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## The incidence and impact of recurrent **DPEN** workplace injury and disease: a cohort study of WorkSafe Victoria, Australia compensation claims

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To cite: Ruseckaite R. Collie A. The incidence and impact of recurrent workplace injury and disease: a cohort study of WorkSafe Victoria, Australia compensation claims. BMJ Open 2013;3: e002396. doi:10.1136/ bmjopen-2012-002396

Prepublication history for this paper are available online. To view these files please visit the journal online (http://dx.doi.org/10.1136/ bmjopen-2012-002396).

Received 22 November 2012 Revised 6 February 2013 Accepted 7 February 2013

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#### ABSTRACT

Objective: To determine the incidence and impact of recurrent workplace injury and disease over the period 1995-2008.

Design: Population-based cohort study using data from the state workers' compensation system database. Setting: State of Victoria, Australia.

Participants: A total of 448 868 workers with an accepted workers' compensation claim between 1 January 1995 and 31 December 2008 were included into this study. Of them, 135 349 had at least one subsequent claim accepted for a recurrent injury or disease during this period.

Main outcome measures: Incidence of initial and recurrent injury and disease claims and time lost from work for initial and recurrent injury and disease.

Results: Over the study period, 448 868 workers lodged 972 281 claims for discrete occurrences of work-related injury or disease. 53.4% of these claims were for recurrent injury or disease. On average, the rates of initial claims dropped by 5.6%, 95% CI (-5.8% to -5.7%) per annum, while the rates of recurrent injuries decreased by 4.1%, 95% CI (-4.2% to -0.4%). In total, workplace injury and disease resulted in 188 978 years of loss in full-time work, with 104 556 of them being for the recurrent injury. **Conclusions:** Recurrent work-related injury and disease is associated with a substantial social and economic

impact. There is an opportunity to reduce the social, health and economic burden of workplace injury by enacting secondary prevention programmes targeted at workers who have incurred an initial occupational injury or disease.

Work is now generally acknowledged as

being good for health.<sup>1</sup> There is a growing

trend internationally to encourage early

return-to-work after injury or illness as a

means of facilitating recovery, well-being and

social inclusion. Conversely, periods of

unemployment can lead to poor health or

exacerbate existing health issues.<sup>1</sup> Disability

ARTICLE SUMMARY

#### **Article focus**

• To determine the incidence and impact of recurrent workplace injury and disease in Victoria, Australia over the period 1995-2008.

#### **Key messages**

- A recurrent workplace injury and disease is frequent and associated with a substantial work disability. We established that over the 14-year study period in the state of Victoria, Australia, more than 50% of claims were filed for a recurrent injury or disease. In addition, we found that the majority (104 556 years) of time lost from work was from the recurrent claims.
- There is an opportunity to reduce the social, health and economic burdens of workplace injury by enacting secondary prevention programmes targeted at workers who have incurred an initial occupational injury or disease.

#### Strengths and limitations of this study

- The principal strength is that this is the first study to summarise the overall impact of work disability and annual trends of subsequent workrelated injury and disease, as most of the past studies treated claims as single and discrete events.
- The main weakness of this study is that it is a Victoria only specific study, and the database does not cover the entire population; certain injuries maybe underreported, and therefore, results published here may be underrepresented, that is, workers may not claim for mental health-related issues, they possibly have claimed in other institutions in the past or they are self-covered.

arising from workplace injury and illness is also now the subject of substantial public policy and academic interest.<sup>2</sup>

Workers' compensation claims data have been an important source of information to describe the incidence and impact of workrelated injury and disease within and across

**INTRODUCTION** 

jurisdictions internationally.<sup>3–5</sup> Studies in this area reveal the substantial health and economic costs of workplace injury and illness.<sup>6</sup> <sup>7</sup> For example, the total cost of healthcare of officially recognised injured workers in Mexico in 2005 was US\$753 420 222.<sup>8</sup> Similarly, workers' compensation insurance for US workers in 2007 cost US \$85 billion.<sup>9</sup> Over the past two decades, the concept of 'work disability', usually measured as the number of days lost from work, has emerged as a means of estimating the burden of workplace injury and disease.<sup>2</sup>

More recently, we and others have utilised workers' compensation system data to focus on recurrent workplace injury or disease.<sup>10–12</sup> These studies in discrete populations or over discrete time periods have demonstrated that injury and disease recurrences contribute substantially to the overall burden of workplace injury and disease. There are numerous examples of population-based estimates of the overall burden of workplace injury and disease, including detailed epidemiological analysis of time series.<sup>13–15</sup> However, these studies do not differentiate between initial and recurrent episodes of injury or disease. Examining annual trends of the initial and recurrent occupational injury may provide us a better understanding of the relative effectiveness of primary and secondary prevention initiatives.<sup>16</sup> <sup>17</sup>

The current study sought to determine the incidence and impact of a recurrent workplace injury and disease in the state of Victoria, Australia over a 14-year period using the data from the state workers' compensation system. This study has two aims: (1) to describe the annual incidence of initial and recurrent workplace injury and disease, and (2) to summarise the disability associated with initial and recurrent workplace injury and disease.

#### METHODS Setting

Victoria is a state of approximately 5.5 million people with an approximate full-time working population of 2.4 million (year 2010 data obtained from http://www.abs. gov.au). The Victorian WorkCover Authority (VWA) is the state government occupational health and safety and workers' compensation authority. To be eligible for workers' compensation benefits, the worker must be able to demonstrate a causal link between the injury or disease and their work. Employers are responsible for income replacement for the first 10 days away from work, beyond which the VWA provides income replacement benefits. Reasonable healthcare and rehabilitation benefits are also provided by the VWA. In total, 85-90% of workers in the state have their workers' compensation insurance provided by the VWA. Exceptions are federal government employees, sole traders and employees of some large self-insured employers.

#### **Compensation database**

A deidentified workers' compensation administrative database for the period from 1986 was obtained from

the VWA for the purpose of this study. The database contains information regarding the claimant, injury or disease and benefits paid in relation to the claim. Records include information on the claimant and the benefits paid. The Australian Standard Type of Occurrence Classification System (V.3)<sup>18</sup> was used to code the nature/mechanism of affliction. Occupation data were coded using Australian and New Zealand Standard Classification of Occupation.<sup>19</sup> The Australian New Zealand Standard Industry Classification (2006) was used to code industry data.<sup>20</sup> A detailed description of the compensation database can be found elsewhere.<sup>11</sup>

#### **Data analysis**

All accepted workers' compensation claims occurring between 1 January 1995 and 31 December 2008 were included in this study. Descriptive statistics were used to provide an overview of initial (first claim of a worker) and recurrent claims by gender, age, nature of affliction (injury or disease) and type of benefits paid (income replacement and medical expenses). A recurrent claim in this study was defined as a second or any subsequent claim of a worker during the study period, and it could have occurred for the same as an initial or a completely different reason. Two outcomes were considered in this study: the rates of initial and recurrent injury and disease over the 14-year period; and the number of compensated days away from work (extracted from the database), which was used as the indicator of 'work disability'.<sup>21</sup>

The incidence of initial and recurrent claims per annum was calculated as a rate per 1000 workers, for men and women. Denominator data were drawn from the Australian Bureau of Statistics labour force survey for the state of Victoria (http://www.abs.gov.au). A fully adjusted for age and gender Poisson count regression model was used to determine annual changes in rates between initial and recurrent injury and disease. SPSS V.20.0 was used for all analyses.

#### RESULTS

#### Initial and recurrent workplace injury and disease

Over the study period of 14 years, a total of 448 868 workers lodged compensation claims for 972 281 discrete occurrences of work-related injury or disease (table 1). Recurrent injury and disease accounted for 53.4% of all claims. These were attributable to only 26.2% of all claimants.

Men were more likely to have a recurrent injury or disease than women. A majority of claims were for occupational injuries. The vast majority of claimants were 25–44years old; however, the incidence rates of the initial and recurrent injuries or diseases were highest in the youngest workers, 15–19 years of age.

Occupational diseases were more likely to result in subsequent workers' compensation claims (24.2% vs 28.2%). The majority of claims were lodged for income replacement and/or medical expenses.

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	Initial claims				Recurrent claims				Total claims				p Value	
			Row	Col			Row	Col			Row	Col		
Category	Ν	Rate, 95% CI	%	%	Ν	Rate, 95% Cl	%	%	Ν	Rate, 95% CI	%	%	Row	Col
Total claims	448868	19.1 (15.2 to 20.1)	46.2	_	523413	22.3 (21.2 to 23.4)	53.4	_	972281	41.5 (39.4 to 43.5)	100	-	0.000	_
Total claimants	448868	19.1 (15.2 to 20.1)	76.8	-	135349	5.8 (5.5 to 6.1)	26.2	_	584217	24.9 (23.7 to 26.2)	100	-	0.000	-
Claims per claimant	1	_	_	-	3.86	_	-	_	1.66	_	_	-	-	-
Gender*														
Males	274915	17.7 (16.8 to 18.5)	75.1	61.2	91072	5.9 (5.6 to 6.1)	24.9	67.3	365987	23.5 (22.3 to 24.7)	100	62.6	0.000	0.000
Females	163059	20.7 (19.6 to 21.7)	79.8	36.3	41111	5.2 (5.0 to 5.5)	20.2	30.4	204170	25.9 (24.6 to 27.2)	100	34.9	0.000	0.000
Missing	10894	0.46 (0.44 to 0.49)	77.5	2.4	3166	0.13 (0.13 to 0.14)	22.5	2.3	14060	0.59 (0.57 to 0.63)	100	2.5	0.003	NS
Age category*														
15–19	33393	54.3 (51.6 to 57.0)	76.0	7.4	10571	17.2 (16.3 to 18.0)	24.0	7.8	43964	71.5 (67.9 to 75.1)	100	7.5	0.000	NS
20–24	68421	27.6 (26.2 to 29.0)	76.6	15.2	20858	8.4 (8.0 to 8.8)	23.4	15.4	89279	36.0 (34.2 to 37.8)	100	15.3	0.000	NS
25–34	117817	18.2 (17.3 to 19.1)	76.5	26.2	36132	5.6 (5.3 to 5.9)	23.5	26.7	153949	23.8 (22.6 to 25.0)	100	26.4	0.000	NS
35–44	103799	17.2 (16.4 to 18.1)	75.3	23.1	33963	5.6 (5.4 to 5.9)	24.7	25.1	137762	22.9 (21.7 to 24.0)	100	23.6	0.000	0.000
45–54	87619	16.5 (15.7 to 17.3)	77.1	19.5	26057	4.9 (4.7 to 5.2)	22.9	19.3	113676	21.4 (20.3 to 22.5)	100	19.5	0.000	NS
55–59	24086	15.4 (14.6 to 16.2)	81.0	5.4	5667	3.6 (3.4 to 3.8)	19.0	4.2	29753	19.0 (18.1 to 20.0)	100	5.1	0.000	0.000
60–64	10828	14.8 (14.1 to 15.6)	85.6	2.4	1817	2.5 (2.4 to 2.6)	14.4	1.3	12645	17.3 (16.5 to 18.2)	100	2.2	0.000	0.003
65+	2905	10.4 (9.9 to 11.0)	91.1	0.6	284	1.0 (1.0 to 1.1)	8.9	0.2	3189	11.5 (10.9 to 12.0)	100	0.5	0.000	NS
Nature of affliction														
Injury	340203	14.5 (13.8 to 15.2)	47.4	75.8	376033	16.0 (15.2 to 16.8)	52.6	71.8	716236	30.5 (29.0 to 32.1)	100	73.6	0.000	0.000
Disease	108665	4.6 (4.4 to 4.9)	42.4	24.2	147380	6.3 (6.0 to 6.6)	57.6	28.2	256045	10.9 (10.4 to 11.5)	100	26.4	0.000	0.000
Compensation benefits pa	id													
Income replacement ±	257082	11.0 (10.4 to 11.5)	46.8	57.3	292340	12.5 (11.8 to 13.1)	53.2	55.8	549422	23.4 (22.3 to 24.6)	100	56.5	0.000	0.000
medical expenses†														
Medical expenses only	191786	8.2 (7.8 to 8.6)	45.4	42.7	231073	9.9 (9.4 to 10.3)	54.6	44.2	422859	18.0 (17.1 to 18.9)	100	43.5	0.000	0.000

+'Income replacement ± medical expenses' group represents these claimants, who had time off work and/or required compensation for medical expenses.

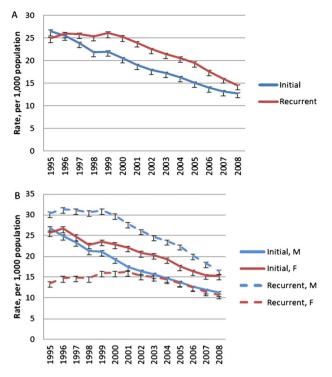


Figure 1 Incidence of initial (A) and recurrent (B) workplace injury and disease per 1000 workers in Victoria, 1995–2008.

#### Incidence of initial and recurrent workplace injury and disease

The rates of initial and recurrent workplace injury and disease per 1000 working population are displayed in Figure 1. Figure 1A illustrates the incidence per annum of initial and recurrent claims per 1000 working population. The rates of initial injury reduced from 26.4/1000 in 1995 to 12.7/1000 in 2008 (or by 6.1% per annum, p<0.0001, 95% CIs -6.3 to -5.8). The rates of recurrent injury decreased from 24.9/1000 in 1995 to 14.5/1000 in 2008 (or by 3.5% per annum, p<0.0001, 95% CIs -3.7 to -3).

Figure 1B illustrates the annual incidence rates per 1000 working population of initial and recurrent injury and disease, in men and women separately. The rates of initial injury and disease in men decreased by 6.7% (p<0.0001, 95% CIs -7.4 to -5.9) than in women. However, the rates of recurrent injury and disease in men every year increased by 3%, (p<0.0001, 95% CIs 2.4 to 3.7) when compared with women. The incidence rates of recurrent injuries and diseases were higher than in the initial claims by 4.5% (p<0.0001, 95% CIs 3.9% to 5.3%) in men, and by 7.4% (p<0.0001, 95% CIs 5.0 to 9.8) in women.

#### Work disability

Table 2 summarises work disability associated with the initial and recurrent injury and disease. In total, over the study period of 14 years, workplace injury and disease resulted in 188 978 years of full-time work loss. More than half (55.3%) of this burden was caused by recurrent injury and disease.

Men incurred 45 570 (38.7%) years of full-time work loss due to the initial injury, and 72 211 (61.3%) years due to the recurrent injury and disease. Women incurred 38 130 (54.8%) years of full-time work loss during their initial claims. This amount of time decreased to 31 404 (45.2%) years in recurrent injury and disease. Occupational injuries accounted for 53 713 (44.8%) years of work disability in all initial claims and 66 026 (55.2%) years in recurrent injury. Work disability incurred for a recurrent disease, relatively to the initial claims, was similar: 38 530 (55.6%) and 30 709 (44.4%) years, respectively, of full time work loss. Workers in the 45–54 years of age category incurred the highest amount of work loss–24 973 years in initial injuries and diseases and 36 493 years in recurrent injuries and diseases.

The average duration of time lost due to workplace injury and disease (table 3) was 85.6 (257.1) days; however,

Table 2         Total work disability arising from initial and recurrent workers' compensation claims in Victoria, 1995–2008											
Initial claims			Recurrent claims			Total claims			p Value		
Category	N	Row %	Col %	N	Row %	Col %	N	Row %	Col %	Row	Col
Work-loss, years											
All time-loss claims	84422	44.7	_	104556	55.3	_	188978	100	_	0.000	_
Males	45570	38.7	53.4	72211	61.3	69.1	117781	100	62.3	0.000	0.000
Females	38130	54.8	45.2	31404	45.2	30.1	69534	100	36.8	0.000	0.000
Injury	53713	44.8	63.6	66026	55.2	63.1	119739	100	63.4	0.000	0.000
Disease	30709	44.4	36.4	38530	55.6	36.9	69239	100	36.6	0.000	NS
Age category											
15–19	2170	84.6	2.6	396	15.4	0.4	2566	100	1.4	0.000	0.007
20–24	6050	66.8	7.2	3010	33.2	2.9	9060	100	4.8	0.000	0.000
25–34	17350	50.5	20.6	16980	49.5	16.2	34330	100	18.2	NS	0.000
35–44	23606	44.0	28.0	30012	56.0	28.7	53618	100	28.4	0.000	NS
45–54	24943	40.6	29.5	36493	59.4	34.9	61436	100	32.5	0.000	0.000
55–59	7426	37.0	8.8	12650	63.0	12.1	20076	100	10.6	0.000	0.000
60–64	2468	35.8	2.9	4418	64.2	4.2	6886	100	3.6	0.000	0.006
65+	408	40.6	2.6	597	59.4	0.6	1005	100	0.5	0.000	0.008

1995-2008							
	Initial claims		Recurrent clai	ms	Total claims	p Value	
Category	Mean (SD), days	Median (IQR), days	Mean (SD), days	Median (IQR), days	Mean (SD), days	Median (IQR), days	Row
All time-loss claims	85.6 (257.1)	10 (10–38)	93.4 (276.5)	10 (10–39)	89.8 (267.6)	10 (10–39)	0.000
Males	76.2 (241.3)	10 (10–34)	86.4 (267.2)	10 (10–34)	82.2 (256.7)	10 (10–34)	0.000
Females	102.9 (284.2)	10 (10–50)	118.5 (309.6)	10 (10–65)	109.4 (295.1)	10 (10–56)	0.000
Injury	75.1 (244.0)	10 (10–30)	86.1 (275.9)	10 (10–30)	80.7 (261.2)	10 (10–30)	0.000
Disease	113.9 (286.5)	14 9 (10–71)	109.3 (277.1)	11 (10–65)	111.3 (281.3)	13 (10–67)	0.000
Age category							
15–19	29.5 (91.6)	10 (10–16)	26.7 (91.6)	10 (10–10)	29.0 (91.6)	10 (10–15)	NS
20–24	40.1 (123.7)	10 (10–21)	40.3 (135.7)	10 (10–15)	40.2 (127.8)	10 (10–20)	NS
25–34	68.5 (207.6)	10 (10–31)	65.5 (205.9)	10 (10–26)	66.9 (206.7)	10 (10–29)	NS
35–44	102.7 (286.6)	10 (10–48)	92.6 (275.6)	10 (10–39)	96.8 (280.3)	10 (10–42)	0.000
45–54	127.2 (349.8)	11 (10–63)	120.7 (340.6)	10 (10–55)	123.2 (344.3)	10 (10–58)	0.034
55–59	139.3 (336.9)	15 (10–70)	136.1 (337.2)	12 (10–67)	137.5 (337.1)	13 (10–68)	NS
60–64	106.9 (213.5)	16 (10–72)	101.6 (204.7)	15 (10–72)	103.5 (207.8)	16 (10–72)	NS
65+	80.6 (122.5)	25 (10–85.75)	76.8 (127.4)	20 (10–70)	78.3 (125.5)	22 (10–77)	NS

 Table 3
 Average and median work disability arising from initial and recurrent workers compensation claims in Victoria, 1995–2008

it was higher for recurrent claims (93.4(276.5) days). The average duration of time lost varied greatly across the age categories of injured workers, and it increased with workers' age.

### DISCUSSION Principal findings

This large-scale administrative data study, designed to provide a population-based overview of workers' compensation claims, showed that a recurrent workplace injury and disease is frequent and associated with a substantial work disability. We established that over the 14-year-study period, 448 868 workers in the state of Victoria, Australia lodged 972 281 compensation claims; 53.4% of them were filed for a recurrent injury or disease (table 1). The incidence rates were highest in the youngest workers, possibly due to the denominator accounting for the full-time employees. Younger workers usually choose temporary or part-time employment; they are less experienced and are less aware of hazardous working conditions; therefore, they are at a higher risk of occupational injuries.<sup>22</sup> Different patterns for men and women for recurrent injuries and diseases (Figure 1B) are associated with the lower number of women returning to work after the initial injury, which might occur due to the mental stress, depressive symptoms and vulnerability at work.<sup>23</sup> In agreement with the previously reported findings, we observed that rates of work-related injury and disease were declining, which is probably associated with legislative changes, unemployment rates or seasonal affects and, most importantly, with better strategies and increased effectiveness of injury prevention.14 15 24 25

In both initial and recurrent injuries/diseases, the work disability increased with claimants' age, which possibly was related to claimants' comorbidities, changes in physical and mental capacity or attitudes to return to work.<sup>26</sup> <sup>27</sup> In addition, we found that the majority (104 556 years) of time lost from work was from the recurrent claims (table 2). This is equivalent to ~10.4 days for each working person in Victoria. Despite that, sickness absence as a proportion of working time is decreasing; these figures are still substantial and represent a significant cost to the economy.<sup>28</sup>

#### Strengths and weaknesses of the study

The first strength of this study is the large and exclusive compensation research data source, containing detailed and objective information on workers, their injuries and diseases. The entries of the dataset are unique and no duplicate information is recorded. The second and principal strength is that, to our knowledge, this is the first study to summarise the overall impact of work disability and annual trends of subsequent work-related injury and disease, as most of the past studies treated claims as single and discrete events.<sup>14</sup> <sup>15</sup> <sup>29–31</sup> The scientific papers published earlier focused on the first return-to-work and time lost on temporary disability benefits as outcomes of the workplace-related injury. These studies concluded that work-related injury and illness affects not only injured workers, but also employers, society and government.<sup>7 29 32–37</sup> Alternatively, only a few recent studies emphasised the burden of subsequent workers' compensation claims; however, these studies analysed only the initial and second claims, but did not consider any further work-related injuries or illnesses.<sup>10–12</sup> <sup>38</sup> Lack of understanding of the overall

impact of a recurrent workplace injury and disease instigates a significant dilemma associated with the employment rates and earnings of injured workers, adverse effects on productivity and costs, including those associated with compensation.<sup>9 33 39</sup>

The first weakness of this study is that it is a Victoria only specific study, and the database does not cover the entire population; certain injuries may be underreported, and therefore, the results published here may be underrepresented, that is, workers may not claim for mental health-related issues, they possibly have claimed in other institutions in the past or they are self-covered.<sup>40 41</sup> Second, we do not have information on claimants' return to work as these dates are not recorded consistently by the compensation authority, particularly for periods before the year 2004/2005. The reliability of these data is improving as the VWA gains more experience with collecting return to work outcomes. It is difficult to estimate the magnitude of these impacts without undertaking a comprehensive data linkage between jurisdictional workers' compensation and health datasets.<sup>11 42</sup> Third, administrative data collection errors might have also occurred, which could have affected the nature and dates of subsequent injuries or diseases. In addition, legislative changes and organisational policies might have affected the claim rates reported here.<sup>43</sup> It is also important to acknowledge that the present study does not report trends in claim costs or cost effectiveness analysis over the years; however, it is already known that repeat workers' compensation claims are associated with increased costs of medical and like services and weekly compensation paid.<sup>11</sup>

#### **CONCLUSIONS AND POLICY IMPLICATIONS**

The most effective strategy for preventing work-related disability is a primary prevention approach, that is, preventing the initial work-related injury and disease.<sup>44</sup> The findings of the current study highlight a steady decline in the number of initial work-related injury and disease (Figure 1), indicating that primary injury prevention strategies at the workplace are becoming more and more efficient over the years.<sup>14</sup> An accelerating reduction of recurrent injuries and diseases suggests that secondary prevention initiatives were possibly reviewed and addressed more carefully after 1999. Despite the current return-to-work efforts and decreasing rates of the initial and recurrent injury and disease, there is probably still more room for improvement. Current injury prevention policies and procedures need to be regularly revised, focusing on more efficient secondary prevention.45-47 The key to a successful secondary prevention is not only appropriately trained staff, but also clearly identified risk factors at the workplace and a combination of early predictors for poor long-term outcomes in workers.<sup>16</sup> <sup>17</sup> Both the injured worker and their employer are known to the compensation authorities, and therefore can be targeted by OH&S regulators and policy makers. It is essential to consider other factors such as precarious working conditions, worker's age, gender and comorbidities.<sup>48–50</sup> The type of recurrent injury and disease is also known to the compensation authorities; therefore, if a subsequent claim was lodged along with the initial claim, or under completely different circumstances, alternative prevention measures should be considered.

Secondary prevention examples may include activities that promote lifestyle changes and aim at improving the overall health of injured workers, restructuring the current workplace where the injury occurs, providing suitably modified work for injured workers, recommending them to undergo regular exams and screening tests or surveillance systems.<sup>5 51</sup> Return-to-work coordinators, clinicians and care management support also play an important role in workers' return-to-work and reinjury prevention. Their efforts may need to be revisited with incentives provided so that reduced costs for workers' compensation healthcare and increased safety practices are implemented.<sup>39</sup> Education of workers in order to enable them to be more aware of hazards associated with their job may be an important step forward. Introducing work wellness and rehabilitation programmes, and providing counselling and job training for returning-to-work staff members may also assist in a further reduction of reinjury.<sup>2 39 51 52</sup>

Acknowledgements The authors thank Susan Tinsley and Catherine Smith for their support during the development of this paper.

**Contributors** Both authors were responsible for the design of the study, evolved analysis plans, interpreted data and critically revised successive drafts of the manuscript. Both authors read and approved the final manuscript.

Funding This research and the involvement of Dr Ruseckaite and Dr Collie in the study was provided by a research grant from WorkSafe Victoria.

#### Competing interests None.

Ethics approval Monash University Human Research Ethics Committee, Melbourne, Australia.

Provenance and peer review Not commissioned; externally peer reviewed.

Data sharing statement Workers' compensation data used in this study were collected by WorkSafe Victoria and held by ISCRR, who regularly responded to data requests from the public.

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