

# The architecture of neoplastic follicles in follicular lymphoma; analysis of the relationship between the tumor and follicular helper T cells

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## ABSTRACT

**C**D4<sup>+</sup> T-follicular helper cells are essential for the survival, proliferation, and differentiation of germinal center B cells and have been implicated in the pathogenesis of follicular lymphoma (FL). To further define the role of these cells in FL, we used multiparameter confocal microscopy to compare the architecture of normal and neoplastic follicles and next generation sequencing to analyze the T-cell receptor repertoire in FL lymph nodes (LN). Multiparameter analysis of LN showed that the proportion of T-follicular helper cells (T<sub>FH</sub>) in normal and neoplastic follicles is the same and that the previously reported increase in T<sub>FH</sub> numbers in FL is thus due to an increase in the number and not content of follicles. As in normal germinal centers, T<sub>FH</sub> were shown to have a close spatial correlation with proliferating B cells in neoplastic follicles, where features of immunological synapse formation were observed. The number of T<sub>FH</sub> in FL correlate with the rate of B-cell proliferation and T<sub>FH</sub> co-localized to activation induced cytidine deaminase expressing proliferating B cells. T-cell receptor repertoire analysis of FL LN revealed that follicular areas are significantly more clonal when compared to the rest of the LN. These novel findings show that neoplastic follicles and germinal centers share important structural features and provide further evidence that T<sub>FH</sub> may play a role in driving B-cell proliferation and genomic evolution in T<sub>FH</sub>. Our results also suggest that targeting this interaction would be an attractive therapeutic option.

## Introduction

Follicular lymphoma (FL) is a neoplasm of germinal center B cells that is usually characterized by the t(14;18) translocation and over-expression of BCL2.<sup>1,2</sup> The clinical course is variable, prognosis is difficult to predict, and it is typically incurable.<sup>3,4</sup> The tumor is infiltrated by numerous subsets of non-malignant T cells.<sup>5-8</sup> Gene expression profiling (GEP) studies have shown that prognosis in FL can be correlated with the signature of non-malignant T cells of the microenvironment rather than the tumor itself, indicating that the microenvironment is important in the pathogenesis of this disease.<sup>9,10</sup> The relationship between FL B cells and their microenvironment is complex; non-malignant T cells may either promote or inhibit tumor growth whilst the tumor itself can influence the composition of the microenvironment.<sup>11,12</sup> Many groups have investigated the impact of microenvironment-related factors on outcome.<sup>10,13-16</sup> These studies have, however, yielded contradictory

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results, most likely because of differences in patient populations studied, therapy administered and technical limitations of single parameter immunohistochemistry (IHC) that preclude accurate identification of cell subsets.

In normal germinal centers (GC), B cells are critically dependent on interactions with CD4<sup>pos</sup> follicular helper T cells (T<sub>FH</sub>),<sup>17-20</sup> which are characterized by expression of PD-1, ICOS, CXCR5, CXCL13, IL-21 and IL-4 and the transcription factor BCL6.<sup>19,21,22</sup> T<sub>FH</sub> provide signals necessary for the survival and proliferation of GC B cells and induce expression of activation induced cytidine deaminase (AID), a DNA modifying enzyme that initiates somatic hypermutation (SHM) and class switch recombination (CSR) leading to a class-switched, high-affinity antibody response.<sup>17,19,20,23</sup>

FL follicles and normal GC share a number of features; FL B cells have a similar phenotype and GEP as their normal counterparts and neoplastic follicles contain both follicular dendritic cells (FDC) and T cells. Studies performed on disaggregated FL lymph nodes (LN) have previously demonstrated an enrichment of IL-4-producing T<sub>FH</sub> in FL with a distinct gene expression profile and the ability to support FL B-cell growth and modify stromal cell function *in vitro*.<sup>24-28</sup> The anatomic relationship between T<sub>FH</sub> and FL B cells and how closely this mimics the situation in normal GC has, however, not previously been studied.

In this study we compared the architecture of normal GC and neoplastic follicles, specifically focusing on the spatial relationship between B cells and T<sub>FH</sub> using multiparameter confocal immunofluorescence microscopy and semi-automated image analysis. We found that T<sub>FH</sub> - as identified by surface expression of CD4, PD1, and ICOS - constitute a similar proportion of CD4<sup>pos</sup> T cells in FL as they do in normal GC. They colocalize and form synapses with proliferating neoplastic B cells, which also express the DNA modifying enzyme AID. Finally, T-cell receptor (TCR) repertoire analysis revealed that T cells in neoplastic follicles are significantly more clonal than those in interfollicular areas, suggesting a role for antigen stimulation in this process. Overall, our findings further highlight the role of the microenvironment in FL and are relevant to the mode of action of new therapies such as those targeting antigen receptor signaling and the PD1/PDL1 axis.<sup>29-32</sup>

## Methods

### Patient samples

Formalin fixed paraffin embedded (FFPE) LN biopsies were obtained from 25 patients with histologically confirmed untreated or relapsed FL including three cases of grade IIIb FL, and eight patients with reactive lymphadenopathy. Patients with relapsed FL had not received any treatment for at least 12 months. Clinical details are presented in the *Online Supplementary Tables S1-2*. Ethical approval was obtained from the UK national research ethics committee, reference 13/NW/0040.

### Immunofluorescent confocal microscopy

FFPE LN sample preparation steps including deparaffinization, antigen retrieval, and staining are described in the *Online Supplementary Materials and Methods*. All images were acquired on a Nikon Eclipse Ti-E microscope and analyzed using Nikon elements NIS Advanced Research software. Full descriptions of imaging and analysis techniques including the use of binary layers for image analysis are presented in the *Online Supplementary Materials*

and *Methods* and further explained in the *Online Supplementary Figure S1*.

### Laser micro-dissection, DNA extraction, and TCR sequencing

Follicles were highlighted by conventional IHC staining for BCL6. Follicular and interfollicular areas were dissected from sequential 10 µm FL sections using a laser capture microscope (PALM, Carl Zeiss MicroImaging, Jena, Germany). After DNA extraction, TCR sequences were subject to multiplex PCR amplification prior to next generation sequencing (Adaptive Biotechnologies, Seattle, WA, USA).<sup>33</sup> TCRV CDR3 regions and their component V, D and J segments were identified using the IMGT definitions.<sup>34</sup> Sequences not corresponding to a CDR3 were discarded and unique clones defined by the presence of more than one identical productive CDR3 DNA sequence. The number and size of each clone was determined and the richness, clonality and overlap of the follicular and interfollicular TCR repertoires determined (see the *Online Supplementary Materials and Methods*).

### Statistical analysis

Statistical analysis was performed using GraphPad Prism software v5 (GraphPad Software Inc, La Jolla, CA, USA). Normally distributed values are presented as the mean (+/- standard deviation), non-normally distributed values are presented as median (+/- interquartile range). Further details of the statistical analysis are presented in the *Online Supplementary Materials and Methods*.

## Results

### Normal and neoplastic follicles contain similar numbers of T<sub>FH</sub>

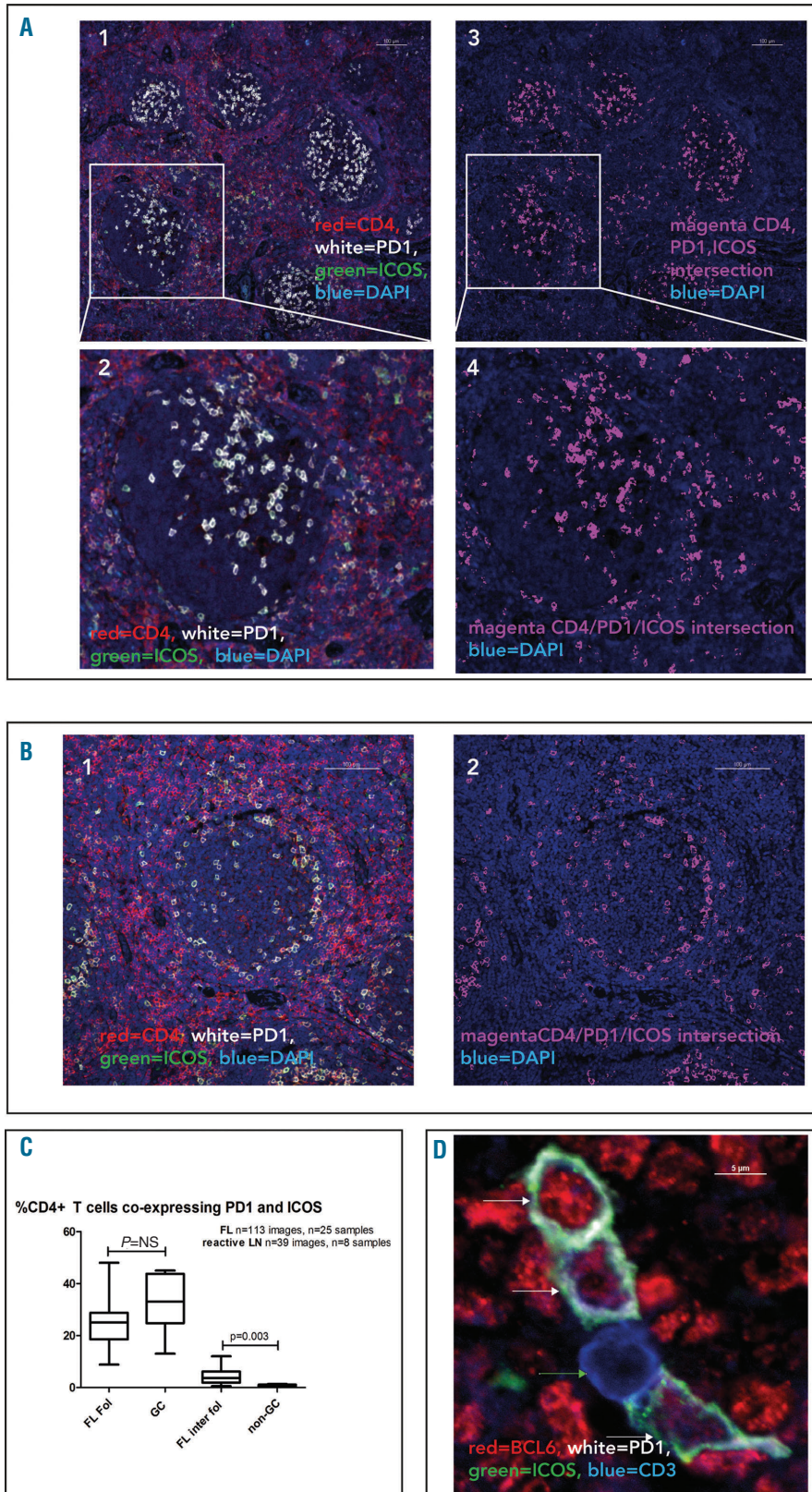
CD4<sup>pos</sup> T cells were predominantly located in the interfollicular areas of reactive and FL LN but discrete populations were also present within the GC and malignant follicles. We investigated the phenotype of these by staining for CD4, PD-1 and ICOS simultaneously. Within GC of reactive LN 33.05% (24.7-43.7) of CD4<sup>pos</sup> T cells co-expressed PD-1 and ICOS (T<sub>FH</sub> phenotype) and these were distributed predominantly in the light zones (Figure 1A). In FL, 25.0% (18.5-28.7) of follicular CD4<sup>pos</sup> T cells expressed both PD-1 and ICOS and were located at the follicular border or diffusely distributed within the follicles (Figure 1B). The proportion of CD4<sup>pos</sup> cells co-expressing PD-1 and ICOS was not significantly different between FL follicles and GC (Figure 1C). CD4<sup>pos</sup>PD-1<sup>pos</sup>ICOS<sup>pos</sup> cells were tightly restricted to the GC of reactive LN and FL follicles with only 0.34% (0.26-1.13) and 3.63% (1.89-6.15) of non-GC or interfollicular FL CD4<sup>pos</sup> cells co-expressing PD-1 and ICOS respectively.

In FL, although 46.9% (34.7-51.9) of follicular CD4<sup>pos</sup> cells expressed PD-1, only about 50% of these co-expressed ICOS indicating that there are at least two distinct populations of CD4<sup>pos</sup>PD-1<sup>pos</sup> cells within FL follicles, highlighting the importance of using all three parameters for identification of T<sub>FH</sub>. There was no difference in the proportion of CD4<sup>pos</sup> cells that co-expressed PD-1 and ICOS by histological grade in FL (*Online Supplementary Figure S8*), however, as the number and size of neoplastic follicles increase with histological grade, so must the absolute number of T<sub>FH</sub>.

To investigate differences in T cells located in the follicles and interfollicular areas of FL, the intensity of CD4 and PD-1 expression were measured. CD4 expression was

30.7% lower in follicular CD4<sup>pos</sup> T cells than in their inter-follicular counterparts suggesting that these represent a distinct population of T cells (*Online Supplementary Figure S2*). Whilst CD4<sup>pos</sup>PD-1<sup>pos</sup>ICOS<sup>pos</sup> cells were restricted to the follicles, CD4<sup>pos</sup>PD-1<sup>pos</sup>ICOS<sup>neg</sup> cells were present in

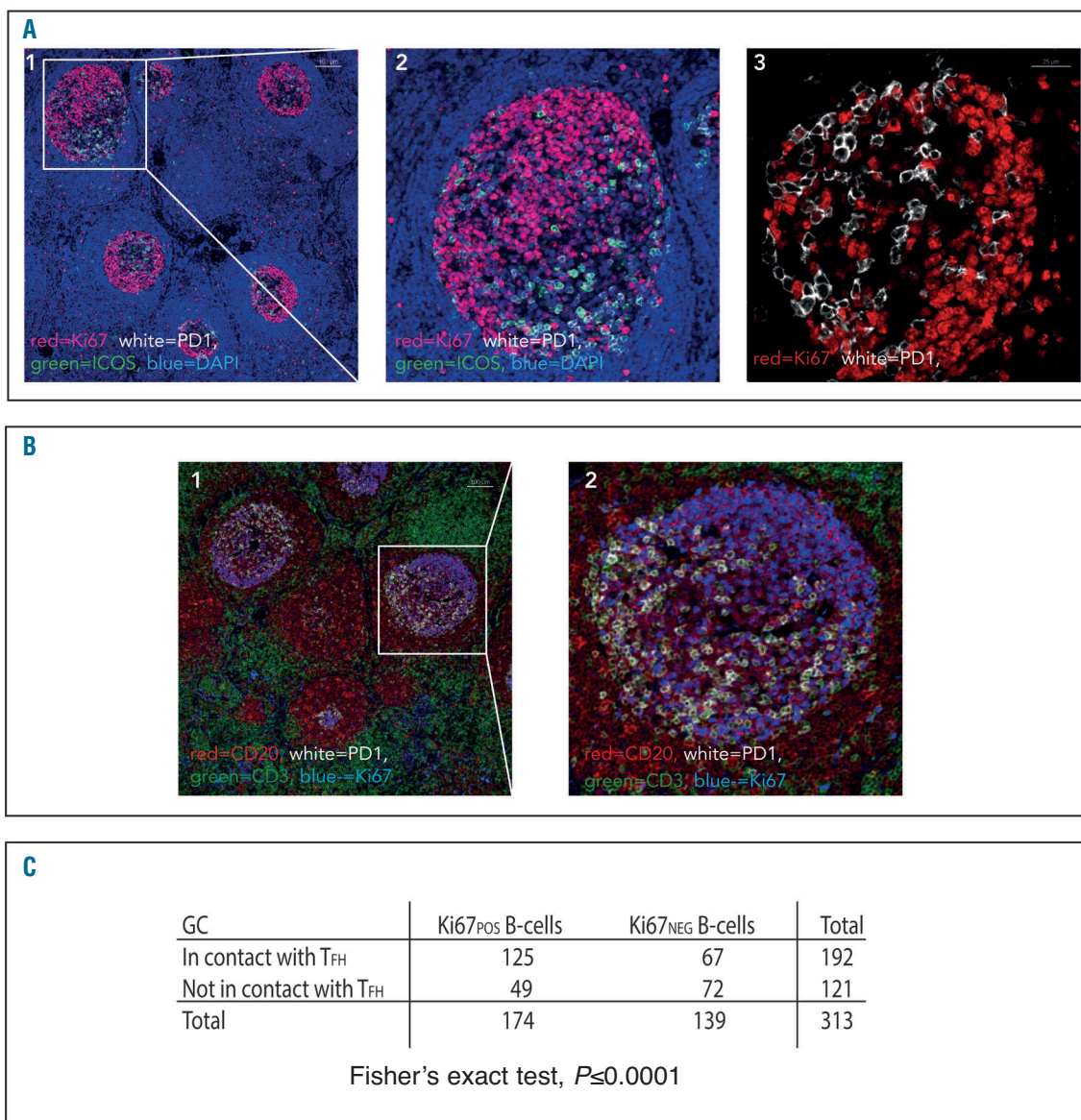
the interfollicular area where 9.3% (5.1-26.4) of CD4<sup>pos</sup> cells expressed PD-1. The intensity of PD-1 expression was significantly higher in follicular PD-1<sup>pos</sup> T cells than interfollicular PD-1<sup>pos</sup> T cells (*Online Supplementary Figure S2*) consistent with them being T<sub>HH</sub>.<sup>55</sup> Additional co-stain-



**Figure 1.** Distribution of CD4<sup>pos</sup>PD-1<sup>pos</sup>ICOS<sup>pos</sup> cells in normal germinal centers and follicular lymphoma. (A1) Low power view of germinal centers (GC) in a reactive lymph node (LN) showing CD4<sup>pos</sup> (red) T-cells mainly outside the GC. A population of cells within the GC co-express PD-1 (white) and ICOS (green). (A2) The area highlighted by the white rectangle has been enlarged showing the distribution of CD4/PD1/ICOS<sup>pos</sup> cells in a normal GC where they are mainly polarized to the light zone (A3) Intersecting binary layer of image (A1) showing CD4/PD-1/ICOS<sup>pos</sup> cells (magenta) in GC. DAPI staining (blue) highlights cell nuclei. (A4) High power view of the intersecting CD4/PD-1/ICOS<sup>pos</sup> binary layer. (B1) Representative image of follicular lymphoma (FL) lymph node (LN) showing CD4<sup>pos</sup> (red) T cells mainly outside the follicles but a population within the follicles co-express PD-1 (white) and ICOS (green). (B2) Same image as (B1) showing only DAPI (blue) and the intersecting binary layer of CD4/PD-1/ICOS<sup>pos</sup> cells (magenta) which are restricted to the follicles where they are located predominantly in a peri-follicular pattern. Scale bars represent 100  $\mu$ m. (C) There was no significant difference in the proportion of CD4<sup>pos</sup> cells co-expressing PD-1 and ICOS in normal and neoplastic follicles. There was a small but significant increase in CD4/PD-1/ICOS<sup>pos</sup> cells in the inter-follicular compartment of FL compared to the same area zone of reactive LN. Horizontal lines represent median, boxes represent interquartile range, 'whiskers' represent range. (D) Representative, magnified image showing BCL6 expression in T cells in neoplastic follicles. Four CD3<sup>pos</sup> (blue) cells are shown, three are positive for the transcription factor BCL6 (red) and these are also PD-1<sup>pos</sup> (white) and ICOS<sup>pos</sup> (green), indicated with white arrows. One CD3<sup>pos</sup> cell is negative for BCL6 (green arrow), and this cell does not express PD-1 or ICOS. Overall 89.6% (88.3-91.8) of CD3<sup>pos</sup>PD1<sup>pos</sup>ICOS<sup>pos</sup> cells express BCL6.

ing experiments demonstrated that these cells had a composite CD3<sup>pos</sup>, CD8<sup>neg</sup>, PD-1<sup>pos</sup>, ICOS<sup>pos</sup>, BCL6<sup>pos</sup>, CXCR5<sup>pos</sup>, TBET<sup>neg</sup> phenotype further confirming their identity as T<sub>FH</sub> (Figure 1D and *Online Supplementary Figure S2C*). Although CXCR5 has frequently been used to identify T<sub>FH</sub> by flow cytometry, we found that it was unhelpful in identifying this cellular subset by microscopy since most T cells present within these structures were CXCR5<sup>pos</sup> and it therefore did not help to distinguish them from other GC/follicularly located cells (*Online Supplementary Figure S2C*). It was not possible to use CD4 in these experiments as the antibody is the same species as the BCL6. No BCL6<sup>pos</sup> cells

were found to be CD8<sup>pos</sup> therefore the substitution for CD3 was acceptable (*Online Supplementary Figure S2D*). The intensity of BCL6 staining in T<sub>FH</sub> was lower than that observed in FL B-cells but higher than in other T cells (*Online Supplementary Figure S2B*). Although 25.0% (6.028.0) of ICOS<sup>pos</sup> T cells and 4.0% (1.0-8.0) of PD-1<sup>pos</sup> T cells within FL follicles were FOXP3<sup>pos</sup>, only a minority of dual PD-1<sup>pos</sup>ICOS<sup>pos</sup> T cells expressed FOXP3, (*Online Supplementary Figure S3*). In comparison to FL, very few FOXP3<sup>pos</sup> T cells were identified within the GC of reactive LN where they were exclusively located outside the GC (*Online Supplementary Figure S3B*).



**Figure 2. Close physical association between Ki67<sup>pos</sup> B cells and follicular helper T cells in normal germinal center light zones.** (A1) Representative low power image showing polarization of Ki67<sup>pos</sup> cells to the dark zones of normal germinal centers. Ki67 (red), PD-1 (white), ICOS (green), DAPI (blue). Scale bar represents 100  $\mu$ m. The area highlighted by the white rectangle is shown in high power in (A2). (A3) The close association between Ki67<sup>pos</sup> FL cells (red) and PD-1<sup>hi</sup> cells (white) is shown in the light zone of another follicle whereas in the dark zone there is less interaction between Ki67<sup>pos</sup> cells and PD-1<sup>hi</sup> T cells. The scale bar represents 25  $\mu$ m. (B1) Using a different four-color panel, the Ki67<sup>pos</sup> cells (blue) were confirmed as CD20<sup>pos</sup> B cells (red) and the PD-1<sup>hi</sup> cells (white) were confirmed as CD3<sup>pos</sup> T cells (green). The scale bar represents 100  $\mu$ m and the area highlighted by the white rectangle has been magnified in (B2). Images representative of n=13 images from n=4 reactive lymph node (LN) samples. (C) Contingency table showing that Ki67<sup>pos</sup> B cells are significantly more likely to be in contact with follicular helper T cells (T<sub>FH</sub>) than Ki67<sup>neg</sup> B cells in normal germinal center (GC) light zones, as quantified by manual visual assessment. For all samples analyzed together (n=5, Fisher's exact test  $P < 0.0001$ ).

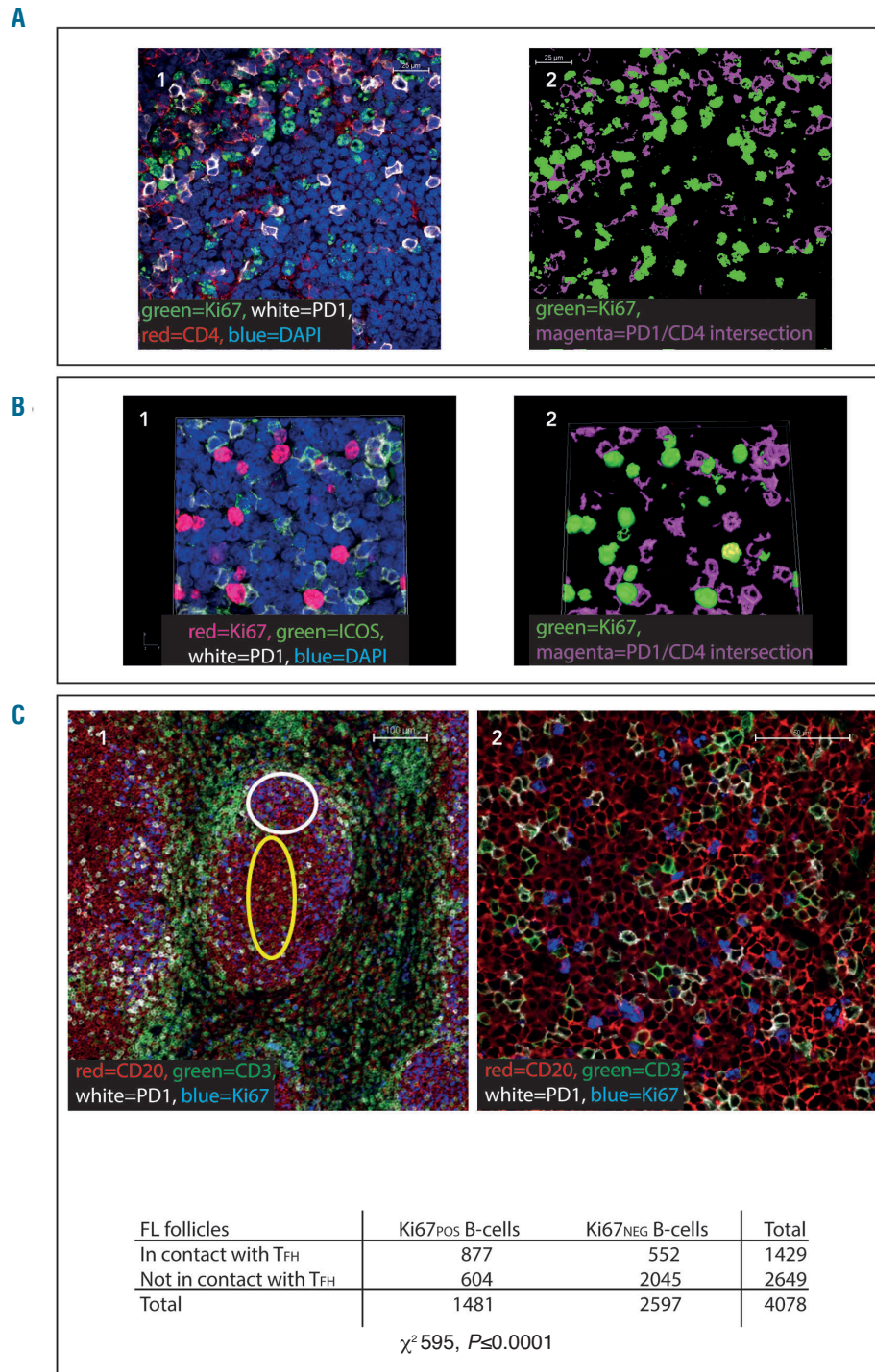
These findings confirm that the majority of GC or follicular CD4<sup>pos</sup> cells that strongly express PD-1 and ICOS are T<sub>FH</sub> and constitute the same proportion of CD4<sup>+</sup> cells in normal and neoplastic follicles.

**T<sub>FH</sub> co-localize with proliferating B cells**

Next, we investigated if there is a spatial relationship between proliferating B cells and T<sub>FH</sub> in reactive and neoplastic follicles. An ideal panel of CD20, Ki67, CD4, PD-1 and ICOS was not possible for technical reasons. However, co-staining for CD20, Ki67, and CD3 (Online Supplementary Figure S4) showed that in both normal GC

and neoplastic follicles the majority of Ki67<sup>pos</sup> cells are CD20<sup>pos</sup> B cells. Also, as most PD-1<sup>hi</sup> cells were ICOS<sup>pos</sup>, it enabled T<sub>FH</sub> to be identified using just two parameters; CD4 and high PD1 expression.

In normal GC a close spatial correlation between Ki67<sup>pos</sup> cells and CD4<sup>pos</sup>PD-1<sup>hi</sup> cells was evident in the light zone of all cases (Figure 2A). Automated image analysis showed that 63.1% ±15.9 of Ki67<sup>pos</sup> cells in the light zones were in direct contact with CD4<sup>pos</sup>PD-1<sup>hi</sup> cells, furthermore, the high density of T<sub>FH</sub> in this compartment meant that the majority of Ki67<sup>pos</sup> B cells were in close proximity to ≥1 T<sub>FH</sub>. The majority of these PD-1<sup>hi</sup> cells were also



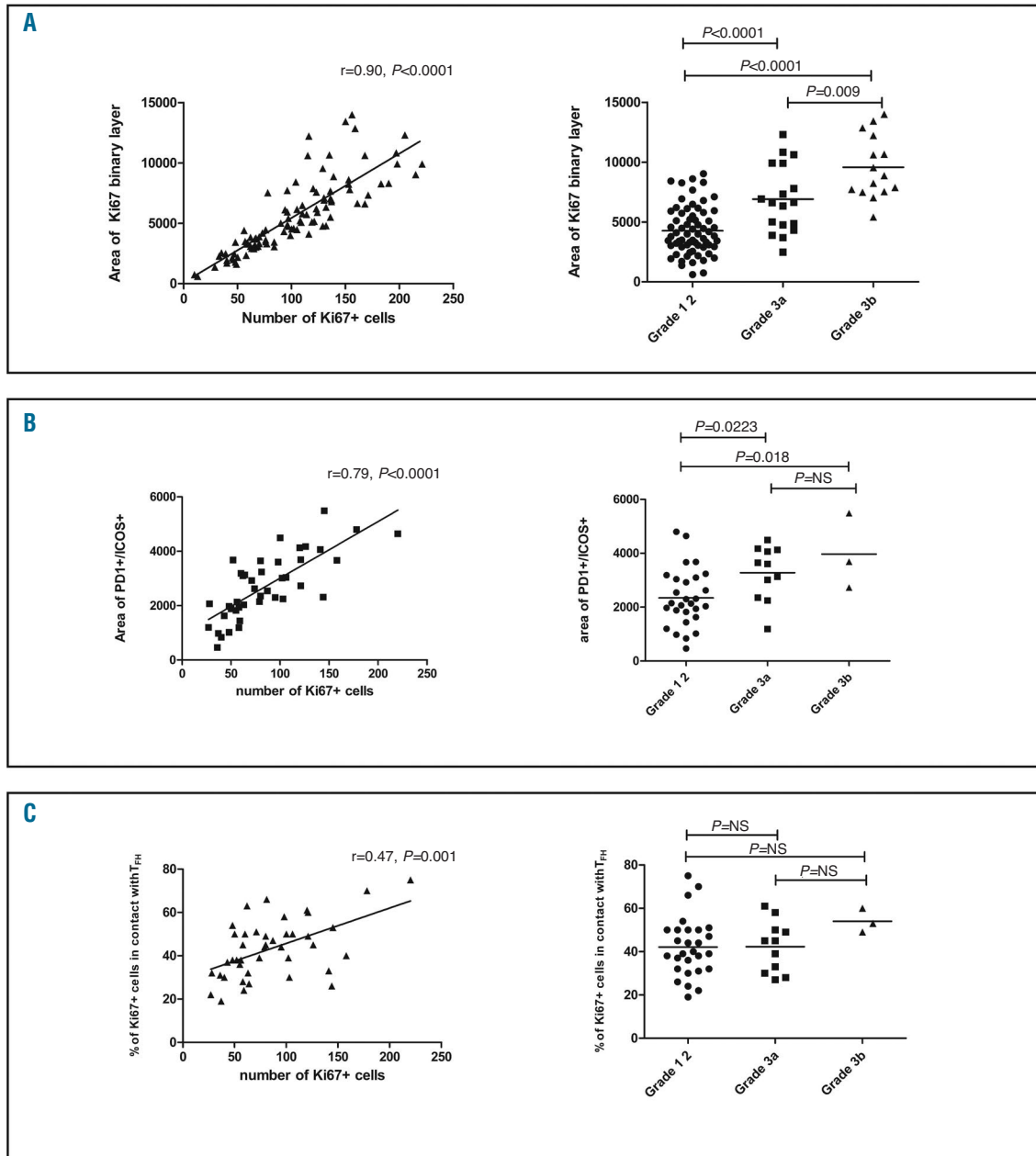
**Figure 3. Ki67<sup>pos</sup> cells are in close proximity to follicular helper T cells (T<sub>FH</sub>) in follicular lymphoma lymph nodes.** (A1) Representative image of a neoplastic follicle showing Ki67<sup>pos</sup> cells (green) in close proximity to CD4<sup>pos</sup> (red), PD-1<sup>hi</sup> (white) T cells. The scale bar represents 25 μm. (A2) Binary image of (A1), the binary layers of Ki67 (green) and the CD4-PD-1<sup>hi</sup> intersection (magenta) are shown highlighting the close association of Ki67<sup>pos</sup> cells to PD-1<sup>hi</sup> T cells. (B1) Representative image demonstrating that the majority of the PD-1<sup>hi</sup> cells in contact with Ki67<sup>pos</sup> cells (red) are also positive for ICOS (green). (B2) This is highlighted in the binary layer 3D reconstruction of the same image, PD-1/ICOS<sup>pos</sup> (magenta) and Ki67 (green). Images representative of n=100 images from n=23 follicular lymphoma (FL) samples (4A), n=43 images from n=13 samples (4B). (C1) Ki67=blue, CD20=red, PD-1=white, CD3=green. Low power image (x10) showing Ki67<sup>pos</sup> and Ki67<sup>neg</sup> CD20<sup>pos</sup> B-cell co-localisation with PD1<sup>hi</sup> CD3<sup>pos</sup> T cells in FL. Within the follicles there are areas of low proliferation (low Ki67=blue) where there are few PD1<sup>hi</sup> (white) CD3<sup>pos</sup> T cells (green) - area highlighted by yellow oval, whereas in areas where there is high Ki67, there are more PD1<sup>hi</sup>, CD3<sup>pos</sup> T cells (area highlighted by white circle) and they are frequently in contact with Ki67<sup>pos</sup> CD20<sup>pos</sup> FL B cells. Scale bar represents 100 μm. (C2) High power image (x60) in which the close correlation of Ki67<sup>pos</sup> (blue) B cells with PD1<sup>hi</sup> (white) CD3<sup>pos</sup> (green) cells can be seen, whilst the CD20<sup>pos</sup> (red), Ki67<sup>neg</sup> cells are less frequently in contact with follicular helper T cells (T<sub>FH</sub>). Scale bar represents 50 μm. (C3) contingency tables showing that Ki67<sup>pos</sup> B cells are significantly more likely to be in contact with T<sub>FH</sub> than Ki67<sup>neg</sup> B-cells in FL (for all samples analyzed together [n=25 images from n=7 follicular lymphoma specimens]  $\chi^2$  595,  $P < 0.0001$ ).

ICOS<sup>pos</sup> with 53.9% ± 14.2 of Ki67<sup>pos</sup> cells in contact with PD-1<sup>pos</sup>ICOS<sup>pos</sup> cells. In GC light zones, Ki67<sup>pos</sup> B cells were significantly more likely than Ki67<sup>neg</sup> B cells to be in contact with T<sub>FH</sub> in all cases studied ( $P < 0.005$  in each GC examined) (Figure 2C).

In the highly proliferative dark zones, there were few T<sub>FH</sub> and a very high number of Ki67<sup>pos</sup> B cells. The closely packed Ki67<sup>pos</sup> B cells could not be separated by automated image analysis and therefore accurate calculation of the proportion of Ki67<sup>pos</sup> cells in contact with T<sub>FH</sub> could not be performed in the dark zones. It is clear from visual inspec-

tion, however, that the degree of spatial correlation between these cells is much lower in the dark zones than in the light zones (Figure 2B).

A close spatial relationship between Ki67<sup>pos</sup> B cells and CD4<sup>pos</sup>PD-1<sup>hi</sup> T cells was also found in FL and, in contrast to normal GC, all areas with high Ki67 also had increased numbers of T<sub>FH</sub> cells. In FL 41.0% ± 13.6 of CD20<sup>pos</sup>Ki67<sup>pos</sup> cells were found to be in direct contact with CD4<sup>pos</sup>PD-1<sup>hi</sup> cells, although the level of co-localization was significantly lower than in GC ( $P = 0.003$ ), there was a high level of co-localization in both settings

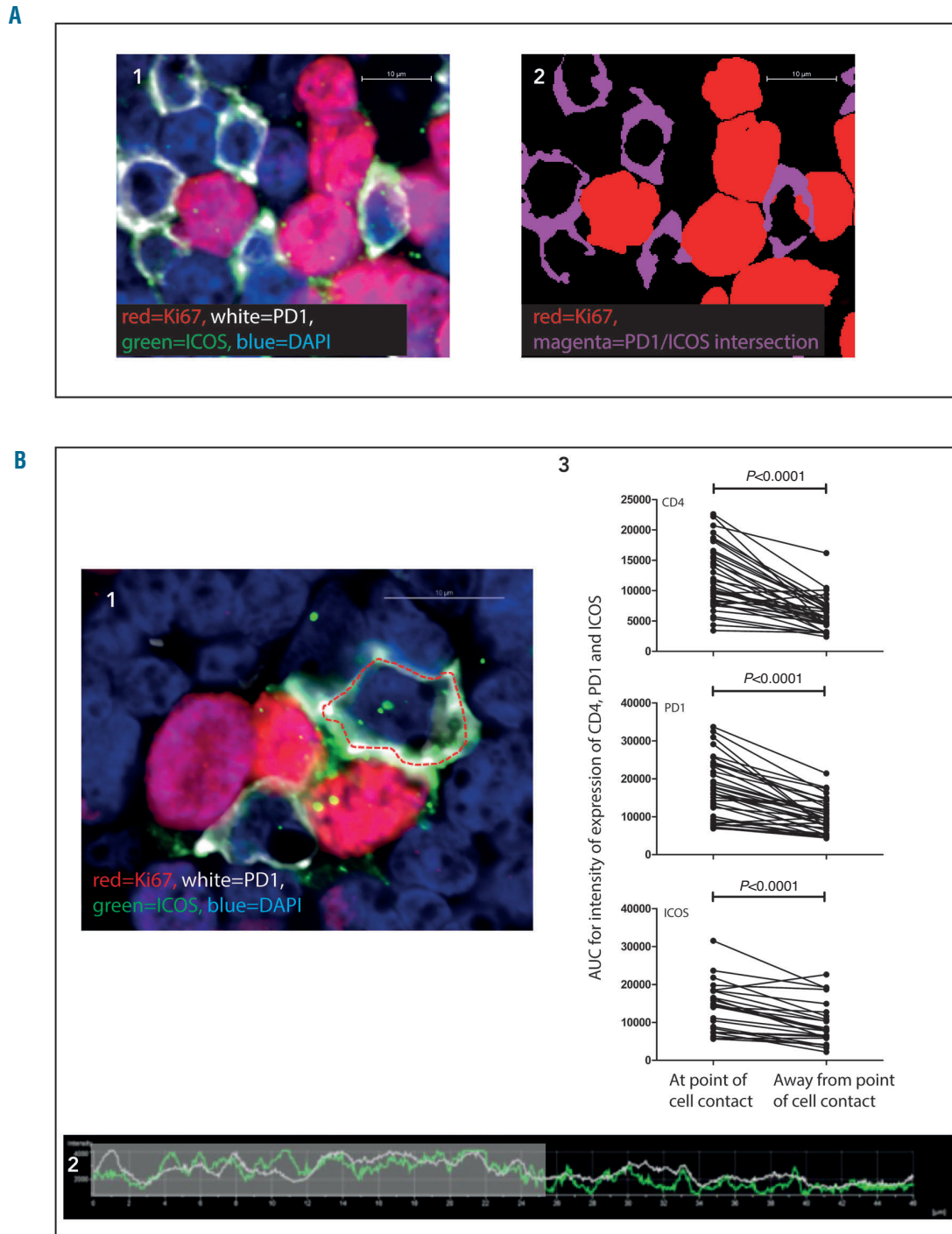


**Figure 4. Association between Ki67 and number of follicular helper T cells in follicular lymphoma.** (A) The area of the Ki67 binary layer correlates closely with the number of Ki67<sup>pos</sup> cells automatically counted (left) and the area of the Ki67 binary layer is significantly higher in grade IIIa or IIIb disease than in grade I-II disease (right), n=99 images from n=23 samples. (B) The number of Ki67<sup>pos</sup> cells correlates closely with the number of PD1<sup>pos</sup>ICOS<sup>pos</sup> cells (left) and there are significantly more follicular helper T cells (T<sub>FH</sub>) (as represented by increased area of PD1/ICOS intersection) in grade IIIa and IIIb disease than in grade I-II disease (right), n=42 images from n=13 samples. (C) The degree of T<sub>FH</sub> - Ki67 interaction is weakly associated with the number of Ki67<sup>pos</sup> cells (left), and the proportion of Ki67<sup>pos</sup> cells in contact with T<sub>FH</sub> does not differ significantly according to histological grade disease (right) (n=42 images from n=13 samples).

(Figure 3A). High power images and 3D Z-stack reconstructions revealed that there was very close contact between these cells, and Ki67<sup>pos</sup> cells were frequently observed to be in contact with more than one CD4<sup>pos</sup>PD-1<sup>hi</sup> cell simultaneously. Staining for Ki67, PD-1, and ICOS revealed that 84.7% ± 11.1 of the PD-1<sup>hi</sup>CD4<sup>pos</sup> cells in

contact with Ki67<sup>pos</sup> cells were also ICOS<sup>pos</sup> and therefore likely to be T<sub>FH</sub> (Figure 3B).

Ki67<sup>pos</sup>CD20<sup>pos</sup> FL B cells were significantly more likely than Ki67<sup>neg</sup>CD20<sup>pos</sup> FL B cells to be in direct contact with T<sub>FH</sub> in each case examined, ( $P < 0.0001$  for each specimen) (Figure 3C).

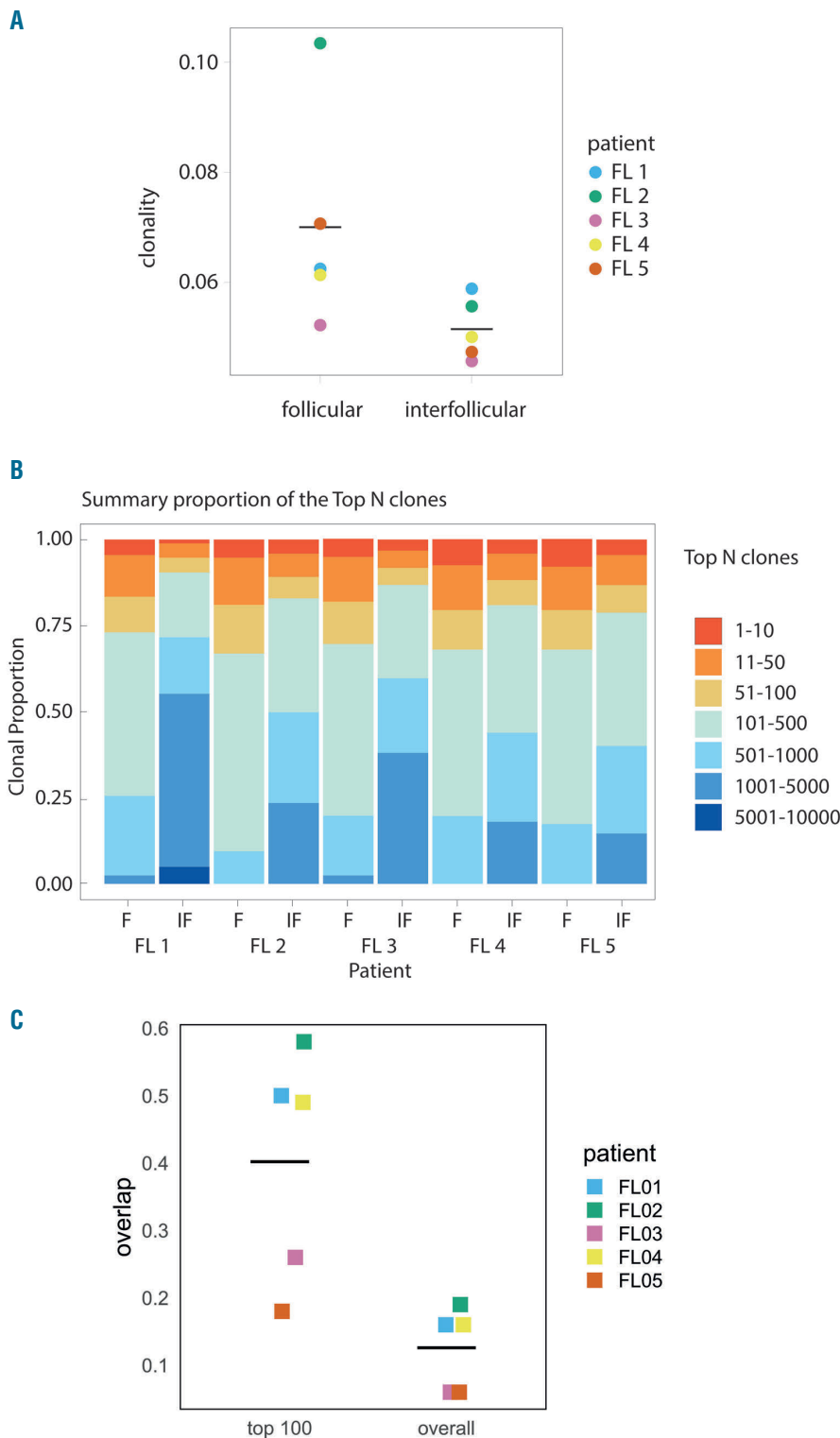


**Figure 5. Close contact between Ki67<sup>pos</sup> cells and follicular helper T cells in follicular lymphoma: evidence for immune synapse formation.** (A1) A Ki67<sup>pos</sup> cell (red) is seen to be in contact with 4 PD-1<sup>pos</sup> (white) ICOS<sup>pos</sup> (green) cells simultaneously. The PD-1<sup>pos</sup>ICOS<sup>pos</sup> cells are closely associated with the Ki67<sup>pos</sup> cell. (A2) Binary layer image of A1, the binary layers of Ki67 (red) and PD-1/ICOS intersection (magenta) are shown highlighting the close spatial association. Scale bars represent 10  $\mu$ m. (B1) The follicular helper T cells (T<sub>FH</sub>) form projections encompassing the Ki67<sup>pos</sup> cells. Scale bar represents 10  $\mu$ m. The perimeter of the T<sub>FH</sub> has been highlighted by the red dotted line and the intensity of CD4, PD-1 and ICOS have been measured around this line. CD4, PD1 and ICOS are more concentrated at the pole in contact with the Ki67<sup>pos</sup> cell as seen in the representative graph of intensity of expression around the dotted line, the area of cell contact is highlighted in the shaded area (B2). (B3) CD4, PD1, and ICOS all have significantly higher intensity of expression at the sites of cell contact than at the opposite pole, paired t-tests, n=61 cell contacts, from highly magnified images in nine follicular lymphoma specimens stained with CD4/PD-1/Ki67, or PD-1/ICOS/Ki67. AUC: area under the curve.

### Relationship between Ki67, T<sub>FH</sub> cells and histological grade

The area of the Ki67 binary layer and the corresponding number of Ki67<sup>pos</sup> cells counted by automated analysis increased with histological grade (Figure 4A). The area of the PD-1<sup>pos</sup>ICOS<sup>pos</sup> intersection was closely correlated with the number of Ki67<sup>pos</sup> cells and histological grade demonstrating that, in higher grade cases with a higher proliferation rate, the absolute number of T<sub>FH</sub> is increased (Figure

4B). The degree of colocalization between Ki67<sup>+</sup> B-cells and T<sub>FH</sub> was, however, similar across all histological grades. Thus, in cases with low Ki67 there were few T<sub>FH</sub> and in cases with high Ki67 there were more T<sub>FH</sub>, but the extent of co-localization remained relatively constant (Figure 4C). There was also a correlation between the number of Ki67<sup>pos</sup> cells and the number of T<sub>FH</sub> in normal GC ( $r=0.55$ ,  $P=0.019$ ,  $n=17$  GC from  $n=4$  samples; *Online Supplementary Figure S7*).



**Figure 6. Evidence of T-cell receptor repertoire restriction in follicular lymphoma.** (A) The clonality of the T-cell receptors (TCR) in intrafollicular areas was higher than in the interfollicular compartment in all cases examined, horizontal bars represent mean of all samples, median clonality 0.062 versus 0.049 respectively, Mann Whitney,  $P=0.0317$ . (B) Summary of TCR repertoire data showing the proportion of the total population accounted for by high frequency clones in the follicular and interfollicular regions of follicular lymphoma lymph nodes. In each case, the more frequent clones predominate in the follicular regions compared to the interfollicular areas. (C) The level of overlap of clonotypes between follicular and interfollicular compartments for all clones in paired samples (all clones) and for the 100 most frequent clones (top 100 clones). Horizontal bars represent the mean overlap (0.125 for all clones and 0.22 for top 100 clones)



### Proliferating cells in contact with $T_{FH}$ express AID

Since  $T_{FH}$  have been implicated in initiating SHM and CSR through induction of AID in GC,<sup>20</sup> we investigated if there was a spatial relationship between  $T_{FH}$  and AID<sup>pos</sup> cells. AID was restricted to Ki67<sup>pos</sup> cells in FL, 63%  $\pm$ 8.8 of which were AID<sup>pos</sup> and 39.8%  $\pm$ 9.7 of AID<sup>pos</sup>Ki67<sup>pos</sup> cells were in direct contact with PD-1<sup>Hi</sup> cells. As we had established that most PD-1<sup>Hi</sup> cells in contact with Ki67<sup>pos</sup> cells were ICOS<sup>pos</sup>, we can predict that the majority of PD-1<sup>Hi</sup> cells in contact with AID<sup>pos</sup>Ki67<sup>pos</sup> cells were  $T_{FH}$  (Online Supplementary Figure S5). AID was similarly restricted to Ki67<sup>pos</sup> cells of GC where close association with PD-1<sup>Hi</sup> cells was evident in the light zones (Online Supplementary Figure S5C).

### PD-L1

PD-L1 has previously been reported to be absent from the surface of FL B-cells<sup>36</sup> and we found no evidence that PD-L1 was strongly expressed on the Ki67<sup>pos</sup> cells in contact with PD-1<sup>Hi</sup> cells. Instead, PD-L1 was expressed mainly on interfollicular CD23<sup>neg</sup> cells (Online Supplementary Figure S6). The identity of these cells was not further investigated in this study.

### Features of synapse formation

The close spatial relationship between Ki67<sup>pos</sup> FL B cells and  $T_{FH}$  was further investigated in high power images where morphological features indicating the formation of immunological synapses were identified. Features included:  $T_{FH}$  cell membrane projections encompassing the Ki67<sup>pos</sup> cells, overlapping of the B- and T-cell membranes, distortion of T-cell nuclei away from points of cell contact and significantly increased intensity of CD4, PD-1, and ICOS expression at points of cell contact (Figure 5).<sup>37</sup> The intensity of expression of CD4, PD-1, and ICOS at points where  $T_{FH}$  were in contact with Ki67<sup>pos</sup> cells was formally quantified by defining the perimeter of the T-cell membrane and measuring the intensity of fluorescence at each pixel around the perimeter. The area under the curve for intensity at the point of cell contact was compared with an equivalent length of cell membrane at the opposite pole (Figure 5B). Similar features indicating synapse formation were identified in GC light zones but the high number of closely-packed Ki67<sup>pos</sup> cells and  $T_{FH}$  in GC precluded the same analytic method being used because  $T_{FH}$  in GC were usually in contact with more than one Ki67<sup>pos</sup> cell simultaneously.

### TCR repertoire within follicles shows evidence of antigen restriction

In view of the close spatial relationship observed between T cells and proliferating tumor cells, we investigated whether T cells within the follicles show evidence of antigen restriction by performing TCRV next generation sequencing of genomic DNA from laser dissected follicular and interfollicular areas from five FL samples. The degree of restriction of the TCRV repertoires in FL neoplastic follicles and interfollicular areas was assessed in several ways. First, we estimated the richness of the repertoire in each compartment by determining the number of different clones present per ng of input DNA which, since we were analysing genomic DNA, was proportionate to the total cell number. The interfollicular areas contained more T-cell clones per ng of input DNA than the intrafollicular regions, however, this did not quite reach statistical significance ( $P=0.06$ , Online Supplementary Table S4). We

also calculated the clonality index<sup>38</sup> (see the *Online Supplementary Materials and Methods* for further details). In each of the five cases examined, the clonality of the follicular T cells was greater than in the interfollicular areas ( $P=0.0317$ , Figure 6A). We also calculated the proportion of the TCR repertoire in each compartment that was accounted for by high frequency clones.<sup>39</sup> Compared to the interfollicular areas, the follicular regions were dominated by high frequency clones (Figure 6B). For example, the top 50 most frequent clones made up a mean of 19% of all clones in the follicular areas (95% CI: 17-21) compared to 9.8% in the interfollicular region (95% CI: 6.1-13.4)  $P=0.0002$ ,  $n=5$ .

As expected from their different phenotypes, the clones present in the follicular and interfollicular areas of the same sample were markedly different, indicating that the TCR repertoires of the follicular and interfollicular areas are distinct (Figure 6C).

## Discussion

In this study we compared the structure of neoplastic follicles in FL with GC in reactive LN, focusing on  $T_{FH}$ , their relationship with proliferating B cells and TCR repertoire. Although  $T_{FH}$  have previously been reported to be present in the FL microenvironment,<sup>24,27</sup> these studies were performed on disaggregated LN and this is the first time that their spatial organization has been investigated in situ in this way. Using multi-parameter immunofluorescent confocal microscopy, we demonstrated that  $T_{FH}$  – as identified by surface expression of CD4, PD1, and ICOS – constitute a similar proportion of CD4<sup>pos</sup> T cells in FL as in reactive LN and form synapses with proliferating Ki67<sup>pos</sup> tumor cells which express the DNA modifying enzyme AID. The number of  $T_{FH}$  in neoplastic follicles correlates with the level of tumor proliferation and histological grade, and there is evidence for antigen restriction, as supported by the more clonal TCR repertoire found within neoplastic follicles compared to interfollicular areas.

These findings are novel and of significance for a number of reasons. First, in contrast to previous work on disaggregated FL LN, which showed an increase in the total number of  $T_{FH}$ ,<sup>24,25,40</sup> we found that FL follicles contain  $T_{FH}$  in similar proportions to normal reactive GC. This discrepancy likely relates to the fact that in FL, LN architecture is usually effaced by many closely packed follicles, whereas in normal tissues the interfollicular areas, which contain many fewer  $T_{FH}$ , are more extensive. Thus, although the overall  $T_{FH}$  content of FL LN is increased compared to normal,<sup>25</sup> this is because of the larger number of follicles in the tumor and when neoplastic and normal follicles are compared directly, the numbers are the same. This finding underlines the need to complement data obtained from disaggregated tissues with anatomic studies.

Our use of multiparameter microscopy permitted the spatial relationship between  $T_{FH}$  and B cells to be closely investigated and this also provided new insights.  $T_{FH}$  are essential for providing normal GC B cells with signals necessary for their survival, proliferation and maturation.<sup>19,41</sup> To our knowledge, this is the first time that the intimate relationship between Ki67<sup>pos</sup> B cells and  $T_{FH}$  has been demonstrated in situ in human LN in this way and our observations are in keeping with the pivotal role they play in the normal GC reaction. Importantly, we also found that the

close spatial association of Ki67<sup>pos</sup> B cells and T<sub>HH</sub> is recapitulated in FL, as 41% of Ki67<sup>pos</sup> FL B cells were in direct contact with T<sub>HH</sub> and were significantly more likely to be in direct contact with T<sub>HH</sub> than non-proliferating cells. The observed close spatial correlation between the two cell types is thus not due to chance and suggests that T<sub>HH</sub> are involved in functionally important interactions with the tumor. This corroborates and advances findings from previous *in vitro* experiments which showed that FL T<sub>HH</sub> provide signals for B-cell survival.<sup>25,27</sup>

We also found a correlation between the numbers of T<sub>HH</sub> and Ki67<sup>pos</sup> B cells in both normal GC and neoplastic follicles and that, in FL, the number of T<sub>HH</sub> increase with histological grade. The relationship between number of T<sub>HH</sub> and rate of B-cell proliferation observed in our study has not been reported previously in FL but is consistent with previous data showing that the regulation of GC size and B-cell number is critically dependent on the number of T<sub>HH</sub>.<sup>17,19,23</sup> This adds to the evidence that T<sub>HH</sub> are central to the pathogenesis of FL, just as they are essential in the normal GC reaction. Furthermore, the degree of co-localization (the proportion of Ki67<sup>pos</sup> B cells in contact with one or more T<sub>HH</sub>) remained constant as histological grade increased, with no significant change in the proportion of Ki67<sup>pos</sup> cells in contact with T<sub>HH</sub> in grade IIIa or IIIb disease compared to grade I-II disease, suggesting that interaction with T<sub>HH</sub> remains important regardless of histological grade.

Our studies also underline the crucial importance of using a multi-parameter approach to define and quantify the complex T-cell subsets present in the FL microenvironment. No single antigen or transcription factor specifically identifies T<sub>HH</sub> and this is the first study reporting the presence of T<sub>HH</sub> in FL *in situ* using techniques that overcome the limitations of traditional IHC. By using co-staining for ICOS and BCL6 we were able to show that only half of the PD1 expressing cells neoplastic follicles are T<sub>HH</sub>. Single parameter analysis of PD1 would therefore lead to significant overestimate of T<sub>HH</sub> numbers perhaps explaining, at least in part, why previous IHC studies have yielded divergent results with regards to the impact of different T-cell infiltrates on prognosis.<sup>10,13-16</sup>

Previous *in vitro* studies have shown that peripheral blood T cells in FL are dysfunctional and form impaired synapses with B cells.<sup>40,42</sup> In the present study, however, we found features that suggest normal synapse formation between Ki67<sup>pos</sup> tumor cells and T<sub>HH</sub> within the LN.<sup>43</sup> This divergence from previous research may be because we examined the interactions between T<sub>HH</sub> and Ki67<sup>pos</sup> cells *in situ* in human tissue rather than in an *ex vivo* system using peripheral blood derived cells. It also remains possible that there are other subsets of non T<sub>HH</sub> cells in the FL microenvironment that are dysfunctional and have an impaired ability to form immunological synapses.

In addition to promoting GC B-cell proliferation, interaction with T<sub>HH</sub> cells also induces AID expression which induces somatic hypermutation and class switch recombination. Off-target action of AID has previously been proposed to lead to the accumulation of mutations required for germinal center-derived lymphomas to develop or progress and has been associated with transformation of FL.<sup>44,45</sup> The close spatial association between T<sub>HH</sub> and AID<sup>pos</sup>Ki67<sup>pos</sup> FL B cells observed in the present study is compatible with this theory.

Finally, next generation sequencing analysis of the TCR repertoire of follicular and interfollicular areas of FL LN showed that the neoplastic follicles are significantly more clonal and dominated by high frequency clones compared to the interfollicular regions. As expected from their divergent phenotype, very little repertoire overlap between the two compartments was present. PCR-based analyses of TCR repertoire on small samples are known to suffer from a number of potential limitations including sampling effects and errors introduced during the amplification process, which may lead to apparent skewing.<sup>46</sup> Whilst we cannot completely exclude these possibilities, we minimized the risk by direct, intra-patient comparison in the same assay run, and, of note, our findings were consistent in all five cases studied. Another possibility is that the demonstrated differences in TCR repertoire relate to the greater number of T cells found in the interfollicular regions compared to the follicles. Whilst T-cell numbers undoubtedly do differ between these two areas, significant difference in the clonality index, which takes into account the number of unique clones present, were observed (Figure 6A). Furthermore, the repertoire of the intrafollicular area was strikingly dominated by high frequency clones; for example, the top 50 clones accounted for a mean of 19% (CI: 17-21%) of all clones present, compared to 9.7% (CI: 6.1-13.4%) in the interfollicular areas ( $P=0.0002$ ).

Taken together, these findings suggest that the interactions between B cells and activated T<sub>HH</sub> that induce B-cell proliferation and differentiation and lead to the generation of high affinity antibody in normal GC may be recapitulated within the follicles of FL.<sup>19,41</sup> Since T<sub>HH</sub> may be involved in processes fundamental to disease progression, such as clonal expansion and genomic evolution of the tumor, our results suggest that they would be an attractive target for novel therapies. This is especially relevant in the era of drugs that target antigen receptor signaling such as PI3-kinase inhibitors, which affect both B- and T-cell receptor pathways. Our results are also relevant to understanding the mechanism of action of drugs that target PD-1 expressing cells, which have been shown to be effective in FL and other lymphomas.<sup>29-31</sup> It is clear that whilst some of the PD-1 expressing cells in the FL LN are indeed T<sub>HH</sub>, many are not and these may represent exhausted effector cells. Blockade of PD-1 in the latter case may unmask antitumor immunity and lead to disease regression. The impact of interrupting PD-1 function in T<sub>HH</sub> is, however, less clear as the role of the PD-1 axis in T<sub>HH</sub> function is not fully established. These findings add another level of complexity to our understanding of the FL tumor microenvironment and underline the necessity of using multi-dimensional methods in future studies.

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