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# Meichen Li<sup>1</sup>, Yuting Wang<sup>1</sup>, Keyi Li<sup>2</sup>, Xiaoyan Xu<sup>2</sup> and Lixing Zhuang<sup>3\*</sup>

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**Background:** A large number of clinical RCTs have verified that Jin's three-needle therapy (JTNT) has a great contribution to promoting the function of paralyzed limbs and relieving anxiety disorders for patients with post-stroke anxiety (PSA). However, there is still a lack of sham needle control, and its placebo effect cannot be ruled out. This study firstly verifies the real effectiveness of JTNT. Besides, the changes in serum indexes on the hypothalamic-pituitary-adrenal axis (HPA axis) are observed dynamically by the Enzyme-Linked ImmunoSorbent Assay (ELISA). The activation of different brain regions by JTNT is recorded using resting functional magnetic resonance imaging (rs-fMRI). Therefore, we can provide more practical and powerful evidence-based medical evidence for clinical decisions.

**Method:** This is a 16 week parallel, single-blind, random, controlled trial, including baseline, 4 weeks of treatment, and 12 weeks of follow-up. A total of 114 participants will be randomly divided into three groups in the proportion of 1:1:1. Participants will receive Jin's three-needle therapy in the active acupuncture group and accept sham needle treatment in the sham acupuncture group. In the waitlist control group, patients will not receive any acupuncture treatment. Outcomes cover three types of indicators, including scale indicators, serum indicators, and imaging indicators. The primary outcome is the change in the performance of anxiety symptoms, which is estimated by the 14-item Hamilton Anxiety Rating Scale (HAMA-14) and the 7-item Generalized Anxiety Disorder scale (GAD-7). Secondary outcomes are physical recovery and daily quality of life, which are evaluated by the National Institute of Health stroke scale (NIHSS) and the Modified Barthel Index Score (MBI Scale). Therefore, the assessment of the scale is carried out at baseline, 2nd, 4th, 8, 12, and 16 weeks. Adrenocorticotropin and cortisol will

be quantitatively detected by ELISA at baseline and 4 weeks after treatment. In addition, regional homogeneity analysis (ReHo) will be used to record the activity of brain regions at baseline and 4 weeks after intervention.

**Discussion:** The study aims to provide high-quality clinical evidence on the effectiveness and safety of JTNT for patients with PSA. In addition, this trial explores a possible mechanism of JTNT for patients with PSA.

**Clinical trial registration:** Chinese Clinical Trial Registry, identifier [ChiCTR2200058992].

KEYWORDS

post-stroke anxiety (PSA), Jin's three-needle therapy, sham acupuncture, CORT, ACTH, fMRI, randomized controlled trial, protocol

# Introduction

Stroke is the leading cause of permanent disability and death (1). Globally, more than 25 million people are diagnosed with stroke and 6.5 million people die from stroke each year, which aggravates the disease burden (2-4). Despite the existence of global policies and guidelines for stroke implementation, many challenges remain in establishing stroke services (5). In addition to residual physical disability, post-stroke anxiety (PSA) is one of the common neuropsychiatric comorbidities with a high incidence. The reported prevalence of PSA ranges from 20 to 25 percent (6-8). During COVID-19, PSA showed a noticeable peak, which is related significantly to social deprivation and the lack of rehabilitation (9). Compared to non-anxious patients, the patients with PSA are usually in more severe condition at presentation and sustain a long rehabilitation process, resulting in stroke recurrence and death (10-12). It suggests the importance of assessing anxiety and designing effective interventions in chronic stroke survivors. Unfortunately, existing stroke guidelines do not propose the best way to identify and guide the clinical treatment for PSA. Research on PSA is still in its early stages (13). A Cochrane review confirmed that current evidence is insufficient to guide the clinical treatment for PSA (14). Commonly used drugs such as selective serotonin reuptake inhibitors (SSRIs) have poor patient compliance due to their side effects (15). Therefore, more adjuvant therapy methods need to be developed.

Traditional Chinese medicine (TCM) has been used to treat cerebral apoplexy since 3,000 years ago and accumulated abundant and robust evidence (16). Acupuncture, as an important part of TCM, has a solid foundation in China to be applied to improve neurological impairment and complications induced by stroke (17). Jin's three-needle therapy (JTNT), established by Jin Rui, was standardized in one of the National research projects and promoted as the popular acupuncture method in Southern China (18–20). A large number of RCTs have confirmed the efficacy of JTNT in treating mental diseases such as PSA (21, 22). However, there is no placebo-controlled evidence to support it. The real efficacy of JTNT for patients with PSA remains to be proven, and its mechanism has not been fully elucidated.

It is indispensable to identify the cause and pathogenesis of PSA for targeted therapies. According to the available research results, PSA is multifactorial, involving biological and neurophysiological factors. The hypothalamic-pituitaryadrenal (HPA) axis is one of the neuroendocrine systems in the brain that controls the release of glucocorticoids from the adrenocortical glands (23). As well as being a component of the stress response, it also mediates additional downstream pathophysiological changes (24). Hypothalamic hormones stimulate corticotroph cells in the anterior pituitary to secrete adrenocorticotropin (ACTH) (25, 26). ACTH activates the synthesis and secretion of glucocorticoid cortisol (CORT) by the human adrenal cortex (27, 28). As a result of the variety of releasing factors, the HPA axis is expected to respond to stimulation quickly and dynamically. Clinical studies suggest that immediately after stroke onset, a massive release of pro-inflammatory cytokines activates the HPA axis (29, 30). The activated HPA axis promoted a "systemic anti-inflammatory response" that negatively affects function recovery and anxiety generation (31). Besides, anxiety and depression are recognized to be associated with dysregulation of the HPA axis, which accentuates inflammation, consequently increasing the risk of stroke recurrence (32). Dysfunction of the HPA axis is suspected to be one of the main mechanisms connecting stroke with anxiety (33). This study provides preliminary biological evidence for the potential efficacy effect of acupuncture on PSA.

Resting-state functional magnetic resonance imaging (rsfMRI) is an effective and non-invasive technique to record the activity of the brain in various neuropsychiatric disorders including PSA (34–36). It is revealed that the main regions related to anxiety effects are hippocampus, thalamus, frontal lobe, amygdala, insular cortex, and prefrontal cortex (37–40). The hypothesis of our study is to explore the underlying neural mechanisms of PSA through blood-oxygen-level-dependent effects (41). Regional homogeneity (ReHo) is used to process the fMRI images (42). To evaluate resting-state brain activity effectively, Kendall's coefficient of concordance (KCC) is used to compare a given voxel's time series with its nearest neighbors (43). ReHo theory states that in an area where ReHo is increased, the connections between neurons are strengthened. Conversely, the presence of reduced ReHo indicates a weakening of local connections between neurons. ReHo has shown to be a highly sensitive and reliable method for determining the regional activity level of each voxel in the brain of a single individual (44). Yet, few studies have investigated how acupuncture modulates brain regions in patients with PSA using fMRI. As a result, we use rsfMRI to explore the possible changes in brain activity and try to find the associations among brain activity, disease activity, and anxiety statuses. This study provides preliminary neurophysiological evidence for the potential efficacy effect of acupuncture on PSA.

In recent years, JTNT has increasingly attracted the attention of clinicians and researchers. However, there is insufficient evidence about the real effects of JTNT on PSA patients. Therefore, we hypothesize that acupuncture will have better clinical efficacy than sham acupuncture in clinical symptoms, anxiety degree, and quality of life. We try to investigate the potential mechanism by enzyme-linked immunosorbent assay (ELISA) and rs-fMRI.

## Methods and analysis

#### Ethical standard and study registration

The protocol was registered with the China Clinical Trial Registry (item number: ChiCTR2200058992), and this study protocol has been approved by the Ethics Committee of the First Affiliated Hospital of Guangzhou University of TCM (item number: K2022-02).

## Informed consent

Participants will have sufficient time to decide whether to participate in this trial. Before this trial, patients will have the right to obtain all relevant information about the trial including the benefits and risks, and they will have the right to withdraw from it if needed. As part of the recruitment process, all participants will be required to provide written informed consent before the trial. All participant records will be kept confidential.

## Study design

A single-center, prospective, randomized controlled trial (RCT) will be conducted at the First Affiliated Hospital of Guangzhou University of TCM. A total of 114 participants with PSA will be recruited and randomly assigned to conventional therapy plus JTNT, conventional therapy plus sham acupuncture, or conventional therapy only. Both JTNT and sham acupuncture are provided five times per week for 4 weeks. Outcomes cover three types of indicators, including scale indicators, serum indicators, and imaging indicators. The primary outcome is the change in the performance of anxiety symptoms, which is estimated by the 14-item Hamilton Anxiety Rating Scale (HAMA-14) and the 7-item Generalized Anxiety Disorder scale (GAD-7). Secondary outcomes are the physical recovery and daily quality of life for patients with PSA, which are evaluated by the National Institute of Health Stroke Scale (NIHSS) and the Modified Barthel Index Scale (MBI). The evaluator will evaluate and analyze the results at six points (baseline, 2nd, 4th, 8, 12, and 16 weeks). ACTH and CORT will be quantitatively detected by ELISA at baseline and 4 weeks of treatment. In addition, ReHo will be used to record the brain regions activity at baseline and 4 weeks after intervention. The flow chart of the trial is illustrated in Figure 1, while Table 1 shows the schedule of measurements.

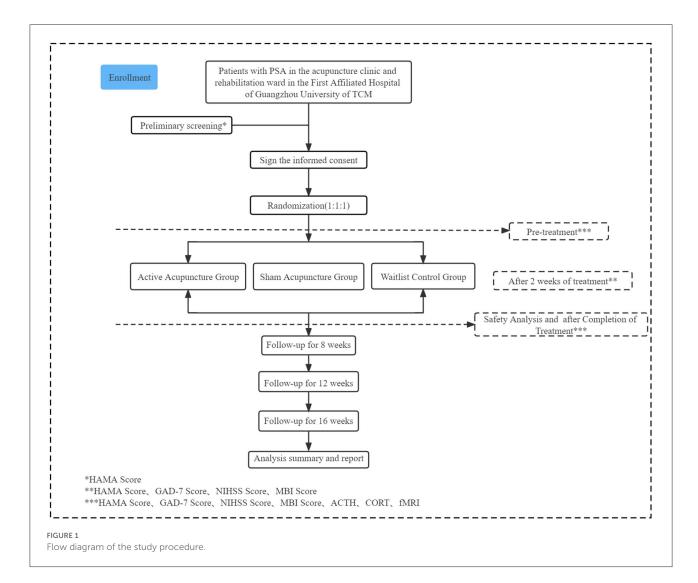
## Participant recruitment

Those who occur anxiety more than 1 week after a stroke are diagnosed with PSA according to guidelines customized by The American Psychiatric Association. A target sample of 114 participants will be recruited in the acupuncture outpatient and inpatient systems at the First Affiliated Hospital of Guangzhou University of TCM. The trial will start in May 2022 and run until December 2024. To recruit potential patients, recruitment advertisements will be posted on WeChat, acupuncture outpatient, inpatient systems, and other official platforms. Brief introductions about inclusion criteria, possible benefits for patients, and contact information for the researcher will be provided in the advertisements.

## Inclusion criteria

Participants with the following conditions are included:

- (1) The age is between 30 and 75, and gender is not limited.
- (2) Diagnosed with stroke (cerebral infarction) within 2 weeks to3 months and patients who have not had a previous stroke.
- (3) Met the diagnostic criteria for "Anxiety disorder due to another medical condition" in the Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-V) (45).



- (4) The HAMA score  $\geq$ 14 points, and <29 points.
- (5) Clear consciousness, stable vital signs, no cognitive impairment, and ability to cooperate with the scale assessment.
- (6) Have not taken anti-anxiety drugs systematically.
- (7) An informed consent is signed by patients or their immediate family members.

## **Exclusion criteria**

Participants with the following conditions are excluded:

- (1) Transient ischemic attack, reversible neurological deficit.
- (2) Diagnosed with depression, cognitive impairment, schizophrenia, bipolar disorder, substance abuse, or other mental disorders before stroke.

- (3) Those who have been confirmed by examination that the neurological deficit is caused by diseases such as brain tumor, brain trauma, cerebral vascular malformation, and brain parasites.
- (4) Patients with severe forms of heart disease, liver disease, kidney disease, or tumors.
- (5) Contraindication to an MRI examination.
- (6) Participating in any other clinical trials.
- (7) During pregnancy or lactation.
- (8) Patients who suffer from bleeding disorders, coagulation dysfunction, and skin infections that are not suitable for acupuncture.

## Randomization

The randomization sequence will be generated by an independent statistician, who will not participate in other

Timepoint	Study period							
	Enrollment (1 week) week 1	Baseline	Treatment phase (4 weeks)		Follow-up phase (12weeks)			
		0 week	Week 2	Week 4	Week 8	Week 12	Week 16	
Enrollment								
Informed consent	×							
Eligibility screen	×							
Medical history	×							
Merger disease	×							
Randomization		×						
Allocation		×						
nterventions								
ntervention group		←		$\longrightarrow$				
Control group		←		$\longrightarrow$				
Assessments								
HAMA-14		×	×	×	×	×	×	
GAD-7		×	×	×	×	×	×	
NIHSS		×	×	×	×	×	×	
MBI		×	×	×	×	×	×	
CORT		×		×	×	×	×	
ACTH		×		×	×	×	×	
MRI		×		×				
afety								
afety evaluation		×		×				
Adverse events		←		$\longrightarrow$	←		$\longrightarrow$	

TABLE 1 Study schedule showing the time points for enrollment and assessment.

×, required; HAMA, 14-item Hamilton Anxiety Scale; GAD-7, 7-item Generalized Anxiety Disorder-7 Scale; NIHSS, National Institute of Health stroke scale; MBI, the Modified Barthel Index Scale.

procedures of the trial, using the SAS 9.3 statistical analysis system (SAS Institute Inc., Cary, NC, USA). To guarantee allocation concealment, the generated list of random numbers will be placed into sequentially numbered, opaque, sealed envelopes. A special information manager will be designated to keep information secure. Researchers need to contact the designated information manager to get a random number and group information for each participant included in the study.

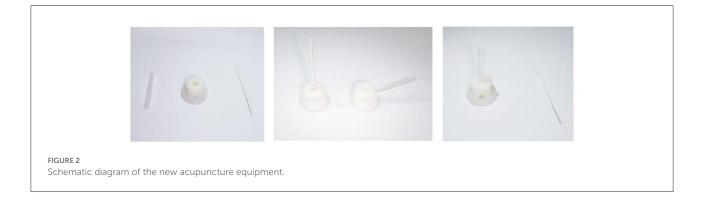
## Blinding

Following the information from the envelope, each participant will be randomly assigned in a 1:1:1 ratio to active acupuncture group, the sham acupuncture group or the waitlist group. Acupuncturists, who had received the prepared standard operating procedure guidance for 2 weeks, will be appointed to perform the acupuncture treatment for both groups separately. The blinding acupuncture device has obtained the national utility model patent certificate (patent number: ZL 202121352221.7). It is a validated device that consists of one tube, one needle base with multiple angle openings, one open

or sealing adhesive base, and a pointy or flat acupuncture needle. Figure 2 details the blinding device. The customized blinding needles are produced by a manufacturer with relevant qualifications (Guangzhou Suixin Medical Equipment Co., Ltd.). Before our research, patients will be informed that they may have either "less painful acupuncture" (sham needles) or "traditional Chinese acupuncture" (real needles). As the sham acupuncture in this study can create similar pain to acupuncture without penetrating the skin, it can serve as an effective blinding tool. To maximize blinding effect, both groups will be given identical blindfolds during the treatment. Even so, it is impractical to blind the acupuncture operators due to the acupuncture procedure. In an attempt to minimize the subjective influence, statisticians and data managers, as independent three-party to the research, will also be blinded to the group information.

## Interventions and comparison

All groups of patients with PSA will receive routine drugs and rehabilitation treatment during the whole 4-week study,



implemented by clinicians and rehabilitation therapists with more than 5 years of clinical experience. All the researchers in this study had received the prepared standard operating procedure (SOP) guidance for 2weeks before the trial. According to the Guidelines for the Prevention and Treatment of Cerebrovascular Diseases in China (46), basic therapeutic drugs with nutritional support, neuroprotection, and secondary prevention drugs will be provided. Additionally, rehabilitation exercises targeting different functions of the subject will be implemented. However, Chinese herbal medicine will be prohibited during the trial. The active and sham acupuncture groups will receive acupuncture treatment five times per week for 4 weeks. The waitlist group will not receive any acupuncture treatment. The specific operations are as follows:

## Active acupuncture group

Jin's three-needle therapy is performed by professional acupuncturists. After the acupoint is positioned precisely and the skin to be punctured is disinfected, the needle will be inserted quickly. The location of acupoints is based on the guidelines issued by the World Health Organization (WHO) (47). The subject is asked to take a supine position and wear an eye patch after fully exposing the site to be punctured. Before the intervention, the acupuncturists assemble the tube into the needle base and put the open adhesive base stacking on the target acupoint after skin disinfection with an iodophor cotton swab. The pointy acupuncture needle is passed through the tube into the skin of the subject. Figure 3 shows the acupuncture points, and Table 2 details the locations. Acupoints will be stimulated manually with the depth of insertion varies from 0.5 to 1.5 cun until operating the sense of "De Qi" (48). The reactions of "De Qi" make patients feel soreness, distension, or heaviness, which are vital for acupuncture to react to different nerve conduction (49, 50). After "De Qi" happens, the needles are kept in the acupoints for 30 min without electricity applied. After the needle is removed, the needle hole will be pressed with a sterile dry cotton swab for a while. It must be ensured that the patient is not allowed to remove the eye patch from the start of the acupuncture to the end of the needle withdrawal procedure.

## Sham acupuncture group

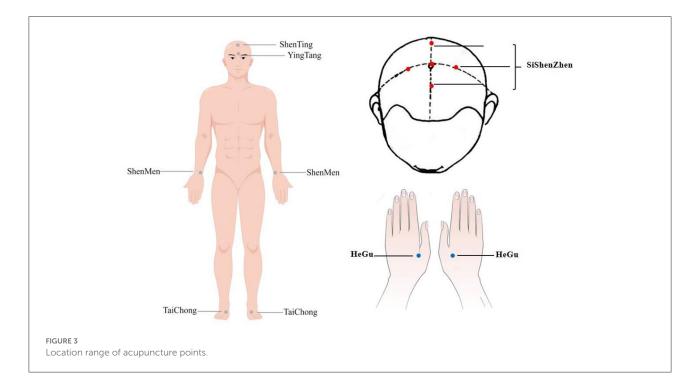
Before the intervention, the acupuncturists assemble the tube into the needle hub and put the sealing adhesive base stacking on the target acupoint after skin disinfection. However, the flat acupuncture needle is passed through the tube into the adhesive sealing base, and the peak of the flat needle can touch the surface skin of the subject to create similar pain as real acupuncture without penetrating the skin. Therefore, it can serve as an effective blinding tool. In both groups, the number of needles inserted, needle retention time, and treatment frequency are the same.

## Waitlist control group

During the first 4 weeks, participants in the waitlist group will not receive any acupuncture intervention but will be given compensatory needle therapy after follow-up phase finished.

## Sample size

The sample size was calculated by the primary outcome. According to the results of preliminary pre-experiment, the Mean  $\pm$  SD of HAMA score in patients who received conventional therapy plus JTNT was  $14.25 \pm 7.10$ , while it was  $19.46 \pm 4.80$  in patients who received conventional therapy plus sham acupuncture. Statistical power is set at 0.90, alpha is set at 0.05, and two-sided tests are performed. After calculations performed by PASS 15.0 (NCSS LLC. Kaysville, Utah, USA) software, 30 people are estimated for each group. The estimated loss to follow-up rate is 20%, so each group will eventually need to include 38 people. The formula for sample size calculation is as follow. Therefore, to verify the placebo effect of sham acupuncture, a waiting group was recruited. The number of



participants in the waitlist control group is equal as the sham acupuncture group. Finally, a total of 114 participants will be randomly divided into three groups in the proportion of 1:1:1.

$$N = \frac{(Z_{\alpha} + Z_{\beta})^2 * 2\sigma^2}{\delta^2} \tag{1}$$

## Outcome measures

Outcomes cover three types of indicators, including scale indicators, serum indicators, and imaging indicators. The assessment of the scale is carried out at baseline, 2nd, 4th, 8, 12, and 16 weeks. CORT and ACTH will be quantitatively detected by ELISA at baseline and 4 weeks of treatment. In addition, ReHo will be used to record the activity of the brain at the baseline and 4 weeks after intervention. All evaluations are conducted by researchers who are blinded to the treatment allocation.

## The primary outcome

The primary outcome is the change in the performance of anxiety symptoms, which is estimated by the 14-item Hamilton Anxiety Rating Scale (HAMA-14) and the 7-item Generalized Anxiety Disorder scale (GAD-7).

#### Hamilton anxiety rating scale

The severity of anxiety is often determined by psychological scales. The Hamilton Anxiety Scale (HAMA) is one of the first rating scales to measure the severity of perceived anxiety symptoms. It is considered one of the most widely used rating scales and has been translated into Cantonese (51). A structured interview guide contains a 14-item Hamilton Anxiety Rating Scale of general anxiety, which is rated on a 5-point scale and ranged from 0 (none) to 4 (very severe) by the interviewer.

#### Generalized anxiety disorder scale

Several different types of anxiety disorders have been found in PSA. GAD seems to be the most common type (11). The 7item Generalized Anxiety Disorder Scale (GAD-7) is commonly used to monitor anxiety symptoms. The reliability and validity of GAD-7 have been well-documented (52). A diagnostic metaanalysis in East Asian samples concluded that given the brevity, sensitivity, and specificity of the questionnaire reported, GAD-7 can be well-utilized to identify people with GAD (53).

## The secondly outcome

The secondary outcomes are the physical recovery and daily quality of life for patients with PSA, which are evaluated by the National Institute of Health stroke scale (NIHSS) and the Modified Barthel Index Scale (MBI). Besides, secondary outcomes will be obtained using ELISA and fMRI scanning.

Acupoints	Location	Insert angle	Insert depth
BaiHui (GV20)	on the head, 5 cun directly above the midpoint of the anterior		
	hairline, or at the midpoint of the line connecting the apexes of		
	the two auricles.		
SiShenZhen	On the head, a total of 4 acupoints are distributed in the front,	15°	0.5 cun
	back, left and right sides of the Baihui acupoint, with 1.5 cun		
	each above BaiHui.		
ShenTing (GV24)	on the head, 0.5 cun directly above the midpoint of the	$15^{\circ}$	0.5 cun
	anterior hairline.		
YinTang (GV29)	On the forehead, at the midpoint between the two medial ends	$15^{\circ}$	0.5 cun
	of the eyebrow.		
ShenMen (HT7) (bilateral)	On the wrist, in the ulnar depression of the transverse wrist	$90^{\circ}$	0.5 cun
	crease, the radial border of the flexor carpi ulnaris tendon.		
SanYinJiao ( SP6) (bilateral)	On the inner side of the calf, 3 cun above the tip of the medial	90°	1.5 cun
	malleolus, along the medial border of the tibia.		
HeGu (LI4) (bilateral)	On the dorsum of the hand, approximately on the radial side	90°	0.5 cun
	of the second metacarpal bone, between the first and second		
	metacarpal bones of the hand.		
TaiChong (LR3) (bilateral)	On the dorsal side of the foot, in the subdigital depression to	90°	0.5 cun
	the first metatarsal space.		

TABLE 2 Specific acupoint location and angle requirements.

1 cun is equal to the width of the interphalangeal joint on the middle finger.

ELISA will be used for quantitative analysis of the content of CORT and ACTH in serum.

#### National institute of health stroke scale

Stroke severity is measured using the National Institute of Health Stroke Scale (NIHSS) (54). There is a 15-item neurologic examination in the NIHSS that is used to assess stroke symptoms. The items are graded from 0 to 42 on an ordinal scale, with higher scores indicating greater severity.

#### Modified barthel index scale

The Modified Barthel Index (MBI) contains ten basic aspects assessing functional independence related to self-care and mobility (55). Each item is divided into five levels, and the level represents different degrees of independence. The lowest level is 1 and the highest is 5, while the higher the level, the greater the independence. The normal score is 100.

#### Enzyme-linked immunosorbent assay

The concentration of ACTH and CORT levels in plasma are measured with commercially immunosorbent assay (ELISA) kits (56). All procedure is conducted according to the manufacturer's instructions. We first measure the absorbance of each sample at 450 nm. Then, a standard curve will be drawn, with the absorbance as the ordinate and the corresponding standard concentration as the abscissa. A regression equation from the standard curve will be used to calculate the concentration of CORT and ACTH in each sample.

#### Functional-MRI

Each subject underwent an fMRI scanner with a head orthogonal coil for fMRI data acquisition before and after acupuncture treatment. Before the scanning, participants should adjust the environment on the bed for at least 5 min to help calm down. The subject must hold still and not think systematically or fall asleep. To keep away from the scanner noise and optical disturbance, eyeshades and earplugs are put on. To keep the head from moving, foam pads are used. The scan will be performed in the following order. The position of the image involving the entire brain is structurally acquired by resting-state blood oxygenation level-dependent (BOLD) imaging and echoplanar imaging sequence (57). Then, a three-dimensional (3D) structure is included in the brain volume imaging sequences by capturing a high-resolution image of the entire brain scan (58). The parameters of each sequence scan are listed in Table 3.

## Incidence of adverse events

Although acupuncture is a relatively safe treatment with a low risk of adverse events (AEs), at each acupuncture treatment,

Sequence	TE (ms)	TR (ms)	Depth (mm)	Interval (mm)	Vision (mm <sup>2</sup> )	Matrix
Bold	30	2000	4	0	$240 \times 240$	$64 \times 64$
3D-BRAVO	3.1	8.1	1	0	256 × 256	$256 \times 256$

TABLE 3 Scanning parameters.

TE, time of echo; TR, time of repetition; BOLD, blood oxygenation level-dependent; 3D-BRAVO, three-dimensional brain volume imaging.

study acupuncturists will enquire about AEs. While patients receive acupuncture, AEs still may occur, such as pain, bleeding, fainting, infection, and hematoma. The rate of incidence will be carefully recorded in the case report forms, and the causality with acupuncture therapy will be analyzed.

## Data collection and management

Case report forms (CRFs) are designed to make data entry and export more convenient (55). Evaluators will record the detailed personal information and classify the research data of the subject in the CRFs. After the observed recourse end, CRFs will be sorted out in time and imported into the electronic database. To ensure the security and accuracy of databases, the completed paper CRFs will be collected into locked cabinets uniformly. In addition, the electronic database is managed by a third-party person who does not involve in the research process, so the researcher cannot modify the data content. Participants will be identified by a code and their personal information will be hidden and kept strictly confidential. The Data Monitoring Committee of the Rehabilitation Center of the First Affiliated Hospital of Guangzhou University of TCM aims to monitor the trial progress regularly, make sure the safety of the trial, and verify the completeness of the CRFs.

#### Statistical analysis

#### Clinical data analysis

Third-party statisticians who do not involve in the whole assignment process and implementation of the trial will be invited to conduct statistical analysis. The data will be analyzed using SPSS 26.0 software (SPSS, Inc., Chicago, IL, USA) according to the intention-to-treat principle. The multiple imputation-expectation maximization algorithms are conducted to impute missing data. Continuous variables are summarized as mean  $\pm$  standard deviation (SD) and tested using a *t*-test or Wilcoxon rank-sum test analysis. Categorical variables are reported in percentage (%) and compared using Pearson's  $X^2$  test or Fisher's exact test. The groups' comparisons are analyzed by *t*-test (independent *t*-test for comparison between

the groups; paired *t*-test for comparison within the same group). The non-parametric Wilcoxon rank-sum test or the Mann-Whitney U test will be conducted for the measurement data without a normal distribution or homogeneous variance. All bilateral hypothesis tests with a significance level <0.05 will be considered statistically significant.

#### MRI data analysis

The DPARSF software platform (DPARSF, Data Processing Assistant for rs-fMRI, GNU GENERAL PUBLIC LICENSE, Beijing, China) is used to preprocess the MRI data, based on the Resting-State fMRI Data Analysis Toolkit (REST; http://www.restfmri.net) and the statistical parametric mapping (SPM8; http://www.fil.ion.ucl.ac.uk/spm). Then, the rs-fMRI data corrections are performed on the images such as slice timing and realignment for intra-volume acquisition delay. To minimize the influence of head movement, subject with head motion (maximum displacement > 2.5 mm or angle movement  $> 2^{\circ}$ ) during the whole fMRI scan will be excluded. Stabilization of the magnetization and adaptation of the participants to the fMRI environment will take time. For each time series, the first 10 time points will be deleted to exclude non-neuronal BOLD noise signals (59). In spatial normalization, the whole brain template of the Montreal Neurological Institute (MNI) standard space is normalized in all data spaces (isotropic voxel size =  $3 \times 3 \times 3$  mm) (60). By calculating Kendall's coefficient of concordance (KCC), a voxel-by-voxel basis of a given voxel time series with its neighboring 26 voxels is generated to obtain a separate ReHo map. Finally, a spatially smoothed function with  $4 \times 4 \times 4$  mm (full width at half maximum) Gaussian kernel is applied to reduce the noise and residual in the gyrus anatomy. The statistical analysis tool of REST is performed for independent t-tests to identify the ReHo patterns of the three groups (61). Besides, a paired ttest is applied to compare the changes in the brain before and after the treatment in each group. Covariates, such as age and education, are considered by the Pearson correlation coefficient to analyze the relationship between the improvement scores of the correlation scales and the brain regions of fMRI image data.

## Quality control

To improve quality control during the trial process, several measures will be taken. (1) Before clinical research, we will conduct a unified training for all researchers about the trial protocol, operating standard procedures, and personnel deployment. (2) To ensure the feasibility of the research operation, all the acupuncturists involved have obtained the qualification certificate for more than 5 years, and have grasped the operating specifications of the new needle equipment. (3) Instruments, equipment, and reagents to be used have strict quality standards to ensure that they work under normal conditions. (4) To ensure the reliability of the research conclusions, information feedback is carried out at the beginning and mid-term of the project, and we will solve various problems in the course of the project research promptly.

## Discussion

The individual's social role is always changed as a result of sudden neurological impairment after stroke, which requires a psychological transition and adjustment to a new definition of self (62-65). The self-acceptance process causes a high incidence of anxiety. The incidence of anxiety did not decrease significantly over time during 24 months after stroke (66). During COVID-19, PSA showed a noticeable peak (9). It has been pointed out by two comprehensive reviews that loss, social isolation, uncertainty, and physical dependence as factors that might contribute to negative psychological outcomes (67). Emotional resistance and self-acceptance are associated with poorer recovery outcomes and reduced life engagement. Since the long-term anxiety exerts a negative effect on the quality of life for the stroke survivors, which has become a worldwide phenomenon (68), it deserves more attention than it has so far received.

There has been a large number of studies showing that acupuncture is effective in the treatment of stroke and its complications. Acupuncture interventions are various, and a unified acupuncture protocol is still on the way (69). Jin's three-needle therapy (JTNT), invented by Professor Jin Rui, is famous for using three silver needles to cure diseases. JTNT is representative and has been widely used in public hospitals around Southern China. Its real clinical efficacy is worthy of study (70). Despite the high number of clinical trials about stroke, the focus of most existing research is limited to motor symptoms rather than complications after stroke (20). Besides, there is few sham control to observe the real effect of acupuncture. Investigators focus on efficacy rather than exploring pathogenesis. Given this, this study selected the sham needle equipment invented by members of our research team as the control interventions. We formulated a standard operating procedure protocol to further expound the mechanism of acupuncture through ELISA and rs-fMRI.

The clinical effect of JTNT on both motor and anxiety symptoms for PSA has been verified in the previous clinical trial carried out in the First Affiliated Hospital of Guangzhou University of TCM. To further explore the mechanism of JTNT, we completed the first draft of this research protocol. After discussion and revision of the draft by the hospital ethics committee, the final protocol was unanimously approved.

This trial attempted to assess possible mechanisms of JTNT for PSA by the HPA axis. To dynamically observe the therapeutic effect of acupuncture on PSA, we detected the key factors of the HPA axis–the changes in ACTH and CORT before and after treatment. The action mechanism of JTNT is investigated by functional magnetic resonance imaging (fMRI) to observe whether JTNT can relieve anxiety symptoms by activating the core brain regions associated with emotion regulation.

However, this study still has limitations. First, this is a single-center study, because of the COVID-19 outbreak, we cannot conduct trials in multiple centers. Secondly, due to the use of a new type of acupuncture equipment in this study, it is necessary to explain to the patient well in exchange for greater compliance. In addition, we are still working hard to standardize the process of this study to provide high-quality medical evidence for JTNT so that it can be used as an optimized plan for PSA supplementation therapy.

## Ethics statement

The studies involving human participants were reviewed and approved by Ethics Committee of the First Affiliated Hospital of Guangzhou University of Traditional Chinese Medicine. The patients/participants provided their written informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

## Author contributions

Study design: ML. Study conduct: ML and YW. Drafting manuscript and approving the final version of the manuscript: all authors.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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