

Recent Advances in Modified Cap Analogs: Synthesis, Biochemical Properties, and mRNA Based Vaccines

Muthian Shanmugasundaram, Annamalai Senthilvelan, and Anilkumar R. Kore*^[a]

Abstract: The recent FDA approval of the mRNA vaccine for severe acute respiratory syndrome coronavirus (SARS-CoV-2) emphasizes the importance of mRNA as a powerful tool for therapeutic applications. The chemically modified mRNA cap analogs contain a unique cap structure, m⁷G[5']ppp[5']N (where N = G, A, C or U), present at the 5'-end of many eukaryotic cellular and viral RNAs and several non-coding RNAs. The chemical modifications on cap analog influence orientation's nature, translational efficiency, nuclear stability, and binding affinity. The recent invention of a trinucleotide cap analog provides groundbreaking research in the area of mRNA analogs. Notably, trinucleotide cap analogs outweigh dinucleotide cap analogs in terms of capping efficiency and translational properties. This review focuses on the recent development in the synthesis of various dinucleotide cap analogs such as dinucleotide containing a triazole moiety, phosphorothiolate cap, biotinylated cap, cap analog containing N1 modification, cap analog containing N2 modification, dinucleotide containing fluorescence probe and TAT, bacterial caps, and trinucleotide cap analogs. In addition, the biological applications of these novel cap analogs are delineated.

Keywords: Cap analogs, dinucleotide, trinucleotide, mRNA, ARCA, translation, mRNA vaccination, anti-cancer

1. Introduction

The 5'-end of cellular and eukaryotic viral RNAs exhibits a matchless cap structure (m⁷G[5']ppp[5']N, where N is any nucleotide) that are synthesized by various RNA polymerases.^[1] The N7 guanosine (m⁷G) residue in the messenger RNA (mRNA) is connected to the first nucleotide of the mRNA transcript via a triphosphate linkage between the two 5'-hydroxyl groups as shown in Figure 1. The distinct cap structure plays a vital role in providing resistance to 5'-exonuclease that helps to protect the mRNA from speedy degradation by 5'-exonuclease activity. It has participated in numerous aspects of mRNA metabolism such as intracellular

transport, splicing, subcellular localization, initiation of RNA, translation, and mRNA turnover.^[2,3] The most appealing feature of the cap is its strong ability to recognize eukaryotic initiation factor 4E (eIF4E) during the initiation of translation that has been overexpressed in several categories of tumors.^[4] The presence of both the delocalized positive charge of the m⁷guanosine base moiety and the triphosphate bridge in the cap structure contributes to the specific recognition to eIF4E.^[5] It has been acknowledged in the literature that eIF4E is a striking target for anticancer directed therapy.^[4] The modified cap analog serves as a potential inhibitor to target eIF4E in which the cap analogs may neutralize the overexpressed levels of eIF4E that is highly depend on its ability to bind with eIF4E. In addition to eIF4E protein, the cap structure recognizes other cap-binding proteins such as CBC80/20 nuclear cap-binding complex and Vaccinia virus methyltransferase VP39.^[6,7]

The most common strategy for the *in vitro* synthesis of 5'-capped mRNAs comprises the use of synthetic dinucleotide analog, m⁷G[5']ppp[5']G (standard cap), as an initiation of transcription. However, the existence of two free 3'-OH

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groups on both guanosine moieties promotes as the initiating nucleophile for transcription elongation that results in both the forward ($m^7G[5']ppp[5']G[pN]^n$) and the reverse orientation ($G[5']ppp[5']m^7G[pN]^n$) products.^[8] The mRNA transcripts with forward orientation product is recognized, whereas transcripts with reverse orientation product is not properly recognized during the translational process that decreases the

overall translational properties. The breakthrough in the field of mRNA cap is the innovation of an anti-reverse cap analog (ARCA) that circumvents the problems encountered in the standard cap.^[9,10] The ARCA involves 3'-OH modification on m^7G moiety in which the modified cap analog incorporates exclusively in the forward orientation due to the absence of the initiating nucleophile on m^7G moiety. Moreover, the exclusive



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Annamalai Senthilvelan received his Ph.D. degree in Organic Chemistry (2002) from University of Madras-India, under Prof. V. T. Ramakrishnan in the area of Organic photochemistry and heterocyclic chemistry. He carried out two post-doctoral studies in Taiwan (2002-2007). One at Academia Sinica in the area of carbohydrate chemistry and another at National Chiao-Tung University in the area of Organic supramolecular host-guest chemistry. He joined Prof. Howard E. Zimmerman's group in the department of chemistry, University of Wisconsin-Madison as research associate (2007-2010). His research focused in the areas of heterocyclic, photochemistry, and host-guest chemistry. Currently, since 2010 he is working as Staff scientist at Thermofisher Scientific-Austin, USA. His research inter-



est focuses on the synthesis of nucleotides, modified nucleotides and nucleotide cap analogs for molecular biology and therapeutic applications, and big dye terminators. He received 2013 Presidential Green Chemistry Challenge Award from the Environmental Protection Agency (EPA)-USA. His research findings accomplished over 40 publications in peer-reviewed journals

In 1996, Dr. Kore received his Ph.D. in Bioorganic Chemistry from Shivaji University, Kolhapur (India), followed by post-doctoral research at Max-Planck Institute for Experimental Medicine, Göttingen (Germany) and University of Michigan, Department of Chemistry, Ann Arbor (USA). Dr. Kore currently serves as a Director, Chemistry at Thermo Fisher Scientific, Austin, TX, USA. His research focuses on discovery and development modified nucleoside/nucleotides, Cap analogues for mRNA therapeutics, Modified Oligonucleotides, Modified peptides as a proteinase K inhibitor and lipid-based transfection reagent for various cell lines. Dr. Kore has authored over 87 scientific publications in leading journals and have inventorship of more than 11 US awarded patents. Throughout his professional career, he has attended and made numerous presentations at local and international scientific meetings and acted as a chair for symposium session. He also serves as an Associate Editor, Current Protocols in Nucleic Acid Chemistry (CPNC), Wiley. He is also recipient of 2013 Presidential Green Chemistry Challenge Award given by the Environmental Protection Agency (EPA) for Greener Synthetic Pathways for manufacturing of various dNTPs for RT/PCR.

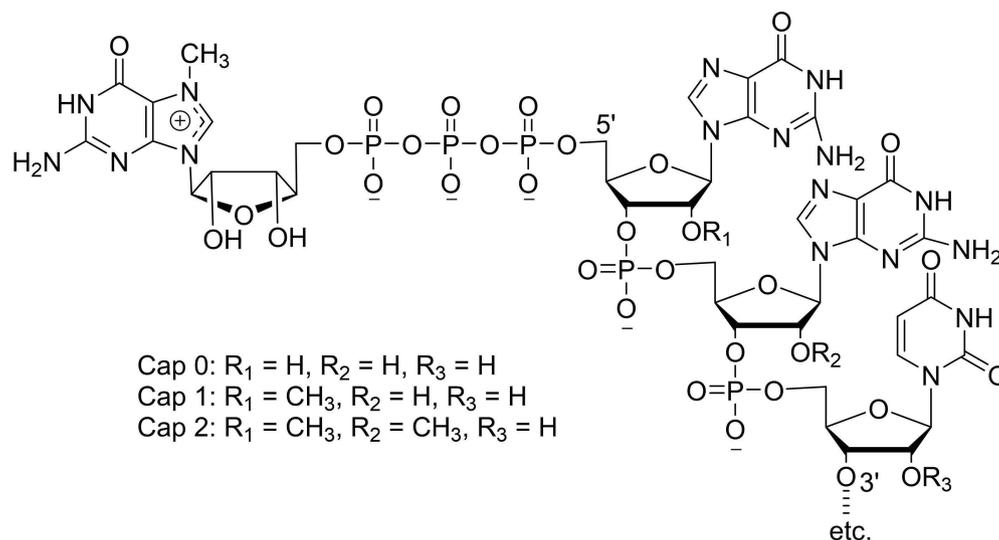


Figure 1. The chemical structure of 5'-capped mRNA.

2'-OH modification on m⁷G moiety also serves as the anti-reverse cap analog even when there is a free 3'-OH group on m⁷G moiety.^[11] In general, the use of ARCA provides more than two fold translational properties as compared to a standard cap.^[12,13] The sugar modifications on m⁷G moiety not only influences the nature of the orientation but also promotes the translational efficiency, binding affinity, and nuclease stability. Furthermore, the modification of triphosphate chain (i.e.) thiophosphorale cap analogs display higher translational properties than their parent triphosphate analogs.^[14]

The formation of IVT mRNA transcripts from the use of dinucleotide cap analog (m⁷GpppN) results in cap 0 structure that does not contain the 2'-OMe group in the first transcribed nucleotide. The cap 0 structure can also be generated by the use of the Vaccinia virus complex that is accomplished through post-transcriptional modifications.^[15] In the case of higher mammalian cells, the IVT mRNA transcripts with cap 0 structure can further undergo post-transcriptional modifications to cap 1 structure (m⁷GpppNm wherein, Nm is a 2'-O-methylated nucleotide) and occasionally to cap 2 structure (m⁷GpppNmNm) even though cap 0 structure has the ability to initiate mRNA translation.^[16] The cap 0 structure has been converted into cap 1 structure that involves the transfer of a methyl group from S-adenosylmethionine by the use of an enzyme, 2'-O-methyltransferase.^[17] However, this method is not practically feasible for large scale preparations. During viral replication, it is important for the immune system to differentiate between non-self and self RNA. The presence of the 2'-O-methyl group in the first transcribed nucleotide plays a vital role to identify self RNA in the innate immune system and

discriminate non-self RNA that helps to suppress viral replication and pathogenesis.^[18,19] The limitations associated with cap 0 structure has been effectively addressed by the use of a trinucleotide cap analog.^[20] Cotranscriptional capping with m⁷GpppNmN-derived trinucleotide generates the cap 1 structure, in which the first transcribed nucleotide has the 2'-O-methyl group. The synthetic trinucleotide cap analog is used to make the IVT mRNA transcripts of Pfizer-BioNTech mRNA vaccine for severe acute respiratory syndrome coronavirus (SARS-CoV-2).^[21a] In the case of Moderna mRNA vaccine, IVT mRNA transcript containing cap 1 structure has been obtained by the enzymatic mRNA capping involving Vaccinia capping enzyme and Vaccinia 2'-O-methyltransferase.^[21b]

The importance and biological application of mRNA cap analog are well recognized through the publications of several review articles.^[22,23] Since our previous review in 2017,^[24] an array of breathtaking research has emerged in the area of cap analogs, which warrants a new review article to deliver an update and guidance in this research topic. In this review, we summarize an overview of the literature for the chemical synthesis of several modified cap analogs and their biochemical properties, concentrating on advances in the last five years. To facilitate discussion, the chemical synthesis of cap analog has been classified into three different groups such as dinucleotide cap analogs, bacterial caps, and trinucleotide cap analogs. The biochemical properties such as capping efficiency, binding affinity, translational efficiency, and resistant to decapping enzyme for these three groups have been discussed in the biological application part.

2. Chemical Synthesis of Cap Analogs

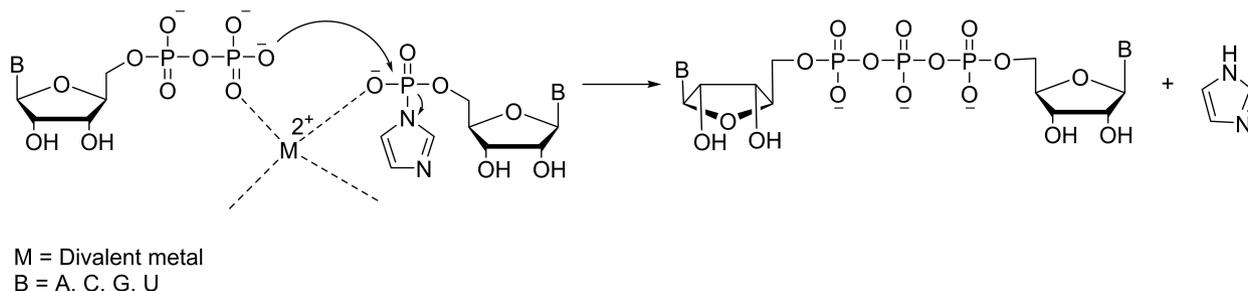
The multiple functional groups such as primary and secondary hydroxyl groups in sugar and amino group in base moiety of the nucleoside/nucleotide make the chemical synthesis of cap analogs extremely challenging. Moreover, these nucleosides/nucleotides are known to cleave under acidic/basic conditions. The coupling reaction between activated nucleotide and non-activated nucleotide is the most commonly used strategy for the synthesis of cap analogs.^[23] The activated nucleotide acts as the electrophile, whereas the non-activated nucleotide acts as the nucleophile. While there are numerous activating groups such as phenylthio group,^[25,26] methoxyphenylthio group,^[27,28] 5-chloro-8-quinolyl group,^[29] imidazolide,^[30,31] and morpholidate^[9] have been utilized for the coupling reaction, the most practical and feasible activating group utilizes the use of imidazolide group. Although several types of a divalent metal catalyst such as MgCl₂, MnCl₂, CaCl₂, CdCl₂, and ZnCl₂ have been employed in both aqueous and anhydrous conditions,^[32] the use of zinc chloride under anhydrous conditions involving polar aprotic solvent, DMF provides high yields of product with shortening of reaction time.^[33] The involvement of lewis acid catalyst is crucial for the successful coupling reaction that brings both activated and non-activated nucleotide into close vicinity by divalent metal coordination (Scheme 1).^[32] Although these two reactants as such are poorly soluble in DMF, the lewis acid catalyst acts as a template that makes the two reactants solubilize under DMF conditions. The pre-requisite for the non-activated nucleotide is the presence of hydrophobic counter ion and the most commonly used one is triethylammonium ion. In contrast to the silica gel purification for the organic compounds, the purification for a cap analog employs the use of anion exchange column chromatography. The most frequently used anion exchange resin is diethylamino ethyl (DEAE) Sepharose or Sephadex and eluting buffer is 1.0 M triethylammonium bicarbonate buffer (TEAB). The total number of phosphate groups present in the mixture dictates the order of elution during the purification process. In general, the monophosphate elutes first,

then diphosphate and finally cap analog during elution. The chemical synthesis of triphosphate non-bridging cap analog results in a mixture of two diastereomers. These two diastereomers are separated and isolated as a single isomer by anion exchange followed by reverse phase chromatography using Supelcosil LC-18-T column.

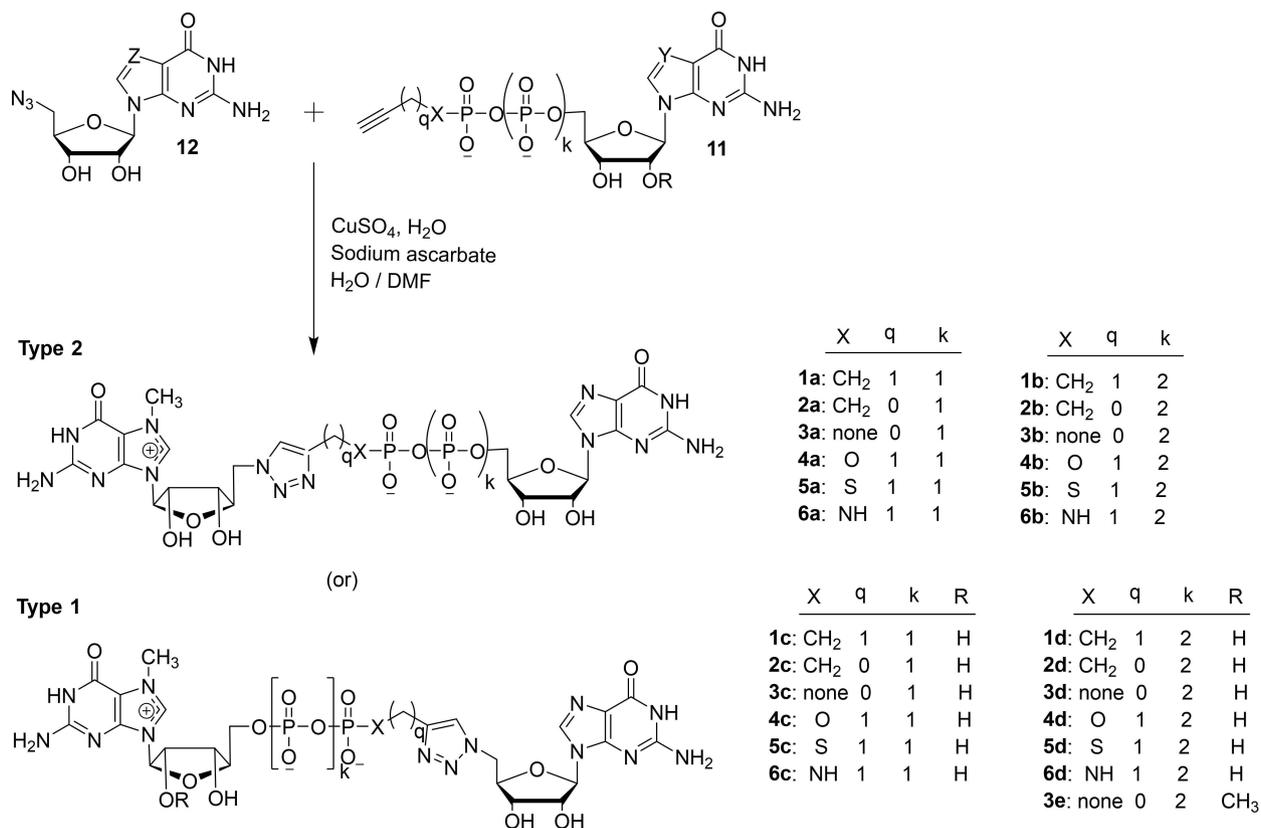
2.1. Dinucleotide Cap Analogs

Walczak and co-workers reported a new route for synthesizing 5' cap mimics and capped RNAs and studied its biochemical properties.^[34] The copper(I)-catalyzed azide-alkyne cycloaddition (CuAAC) reaction of nucleotide containing a terminal alkyne within the phosphate chain **11** with azido derivative of nucleoside **12** in several combinations in the presence of copper sulphate and sodium ascorbate under aqueous conditions afforded the corresponding dinucleotide cap analogs containing a triazole moiety **1–6** (Scheme 2). The type 1 class represents a dinucleotide cap containing a triazole moiety directly attached to guanosine moiety, whereas type 2 represents a dinucleotide cap containing a triazole moiety directly attached to m⁷G moiety. Similarly, the CuAAC reaction of nucleotide containing a terminal alkyne within the phosphate chain **13** with azido derivative of nucleotide **14** in different combinations under standard CuAAC reaction conditions furnished the corresponding dinucleotide cap analog containing a triazole moiety within the phosphate chain **7–10** (Types 3 and 4 – Scheme 3). The crude reaction mixture was quenched with EDTA in order to remove the copper ion and there were 34 dinucleotide cap analogs synthesized and isolated in high purity by using reverse phase HPLC purification (Schemes 2 and 3).

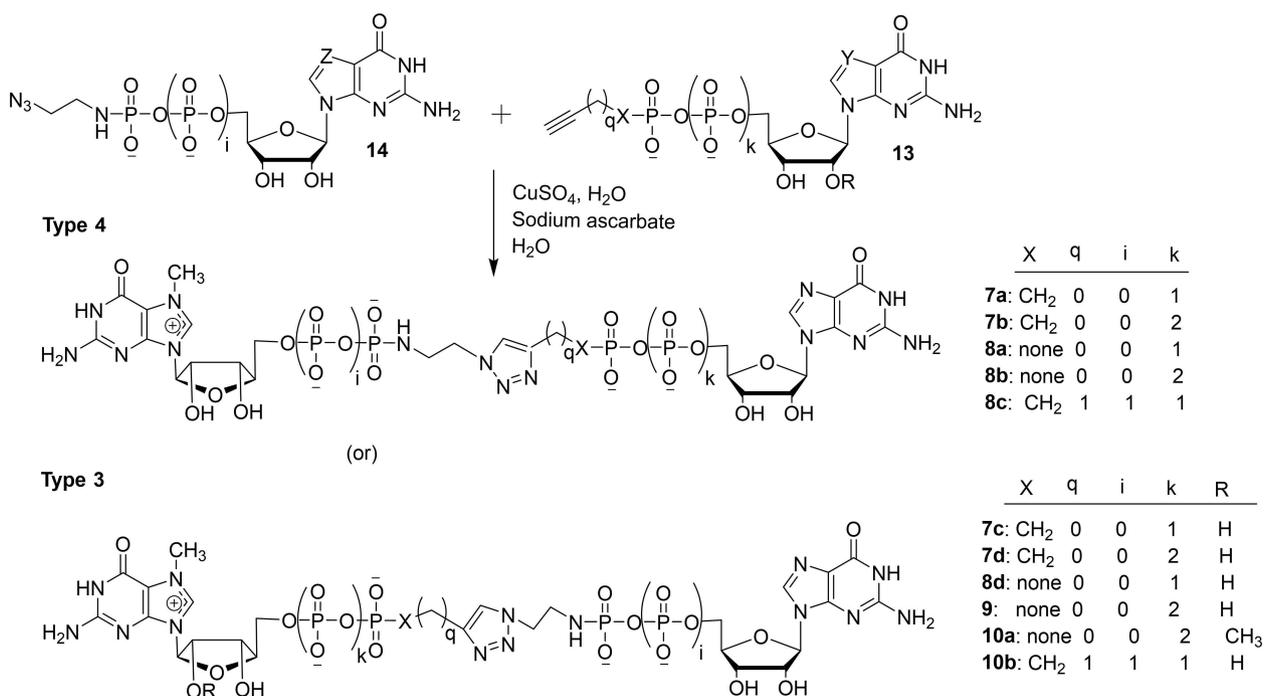
Mamot and co-workers reported a novel synthesis of dinucleotide cap analogs containing a functionalized azide group at the 2'-O or 3'-O position of m⁷G moiety in order to study the site-specific labelling of mRNA in living cells (Scheme 4).^[35] The key intermediate, Im-m⁷GMP containing a functionalized azide group **21** was obtained in three steps, starting from GMP **18**. Treatment of GMP **18** with 1,1'-



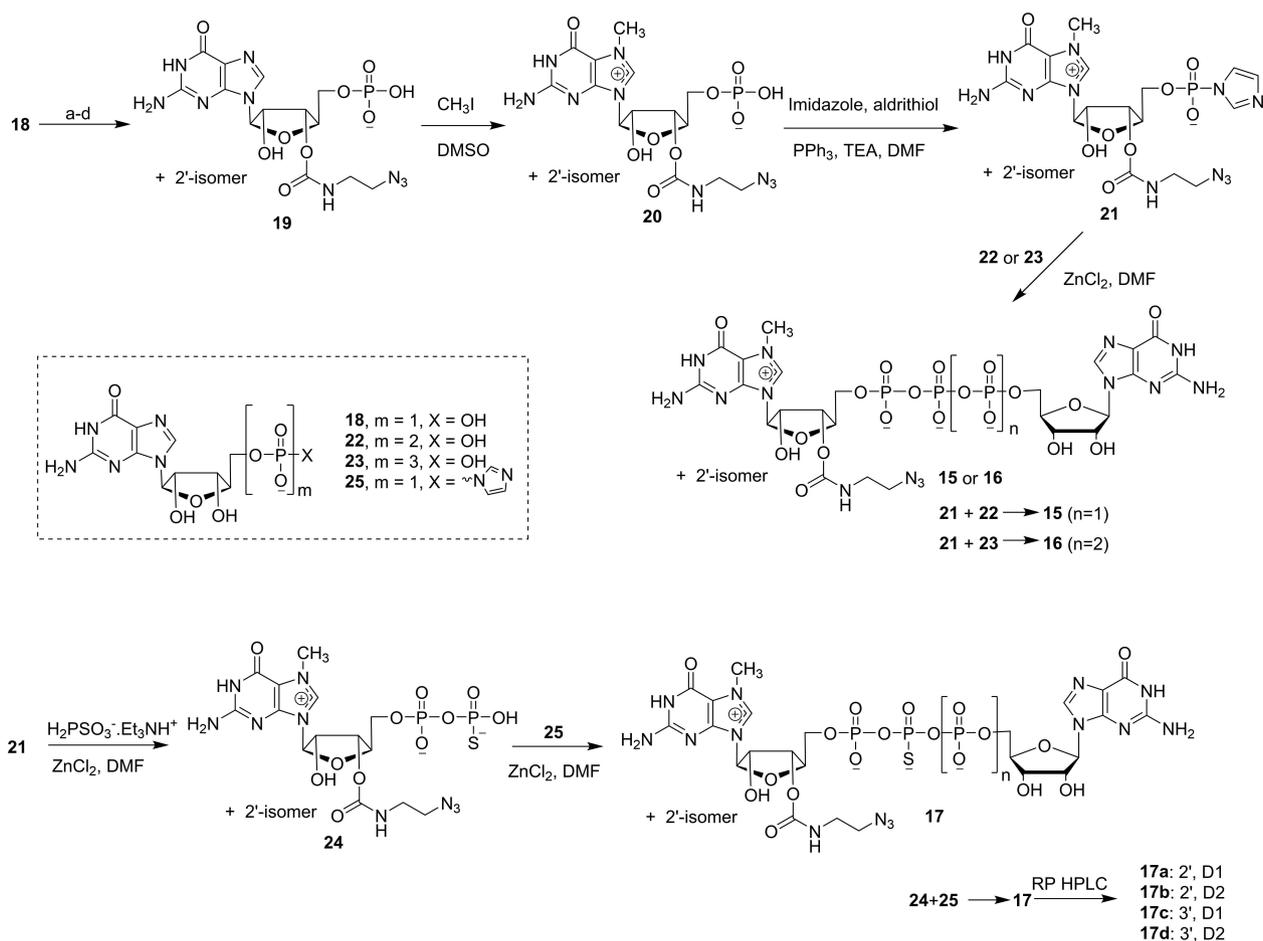
Scheme 1. Mechanistic approach for the metal-catalyzed coupling reaction.



Scheme 2. Synthesis of dinucleotide cap analogs containing a triazole moiety 1–6.



Scheme 3. Synthesis of dinucleotide cap analogs containing a triazole moiety attached within the phosphate chain 7–10.

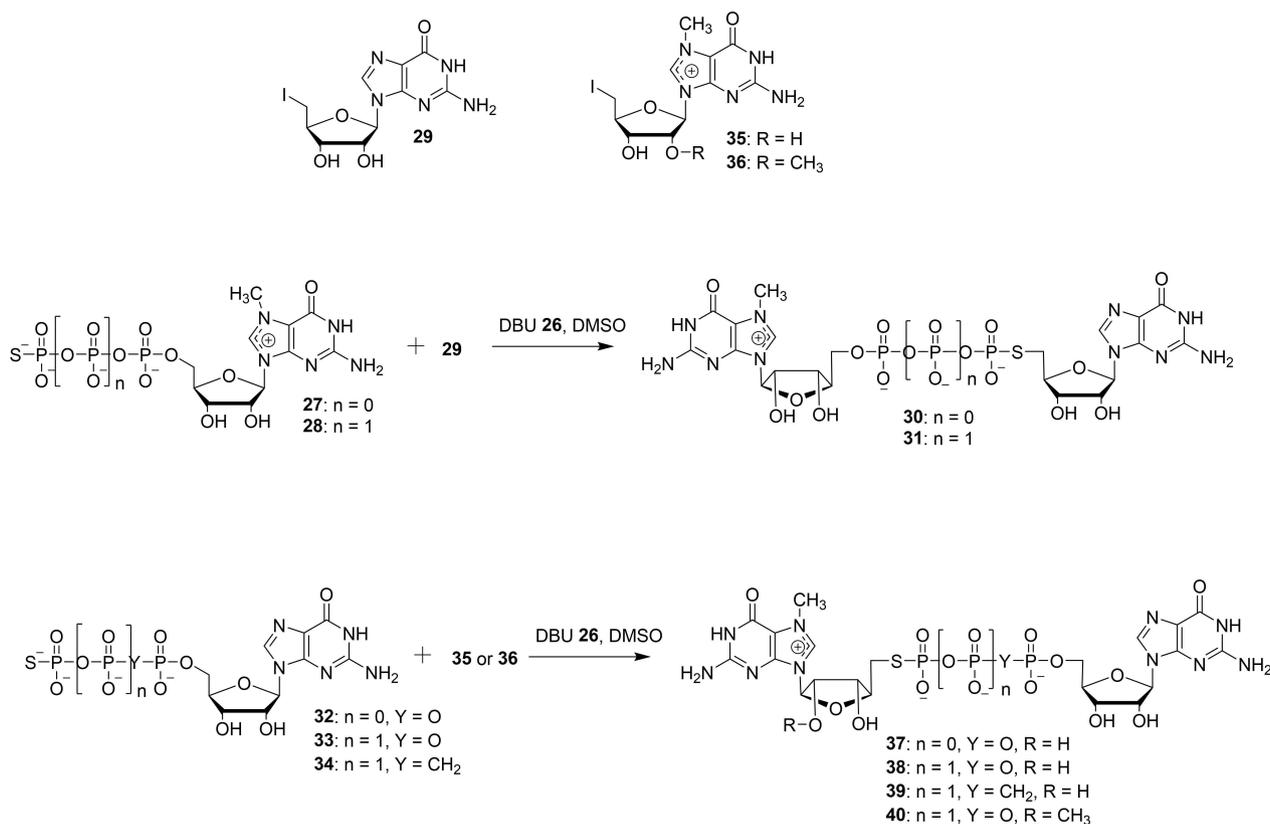


Scheme 4. Synthesis of dinucleotide cap analogs containing a functionalized azide group **15–17**. Reagents: (a) 1,1'-carbonyldiimidazole (CDI), μw , DMSO; (b) H_2O ; (c) $\text{NH}_2(\text{CH}_2)_2\text{N}_3$, 1,8-diazabicyclo[5.4.0]undec-7-ene (**26**); (d) aq. HCl.

carbonylimidazole under microwave conditions, followed by opening the resulting 2',2'-O,O-carbonate with excess 2-azidoethylamine furnished the corresponding carbamate product **19** as a 1:2.3 mixture of 2'-O and 3'-O regioisomers. The reaction of **19** with methyl iodide in the presence of DMSO as a solvent afforded the corresponding N7-methylated mononucleotide **20**. The imidazolide reaction of **20** with imidazole, triphenylphosphine and aldrithiol using DMF as a solvent afforded the corresponding *P*-imidazolide **21**. The coupling reaction of GDP **22** or GTP **23** with *P*-imidazolide **21** in the presence of zinc chloride as a catalyst and DMF as a solvent afforded the corresponding tri- and tetraphosphate cap **15** and **16**, respectively. Treatment of triethylammonium salt of thiophosphoric acid with *P*-imidazolide **21** in the presence of ZnCl_2/DMF system afforded thio diphosphate **24**. Finally, the coupling reaction of **24** with Im-GMP **25** under ZnCl_2/DMF system furnished the corresponding β -phosphorothioate cap analog containing a functionalized azide **17** as a mixture of four isomers. All four isomers such as two *P*-diastereoisomeric

pairs (ca. 1:1) and two 2'-O/3'-O regioisomers (ca. 1:15) were successfully separated and isolated as a single isomer by reverse phase HPLC. Compounds **15** and **16** were both isolated as a 1:1.5 mixture of 2'-O and 3'-O regioisomers as these two isomers co-eluted during HPLC purification.

The chemical synthesis of a series of dinucleotide cap analogs containing a 5'-phosphorothiolate (5'-PSL) moiety has been reported by Wojtczak *et al.* in order to study their biochemical properties such as translational efficiency, cap-binding interactions and decapping assays (Schemes 5 and 6).^[36] There are two different types of synthetic approaches that were utilized to make dinucleotide cap analogs containing a 5'-PSL moiety. First, the synthetic strategy involves the use of $\text{S}_{\text{N}}2$ S-alkylation reaction. The nucleophilic reaction of 7-methylguanosine containing a 5'-thiophosphate (**27** or **28**) with 5'-iodo guanosine (**29**) in the presence of DBU **26** as a base, using DMSO as a solvent afforded the corresponding dinucleotide cap analogs containing a α -PSL modification **30** and **31**, respectively in moderate yields (Scheme 5). Similarly,

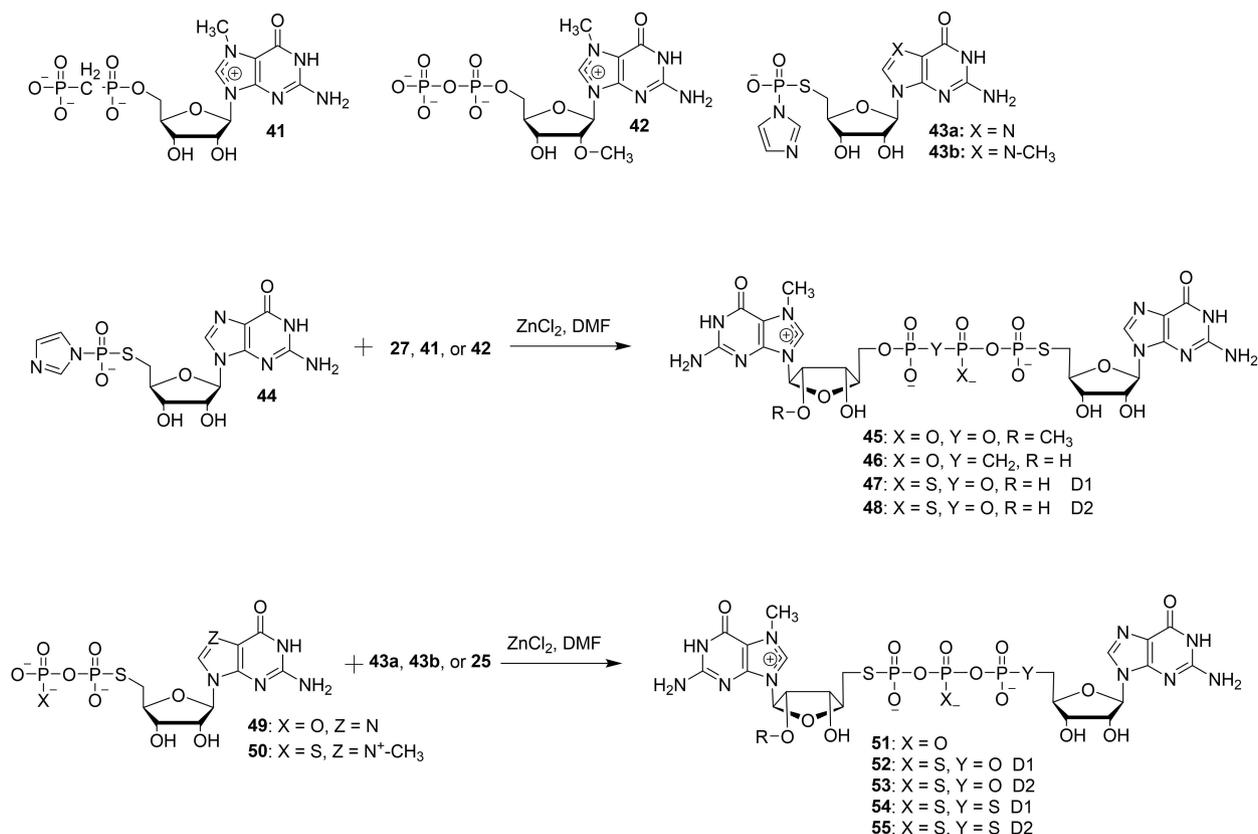


Scheme 5. Synthesis of dinucleotide cap analogs containing a γ -PSL moiety **37–40**.

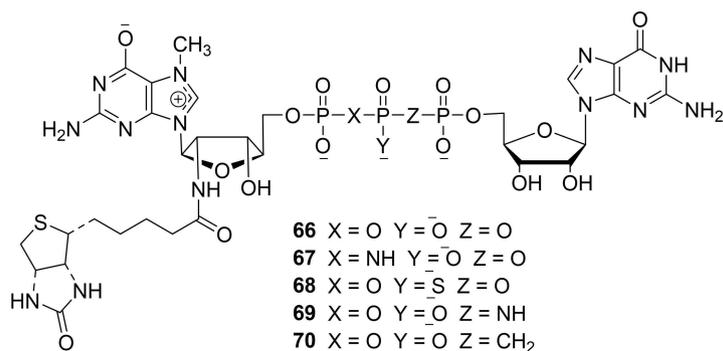
treatment of guanosine containing a 5'-thiophosphate (**32**, **33**, or **34**) with 5'-iodo 7-methylguanosine (**35** or **36**) afforded the corresponding dinucleotide cap analogs containing a γ -PSL modification **37–40**, respectively. Second, the synthetic approach utilized the use of conventional coupling reaction between activated and non-activated nucleotide. Treatment of modified m^7 GDP (**27**, **41**, or **42**) with 5'-S-ImGMP **44** under standard ZnCl_2/DMF system afforded the corresponding dinucleotide cap analogs containing a α -PSL modification **45–48**, respectively in moderate yields. The coupling reaction of 5'-S-GDP (**49** or **50**) with modified Im-GMP (**25**, **43a**, or **43b**) in the presence of ZnCl_2 as a catalyst and DMF as a solvent furnished the corresponding cap analogs containing a γ -PSL modification **51–55**, respectively (Scheme 6). The first approach has been used for the synthesis of dinucleotide cap analogs containing the exclusive α -PSL or γ -PSL modifications, whereas the second approach has been utilized for the synthesis of dinucleotide cap containing two or three modifications such as α -PSL, γ -PSL, and β -PS.

Bednarek *et al.* reported a series of biotinylated dinucleotide cap analogs with phosphate modification to study the effect of biochemical properties such as capping efficiency, translation efficiency, and hDcp2 decapping susceptibility.^[37]

The synthetic pathways leading to the formation of biotinylated dinucleotide cap analogs **66–70** were depicted in Schemes 7 and 8. The key intermediate, 7-methylguanosine containing biotinylated moiety **60** for the synthesis of **66** and **68–70** was obtained in three steps, starting from 2'-amino-2'-deoxyguanosine (**56**). The monophosphorylation of **56** using phosphorous oxychloride as a phosphorylating agent, followed by the methylation of the resulting **57** using methyl iodide as a methylating agent, and subsequent biotinylation of the resulting **58** using biotin N-hydroxysuccinimide ester (**59**) under aqueous conditions furnished the corresponding 7-methylguanosine containing a biotinylated moiety **60**. The final coupling reaction of 7-methylguanosine containing a biotinylated moiety **60** with P-imidazolides of GDP **65a**, or methylenebisphosphonate **65b** in the presence of ZnCl_2/DMF system afforded the corresponding biotinylated dinucleotide cap analogs **66** and **70**, respectively. Compound **60** was activated into imidazole salt **61**, which was further coupled with guanosine diphosphate containing phosphate modifications **63** or **64** under standard conditions to afford the corresponding cap analogs **69** and **70**, respectively. The imidazole activated-GMP **61** was coupled with thiophosphate and subsequent reaction of the resulting biotinylated thio



Scheme 6. Synthesis of dinucleotide phosphorothiolate cap analogs **45–48** and **51–55**.

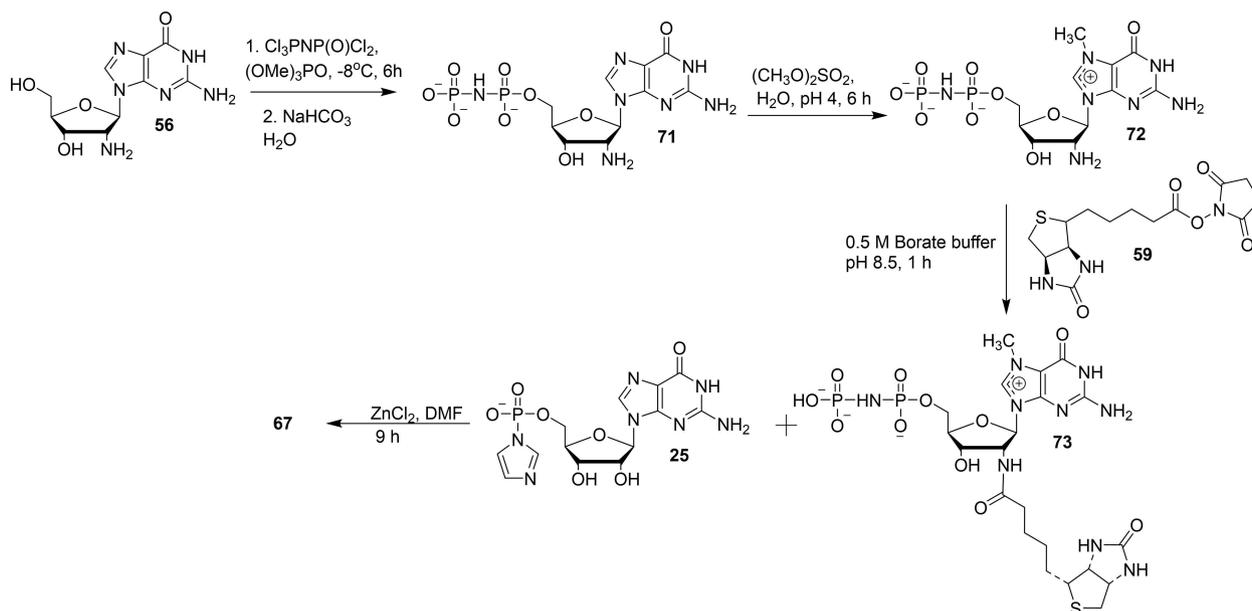


Scheme 7. Synthesis of biotinylated cap analogs **66** and **68–70**.

diphosphate **62** with Im-GMP **25** afforded the corresponding biotinylated cap analog with β -S modification **68** (Scheme 7). The key intermediate **73** for the synthesis of **67** was also obtained in three steps, starting from 2'-amino-2'-deoxyguanosine (**56**). The phosphorylation of **56** with trichloro[(dichlorophosphoryl)imido]phosphorane, followed by the methylation of the resulting **71** and subsequent biotinylation reaction afforded 7-methylguanosine imidodiphosphate containing biotinylated moiety **73**. The final coupling reaction

of **73** with Im-GMP **25** under standard coupling conditions afforded the corresponding biotinylated cap analog **67** (Scheme 8).

Walczak and co-workers reported the chemical synthesis of several phosphotriazole cap analogs in order to study its biochemical properties of binding affinity for eIF4E, susceptibility to hDcp2-catalyzed decapping and translational efficiency.^[38] The copper(I)-catalyzed azide-alkyne cycloaddition (CuAAC) reaction of phosphonate derivatives of 7,2'-O-

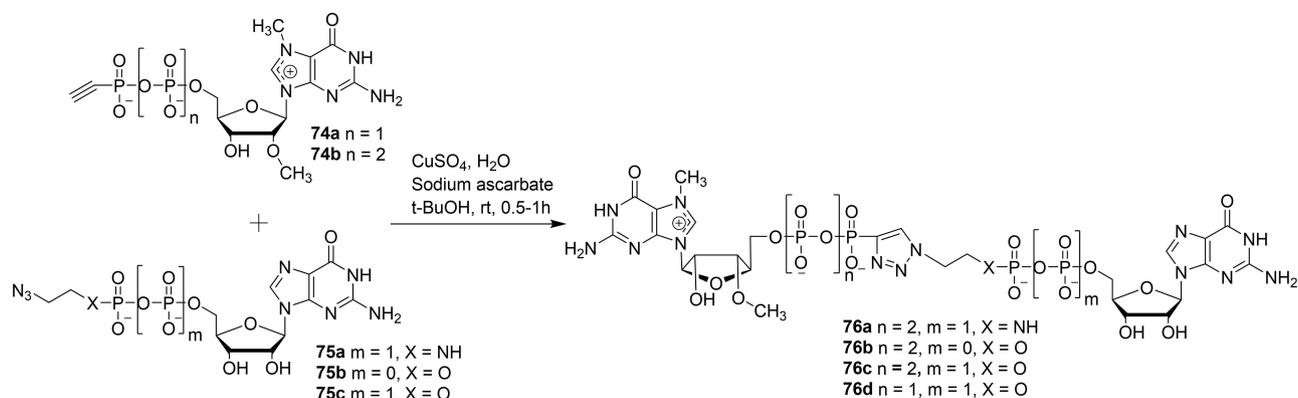


Scheme 8. Synthesis of biotinylated cap analog **67**.

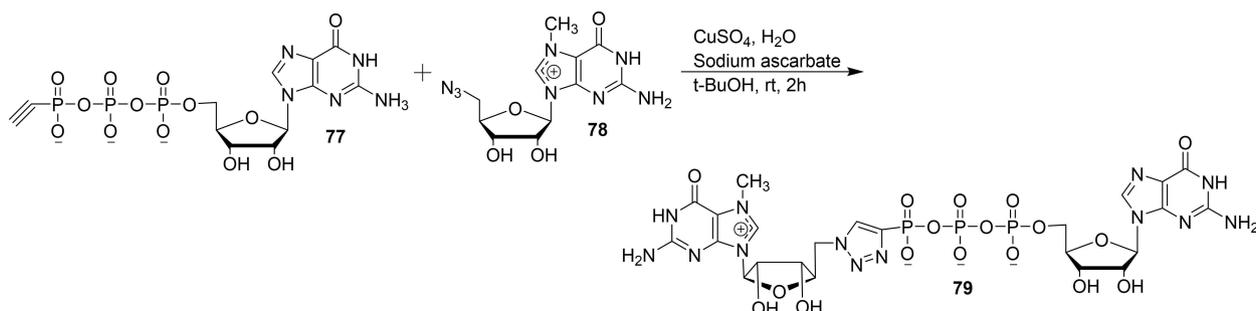
dimethyl guanosine **74** with 5'-[P2-N-(2-azidoethyl)diphosphate **75a** or 5'-[P-O-(2-azidoethyl)phosphate **75b,c** in the presence of copper sulphate and sodium ascorbate under aqueous conditions afforded the corresponding dinucleotide cap analog containing a triazole moiety within the phosphate chain **76** (Scheme 9). Similarly, the CuAAC reaction of phosphonate derivative of guanosine **77** with 5'-azido-5'-deoxy-7methylguanosine (**78**) furnished the corresponding dinucleotide cap analog containing a triazole moiety directly attached to m⁷G **79** (Scheme 10).

The chemical synthesis of a new series of dinucleotide cap analogs containing an alkyne handle at the N1-position of guanosine has been reported by Kopcjal and co-workers in

order to study the effect of cap-binding proteins.^[39] To begin the synthesis, the key intermediate P-imidazolide **83** was obtained in three steps starting from guanosine. The reaction between guanosine (**80**) and propargyl bromide in the presence of sodium hydride and tetrabutylammonium iodide afforded the corresponding N1-propargylguanosine (**81**). The monophosphorylation of **81**, followed by the activation of the resulting monophosphate **82** by using imidazole/triphenylphosphine/aldrithiol system afforded the desired imidazolide **83**. Compounds m⁷GDP, m⁷GpCH₂P, or m⁷GpNHp were coupled with P-imidazole **83** in the presence of zinc chloride as a catalyst and DMF as a solvent afforded the corresponding cap analog with unmodified phosphate bridge **84** and cap



Scheme 9. Synthesis of dinucleotide cap analogs containing a triazole moiety attached within the phosphate chain **76a-d**.



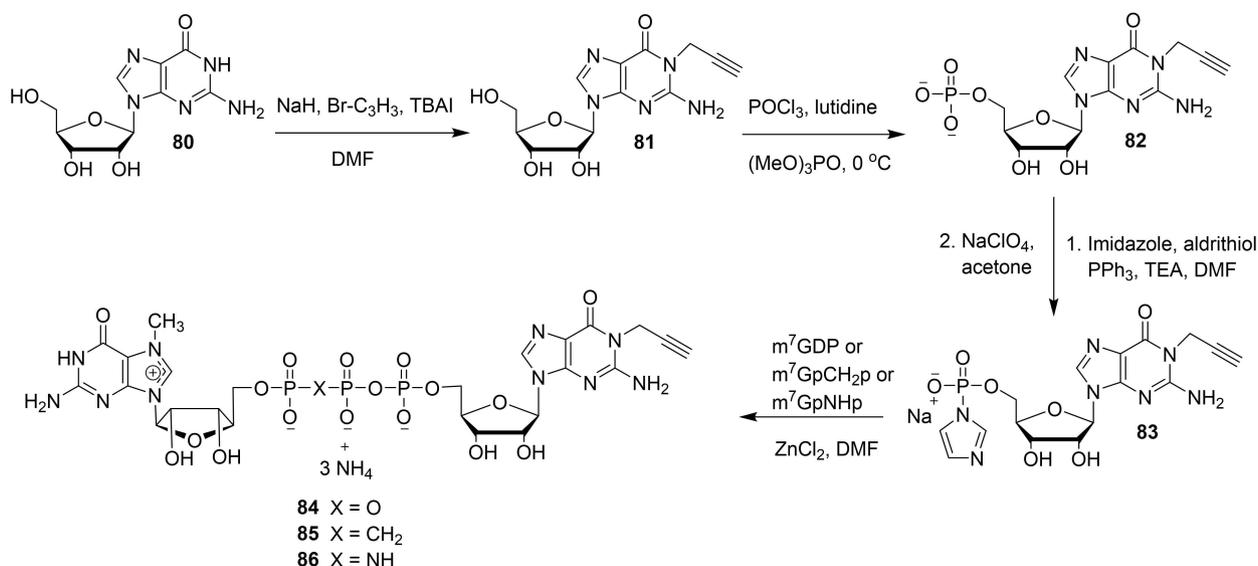
Scheme 10. Synthesis of dinucleotide cap analog containing a triazole moiety directly attached to m^7G **79**.

analogues modified at the β,γ position **85** and **86**, respectively (Scheme 11). Similarly, the coupling reaction of N1-propargylguanosine 5'-*O*-(1,2-methylenephosphate) (**89**) or N1-propargylguanosine 5'-*O*-(1,2-imidodiphosphate) (**90**) with Im- m^7GMP under $ZnCl_2/DMF$ system afforded the corresponding cap analogs modified at the α,β position **87** and **88**, respectively (Scheme 12). Finally, the alkyne group present in the cap analogs were functionalized with various probes by a CuAAC reaction. Treatment of various cap analogs containing a propargyl moiety **84–88** with different fluorescent tags azide or biotin azide in the presence of copper sulfate and sodium acetate to afford the corresponding dinucleotide cap analogs containing a labelled probe **89–97** (Scheme 13).

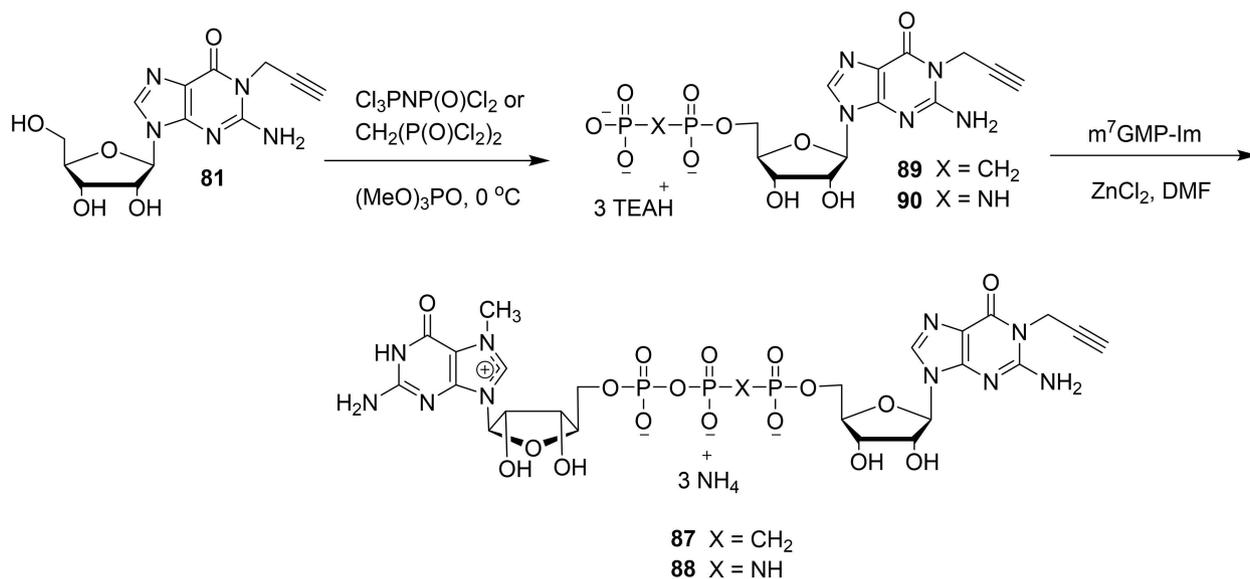
Piecyk and co-workers reported a chemical synthesis of novel double functionalized dinucleotide cap analog containing fluorescence probe and peptide moiety in order to explore the possibility of utilizing the cap analog for labelling purpose.^[40] The coupling reaction of double functionalized

mononucleotide **104** with Im- m^7GDP **103** in the presence of zinc chloride as a catalyst afforded the corresponding double functionalized cap analog **105**. Next, the peptide coupling reaction between **105** with *N*-dansylethylenediamine in the presence of a water-soluble carbodiimide reagent (1-ethyl-3-(3-dimethylaminopropyl) carbodiimide, EDC) furnished the corresponding double functionalized cap analog containing fluorescence probe **106**. Finally, the click reaction between **106** with N_3 -Ala-Ala-Ala in the presence of copper sulphate, sodium ascorbate and [(1-benzyl-1*H*-1,2,3-triazol-4-yl)methyl]amine (TBTA) afforded the corresponding double functionalized dinucleotide cap analog containing fluorescence probe and peptide moiety **107** (Scheme 14).

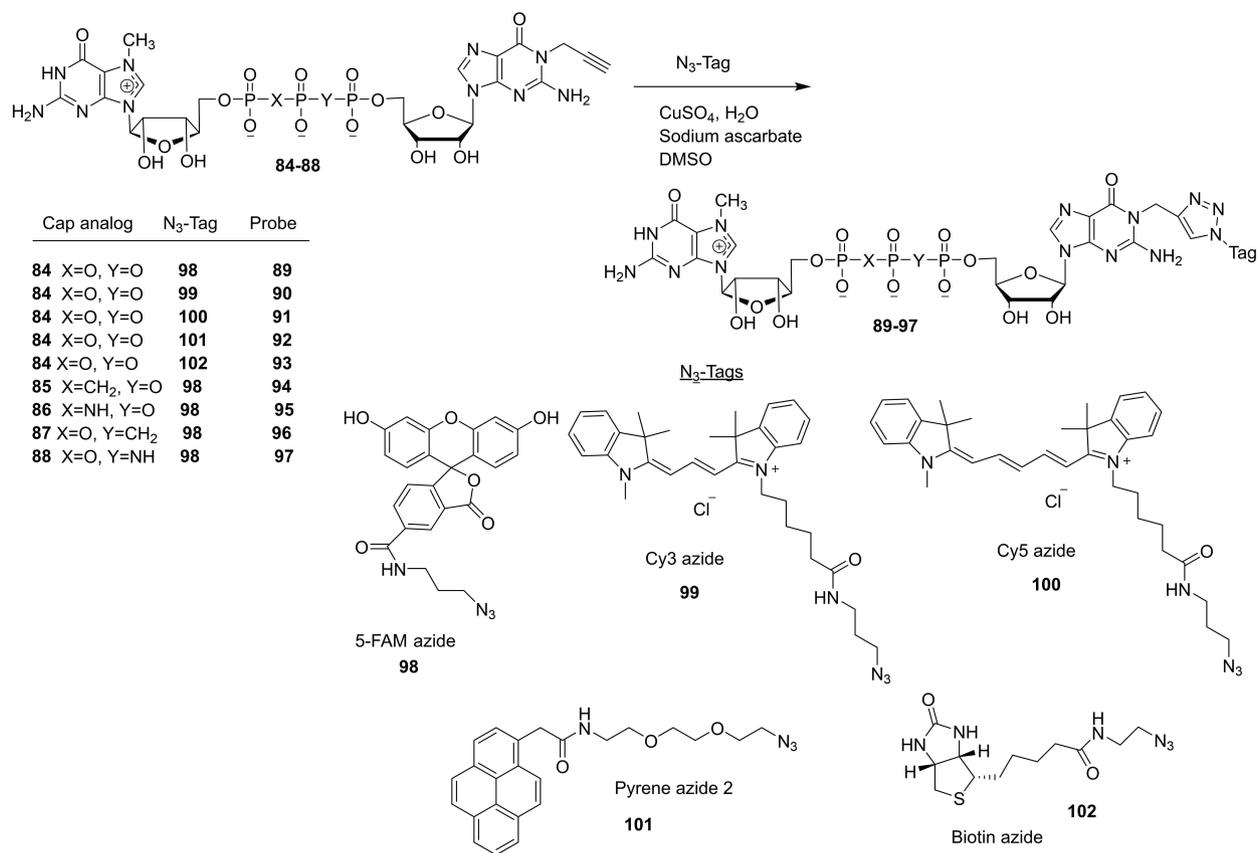
Kocmik *et al.* reported a chemical synthesis of new dinucleotide cap analogs containing N2 modifications on m^7G moiety in order to study its biochemical properties.^[41] The coupling reaction of N7-methyl guanosine monophosphate containing N2 modification **108** with Im-GDP **109** in the



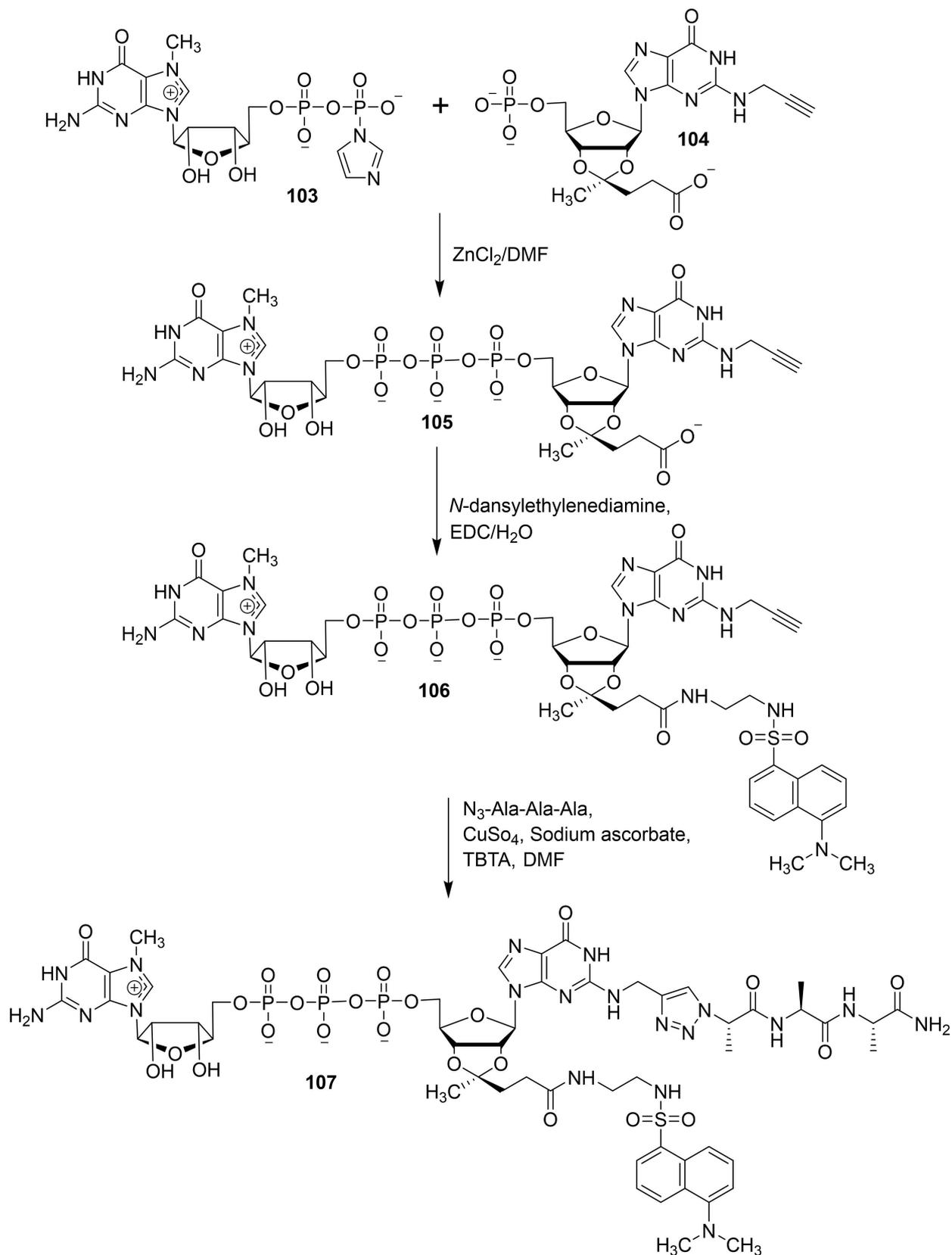
Scheme 11. Synthesis of cap analogs containing a propargyl moiety at N1 position **84–86**.



Scheme 12. Synthesis of cap analogs containing a propargyl moiety at N1 position **87** and **88**.



Scheme 13. Synthesis of N1 functionalized dinucleotide cap analogs **89–97**.



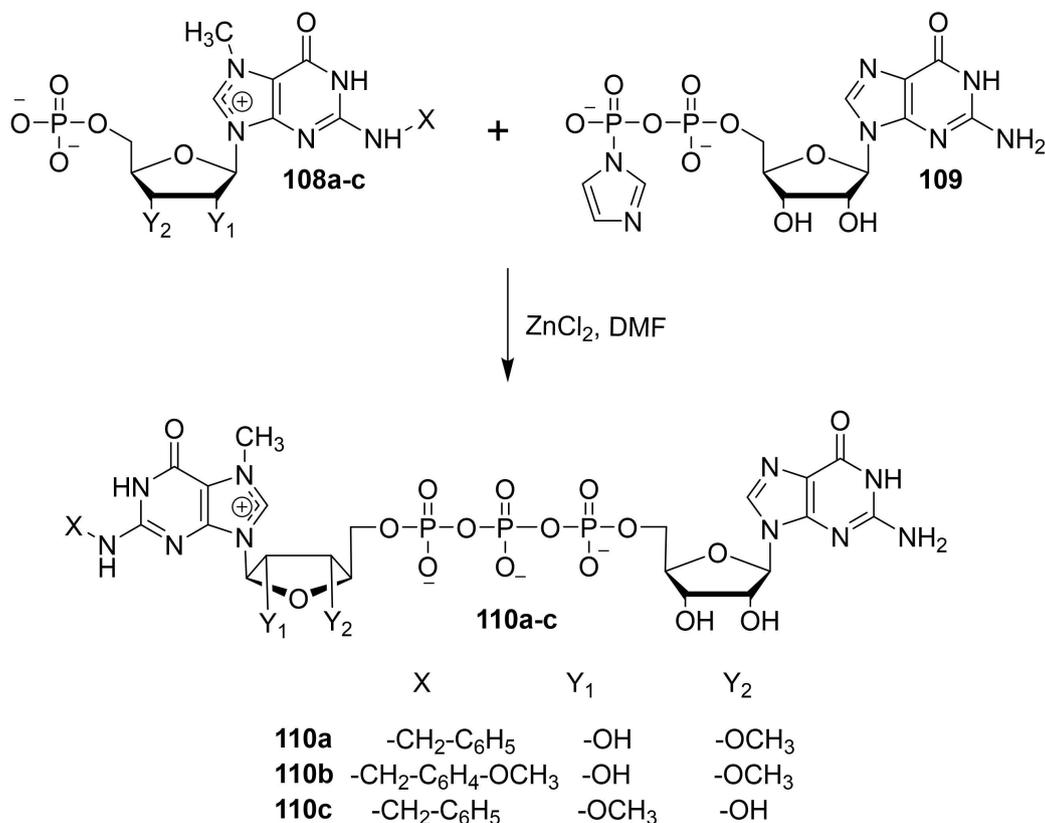
Scheme 14. Synthesis of dinucleotide cap analog containing fluorescence probe and peptide **107**.

presence of zinc chloride as a catalyst furnished the corresponding dinucleotide cap analog containing N2 modification on m⁷G moiety **110** (Scheme 15).

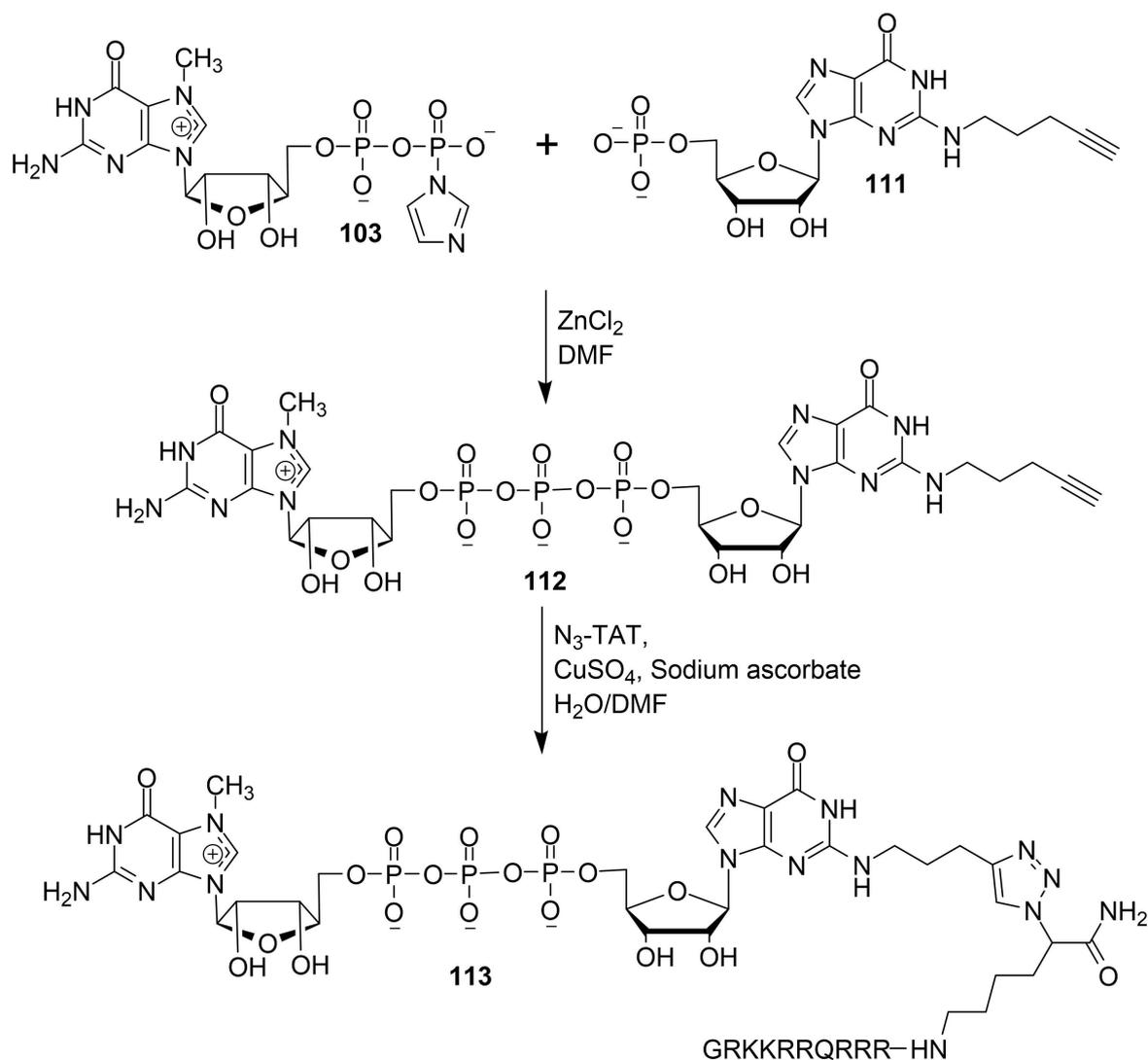
Piecyk and co-workers reported a chemical synthesis of novel dinucleotide cap analog containing transactivator of transcription (TAT) and dinucleotide cap analog containing TAT and dansyl dye in order to study the effect of human immunodeficiency virus 1 (HIV-1) TAT derived peptide.^[42] Treatment of guanosine monophosphate containing N2 modification **111** with Im-m⁷GDP **103** in the presence of zinc chloride as a catalyst afforded the corresponding dinucleotide cap analog containing N2 modification **112**. The reaction between **112** and N₃-TAT under standard click chemistry reaction conditions afforded the corresponding dinucleotide cap analog containing TAT **113** (Scheme 16). Treatment of dinucleotide cap analog containing dansyl dye **106** with N₃-TAT under standard click chemistry reaction conditions furnished the corresponding dinucleotide cap analog containing TAT and dansyl dye **114** (Scheme 17).

2.2. Bacterial Caps

Nicotinamide adenine dinucleotide (NAD) is a coenzyme that consists of two nucleotides joined by pyrophosphate group.^[43,44] NAD-capped RNAs has been termed as bacterial caps that display some structural analogy to the eukaryotic mRNAs containing 7-methylguanosine cap present at the 5'-end.^[45] Although NAD has been known for over 100 years, the molecular biological applications of NAD-capped RNAs has been under-explored area of research. In this context, Mlynarska-Cieslak and co-workers reported the chemical synthesis of a series of NAD cap analogs in order to study the susceptibility of NAD-RNAs with deNADding enzymes.^[46] The required intermediate, imidazole-activated nicotinamide mononucleotide **117a** was obtained in two steps, starting from the commercially available NAD **115**. The hydrolysis of **115** in the presence of aqueous ZrCl₄ afforded nicotinamide riboside (NR) 5'-monophosphate **116**. Activation of **116** using imidazole/aldrithiol/triphenylphosphine system afforded the corresponding *P*-imidazole **117a**. The coupling reaction of adenosine 5'-phosphorothioate (**117b**) with *P*-imidazole **117a** in the presence of ZnCl₂/DMF system afforded the corresponding two stereoisomers of NAD containing α -phosphor-



Scheme 15. Synthesis of new dinucleotide cap analog containing N2 modification **110**.



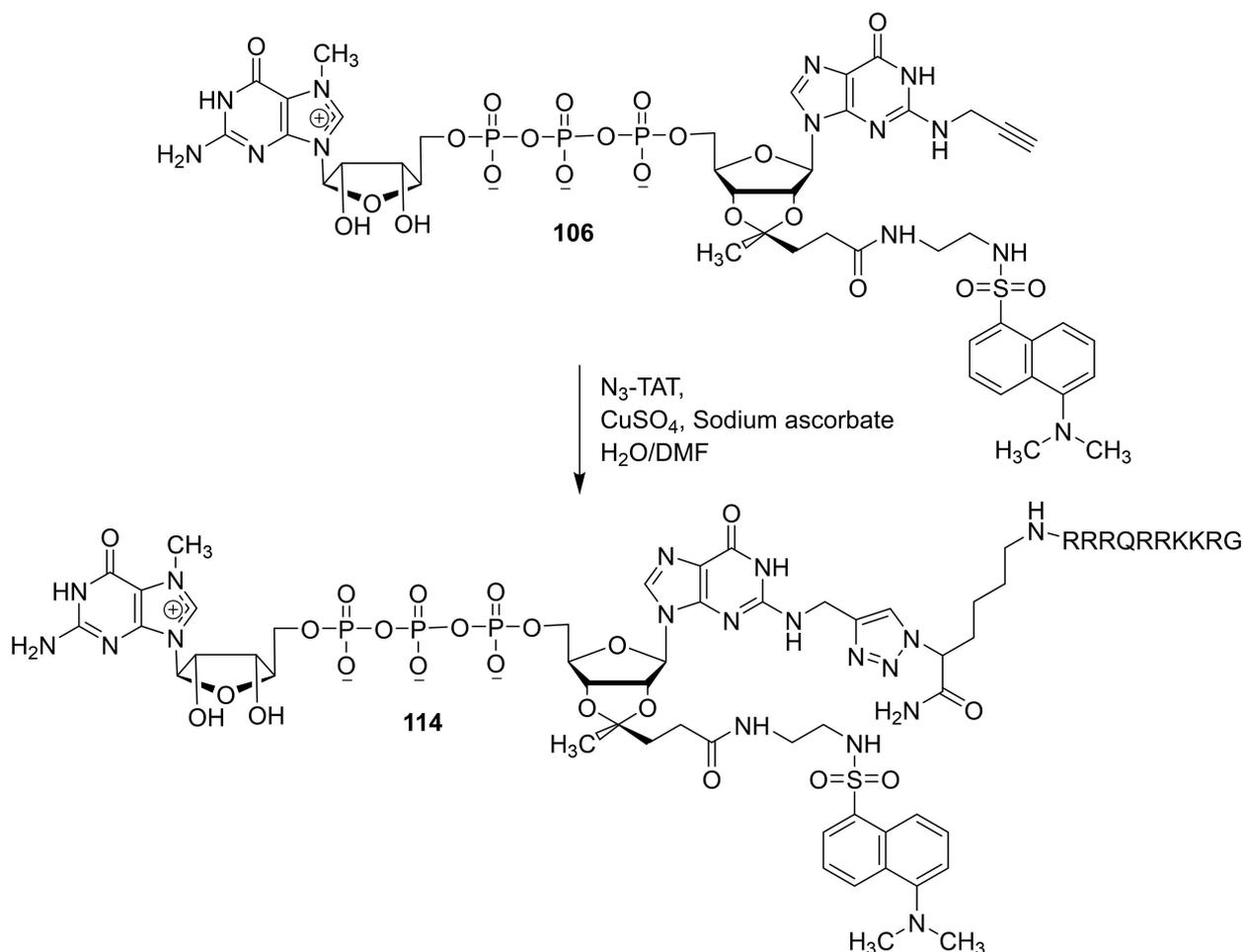
Scheme 16. Synthesis of dinucleotide cap analog containing TAT 113.

othioate (α -PS) moiety **118a** and **118b**. Treatment of adenosine 5'-phosphorothiolate (**117c**) with *P*-imidazole **117a** under standard coupling conditions furnished the corresponding NAD containing 5'-phosphorothiolate (PSL) moiety **119** (Scheme 18). In addition to nicotinamide-containing dinucleotide cap analogs, the same group reported the synthesis of nicotinamide-containing trinucleotide cap analogs (Scheme 19). The desired intermediate, dinucleotide precursor **121–124** was obtained by phosphoramidite solid-phase synthesis using iodine as oxidating reagent and DDTT as sulfurizing reagent. The coupling reaction of **121–124** with *P*-imidazole **117a** under standard coupling conditions afforded the corresponding nicotinamide-containing trinucleotide cap analogs **125–128**, respectively.

2.3. Trinucleotide Cap Analogs

Sikorski and co-workers reported a series of trinucleotide cap analogs and studied protein expression of exogenously delivered mRNA in three different mammalian cell lines and their susceptibility to decapping.^[47] The required starting material, dinucleotide 5'-phosphate **129** (pNpG) was synthesized using solid-supported standard phosphoramidite chemistry. The coupling reaction of various dinucleotide 5'-phosphate **121**, **123**, or **129** with Im-m⁷GDP **103** under traditional ZnCl₂/DMF system afforded the corresponding trinucleotide cap analog **130** (Scheme 20).

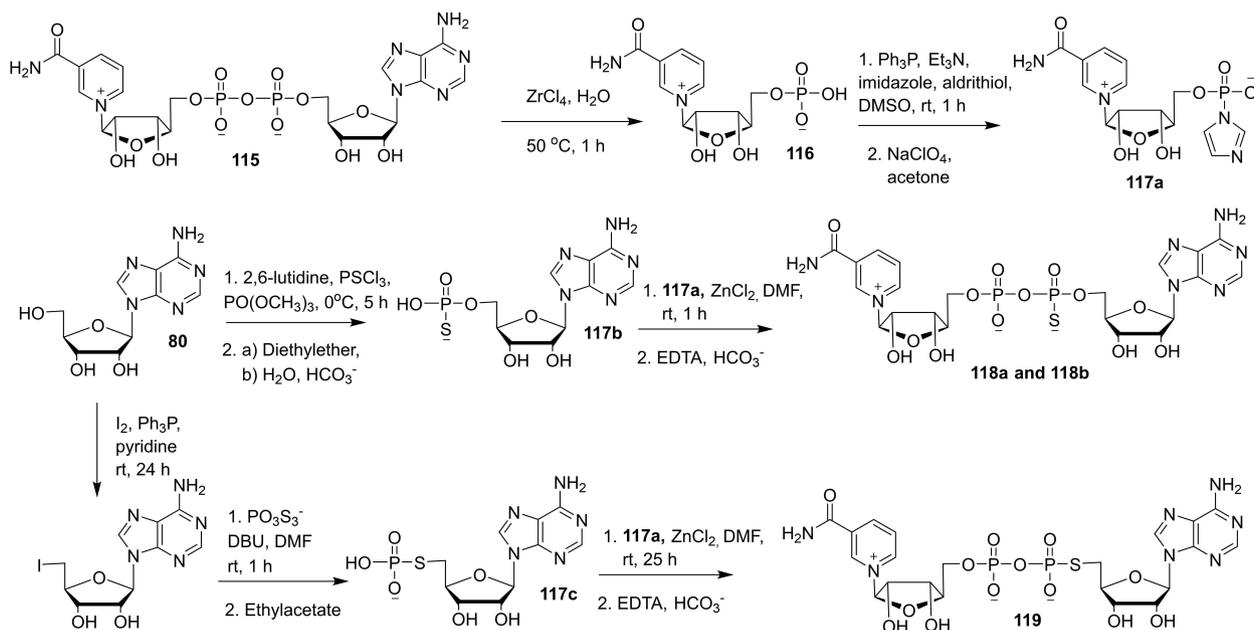
The molecular biological application of locked nucleic acid (LNA) technology serves as a powerful tool in the research areas such as genotyping and antisense and antigene



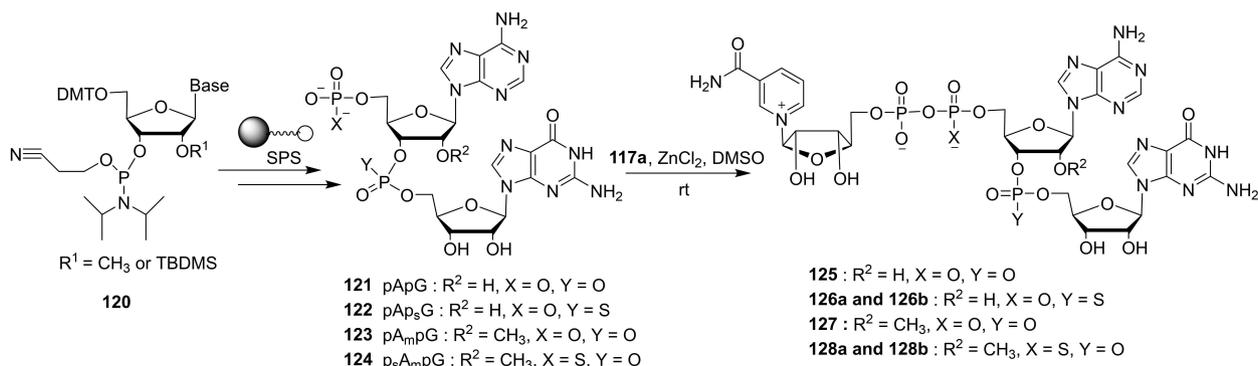
Scheme 17. Synthesis of dinucleotide cap analog containing TAT and dansyl dye **114**.

therapies.^[48] The unique conformationally restricted structural feature provides nuclear resistance, increased thermal stability, hybridization specificity, and sequence stability.^[49] We envisaged that the design of a new trinucleotide cap analog bearing an LNA moiety could act as a potential molecular biology tool in the area of mRNA transfection applications such as anticancer immunization, protein production, and gene therapy and mRNA-based vaccines. In this context, we have reported a synthesis of novel trinucleotide cap analog bearing an LNA moiety and studied its capping efficiency and translational efficiency.^[50] The required intermediate, $m^{7(\text{LNA})}\text{-ImGDP}$ **138** for the synthesis of LNA tricap, $m^{7(\text{LNA})}\text{G-(5')ppp(5')(2'-OMeA)pG}$ **143** was obtained in seven steps, starting from 5'-DMT-*N*-DMF LNA guanosine **131** as depicted in Scheme 21. The detritylation of **131** using 3% trichloroacetic acid in dichloromethane, followed by the deprotection of DMF group using 1:1 mixture of aqueous 40% methylamine and 28% ammonium hydroxide afforded LNA guanosine **133**. The monophosphorylation reaction of

LNA guanosine **133** was achieved by using POCl_3 as a phosphorylating agent and trimethyl phosphate as a solvent that afforded the corresponding LNA GMP **134**. Compound **134** was converted into the corresponding imidazolidine **135** using imidazole, triphenylphosphine, and aldrithiol. Next, the resulting imidazolidine compound **135** was further phosphorylated using $(\text{Bu}_3\text{NH})_3\text{PO}_4$ in the presence of zinc chloride as a catalyst that afforded the corresponding LNA GDP **136**. Notably, the methylation of LNA GDP **136** using dimethyl sulfate as a methylating agent under acidic conditions is highly regioselective, affording $m^{7(\text{LNA})}\text{GDP}$ **137** as the sole product. The required intermediate, $m^{7(\text{LNA})}\text{ImGDP}$ **138** was finally obtained by the imidazolidine reaction of **137** with imidazole, triphenylphosphine, and aldrithiol. Another intermediate, $p_{(2'-\text{OMeA})}\text{pG}$ **123** for the synthesis of LNA tricap, $m^{7(\text{LNA})}\text{G-(5')ppp(5')(2'-OMeA)pG}$ **143** was obtained in three steps as depicted in Scheme 22. Treatment of MMT-2'-*O*-methyl adenosine (*n*-bz) CED phosphoramidite (**139**) with 2',3'-diacetyl guanosine (*n*-ibu) (**140**) in the presence of tetrazole in



Scheme 18. Synthesis of nicotinamide-containing dinucleotide cap analogs **118** and **119**.



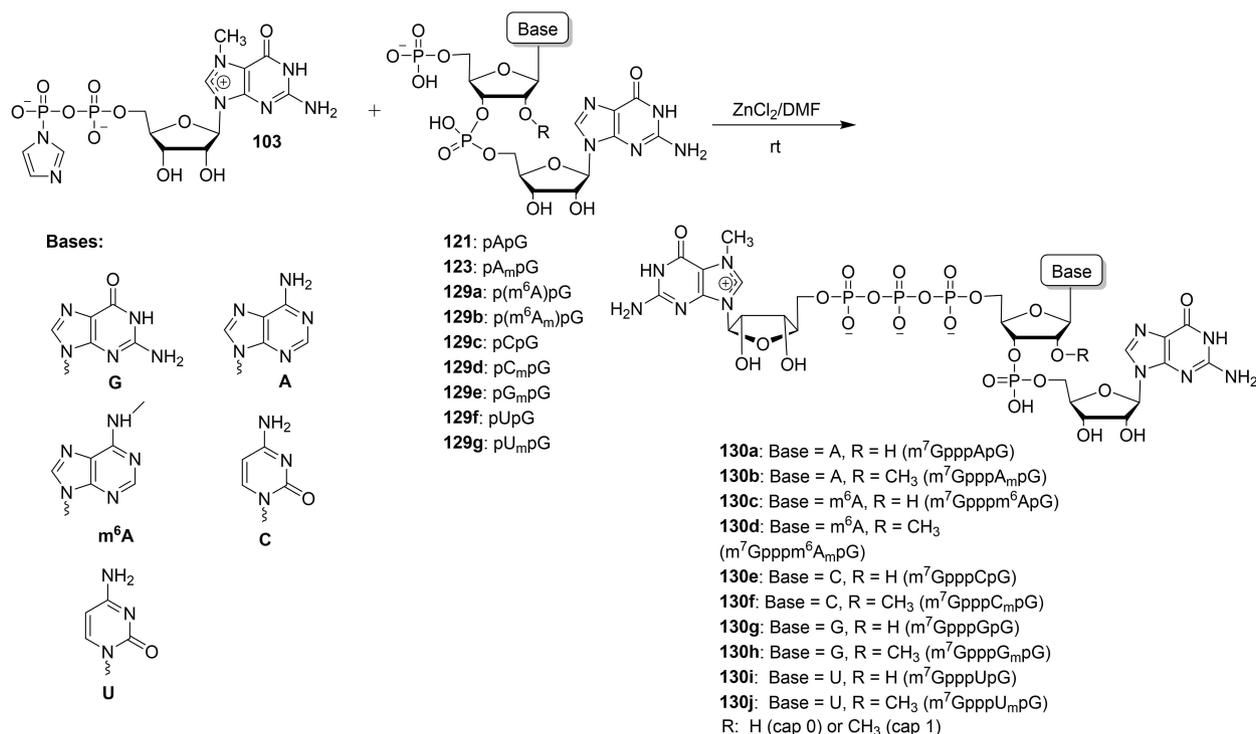
Scheme 19. Synthesis of nicotinamide-containing trinucleotide cap analogs **125–128**.

acetonitrile as an activator, followed by the oxidation using iodine/pyridine mixture afforded the corresponding protected (*2'*-OMeA)pG **141**. Deprotection of MMT group in **141** was achieved by the use of 80% acetic acid to afford **142**. Finally, the 5'-hydroxy group of the adenosine group in **142** was phosphorylated by the use of an activated bis(2-cyanoethyl)-*N,N*-diisopropyl phosphoramidite using tetrazole, followed by the oxidation of trivalent phosphorous using iodine and pyridine and subsequent deprotection using ammonium hydroxide afforded p(*2'*-OMeA)pG **123**. The reaction pathway leading to the formation of desired **143** for the molecular biological applications is presented in scheme 23. The coupling reaction of p(*2'*-OMeA)pG **123** with $m^{7(\text{LNA})}\text{ImGDP}$ **138** in the

presence of zinc chloride as a catalyst and DMF as a solvent provided $m^{7(\text{LNA})}\text{G}(5')\text{ppp}(5')(\text{2'-OMeA})\text{pG}$ **143**.

3. Biological Applications of Cap Analogs

The capping efficiency assay provides a powerful tool to determine whether the newly synthesized cap is a substrate for RNA polymerases such as T7, SP3, and SP6 or not.^[22f] Furthermore, this assay helps to determine the nature of the orientation as evidenced by the HPLC analysis of the RNA transcription mixture containing short oligonucleotides.^[13d] The chemical modifications of cap analogs influence the outcome of the capping efficiency. In addition, factors such



Scheme 20. Synthesis of trinucleotide cap analogs **130a–j**.

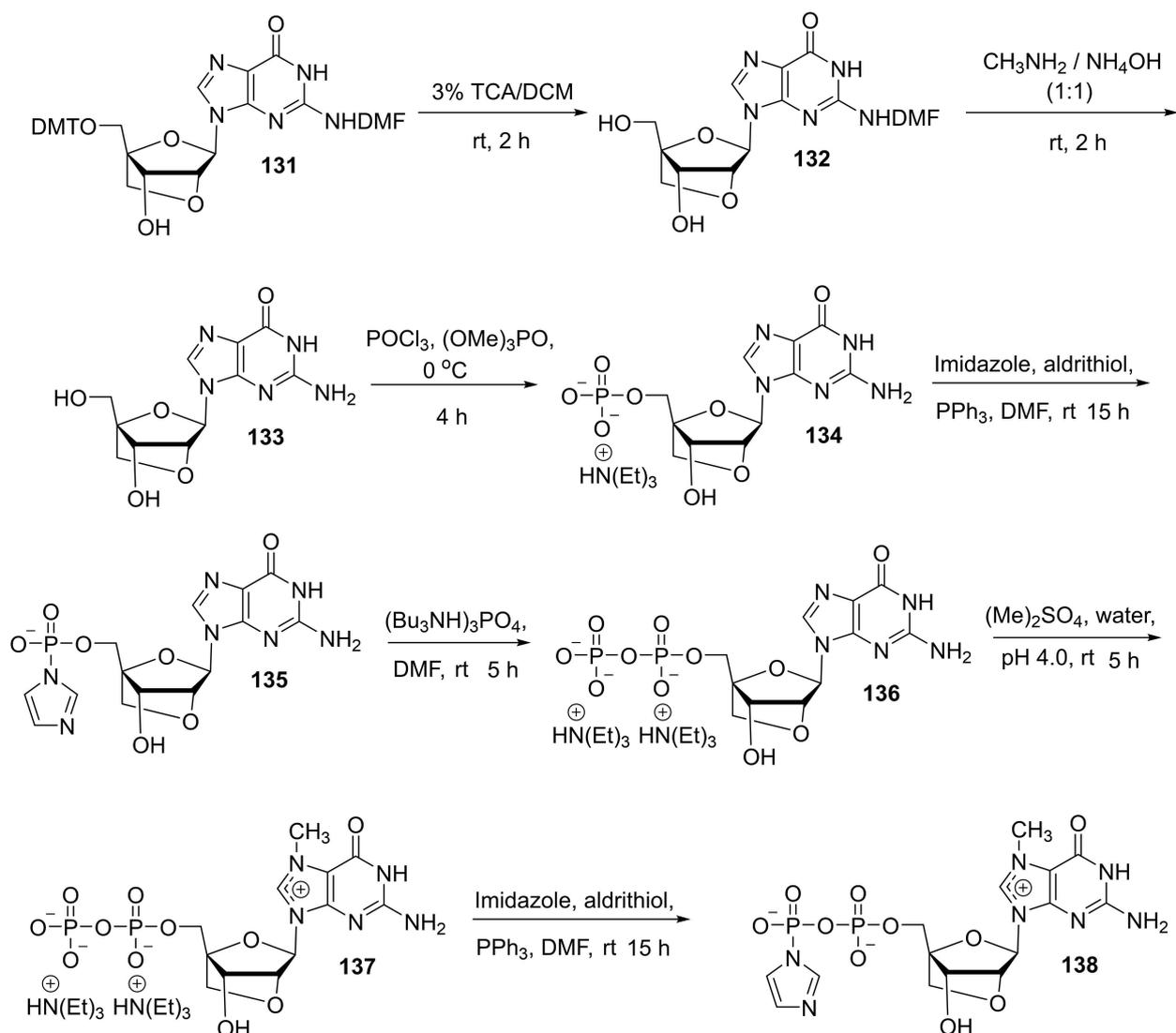
as ionic strength and ratio of cap analog to nucleotide mixture determine the capping efficiency of cap analogs.

It has been revealed in the literature that eIF4E protein serves as a powerful weapon for anticancer directed therapy.^[4] The cap-dependent translation is the major contributing causes to tumorigenesis. The binding of the 5' terminal mRNA cap structure with eIF4E is the rate limiting step of cap-dependent translation and the concentration of eIF4E influences the regulatory nexus of translation. The proper design of new chemically modified synthetic cap analog can be utilized as an inhibitor that binds with the elevated eIF4E levels in tumor cells and block the function of eIF4E.

The stability against nuclease plays an important role for the positive therapeutic application based on nucleotide drug. The 5'-terminal cap structure provides a powerful strategy to enhance nuclease stability and protect cytoplasmic mRNAs. However, several decapping enzymes are involved to remove the cap structure from RNA that results to disease development.^[51,52] Consequently, it is vital to selectively regulate the mRNA gene expressions that remain to be challenging clinical problems. There are two major mRNA decay pathways that are involved in eukaryotic cells.^[53] First, initiation of shortening of the 3' poly(A) tail by the PAN2/3 and CCR-NOT complexes. Second, mRNA undergoes degradation by 5'→3' hydrolysis due to exonuclease and 3'→5' hydrolysis due to exosome. In eukaryotic cells, DcpS

belongs to the HIT family and Dcp1-Dcp2 complex belongs to the Nudix family which are the two types of decapping enzymes. The biochemical degradation studies of capped mRNA by scavenger decapping enzyme, DcpS, results in the formation of m⁷GMP suggesting that the site of cleavage is between the β- and γ-phosphate bond of the cap moiety. In the case of Dcp1-Dcp2 complex, capped mRNA results in the formation of m⁷GDP and 5'-monophosphate RNA that suggests cleavage is happened between the α- and β-phosphate bond of the cap moiety. (Figure 2). The chemically modified mRNA cap analogs play a major role to provide resistance to hydrolysis by decapping enzymes that supports to prevent mRNA against degradation and enhance protein production.

The biochemical properties such as capping efficiency, translation efficiency, and susceptibility to decapping of 5'-capped with analogs **15–17** are summarized in Table 1.^[55] The capping efficiency for new analogs **15–17** ranges from 72 to 85 %, indicating that these analogs are good substrates for SP6 RNA polymerase (entries 4–9). These analogs display slightly lower efficiency than reference cap analogs (entries 1 and 3). The mRNAs capped with analogs **17a–d** are translated up to 7.5-fold higher than those for mRNAs capped with standard cap and up to 4-fold higher than those capped with ARCA (entries 1, 2, and 6–9). The highest translational efficiency is observed for cap analog, 2'-N₃-



Scheme 21. Synthesis of $m^7(\text{LNA})\text{ImGDP}$ 138.

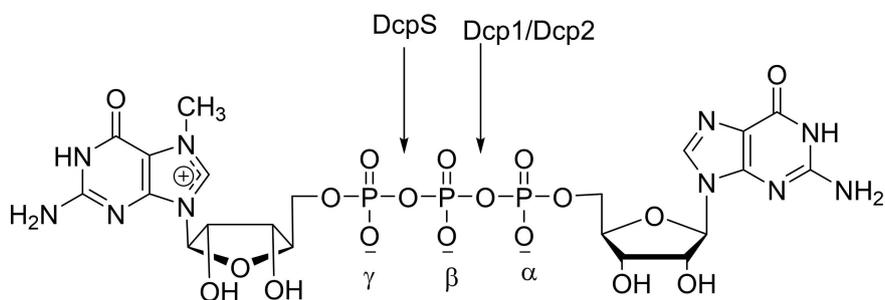
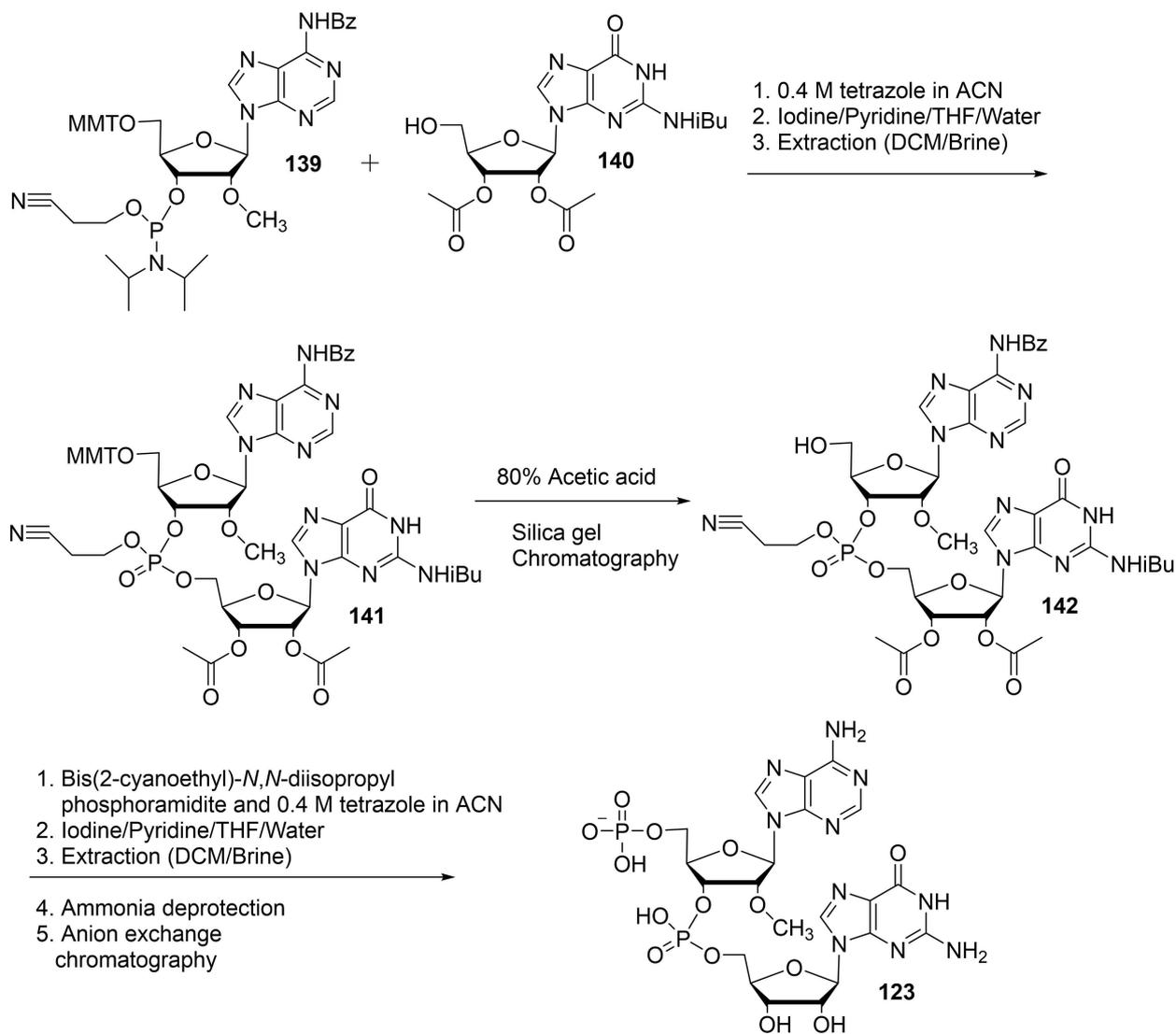


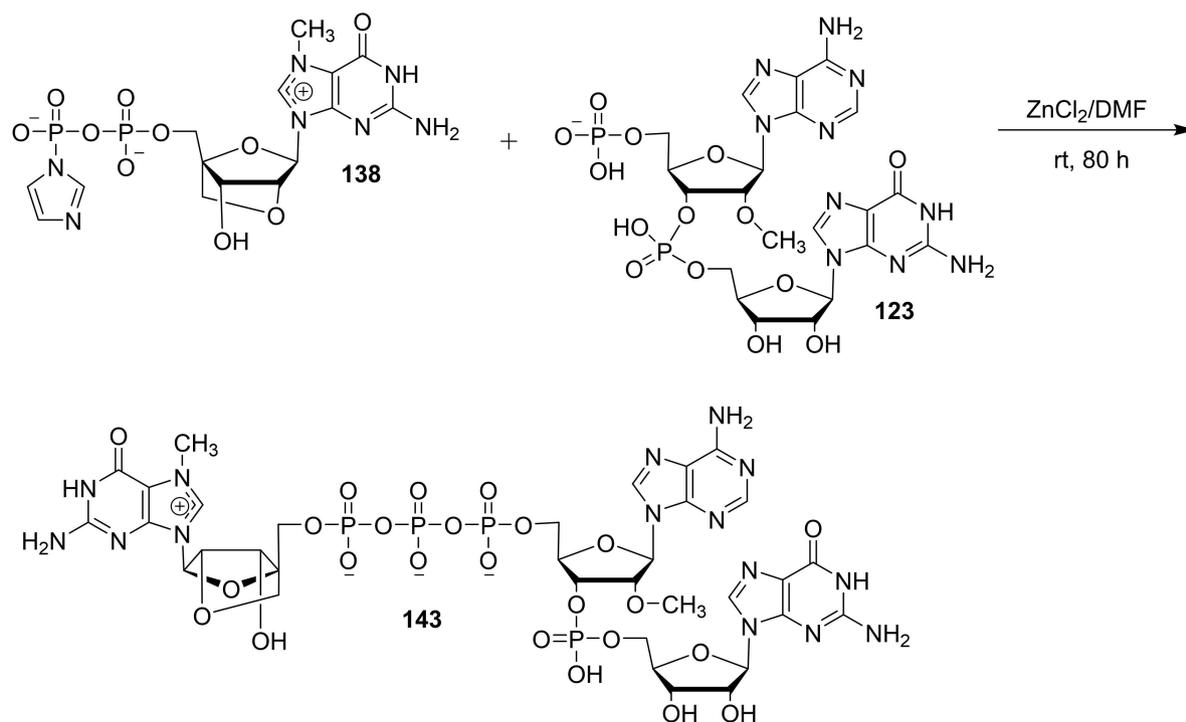
Figure 2. Cap analog structure showing $\alpha/\beta/\gamma$ position and cleavage site.

$m^7\text{Gpp}_3\text{pG}$ D1 17a (entry 6). The replacement of oxygen to sulphur at β -position in the triphosphate bridge provides up

to 2.3-fold higher translational efficiency as compared to the parent compound (entries 4–9). These data are in agreement

Scheme 22. Synthesis of $p(2\text{-OMeA})pG$ **123**.Table 1. Biochemical properties of RNAs 5'-capped with cap analogs **15**–**17**.

Entry	Compound	Capping efficiency (%)	Translation efficiency	Susceptibility to decapping
1	m^7GpppG	91	1.0 ± 0.0	74.2 ± 1.9
2	$m_2^{7,3'-O}GpppG$	n.d.	1.8 ± 0.3	n.d.
3	$m_2^{7,2'-O}GpppG$ D2	97	5.3 ± 0.2	8.6 ± 3.0
4	2'- N_3 - m^7GpppG 15	85	3.7 ± 0.7	48.6 ± 1.7
5	2'- N_3 - $m^7GppppG$ 16	72	3.3 ± 0.8	44.3 ± 1.9
6	2'- N_3 - m^7GpppG D1 17a	80	7.5 ± 2.0	15.1 ± 0.5
7	2'- N_3 - m^7GpppG D2 17b	77	6.9 ± 1.4	3.3 ± 1.3
8	3'- N_3 - m^7GpppG D1 17c	82	5.9 ± 0.9	13.3 ± 2.6
9	3'- N_3 - m^7GpppG D2 17d	78	6.8 ± 1.3	10.7 ± 5.6



Scheme 23. Synthesis of LNA trinucleotide cap analog **143**.

with the previously reported increased translation efficiency provided by the β -phosphorothioate group.^[14] The β -phosphorothioate modification influences the susceptibility of RNA to decapping that provides stabilization of the transcripts which is comparable to that of $m_2^{7,2'-O}Gpp_3pG$ D2-capped RNA (Table 1, entries 3 and 6–9).

The susceptibility of the 5'-phosphorothioate cap analogs to hydrolysis by the human DcpS enzyme was determined and the results are compiled in Table 2.^[36] The cap analogs containing α -PSL modification undergoes hydrolysis by DcpS (entries 2, 3, 8, 11, and 12). It is noteworthy that the cap analogs containing γ -PSL modification are resistant to hydrolysis by DcpS (entries 4–7, 9, 10, and 13–16). It appears that the replacement of 5'-O next to the m^7G moiety with 5'-S protects the cap structure efficiently and prevents from mRNA degradation. These results are in similar agreement with the previously reported for O- to CH_2 substitution at the β - γ bridging position and several other modifications within the β - or γ -phosphate.^[54,55] It is interesting to compare the results between susceptibility of hDcpS data with translational property data. The mRNAs capped with analogs **40** and **45** in HeLa cells provide 1.1-fold and 1.4-fold higher translational efficiency, respectively as compared to ARCA.^[36] Although mRNAs with analog **40** at their 5'-end is more stable against hDcpS than mRNAs with analog **45**, the translational efficiency of analog **40** (2.07 ± 0.19) is lower than translational

Table 2. Susceptibility to hDcpS hydrolysis of 5'-phosphorothioate cap analogs.

Entry	Compound	Susceptibility to DcpS
1	m^7Gpp	resistant
2	m^7GppSG 30	hydrolyzed
3	$m^7GpppSG$ 31	hydrolyzed
4	m^7GSppG 37	resistant
5	$m^7GSpppG$ 38	resistant
6	$m^7GSppCH_2pG$ 39	resistant
7	$m_2^{7,2'-O}GSpppG$ 40	resistant
8	$m_2^{7,2'-O}GpppSG$ 45	hydrolyzed
9	m^7GpCH_2ppSG 46	resistant
10	$m^7GSpppSG$ 47	resistant
11	m^7Gpp_pSG D1 48	hydrolyzed
12	m^7Gpp_pSG D2 51	hydrolyzed
13	m^7GSpp_pG D1 52	resistant
14	m^7GSpp_pG D2 53	resistant
15	m^7GSpp_pSG D1 54	resistant
16	m^7GSpp_pSG D2 55	resistant

efficiency of **45** (2.75 ± 0.29). These results indicate that susceptibility to hDcpS hydrolysis of 5'-cap analogs data does not translate into translational efficiency data. Notably, the inhibitory property of cap analog m^7GSpp_pSG D2 **55** against endogenous hDcpS activity ($EC_{50} = 0.70 \pm 0.09$ nM) is 10-

Table 3. Biochemical properties of RNAs 5'-capped with analogs **66–70**.

Entry	Compound	Capping efficiency (%)	Translation efficiency	Susceptibility to hDcp2 decapping
1	m ⁷ GpppG	76	1	fast degradation
2	m ₂ ^{7,2'-O} GpppG	69	1.56 ± 0.48	fast degradation
3	ApppG	43	0.03 ± 0.01	resistant
4	m ⁷ _{2'-N-Biot} GpppG 66	61	0.68 ± 0.16	slow degradation
5	m ⁷ _{2'-N-Biot} GpNHppG 67	64	0.37 ± 0.12	slow degradation
6	m ⁷ _{2'-N-Biot} GpppG 68	61	0.93 ± 0.14	partially resistant
7	m ⁷ _{2'-N-Biot} GppNHpG 69	39	0.11 ± 0.04	resistant
8	m ⁷ _{2'-N-Biot} GppCH ₂ pG 70	47	0.28 ± 0.08	resistant

fold more than clinically tested RG3039 (EC₅₀ = 6.82 ± 1.08 nM).^[36]

The biochemical properties such as capping efficiency, translation efficiency, and susceptibility to decapping of 5'-capped with analogs **66–70** are compiled in Table 3.^[37] The capping efficiency for new biotinylated cap analogs **66–70** are ranged from 39 to 64%, indicating that these analogs are substrates for SP6 RNA polymerase (entries 4–8). The mRNAs capped with biotinylated cap analogs **66–70** result in comparable or lower translational efficiency than standard cap analog (entries 1 and 4–8). These data indicate that the presence of 2'-substituted biotin moiety in cap analog negatively influence the overall translational efficiency of capped mRNA. In order to study the susceptibility of biotin-labelled cap analogs, short RNAs were subjected to enzymatic degradation by hDcp2. It is noteworthy that phosphate modified cap analogs such as imidodiphosphate **69** and methylenebis(phosphonate) **70** introduced at α,β- position of triphosphate provide resistance to mRNA degradation, whereas imidodiphosphate introduced at β,γ-position of triphosphate **67** undergoes slow mRNA degradation (entries 5, 7 and 8). The β-S-modified cap **68** provides partial resistant to mRNA degradation (entry 6). The binding affinity constants (K_{AS}) of biotin-labelled cap analogs **66–70** for eIF4E are comparable to that of the standard cap analog, indicating that biotin moiety's presence does not impact cap-eIF4E interaction to a considerable degree and the specificity of binding is maintained.

The biochemical properties such as capping efficiency, translation efficiency, and binding affinity to eIF4E with analogs **76** and **79** are summarized in Table 4.^[38] The capping efficiency for new analogs **76a–d** are ranged from 30 to 42%, indicating that cap analogs containing a triazole moiety located between phosphate chains are not efficiently recognized by RNA polymerase (entries 4–7). The capping efficiency of compound **79** provides 77% efficiency (entry 8). These results show that cap analog containing a triazole moiety directly attached to the sugar is more efficiently recognized by RNA polymerase than cap analog containing a triazole moiety

Table 4. Biochemical properties of phosphotriazole cap analogs **76** and **79**.

Entry	Compound	Capping efficiency (%)	Translation efficiency	K _{AS} eIF4E [μM ⁻¹]
1	GpppG	93	0.056	n.d
2	m ⁷ GpppG	87	1.0	13.7
3	m ₂ ^{7,3'-O} GpppG	79	1.5	10.2
4	76a	38	2.2	82.9
5	76b	42	2.8	49.9
6	76c	30	1.7	87.8
7	76d	38	1.7	24.1
8	79	77	0.15	8.6

located between phosphate chains (entries 4–8). The mRNAs capped with analogs **76a–d** provide higher translational efficiency up to 2.8-fold as compared to standard cap analog (entries 2 and 4–7), but mRNA capped with analog **79** provide 6.7-fold lower translational efficiency as compared to standard cap analog (entries 2 and 8). It seems that a triazole moiety directly attached to m⁷G in cap analog provides unfavorable effect on the overall translational efficiencies (entry 8). In contrast, a triazole moiety located between two phosphate chains does not affect the translational data (entries 4–7). The K_{AS} value for cap analogs containing a triazole moiety located between the phosphate chains **76a–d** provides up to 6.7-fold higher values as compared to standard cap analog, whereas cap analog containing a triazole moiety attached directly to m⁷G gives slightly lower than the standard cap analog (entries 2 and 4–8).

In order to study the binding effect of substitution at N1 position of cap with eIF4E, the binding constant values (K_D) for the cap analog **84–88** – eIF4E complexes were determined.^[39] The data show that cap analogs **84–88** have binding affinities comparable to that of the standard cap analog. It seems that the propargyl moiety attached to the N1 position of guanosine does not have any major impact on the interaction with eIF4E. Under standard conditions, cap analogs modified within the phosphate bridge **85–88** are resistant to hDcpS. It is interesting to note that fluorescent

probes **94** and **95** display high affinity ligands for DcpS in which probe **95** ($K_D = 14$ nM) has 2.5-fold greater affinity for DcpS than probe **94** ($K_D = 38$ nM).^[39] The capping efficiency of various cap analogs containing a triazole moiety **1–10** show lower capping efficiency as compared to standard cap analog.^[34] The mRNAs capped with cap analogs **1–9** result in lower translational efficiency (0.06 to 0.5) as compared to standard cap (1.0), whereas mRNA capped with cap analog **9** provides comparable translational efficiency (0.89 ± 0.11) to that of standard cap (1.0).^[34]

Table 5 summarizes the translational properties of differently capped mRNA in rabbit reticulocyte lysate (RRL) and human embryonic kidney derived (HEK293) cell lines and also decapping by Dcp1/Dcp2 complex.^[41] The mRNAs capped with analogs **110a**, **110b** in RRL cell line provide lower translational efficiency as compared to ARCA cap analogs (entries 2–5). The highest translational efficiency of 1.72 is observed for cap analog, $\text{bn}^2\text{m}_2^{7,2'-\text{O}}\text{GpppG}$ **110c** in RRL cell line (entry 6). The mRNAs capped with analogs **110a–c** in HEK293 cell line provide up to 2.2-fold higher translational efficiency as compared to ARCA cap analog (entries 3–6). The decapping results show that the presence of

N2 modification within guanine of **110a–c** decreases stability as compared to ARCA analog (entries 3–6).

The ability of cap analogs containing TAT to inhibit cap-dependent translation was determined by the in vitro translation of capped-Renilla luciferase mRNA. Both compounds **113** and **114** inhibit in vitro cap-dependent translation that strongly indicates the presence of peptide moiety attached to the cap analog does not impact the inhibitory properties. It is noteworthy that the cap analog containing fluorescence probe and TAT **114** translocate into the human breast adenocarcinoma cancer cell line MCF-7.^[42]

In a series of nicotinamide-containing cap analogs, all cap analogs **117–119** and **125–128** undergo incorporation into RNA to generate the corresponding modified NAD-capped RNAs.^[46] Remarkably, mRNAs capped with nicotinamide-containing trinucleotide cap analogs **128a** and **128b** are resistant to deNADing enzymes such as NudC, Nudt12, and DXO.^[46]

Table 6 summarizes the biochemical properties such as capping efficiency, translational efficiency, binding affinity to eIF4E, and susceptibility to hDcp2 for various trinucleotide cap analogs **130a–j**.^[47] The capping efficiency of cap analogs containing a purine nucleotide at the position of the first

Table 5. Biochemical properties of dinucleotide cap analogs **110a–c**.

Entry	Compound	Relative translation efficiency (RRL)	Relative total protein expression (HEK293)	Decapping %	
				5 min.	30 min.
1	m^7GpppG	1	1	n.d.	n.d.
2	$\text{m}_2^{7,2'-\text{O}}\text{GpppG}$	1.59 ± 0.18	n.d.	n.d.	n.d.
3	$\text{m}_2^{7,3'-\text{O}}\text{GpppG}$	1.56 ± 0.03	1.46 ± 0.50	13.7 ± 20.0	48.2 ± 7.5
4	110a	1.39 ± 0.19	3.30 ± 0.86	53.4 ± 20.0	62.5 ± 1.15
5	110b	1.29 ± 0.17	2.42 ± 0.30	35.4 ± 10.8	46.3 ± 18.6
6	110c	1.72 ± 0.28	2.87 ± 0.50	65.8 ± 7.0	72.7 ± 4.60

Table 6. Biochemical properties of trinucleotide cap analogs **130a–j**.

Entry	Compound	Capping (%)	3T3-L1	HeLa	JAWS II	K_D eIF4E	Susceptibility to hDcp2
1	m^7GpppA	n.d.	n.d.	n.d.	n.d.	178	n.d.
2	$\text{m}^7\text{GpppApG}$ 130a	89	1.00	1.00	1.00	26	0.48
3	$\text{m}^7\text{GpppA}_m\text{pG}$ 130b	90	1.01	1.21	5.01	45.6	0.45
4	$\text{m}^7\text{Gppp}^{\text{m}6}\text{ApG}$ 130c	78	0.45	0.90	0.90	35.2	0.46
5	$\text{m}^7\text{Gppp}^{\text{m}6}\text{A}_m\text{pG}$ 130d	77	1.04	1.56	7.39	32.8	0.43
6	m^7GpppC	n.d.	n.d.	n.d.	n.d.	324	n.d.
7	$\text{m}^7\text{GpppCpG}$ 130e	60	0.65	0.73	0.86	25.1	0.22
8	$\text{m}^7\text{GpppC}_m\text{pG}$ 130f	54	0.66	0.97	2.42	29.9	0.20
9	m^7GpppG	69	0.46	n.d.	0.18	80.0	n.d.
10	ARCA	56	n.d.	n.d.	n.d.	98.0	n.d.
11	$\text{m}^7\text{GpppGpG}$ 130g	80	0.33	0.34	0.05	22.7	0.10
12	$\text{m}^7\text{GpppG}_m\text{pG}$ 130h	86	0.24	0.41	0.12	22.8	0.08
13	$\text{m}^7\text{GpppUpG}$ 130i	56	0.70	0.45	1.01	n.d.	0.30
14	$\text{m}^7\text{GpppU}_m\text{pG}$ 130j	56	0.70	0.91	3.47	n.d.	0.32

transcribed nucleotide is higher than that of the standard cap and ARCA (entries 2–5 and 9–12). The highest capping efficiency of 90% is observed for $m^7GpppA_m pG$ **130b** (entry 3). The presence of the 2'-OMe group at the first transcribed nucleotide does not have any influence on the capping efficiency as compared to the parent unmethylated first transcribed nucleotide (entries 2–5, 7, 8, and 11–14). The capping efficiency of cap analogs containing a pyrimidine nucleotide at the position of the first transcribed nucleotide is comparable to that of the standard cap and ARCA (entries 7–10, 13, and 14). The capping efficiency of cap analogs containing a purine nucleotide at the position of the first transcribed nucleotide provides higher capping efficiency than cap analogs containing a pyrimidine nucleotide at the position of the first transcribed nucleotide (entries 2–8 and 11–14). The nature of the base at the first transcribed nucleotide plays an important role on the outcome of the translational efficiency. The presence of adenine at the first transcribed nucleotide provides the highest translational efficiency as compared to other bases such as cytosine, uracil, and guanine (entries 2–5). The presence of guanine at the first transcribed nucleotide provides the lowest translational efficiency (entries 11 and 12). The translational efficiency is in the order of $A > C > U > G$. It is interesting to compare the effect of 2'-OMe group of the mRNA transcripts with different cell lines such as JAWS II (mouse immortalized immature dendritic cells), 3T3-L1 (mouse embryonic cells) and HeLa (cervical cancer). The presence of 2'-OMe group at the first transcribed mRNA in a dendritic cell provides up to seven-fold higher transla-

tional efficiency as compared to the unmethylated one (entries 2–5, 7, and 8). The presence of the 2'-OMe group at the first transcribed mRNA in 3T3-L1 provides comparable translational efficiency to that of the unmethylated ones (entries 2, 3, 7, and 8). The presence of the 2'-OMe group at the first transcribed mRNA in HeLa cell lines provides slightly higher translational efficiency to that of the unmethylated ones (entries 2, 3, 7, and 8). The highest translational efficiency is observed for $m^7Gppp^{m6}A_m pG$ **130d** that is 7.39-fold higher translational efficiency as compared to unmethylated one, $m^7GpppApG$ **130a** (entries 2 and 5). Given the binding affinities and susceptibility to decapping by hDcp2 data, it appears that the presence of the 2'-OMe group at the first transcribed mRNA does not influence cap-eIF4E interaction and susceptibility to decapping as compared to the unmethylated cap analog (entries 1–14).

The capping efficiency of new LNA tricap **143** was performed in an *in vitro* transcription system by using an IVT template encoding GFP to produce transcripts of ~1000 nucleotides in length with a synthetic 5'-UTR, a mouse alpha globin 3'-UTR, and a 120 nucleotide poly(A) tail.^[50] The outcome of the assay reveals that LNA cap **143** has a capping efficiency of 53%, indicating this LNA tricap is a good substrate for T7 RNA polymerase. Next, we determined the translational efficiency of the different caps in JAWS II cells that has been used as a model for antitumor or pathogen-specific immunity studies. The translational efficiency data of LNA tricap **143**, standard trinucleotide cap analogue (GAG), $m^7G(5')ppp(5')A_m pG$ and anti-reverse cap analogue (ARCA), $m_2^{7,3'-O}G(5')ppp(5')G$ are compiled in Figure 3. Notably, the

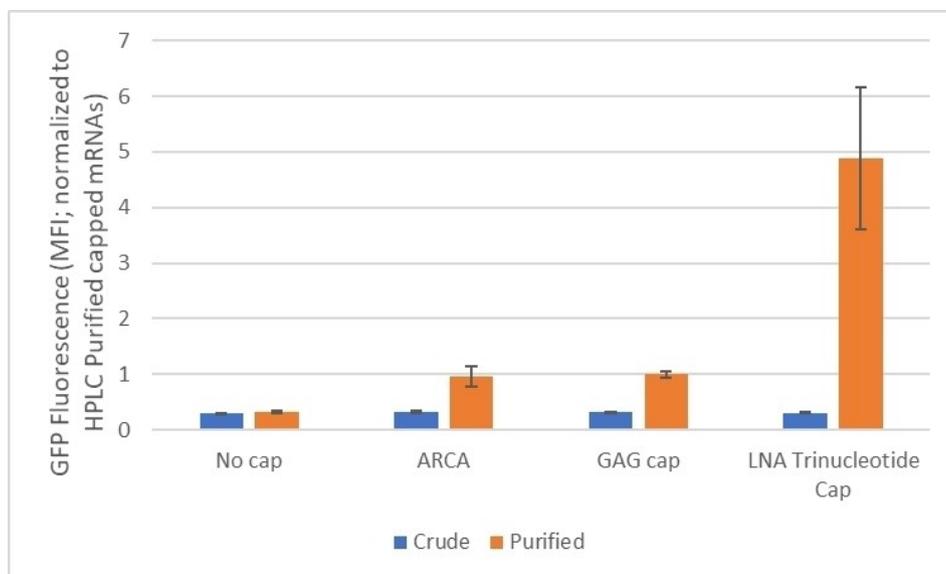


Figure 3. Translational efficiency of LNA trinucleotide cap **143**, GAG, and ARCA.

mRNA capped with LNA tricap is translated 5 times higher than GAG trinucleotide or ARCA capped mRNA transcript in the dendritic line.

4. Conclusion and Future Perspectives

The effect of chemical modification on a cap analog has had a significant impact on the outcome of the biochemical properties such as capping efficiency, translation efficiency, binding affinity to eIF4E, and susceptibility to decapping.^[24] The invention of trinucleotide cap analog fostered much research and considerable progress in the area of mRNA cap analogs. The utilization of cotranscriptional m⁷GpppNmN-derived trinucleotide in the cotranscriptional reaction produces the corresponding IVT mRNA with cap 1 structure, whereas cotranscriptional capping with m⁷GpppN-derived dinucleotide results in the formation of the corresponding IVT mRNA cap 0 structure. The presence of cap 1 structure in mRNA plays an important role to promote translation and also allow cells to differentiate between self and non-self RNA and suppress viral replication.^[56] Remarkably, the trinucleotide cap analog outperforms the dinucleotide cap analog in terms of capping efficiency and translational properties. Based on the remarkable translational properties of m⁷Gppp^{m6}A_mpG **130c** and m⁷(LNA)-G(5')ppp(5')(2'-OMe)A)pG **143**, it is highly likely that these new trinucleotide cap analogs are able to generate immunogenic protein for a long time span and create a dynamic positive impact on the designing of personalized medicines. There has been growing worldwide concern to prevent infectious diseases due to the recent outbreak of SARS-CoV-2, Dengue, and Ebola virus. The mRNA vaccination provides a powerful weapon to control infectious diseases.^[57] Given the recent FDA approval of mRNA vaccine for SARS-CoV-2, the design and development of novel trinucleotide cap analogs are warranted in the area of mRNA vaccines. One of the biggest challenges in this area is the isolation of 100% capped mRNA from uncapped mRNA, wherein the uncapped mRNA negatively influences the overall translational efficiency.^[13h] The development of a practical and feasible method is demanded to isolate the capped mRNA from uncapped mRNA. In addition to the mRNA vaccine, the exploration of mRNA as a vehicle for antigen delivery to dendritic cells is one of the thrust areas of research in view of creating a novel immunotherapeutic approach.^[58] Furthermore, considerable efforts should be invested to explore cap analog as a potential inhibitor to target eIF4E protein^[59] and antiviral target.^[60] The preliminary encouraging results of cell penetrating peptide as a carrier for the internalization of cap analogs open up a new avenue to design novel anticancer drug.^[42] Many new therapeutic applications are emerging in the area of mRNA cap analogs and in the next two to five years will see cap analog as a

candidate for clinical trials for immunotherapy and anticancer therapy.

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