

# **ORIGINAL ARTICLE**

# How to predict the outcome in mature T and NK cell lymphoma by currently used prognostic models?

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To select an appropriate prognostic model in the treatment of mature T- and natural killer (NK) -cell lymphoma (peripheral T-cell lymphoma (PTCL) and NK-/T-cell lymphoma (NKTCL)) is crucial. This study investigated the usefulness of Ann Arbor staging classification International prognostic index (IPI), prognostic index for T-cell lymphoma (PIT) and International peripheral T-cell lymphoma Project score (IPTCLP). Between 2000 and 2009, 176 patients (122 males) with PTCL and NKTCL were diagnosed and treated from a single institute in Taiwan. The correlation between complete response (CR) rate, 3-year overall survival (OS), early mortality rate and four prognostic models was analyzed. Thirty-one patients received hematopoietic stem cell transplantation (HSCT) and were analyzed separately. Three-year OS rate was 34.7%, and anaplastic large-cell lymphoma harbored better outcome than others. IPI score had the lowest Akaike information criterion value (1081.197) and was the best score in predicting OS and early mortality (P = 0.009). Ann Arbor stage classification can predict CR rate more precisely (P = 0.006). OS was significantly better in patients who received HSCT, even in patients with unfavorable features compared with chemotherapy alone. All prognostic models were useful to evaluate the outcome of patients with PTCL and NKTCL but IPI score did best in predicting OS in PTCL and PIT score in NKTCL. This study also supported the role of HSCT in patients with high-risk or refractory PTCL or NKTCL.

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Keywords: T-cell lymphoma; prognostic score; hematopoietic stem cell transplantation; Asian population

## INTRODUCTION

Mature T- and natural killer (NK) -cell lymphomas, or the so-called peripheral T-cell lymphoma (PTCL) and NK-/T-cell lymphoma (NKTCL), are relatively rare, which account for 7–10% of non-Hodgkin's lymphoma in the Western country<sup>1–3</sup> and 20–30% in East Asia.<sup>4–9</sup> PTCL is a heterogeneous group of diseases and mostly presented with advanced stage and aggressive course, compared with B-cell lymphoid malignancy.<sup>10–12</sup> Five-year overall survival (OS) rate was 30–49% in diffuse large B-cell lymphoma but only less than 30% in PTCL.<sup>2</sup> Even so, some patients with PTCLs were cured by conventional chemotherapy.<sup>13,14</sup> The characteristics of patients with long-term survival or early mortality were not well defined. Even in patients with unfavorable prognostic features or refractory diseases, hematopoietic stem cell transplantation (HSCT) can prolong OS and disease-free survival (DFS).<sup>15–19</sup> Therefore, the prognostic scores had a major role in discriminating patients who had good outcome or patients who needed intensive treatment.

Several prognostic models were designed to divide patients into low risk or high risk. Ann Arbor stage<sup>20</sup> was applied to predict the prognosis and response to treatment in most lymphoma but some limitations existed. International prognostic index (IPI) score was used first in diffuse large B-cell lymphoma and the usefulness in PTCL was reported.<sup>12,21</sup> The prognostic index for T-cell lymphoma (PIT) score was designed for PTCL, not otherwise specified (PTCL-NOS) and based on age, performance status, lactate dehydrogenase (LDH) and bone marrow (BM) involvement.<sup>22</sup> This

score was further modified recently by replacing BM involvement by Ki-67 immunostain, a proliferation index.<sup>23</sup> The usefulness of modified PIT needed to be tested in more studies.<sup>24</sup> The fourth score was developed by the International peripheral T-cell lymphoma Project (IPTCLP) and included age performance status and platelet count.<sup>25</sup>

HSCT may have a role in the treatment of PTCL but the literatures in Asian population were limited. Thus, this study will focus on prognostic factors, comparison of prognostic models and the role of HSCT by analyzing the clinical features, laboratory data and outcomes of patients with PTCLs or NKTCLs from a single institute in Taiwan.

## **PATIENTS AND METHODS**

**Patients** 

After excluding age younger than 18 years, lymphoblastic lymphoma, primary cutaneous PTCL, mycosis fungoides, Sezary syndrome and primary cutaneous anaplastic large-cell lymphoma (ALCL), 176 patients were identified as PTCL or NKTCL by reviewing the database in the Department of Pathology and Laboratory Medicine, Taipei Veteran General Hospital between January 2000 and December 2009. The definite diagnoses were confirmed again by two well-experienced hematology pathologists according to the World Health Organization (WHO) classification. All patients were Chinese and can be assigned to one of the following subtypes: PTCL-NOS; extranodal NK-/T-cell lymphoma, nasal type (ENKL, nasal type); extranodal NK-/T-cell lymphoma (ENKL); anaplastic lymphoma kinase-positive (ALK-positive) ALCL; ALK-negative ALCL;

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angioimmunoblastic T-cell lymphoma (AITL); enteropathy-associated T-cell lymphoma (EATL); subcutaneous panniculitis-like T-cell lymphoma (SPTCL) or adult T-cell leukemia/lymphoma (ATLL). All subtypes of PTCLs and NKTCL were analyzed separately and together.

## Baseline assessment and follow-up

All patients received baseline evaluation, standard treatment according to the guideline of lymphoma treatment in our institute and subsequent follow-up. Assessments included history taking, physical examination, laboratory tests, chest and abdominal computerized tomography scan and BM exam. Laboratory tests included complete blood count, liver and renal functions tests, electrolytes, LDH, immunoglobulin and  $\beta$ 2-microglobulin levels. After completion of treatment, patients were recommended to receive follow-up every 3 months in first 3 years, every 6 months in the year 4–5 and annually then.

## **Treatment**

All patients received treatment according guideline built in our institute. Most patients ( $n\!=\!126$ , 83.3%) received CHOP (cyclophosphamide, doxorubicin, vincristine and prednisolone)-based chemotherapy as induction therapy. If complete response (CR) was achieved in first follow-up, patients who were eligible for HSCT and without contraindications will receive HSCT. Second-line chemotherapy such as ESHAP (etoposide, cisplatin, cytarabine and methylprednisolone) or ICE (ifosphamide, carboplatin and etoposide) were administered if poor response to induction chemotherapy or relapsed/recurrent diseases. Radiotherapy alone or combined with chemotherapy was administrated to patients with ENKL, nasal type.

## Criteria of response

According to Cheson's criteria, 27,28 responses were classified as CR (total disappearance of all tumors), partial response (at least a 50% decrease in the sum of products of diameters of target lesions), stable disease (no objective decrease in tumor measurements qualifying as a partial response and no objective increase qualifying as progressive disease) or progressive disease (appearance of a new lesion(s) more than 1.5 cm in any axis, more than 50% increase in the sum of products of diameters of more than one node or more than 50% increase in the longest diameter of a previously identified node more than 1 cm in the short axis). The responses in our study were interpreted by radiologists based on computerized tomography scan or positron emission tomography. Rebiopsy was indicated in our patients if there were any unusual clinical presentations of tumors. OS was defined as the time from diagnosis to death due to any cause or to final follow-up. Early mortality was defined as patients who died within 3 months after diagnosis.

## Prognostic score

Ann Arbor stage classification, IPI, PIT and IPTCLP were applied to predict OS, CR rate, 3-year OS and early mortality. Ann Arbor stage classification I was defined as low risk, stage II as low-intermediate risk, stage III as high-intermediate risk and stage IV as high risk.<sup>20</sup>

The IPI score was calculated by five parameters as age, ECOG (Eastern Cooperative Oncology Group) performance status (PS),<sup>29</sup> LDH level (within normal limit versus above upper normal limit), extranodal involvement and Ann Arbor stage.<sup>21</sup> The IPI score 0–1 was defined as low risk, 2 was low-intermediate risk, 3 was high-intermediate risk and 4–5 was high risk.

The PIT score was calculated by four parameters as age, ECOG PS, LDH level and BM involvement.<sup>22</sup> Patients were classified into four risk groups as low risk (PIT score 0), low-intermediate risk (PIT score 1), high-intermediate risk (PIT score 2) and high risk (PIT score 3–4).

The IPTCLP score was calculated by three parameters as age, ECOG PS and thrombocytopenia.<sup>30</sup> The IPTCLP score 0 was defined as low risk, 1 was low-intermediate risk, 2 was high-intermediate risk and 3 was high risk.

## Statistical analysis

OS was defined as time from diagnosis to death from any causes or the date of last follow-up. Patients alive at last follow-up were censored. Factors associated with OS were analyzed univariately by Kaplan–Meier estimates<sup>31</sup> and log-rank test. A Cox proportional hazards model was used for the multivariate analysis for survival.<sup>32</sup> The discriminatory ability of each prognostic model was examined by using the Cox proportional hazards model, and the consequences of the Cox model were expressed with the Akaike information criterion (AIC), which reveals how the prognostic models affected OS.<sup>33–35</sup> The lower the AIC, the more explanatory and informative the model is.<sup>36</sup> In order to predict response (CR achievement) and early mortality (within 3 month of diagnosis), multivariate logistic regression analysis was carried out for comparisons of four prognostic models. A *P*-value less than 0.05 was considered statistically significant. SPSS version 18.0 (SPSS, Chicago, IL, USA) was used for all statistical analyses.

# **RESULTS**

Clinical characteristics and laboratory data

Of 176 patients, 81 patients (46.0%) had PTCL-NOS, 32 (18.2%) had ENKL, nasal type, 25 (14.2%) had AITL, 17 (9.7%) had ALK-negative ALCL, 6 (3.4%) had ALK-positive ALCL, 5 (2.8%) had ATLL, 5 (2.8%) had SPTCL, 3 (1.7%) had ENKL and 2 (1.1%) had EATL. Clinical characteristics of these subtypes were summarized in Table 1. The rarer subtypes, such as ATLL, SPTCL and EATL, were categorized as 'others'. We analyzed ENKL, nasal type (n=32) and ENKL (n=3)

Table 1.         Clinical characteristics of all patients and subtypes						
	<i>AII</i> (n = 176)	<i>PTCL-NOS</i> (n = 81)	<i>ENKL</i> (n = 35)	AITL (N = 25)	<i>ALCL</i> (n = 23)	Others (n = 12)
Male	122 (69.3%)	60 (74.1%)	23 (65.7%)	18 (72%)	17 (73.9%)	4 (33.3%)
Median age (range)	62 (18–90)	60 (18–88)	57 (35-90)	75 (34–90)	56 (18–86)	50.50 (25-75)
Age > 60 years	95 (54.0%)	41 (50.6%)	16 (45.7%)	23 (92.0%)	11 (47.8%)	4 (33.3%)
ECOG PS >1	42 (23.9%)	15 (18.5%)	5 (14.3%)	13 (52.0%)	5 (21.7%)	4 (33.3%)
$LDH > 250 UI^{-1}$	80 (45.5%)	38 (46.9%)	6 (17.1%)	14 (56.0%)	15 (65.2%)	7 (58.3%)
Advanced stage (III/IV)	105 (59.7%)	51 (63.0%)	15 (42.9%)	18 (72.0%)	13 (56.5%)	8 (66.7%)
Extranodal > 1	35 (19.9%)	20 (24.7%)	9 (25.7%)	4 (16%)	2 (8.7%)	0 (0%)
BM involvement	53 (30.1%)	32 (39.5%)	7 (20.0%)	7 (28.0%)	2 (8.7%)	5 (41.7%)
Platelet count ( $< 150 \times 10^9  l^{-1}$ )	54 (30.7%)	29 (35.8%)	8 (22.9%)	12 (48.0%)	4 (17.4%)	1 (8.3%)
B symptoms	77 (43.8%)	34 (42.0%)	9 (25.7%)	16 (64.0%)	10 (43.5%)	8 (66.7%)
Mediastinal lymphadenopathy	62 (35.2%)	26 (32.1%)	6 (17.1%)	14 (56.0%)	12 (52.2%)	4 (33.3%)
Hemoglobin $< 120 \mathrm{gI}^{-1}$	98 (55.7%)	42 (51.9%)	19 (54.3%)	19 (76.0%)	13 (56.5%)	5 (41.7%)
Lymphopenia ( $< 0.7 \times 10^9  l^{-1}$ )	38 (21.6%)	63 (77.8%)	8 (22.9%)	7 (28.0%)	4 (17.4%)	1 (8.3%)
Serum albumin level <35 g l <sup>-1</sup>	58 (33.0%)	19 (23.5%)	9 (25.7%)	16 (64.0%)	8 (34.8%)	6 (50.0%)
High serum β-2 microglobulin level <sup>a</sup> $(> 2400 \text{ ng ml}^{-1})$	85/120 (48.3%)	38/55 (67.9%)	16/27 (59.2%)	18/20 (80.0%)	8/12 (66.7%)	5/6 (83.3%)

Abbreviations: AITL, angioimmunoblastic T-cell lymphoma; ALCL, anaplastic large-cell lymphoma; BM, bone marrow; ECOG PS, Eastern Cooperative Onocology Group performance status; ENKL, extranodal NK-/T-cell lymphoma; LDH, lactate dehydrogenase; PTCL-NOS, peripheral T-cell lymphoma, not otherwise specified. <sup>a</sup>Serum β-2 microglobulin levels were only available in 120 patients.



together. There were male predominance in most subtypes, except ATLL and SPTCL. The median age was 62 years (range, 18–90 years) with AITL representing the oldest group of patients (median age, 75 years) and ALK-positive ALCL was the youngest group of patients (median age, 38.5 years; P=0.002). About 65.2% of the patients with ALCL and 17.1% of the patients with ENKL presented with an elevated LDH level (P=0.003). Advanced stage (Ann Arbor stage III or IV) was found more frequent in AITL patients (72.0%) than other subtypes, especially ENKL patients (42.9%). Patients with AITL had poorer initial ECOG PS (PS >1 in 52% of patients) than patients with other subtypes (P=0.01). Besides, patients with AITL had higher incidence of thrombocytopenia (48%) and hypoalbuminemia (64%, P=0.04).

# Prognostic models

The relationship of subtypes and prognostic models were detailed in Table 2. All prognostic models were categorized into four risk groups, as low risk, low-intermediate risk, high-intermediate risk and high risk. Except ENKL and AITL groups, most subtypes had similar distribution in four risk stratifications. More than 92% of AITL patients had IPI score >1, 80% had PIT score >1 and 96% had IPTCLP score  $\geqslant 1$ . In contrast, 57.1% of ENKL patients had IPI score  $\leqslant 1$ , 65.7% had PIT score  $\leqslant 1$  and 42.9% had IPTCLP score = 0.

#### Treatment and outcome

The mean and median follow-up duration was 33.0 and 16.0 months, respectively. There were 150 patients (85.2%) who received induction chemotherapy, and 125 of them (83.3%) received CHOP or CHOP-like regimen (Table 3). Seven patients received radiotherapy as initial treatment due to contraindications to chemotherapy and nineteen patients did not received treatment because of poor general condition. CR rate after induction therapy was 30.6% in all patients, 32.9% in PTCL-NOS, 35.5% in ENKL, 13.6% in AITL and 36.8% in ALCL (33.3% in ALK-positive ALCL). There were no significant differences between most subtypes in CR rate, except AITL patients, with lowest CR rate. Early mortality rate was 22.7% in all patients, 22.9% in

non-ALK-positive-ALCL PTCL patients, 25.9% in PTCL-NOS patients, 22.9% in ENKL patients, 24% in AITL patients and 17.4% in ALCL patients. Median OS in whole group was 15.75 months (range, 0.1–127 months). OS according to all subtypes was showed in Figure 1. Three-year OS rate in whole group was 34.7%, in non-ALK-positive-ALCL PTCL group was 33.5%, in PTCL-NOS group was 28.4%, in ENKL group was 37.1%, in AITL group was 32.0% and in ALCL group was 52.2% (in ALK-positive ALCL group was 66.7%).

## Prognostic factors

We analyzed patients with ALCL separately from other PTCLs to avoid interference. On univariate analysis, age  $>\!60$  years, fever as initial presentation, abnormal cytogenetic study from BM aspirate, mediastinal lymphadenopathy, extranodal involvement more than one site, ECOG PS  $>\!1$ , B symptoms, lymphopenia, hypoalbuminemia, elevated  $\beta 2\text{-microglobulin}$  level, failure to achieve CR, advanced stage, IPI score  $>\!1$ , PIT score  $>\!1$  and IPTCLP score  $\geqslant\!1$  can affect OS. Thus, we use these parameters into multivariate analysis. Finally, age  $>\!60$  years, fever as initial presentation, BM involvement, thrombocytopenia, hypoalbuminemia and failure to achieve CR affected OS independently (Table 4).

In ALCL patients, age >60 years, PIT score >1, IPTCLP score  $\geqslant1$ , hypogammaglobulinemia and failure to achieve CR were unfavorable variables affecting OS. In multivariate analysis, age >60 years (P=0.005, relative risk (RR) =8.05, 95% confidence interval (Cl) 1.281–3.689), PIT score >1 (P=0.041, RR =4.16, 95% CI 1.023–3.126) and failure to achieve CR (P=0.019, RR =5.50, 95% CI 1.139–4.263) predicted OS independently. The difference in OS between ALK-positive and ALK-negative ALCLs was not significant statistically because of the limited case numbers.

## Comparison of four prognostic models

The comparisons of four prognostic models according to the CR rate, early mortality rate and 3-year OS rate in four risk stratifications were listed in Figure 2. Figure 2a showed that all prognostic models can predict CR achievement. In the final multivariate logistic regression model, Ann Arbor stage is the most important model predicting CR achievement (P = 0.006). Figure 2b

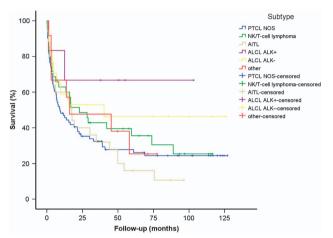
Table 2. Distribution of four prognostic models in all subtypes (%)						
	All (n = 176)	<i>PTCL-NOS</i> (n = 81)	ENKL (n = 35)	AITL (N = 25)	ALCL (n = 23)	Others (n = 12)
Ann Arbor stage						
I	37 (21.0%)	18 (22.2%)	13 (37.1%)	1 (4.0%)	4 (17.4%)	1 (8.3%)
II	34 (19.3%)	12 (14.8%)	7 (20.0%)	6 (24.0%)	6 (26.1%)	3 (25%)
III	45 (25.6%)	18 (22.2%)	6 (17.1%)	11 (44.0%)	7 (30.4%)	3 (25%)
IV	60 (34.1%)	33 (40.7%)	9 (25.7%)	7 (28.0%)	6 (26.1%)	5 (41.7%)
IPI score						
Low risk (0-1)	63 (35.8%)	28 (34.6%)	20 (57.1%)	2 (8%)	8 (34.8%)	5 (41.7%)
Low-intermediate risk (2)	36 (20.5%)	12 (14.8%)	5 (14.3%)	11 (44%)	6 (26.1%)	2 (16.7%)
High-intermediate risk (3)	33 (18.8%)	17 (21.0)	5 (14.3%)	8 (32%)	5 (21.7%)	1 (8.3%)
High risk (4–5)	44 (25%)	24 (29.6%)	5 (14.3%)	4 (16%)	4 (17.3%)	4 (33.3%)
PIT score						
Low risk (0)	29 (16.5%)	16 (19.8%)	9 (25.7%)	0 (0%)	3 (13%)	1 (8.3%)
Low-intermediate risk (1)	59 (33.5%)	24 (29.6%)	14 (40%)	5 (20%)	11 (47.8%)	5 (41.7%)
High-intermediate risk (2)	35 (19.9%)	18 (22.2%)	7 (20%)	4 (16%)	3 (13%)	3 (25.0%)
High risk (3–4)	53 (30.1%)	23 (28.4%)	5 (14.3)	16 (64%)	6 (26.1%)	3 (25%)
IPTCLP score						
Low risk (0)	56 (31.8%)	26 (32.1%)	15 (42.9%)	1 (4%)	9 (39.1%)	5 (41.7%)
Low-intermediate risk (1)	60 (34.1%)	29 (35.8%)	12 (34.3%)	6 (24%)	8 (34.8%)	5 (41.7%)
High-intermediate risk (2)	49 (27.8%)	22 (27.2%)	7 (20.0%)	12 (48%)	6 (26.1%)	2 (16.7%)
High risk (3)	11 (6.3%)	4 (4.9%)	1 (2.9%)	6 (24%)	0 (0%)	0 (0%)

Abbreviations: AITL, angioimmunoblastic T-cell lymphoma; ALCL, anaplastic large-cell lymphoma; ENKL, extranodal NK-/T-cell lymphoma; IPI, International prognostic index; IPTCLP, International peripheral T-cell lymphoma Project; PIT, prognostic index for T-cell lymphoma; PTCL-NOS, peripheral T-cell lymphoma, not otherwise specified.



Table 3.   CR and OS according to subtypes							
	AII (n = 176)	Non-ALCL PTCL (n = 153)	<i>PTCL-NOS</i> (n = 81)	<i>ENKL</i> (n = 35)	<i>AITL</i> (N = 25)	ALCL (n = 23)	<i>Others</i> (n = 12)
CHOP (%) <sup>a</sup>	71.0%	71.2%	71.6%	65.7%	80.0%	69.6%	66.7%
CR rate	30.6%	30.4%	32.9%	35.5%	13.6%	34.8%	33.3%
Early mortality rate	22.7%	23.5%	25.9%	22.9%	24%	17.4%	8.3%
3-year OS rate	34.7%	32.0%	28.4%	37.1%	32%	52.2%	41.7%
Median OS (month)	15.75 (0.1–127)	14.7 (0.1–127)	9.23 (0.1-127)	22.83 (0.3-116.5)	17.5 (0.63-96.3)	37.77 (0.63-126)	14.85

Abbreviations: AITL, angioimmunoblastic T-cell lymphoma; ALCL, anaplastic large-cell lymphoma; CHOP, cyclophosphamide, doxorubicin, vincristine and prednisolone; CR, complete response; ENKL, extranodal NK-/T-cell lymphoma; OS, overall survival; PTCL-NOS, peripheral T-cell lymphoma, not otherwise specified. <sup>a</sup>The percentage of patients received CHOP-based or -like regimens as induction chemotherapy.



**Figure 1.** Overall survival according to all subtypes estimated by the Kaplan–Meier method.

 Table 4.
 Parameters affected overall survival of patients with non-ALCL PTCL on multivariate analysis

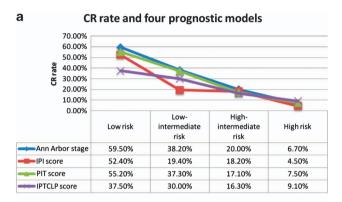
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Parameter	Relative risk	95% CI	Significance (P)			
Age more than 60 years	4.01	1.019–5.936	0.045			
Initial presentation with fever	4.01	0.045-0.968	0.045			
BM involvement	8.63	0.055-0.561	0.003			
Serum LDH level > 250 U I - 1	3.02	0.067-0.841	0.026			
Platelet count $< 150 \times 10^9  \text{l}^{-1}$	4.15	0.083-0.953	0.042			
Serum albumin level < 35 g l <sup>-1</sup>	12.66	3.019–45.423	< 0.001			
CR after induction chemotherapy	4.80	0.04-0.80	0.029			

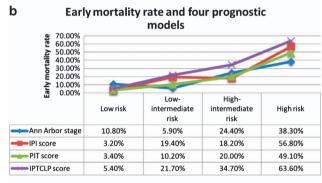
Abbreviations: BM, bone marrow; CI, confidence interval; CR, complete response; LDH, lactate dehydrogenase.

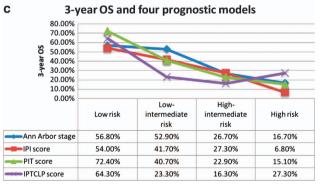
showed that all prognostic models predict early mortality. In the final multivariate logistic regression model, IPI score is the most important model predicting early mortality (P = 0.009). Figure 2c showed four models and 3-year OS rate. AIC analysis in Ann Arbor stage were 1117.520, in IPI score was 1081.197, in PIT score was 1090.347 and in IPTCLP score was 1104.508. IPI score was better than other prognostic models in correlation with OS.

# Outcome in patients receiving HSCT

Totally, 31 patients (18 males) received HSCT. Twenty-four patients received autologous HSCT (ASCT) and seven patients received







**Figure 2.** Comparison of four prognostic models. Panel (**a**) refers to CR rate according to four risk groups in prognostic models. Panel (**b**) refers to early mortality rate according to four risk groups in prognostic models. Panel (**c**) refers to 3-year OS rate according to four risk groups in prognostic models.

allogeneic HSCT (Allo-SCT; three patients from sibling donor and four from unrelated donor). There were 16 patients with PTCL-NOS, 7 with ALCL, 4 with SPTCL, 2 with ENKL, 1 with ATLL and the last 1 with AITL. Before HSCT, 14 patients (45.2%) got CR after



induction chemotherapy and HSCT was done for consolidation. Seventeen patients with refractory or relapsed diseases received HSCT as salvage treatment and 13 of them (76.4%) achieved CR after HSCT. The standard conditioning regimen of AHSCT in lymphoma was BEAC or BEAM (carmustine, etoposide, cytarabine, cyclophosphamide or melphalan). The standard conditionregimen used in allogeneic HSCT was CyTBI (cyclophosphamide plus total body irradiation). After a median duration of follow-up of 64.8 months after HSCT, 22 of the 31 patients (71.0%) were alive and 19 patients (61.3%) were with disease-free status. Of the nine patients who died, four died from progressive disease, three died from treatment-related mortality (TRM; one from acute graft-versus-host disease and two from sepsis), one died from pneumonia 36 months after HSCT and one died from secondary malignancy. The TRM rate was 9.7%. One patient was diagnosed as PTCL-NOS and received ASCT in 2003. She suffered from T-cell acute lymphoblastic leukemia/lymphoma in 2008 and received Allo-SCT. She was still disease-free now. The actuarial 3-year OS was 80.6% and median OS was 71 months (range, 7.2–127). After univariate analysis of predictive factors, only extranodal involvement more than one site affected OS significantly (P = 0.005). The differences between patients receiving HSCT or not were listed in Table 5. The patients who received HSCT were with younger age, better ECOG PS and had higher CR rate before HSCT than patients who received conventional therapy only.

### DISCUSSION

PTCLs and NKTCLs were more prevalent in Eastern Asia and the distribution of subtypes, prognosis and outcome were different from other ethnic populations. There were more ENKLs (19.9%) and less ALCLs (14.2%) in our cohort than in western country, and this geographic variation was also observed by other studies. <sup>4,9,13,37</sup> Almost all PTCLs and NKTCLs were aggressive and associated with poor prognosis, except ALK-positive ALCL. ALK-status influenced the treatment response and outcome significantly. <sup>38</sup> Besides, several studies were reported to identify prognostic factors in patients with PTCL, such as Ki-67, absolute lymphocyte count, pretreatment serum total protein, IPI score and PIT score. <sup>12,23,39-45</sup> Initial multivariate analysis in all PTCLs and NKTCLs showed that ALCL subtype and age more than 60 years were most important variables. Thus, we analyzed patients with ALCL separately. There were only six patients with ALK-positive ALCL in our study therefore, we combined ALK-positive and

Table 5. Comparison between patients receiving HSCT or not

ALK-negative ALCL for analysis. The difference of outcome between ALK-positive and -negative ALCL was not significant statistically in our patient cohort.

In patients with non-ALCL PTCL, age >60 years, fever, BM involvement, elevated LDH level, thrombocytopenia, hypoalbuminemia and failure to achieve CR affected the outcome independently. In patients with ALCL, age >60 years, PIT > 1 and failure to achieve CR were independent negative prognostic factors.

In comparison with aggressive B-cell lymphoma, the result of conventional chemotherapy was poor. CHOP remained the standard chemotherapy. A recent study showed that etoposide combined with CHOP may improve the outcome in young patients with PTCL.46 Alemtuzumab, an anti-CD 52 monoclonal antibody, was combined with CHOP as induction chemotherapy in patients with PTCL. Gallamini *et al.*<sup>47</sup> reported a wonderful result that the CR rate achieved 70% although some manageable infections. But infection might limit the use of this agent. In salvage setting, pralatrexate, a novel antifolate agent, was approved as single agent in relapsed PTCL by the US Food and Drug Administration.<sup>48</sup> The complete and partial response rates in 115 patients with relapsed or refractory PTCL were 10% and 17%, respectively. 49,50 ASCT or Allo-SCT had a role in salvage treatment or consolidation treatment for high-risk patients. Several prognostic models, including Ann Arbor stage classification, IPI score, PIT score and IPTCLP score, demonstrated their usefulness to identify high-risk patients. Gutiérrez-García et al.<sup>24</sup> compared IPI, PIT, IPTCLP and modified PIT scores by CR rate, early mortality, 5-year DFS and 5-year OS. They concluded that IPTCLP score was the best score for OS, and IPI score had the best ability to predict CR. In Asia, similar studies were absent. We use logistic regression for analyses of CR rate and early mortality and AIC for correlation with OS. The AIC analysis, which is based on the Cox proportional hazards model, represents an overall assessment of the prognostic system and is the most important reference for the comparison across different staging systems. Thus, AIC was used for analysis of OS. In our study, Ann Arbor stage correlated with CR rate best (P = 0.006). This may be because larger tumor burden lowered the CR rate. IPI score, however, predicted early mortality and 3-year OS best.

In previous studies, intensive treatment, such as ASCT or Allo-SCT, showed improvements in OS and DFS as salvage treatment or consolidation therapy in high-risk patients. Despite inferior response to conventional chemotherapy, patients with PTCL did not have inferior outcome after ASCT in two studies. <sup>51,52</sup> In a salvage setting, the 3-year OS after ASCT was approximately 36–48%. <sup>53–57</sup> In comparison with second-line chemotherapy, ASCT

8.37 (0.1-126)

24.8%

<u> </u>			
	HSCT (n = 31)	<i>Other (n = 145)</i>	Significance (P)
Male	18 (58.1%)	71.7%	0.136
Median age (range)	38 (18–68)	67 (18–90)	< 0.001
Age > 60 years	1 (3.2%)	64.8%	< 0.001
$LDH > 250UI^{-1}$	13 (41.9%)	46.2%	0.666
Advanced stage (III/IV)	20 (64.5%)	58.6%	0.545
Extranodal involvement > 1	5 (16.1%)	20.7%	0.565
BM involvement	8 (25.8%)	31.0%	0.566
Platelet count $< 150 \times 10^9  \text{l}^{-1}$	6 (19.4%)	33.1%	0.133
Age-adjusted IPI score > 1	14 (45.2%)	79 (54.5%)	0.345
CR after induction chemotherapy	45.2%	23.4%	0.014

Abbreviations: BM, bone marrow; CR, complete response; HSCT, hematopoietic stem cell transplantation; IPI, International prognostic index; LDH, lactate dehydrogenase; OS, overall survival.

71 (7.2-127)

3 (9.7%)

80.6%

< 100 days mortality after HSCT

Median OS (months, range)

3-year OS

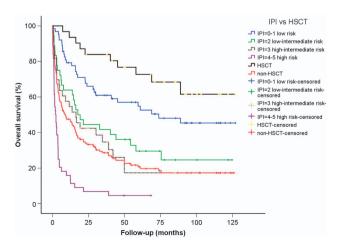
< 0.001

< 0.001

improved OS and DFS. There were several prospective trials about the role of frontline ASCT as consolidation therapy in high-risk patients. <sup>19,58–60</sup> The TRM was about 3–4.8% and 3-year OS rate ranged from 50 to 75%. The 12-year OS rate was 34% in one study by Corradini et al.<sup>58</sup> Frontline ASCT appeared to be an effective approach for the consolidation in high-risk PTCL. Age-adjusted IPI score and β2-microglobulin can predict the outcome.<sup>54</sup> Some PTCLs relapsed even after ASCT and Allo-SCT was tried in some patients. The rationale was the graft-versus-lymphoma effect.<sup>17,61</sup> But the data of Allo-SCT were limited in relapsed or high-risk PTCL with poor outcome, such as AITL, SPTCL or hepatosplenic  $\gamma\delta$  T-cell lymphoma. 17,61-65 Unfortunately, most patients with AITL were older than 70 years and cannot tolerate intensive treatment.<sup>66</sup> Because of the benefits of HSCT overweighed the acceptable TRM. We analyzed 31 patients receiving HSCT. Twenty-four and seven patients received ASCT and Allo-SCT, respectively. Fourteen patients (45.2%, thirteen ASCT and one Allo-SCT) were in CR status before transplantation. The TRM was 9.7%, 3-year OS rate was 80.6% and median OS was 71 months. The outcomes of patients receiving ASCT or Allo-SCT were even better than patients with low-risk IPI score, no matter in salvage setting or in consolidation setting (Figure 3). The negative predictive factor in patients receiving HSCT was extranodal involvement more than one site. Ann Arbor stage, IPI, PIT neither IPTCLP scores did not affect the outcome of HSCT. Owing to the advances in ASCT or reduced-intensity Allo-SCT, patients with high-risk PTCL may benefit from these novel treatments without much toxicity or TRM.

There were some limitations in our study, the retrospective design caused selection bias in interpretation of the data of HSCT. Besides, the sample size in several subtypes was too small to be analyzed separately. The NKTCL prognostic score was reported by Lee *et al.*<sup>67</sup> including LDH, B symptoms, lymph nodes, N1-N3 involvement and advanced Ann Arbor stage. In our cohort, independent prognostic factors in patients with ENKL included age >60 years, ECOG PS >1, advanced stage, extranodal involvement >1 site, IPI score >1 and lymphopenia. By analyzing five scores (stage, IPI, PIT, IPTCLP and NKTCL scores) in our patients with NKTCL, PIT score (AIC: 120.726) was better than the other four scores in predicting OS. But the case number was too small to be analyzed without bias. (Supplementary Figure and Table).

In conclusion, IPI score correlated with the OS in patients with PTCLs better than other three prognostic models. In NKTCL, PIT score was the ideal score in evaluating OS. Besides, Ann Arbor stage can predict the CR rate. Our study supported the use of HSCT in selected patients with PTCLs or NKTCLs, but prospective



**Figure 3.** Overall survival by Kaplan–Meier method of HSCT group, IPI score and non-HSCT group.

randomized trial are needed to confirm the benefit of frontline HSCT in high-risk patients responding to chemotherapy.

## **CONFLICT OF INTEREST**

The authors declare no conflict of interest.

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