorimotor, and working memory tasks), which suggests that this particular effect may be robust to substantial state variations (Figure 1).

Aberrant hippocampal-prefrontal connectivity has been increasingly identified as an important schizophrenia neuroimaging phenotype<sup>16</sup>, in line with preclinical neurodevelopmental models<sup>9</sup>. Because BDNF genotype may impact not only the degree of local hippocampal activity, but also the structure and function of excitatory synapses in both prefrontal and hippocampal cortices<sup>55,56</sup>, it is tempting to posit a modulatory role for BDNF in aspects of frontotemporal functional relationships that might be relevant to schizophrenia. The reversed genotype-determined hippocampal-prefrontal basal rCBF relationships in patients versus controls observed here is therefore particularly intriguing. Within the control group, greater positive associations existed between hippocampal and prefrontal cortical resting neural activity in Val homozygotes relative to Met carriers, consistent with previous work in healthy individuals<sup>57</sup>. The opposite result in our medication-free patients suggests that biases in resting state interregional coactivity set by trait BDNF function are markedly impacted by aspects of illness. However, the lack of a diagnosis main effect on this measure - due to the dramatic reversal of genotype effects in patients - indicates that interindividual trends in hippocampal-prefrontal resting rCBF covariation alone do not consistently characterize schizophrenia.

#### **Working Memory Activation Studies**

During the 2-back relative to 0-back condition, hippocampal activity is expected to be substantially reduced. As previously observed<sup>39</sup>, we demonstrated attenuated suppression of hippocampal activity to working memory challenge in patients with schizophrenia relative to healthy individuals, but, analogous to the resting state hippocampal findings, this diagnostic effect represents a dominant contribution from patient *Met* carriers (Figure 3). The resultant diagnosis-by-genotype interaction implies that in schizophrenia, differential genotype effects on hippocampal physiology are not limited to task-independent activity but also apply to dynamic responses to cognitive challenge. One persistent difficulty in understanding systems-level findings in complex neuropsychiatric disease, particularly schizophrenia, is the substantial variability frequently observed in patient neurophysiological data. This convergent evidence that among patient participants, carrying *BDNF Met* alleles predicts the hippocampal neurophysiological characteristics previously associated with schizophrenia itself, serves as a potent example of functional genetic variation underlying previously unexplained phenotypic heterogeneity.

The present data do not confirm a strong *BDNF* genotype effect on hippocampal activation within healthy volunteers, unlike past work using an fMRI paradigm<sup>32</sup>. Whether this is attributable to differences in experiment design, such as imaging modality<sup>58</sup>, remains unclear, but it is unlikely to imply a sweeping insensitivity of our methodology to *BDNF* genotype effects, given the ability of this assay to detect distinctive hippocampal activation patterns in the patient *Met* carriers.

As presaged by prior observations<sup>16,39</sup>, we found aberrant frontotemporal coupling during the working memory task in the patient group. Patients with more hippocampal deactivation generated greater prefrontal activation and greater cingulate deactivation, whereas this relationship was absent in healthy individuals. The fact that hippocampal deactivation selectively operated as a predictor of wide-spread working memory network-response in patients, conforms to notions of this region being a critical determinant of cognitive systems dysfunction in schizophrenia<sup>9</sup> and, conversely, suggests that in health, the degree of hippocampal deactivation achieved is far less emblematic of broader executive neural network responsiveness. This is in keeping with findings of abnormally persistent hippocampal-prefrontal coupling during working memory in individuals with schizophrenia<sup>39</sup>. Likewise, stronger relationships between brain areas whose activity is normally reduced during working memory challenge, such as medial temporal and midline cortical structures, have been previously observed in schizophrenia patients<sup>53,59</sup>, and here we demonstrate this to be true of interindividual hippocampal-cingulate coupling. Importantly, we show these effects to be independent of *BDNF* genotype. The rs6265 polymorphism did predict associations between hippocampal deactivation and inferior frontal gyrus activation, but there were no diagnosis-by-genotype interactions in the frontal lobes to support the hypothesis that this polymorphism modulates working-memory related frontotemporal relationship abnormalities in patients. This suggests the possibility that if there is a significant connection between BDNF biology and dysfunctional frontalhippocampal cooperativity in schizophrenia, as supported by the resting state data, it may be prominent only during certain cognitive states. This underscores the value of obtaining both

basal and cognitive-challenge neurophysiological measurements when investigating complex gene-disease interactions on circuits characterized by long-range, multisynaptic connections<sup>60</sup>.

#### Conclusions

Overall, these results suggest an important intersection between BDNF biology, in particular, cellular changes conferred by the Val<sup>66</sup>Met polymorphism, and hippocampal dysfunction observed in schizophrenia. The data's relational nature precludes definitive mechanistic explanation. However, several illness-related factors could potentially conspire with this polymorphism to generate the patient *Met* carriers' aberrant hippocampal physiology. For instance, specific molecular abnormalities downstream of BDNF might modulate the impact of Val<sup>66</sup>Met genotype, a possibility supported by other candidate pathogenic mechanisms in BDNF-related pathways (e.g., AKT dysregulation<sup>61</sup>, NMDA hypofunction<sup>55</sup>). Alternatively, given BDNF's importance in neural maturation, hippocampal developmental insults in schizophrenia may also interact with BDNF genotype to generate these results<sup>62</sup>.

We cannot exclude effects of past medication treatment or other illness-associated epiphenomena, though our collection of patient data after four weeks of medication withdrawal in a rigorously controlled inpatient setting is a particular strength of this experiment. The present results are unlikely explained by age, sex, race, or COMT given the well-matched groups; however, stratification from unmeasured genetic trait or clinical state variables remains possible. Furthermore, though we restricted nicotine prior to scanning, limiting the influence of acute smoking effects, we cannot exclude the possibility that subacute or otherwise occult effects of tobacco exposure may affect our blood flow measurements. Additional studies of other major neuropsychiatric conditions will help determine the specificity of the current findings. Finally, we did not observe a diagnosis-bygenotype interaction in working-memory accuracy, which, along with the lack of correlation between accuracy and hippocampal activation, suggests that rCBF interaction effects are not simply proxies for gross performance differences. Nonetheless, n-back accuracy, especially when obtained in-scanner, is expected to be a less sensitive measure of neural function than rCBF measurements, and the lack of behavioral gene-by-diagnosis interaction effects could be due to insufficient power.

In conclusion, these data demonstrate statistically robust interactions between *BDNF* genotype and schizophrenia diagnosis on basal hippocampal rCBF and hippocampalprefrontal coupling as well as on hippocampal activation during cognitive challenge, suggesting the possibility that variation in BDNF function conferred by the Val<sup>66</sup>Met polymorphism might differentially impact fundamental aspects of frontotemporal neurophysiology when occurring in the context of schizophrenia. Future work investigating possible contributions of illness-associated state and trait variables to this finding will help to refine the importance of these data for understanding schizophrenic hippocampal pathophysiology.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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Diagnostic and Genotype Group Control Val/Val Control Met Carrier Patient Val/Val Patient Met Carrier

#### Figure 1.

Scaled Mean Hippocampal Regional Cerebral Blood Flow (rCBF) by Diagnosis and *BDNF* Val<sup>66</sup>Met Genotype During Rest, Sensorimotor (0-back), and Working Memory (2-back) Conditions.

In the lower panel, error bars represent standard errors of the mean. Significant within diagnostic group genotype comparisons (lower brackets) and significant diagnosis-by-genotype interactions (upper brackets) are indicated with asterisks (\* p 0.05; \* p 0.005; \*\*\* p 0.001). The upper panel reveals localization of results for voxel-wise resting rCBF

comparisons. The search volume was restricted to the hippocampal ROI, and a voxel-wise FDR corrected threshold of p<0.05 was used.



#### Figure 2.

Hippocampal-Prefrontal Functional Coupling by Diagnosis and *BDNF* Val<sup>66</sup>Met Genotype During Rest.

The upper panel (A) shows areas of significant diagnosis by genotype interactions for resting condition hippocampal coupling (cluster-level p<0.05, corrected for multiple comparisons). This interaction localized to the prefrontal cortex and is shown in the lower panels (B) which shows plots of each individual's mean prefrontal rCBF measured from a 6 mm radius sphere centered at (-30, 40, 14) versus mean hippocampal rCBF measured from

a 6 mm radius sphere centered at (-26, -14, -22). Linear fits are also displayed along with 95% confidence intervals. Graphs are divided by diagnostic and genotype group combinations (healthy control subjects in the left column, patients with schizophrenia or schizoaffective disorder in the right column, *BDNF Val/Val* subjects in the upper row, *BDNF Met* carriers in the lower row).



Diagnostic and Genotype Group Control Val/Val Control Met Carrier Patient Val/Val Patient Met Carrier

#### Figure 3.

Scaled Mean Hippocampal Working Memory Activation (2-back – 0-back) by Diagnosis and BDNF Val<sup>66</sup>Met Genotype.

In the lower panel, error bars represent standard errors of the mean. Significant withindiagnostic group genotype comparisons (upper brackets) and significant diagnosis-bygenotype interaction (lower bracket) are indicated with asterisks (\* p 0.05; \*\*\* p 0.001). The upper panel reveals localization of results for voxel-wise resting rCBF comparisons.

The search volume was restricted to the hippocampal ROI, and a voxel-wise FDR corrected threshold of p<0.05 was used.

# Table 1

Results for Voxel-Wise Analyses of Hippocampal Resting rCBF (Upper) and Activation (2-Back Greater Than 0-Back; Lower) Functional Coupling by Diagnosis and BDNF Val<sup>66</sup>Met Genotype Groups.

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	Laterality and	Peak Voxel	Peak Voxel Uncorrected p		; ;
Contrast	Region	Coordinate	Value	Peak T Value	Cluster Size
Diagnosis Main Effects					
Control > Patient	ı				
Patient > Control					
	Left Insula* Left Globus	(-42 4 -2)	<0.001	3.44	330
	Pallidus/ Thalamus	$(-18\ 0\ 0)$	<0.001	3.17	327
Genotype Main Effects					
BDNF Val > Met Carrier					
	Right Middle Occipital Gyrus	(24 -88 -2)	<0.001	4.15	1066
	Right Superior Temporal Gyrus*	(52 –52 8)	<0.001	3.17	300
BDNF Met Carrier > Val					
	Left Inferior Temporal Gyrus	(-52 -8 -42)	<0.001	4.41	535
	Left Postcentral Gyrus	(-48 -32 44)	<0.001	4.35	2320
	Left Amygdala	(-22 -4 -22)	<0.001	4.12	341
	Left Medial Frontal Gyrus	(-4 -16 76)	<0.001	3.99	836
	Right Anterior Cingulate Cortex	(4 40 16)	<0.001	3.93	1001
	Right Posterior Cingulate Cortex	(6 -36 24)	<0.001	3.87	2015
	Right Middle Cingulate Cortex	(6434)	<0.001	3.62	587
Diagnosis x Genotype Interactions					

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Patient Val/Val and Control Met

Contract	Laterality and Perion	Peak Voxel Coordinate	Peak Voxel Uncorrected p Volue	Daak T Vahia	Chietor Sizo
Carrier > Patient Met Carrier and	TIOLEN		4 4100	T CAN T Y ALUC	AND INCOME
CORROL Val Val					
	Left Lingual Gyrus*	(-4 -94 -10)	<0.001	3.59	456
	Right Fusiform Gyrus	(44 -54 -14)	0.001	3.21	457
Patient Met Carrier and Control Val/Val > Patient Val/Val and Control Met Carrier					
	Left Middle Frontal Gyrus	(-30 40 12)	<0.001	4.53	391
	Right Callosal Forceps Major/ Posterior Cingulate Cortex	(22 -50 20)	<0.001	3.57	351
	Posterior Cingulate#	(4 -44 18)	0.001	3.14	289
Hippocampal Activation (2-back gree	ater than 0-back) Co	oupling Voxel-W	/ise Comparisons		
Contrast	Laterality and Region	Peak Voxel Coordinate	Peak Voxel Uncorrected p Value	Peak T Value	Cluster Size
Diagnosis Main Effects					
Control > Patient					
	Precuneus Left Inferior	(0 -76 52) (-52 -46 38)	<0.001 <0.001	4.09 4.00	517 310
	Parietal Lobule Right Inferior Frontal Gyrus	(52 18 24)	<0.001	3.70	283
	Right Middle Frontal Gyrus	(40 4 56)	<0.001	3.66	379
	Right Middle Occipital Gyrus	(54 –72 4)	<0.001	3.60	535
	Left Postcentral Gyrus	(-48 -18 48)	<0.001	3.45	415
Patient > Control					
	Right Anterior Cingulate Cortex	(2 30 0)	<0.001	4.38	525

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Contrast	Laterality and Region	Peak Voxel Coordinate	reak voxer Uncorrected p Value	Peak T Value	Cluster Size
	Left Posterior Cingulate Cortex	(-10 -44 -6)	<0.001	3.87	318
Genotype Main Effects					
BDNF Val > Met Carrier	1	-	ı	ı	ı
BDNF Met Carrier > Val					
	Left Inferior Frontal Gyrus	(-34 36 -4)	<0.001	5.01	628
Diagnosis x Genotype Interactions					
Patient Val/Val and Control Met Carrier > Patient Met Carrier and Control Val/Val	ı	ı	ı	I	
Patient Met Carrier and Control Val/Val > Patient Val/Val and Control Met Carrier					
	Left Inferior Parietal Lobule#	(-34 -78 42)	<0.001	3.77	344

significance after including age, sex, or *COMT* genotype in the statistical model. Findings with a pound sign no longer met corrected significance after accounting for individuals who were confirmed to have smoked on the day of the scan (but at least 4 hours prior to the scan session) in the statistical model. Additionally, all activation findings remained significant after controlling for working memory performance. Kolmogorov-Smirnov tests performed on the hippocampal seed regressor values (resting and activation) for each of the four diagnosis-genotype groups confirmed no significant pair-wise ndings except those asterisked continued to meet corrected group differences (for all, p 0.370), nor any differences from the normal distribution (for all, p>0.488).