

Expression of MUC1 and MUC4 in Gallbladder Adenocarcinoma

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Received: April 10, 2012
Revised: July 28, 2012
Accepted: August 6, 2012

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Background: Recent reports have indicated that overexpression of mucin (MUC) 1 and/or MUC4 correlates with the occurrence and progression of extra-hepatobiliary malignancy. In this study, we investigated the expression of MUC1 and MUC4 and their prognostic significance in gallbladder adenocarcinoma. **Methods:** We examined 54 surgical gallbladder adenocarcinoma samples by immunohistochemistry for MUC1 and MUC4 expression. Staining was evaluated as a sum score of extent and intensity, dividing the samples into low and high expression groups. **Results:** The low expression group for both MUC1 and MUC4 was 10 samples (18.5%), and the high expression group was 44 samples (81.5%). High MUC1 expression was significantly correlated with more differentiated tumors ($p=0.033$), whereas high expression of MUC4 correlated with negative nodal status ($p=0.012$). Other pathological features were not correlated with MUC expression. Multivariate cox regression analysis showed that neither MUC1 nor MUC4 expression correlated with survival. **Conclusions:** Although there were some correlations found, a prognostic role for either MUC1 or MUC4 expression in gallbladder carcinoma was not identified in this study. Further investigation is required.

Key Words: MUC1; MUC4; Gallbladder; Adenocarcinoma

Gallbladder carcinoma is the fifth most common malignancy of the alimentary system and most common malignant tumor of the biliary tract.¹ Due to its nonspecific symptoms, early diagnosis is difficult, and it is therefore usually diagnosed at an advanced and inoperable state. Even though the etiology of gallbladder carcinoma is complex and difficult to comprehend, there have been a few reports in which its occurrence appears to be related with chronic cholecystitis, obesity and the presence of an anomalous pancreaticobiliary union.² At a genetic level, alterations in *p53* or *K-ras* may contribute to the development of gallbladder carcinoma,³ and several recent studies have also shown a relationship between epithelial mucins (MUCs) and human neoplasm.⁴⁻¹⁰ The twenty MUC-encoding genes are categorized into membrane-associated mucins and secreted mucins, and membrane mucins, such as MUC1 and MUC4, have previously been associated with poor prognosis in several different malignancies.⁴⁻¹⁰

MUC1 expression is normally high in the tracheobronchus, breast, salivary gland, pancreas, prostate and uterus, but sparse in the stomach, small bowel, large bowel and gallbladder. Overexpression of MUC1 in cancers of the breast, lung, stomach, prostate, pancreas, ovaries, and colon is associated with tumor aggressiveness, loss of cell-cell adhesion, and/or increased tumor

invasiveness.^{4-6, 9-11} MUC4, on the other hand, is expressed in normal epithelial tissues, including tracheobronchus, esophagus and colon. While several studies have examined the expression of MUC1 and MUC4 in the extrahepatic biliary system,⁶⁻⁸ very little is known regarding MUC expression in advanced gallbladder carcinoma.¹²⁻¹⁴ It has been reported that an interaction between MUC4 and *erbB2* drives the progression of gallbladder carcinoma;¹⁵ this interaction inhibits tumor cell apoptosis, thereby promoting tumor proliferation. Like MUC1 overexpression, MUC4 also impairs cell-cell adhesion, thus promoting tumor invasion.¹⁶⁻¹⁸ Additionally, the β subunits of MUC1 and MUC4 have recently been identified as predictive markers for chemotherapy response.¹⁹ The goal of this study was to investigate the expression of MUC1 and MUC4 and its prognostic significance in gallbladder adenocarcinoma in 54 patients with cholecystectomy.

MATERIALS AND METHODS

Patients

We examined 57 surgical gallbladder carcinoma specimens obtained from curative excision at the Kosin University between January 2000 and December 2009. One sarcomatoid carcinoma

and two squamous cell carcinoma specimens were excluded, leaving 54 adenocarcinoma specimens. Samples were examined histopathologically according to the World Health Organization classification for invasion depth and histological differentiation. A retrospective chart review was carried out to obtain patient clinical data and follow-up survival time. The mean period of follow-up period was 31.9 months (range, 1 to 126.6 months), with one case lost during follow-up. Overall survival was calculated from the date of surgery, and the survival endpoint was either the date of last follow-up (September 30, 2011) or death.

Immunohistochemistry

All specimens were fixed in 10% formalin, embedded in paraffin, serially sectioned into 4-5 μm thick sections, mounted on polysine coated slides and later deparaffinized. Slides were then immersed for 20 minutes in 3% hydrogen peroxide in methanol to deplete endogenous peroxidase activity. After washing, slides were incubated with a protein-blocking agent for 5 minutes. Primary antibodies against MUC1 core protein (clone VU-4-H5, monoclonal antibody, Invitrogen, Carlsbad, CA, USA) and MUC4 core protein (clone 5B12, monoclonal antibody, Abnova, Taipei, Taiwan) were used at a dilution of 1:150. The slides were incubated with the primary antibodies in a humidified chamber for 1 hour, washed with phosphate buffered saline (PBS) for 30 minutes, and then the buffer was changed. Samples were then incubated with biotinylated secondary antibodies for 10 minutes at room temperature. Lastly, the slides were incubated in a solution containing 0.3% hydrochloride in PBS for detection. Slides were counterstained with Mayer's hematoxylin, prior to mounting in crystal.

Criteria for interpreting MUC protein expression

Using the modified criteria of Jeon *et al.*,⁹ the presence and degree of MUC expression were graded based on the sum score of extent and intensity of staining. For the extent scale, a sample with fewer than 5% of cells expressing the protein was defined as negative. The positive extent scale was divided into three groups: score 1 (<33% of cells expressing the protein), score 2 (33-66%), and score 3 (>66%). For the intensity scale, staining that was less intense and weaker than the adjacent normal epithelium was defined as negative. The positive intensity scale was divided into two groups: score 1 (weak) and score 2 (strong). Score 2 (strong) was present as a pronounced apicomembranous and/or cytoplasmic expression (Fig. 1). Sum scores (0-5) of the extent and intensity scales were categorized into two groups for statistical analysis: low expression (sum score

0-3) and high expression (sum score 4-5).

Statistical analysis

Statistical analysis was performed using SPSS ver. 18.0 (SPSS Inc., Chicago, IL, USA), and a p-value of less than 0.05 was considered to be statistically significant. A chi-square test was used to determine the association, if any, between MUC expression and clinicopathological parameters. A Kaplan-Meier survival analysis was carried out to compare low- and high-expression groups of MUC1 and MUC4 in a log-rank test. In addition, a Cox regression analysis was performed to consider covariates that are known potential confounders or independent risk factors for death. These covariates and risk factors included sex, age, differentiation, stage, presence of lymph node metastases, lymphatic invasion, and perineural invasion.

RESULTS

Clinicopathological parameters

The study cohort consisted of 26 males and 28 females, all between 44 years and 88 years of age, with a mean age of 67.3 years. Thirteen subjects (24.1%) were younger than 65 years, and 41 subjects (75.9%) were older than 65 years. With respect to the degree of differentiation, 28 samples (51.9%) were determined to be well-differentiated, 21 samples (38.9%) were moderately differentiated and 5 samples (9.2%) were poorly differentiated. The samples were divided into four different stages of invasion: T1 (21 samples, 38.9%), invasion into the surrounding muscle layers; T2 (19 samples, 35.2%), invasion into the connective tissues around the muscles; T3 (12 samples, 22.2%), invasion into the membrane, nearby organs or liver; T4 (2 samples, 3.7%), invasion into the hepatic portal vein or artery, or into two organs or more. Of the 54 subjects, 5 subjects (9.3%) had lymph node metastasis, 13 subjects (24.1%) showed lymphatic vessel invasion, 10 subjects (18.6%) had perineural invasion, 12 subjects (22.2%) had gallbladder stones and 6 subjects (11.1%) had an increase in total bilirubin and tumor marker carbohydrate antigen 19-9 (Table 1).

Immunohistochemical staining

Fifty-two of the gallbladder adenocarcinoma samples expressed MUC1, and all 54 expressed MUC4. For both MUC1 and MUC4 expression, there were 10 samples (18.5%) and 44 samples (81.5%) in the low and high expression groups, respectively (Table 2).

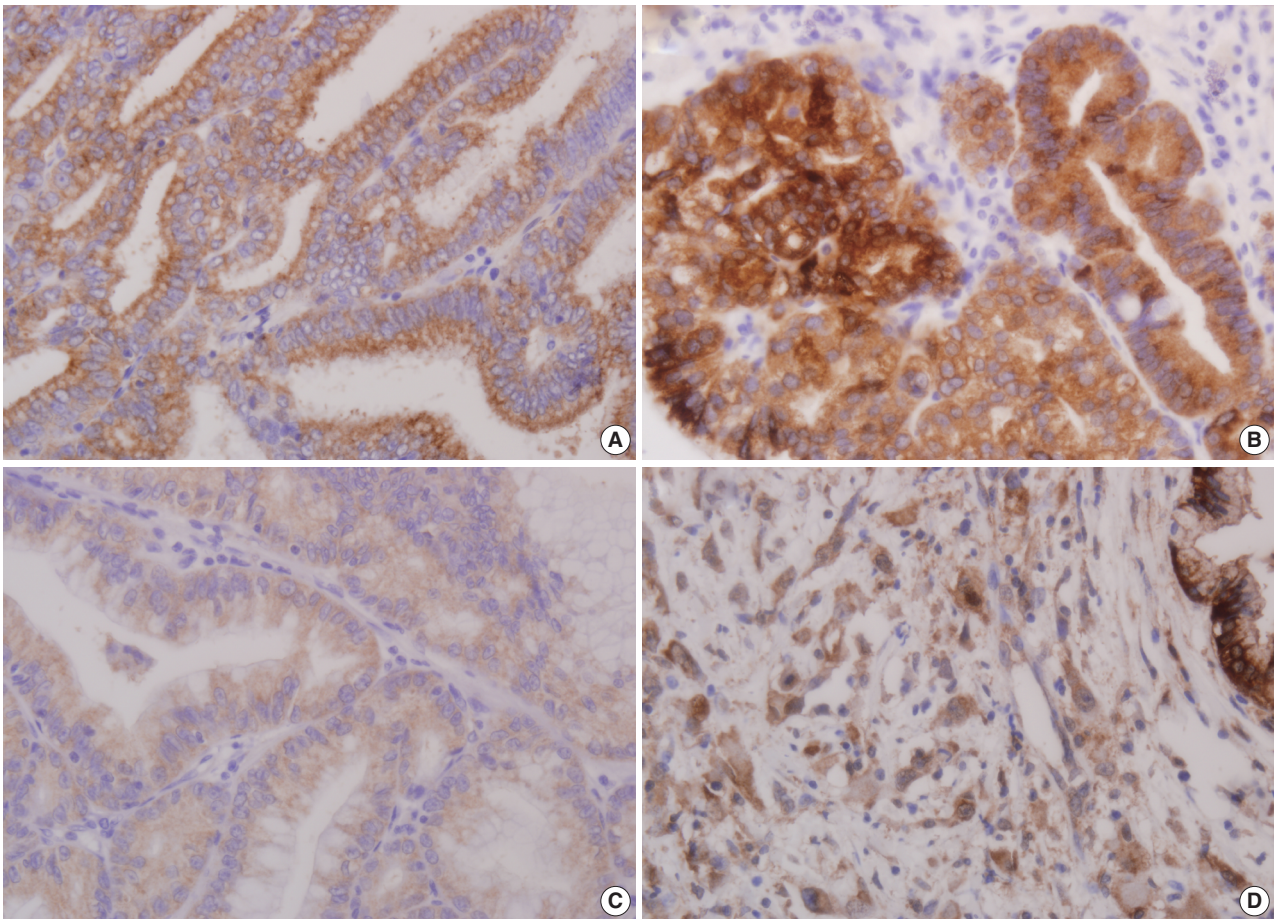


Fig. 1. Immunohistochemical staining of mucin (MUC) 1 and MUC4 in gallbladder adenocarcinoma. (A) MUC1, intensity score 1. Weak positive expression along the apical membrane of tumor cells in well-formed tubules is noted. (B) MUC1, intensity score 2. Strong positive cytoplasmic expression of tumor cells in moderately differentiated tubules is noted. (C) MUC4, intensity score 1. Weak positive cytoplasmic expression of tumor cells in well-formed tubules is noted. (D) MUC4, intensity score 2. Strong positive expression in the cytoplasm of poorly differentiated individual tumor cells is noted.

Correlations between MUC expression and pathological features

High expression of MUC1 was observed in 25 well-differentiated samples (56.8%), 17 moderately differentiated samples (38.6%), and 2 poorly differentiated samples (4.6%). MUC1 expression correlated with more differentiated cases ($p=0.033$). However, there was no correlation between MUC1 expression and other pathological features such as nodal status, lymphatic and perineural invasion and invasion depth (Table 1). High expression of MUC4 was observed in 42 node-negative (95.5%) and 2 node-positive (4.5%) samples (4.5%); therefore there was a significant correlation ($p=0.012$) between high MUC4 expression and node-negative status. Other pathological features, such as tumor differentiation, lymphatic and perineural invasion and invasion depth did not correlate with MUC4 expression (Table 1).

Correlations between MUC expression status and survival rate

We examined the survival of both low and high MUC1 and MUC4 expression groups using a univariate Kaplan-Meier survival analysis. For MUC1, the low expression group had a mean survival of 68.0 months, and the high expression group had a mean survival of 63.9 months (Log rank = 0.747). The low and high MUC4 expression groups had mean survivals of 38.0 and 73.8 months, respectively. However, in spite of the distinct survival gap between low and high expression of MUC4, MUC4 expression did not significantly correlate with patient survival (Log-rank = 0.162) (Fig. 2). Finally, in contrast to the independent survival factors of T-stage and nodal status, the prognostic values of MUC1 and MUC4 were not confirmed with a cox-regression model (Table 3).

Table 1. Correlation between mucin (MUC) 1 or MUC4 expression and clinicopathological parameters

Total	MUC1		p-value	MUC4		p-value
	L (n=10)	H (n=44)		L (n=10)	H (n=44)	
Gender						
Male (26)	3 (30)	23 (52.3)	0.203	5 (50)	21 (47.7)	0.897
Female (28)	7 (70)	21 (47.7)		5 (50)	23 (52.3)	
Age (yr)						
< 65 (18)	3 (30)	15 (34.1)	0.804	4 (40)	14 (31.8)	0.620
≥ 65 (36)	7 (70)	29 (65.9)		6 (60)	30 (68.2)	
Differentiation						
WD (28)	3 (30)	25 (56.8)	0.033	3 (30)	25 (56.8)	0.218
MD (21)	4 (40)	17 (38.6)		5 (50)	16 (36.4)	
PD (5)	3 (30)	2 (4.6)		2 (20)	3 (6.8)	
T-stage						
T1 (21)	5 (50)	16 (36.4)	0.723	2 (20)	19 (43.2)	0.342
T2 (19)	3 (30)	16 (36.4)		4 (40)	15 (34.1)	
T3-4 (14)	2 (20)	12 (27.3)		4 (40)	10 (22.7)	
LN metastasis						
Negative (49)	9 (90)	40 (90.9)	0.929	7 (70)	42 (95.5)	0.012
Positive (5)	1 (10)	4 (9.1)		3 (30)	2 (4.5)	
Lymphatic invasion						
Negative (41)	9 (90)	32 (72.7)	0.249	8 (80)	33 (75)	0.739
Positive (13)	1 (10)	12 (27.3)		2 (20)	11 (25)	
Perineural invasion						
Negative (44)	10 (100)	34 (77.3)	0.095	6 (60)	38 (86.4)	0.053
Positive (10)	0 (0)	10 (22.7)		4 (40)	6 (13.6)	

p-value, by χ^2 test. L, low expression; H, high expression; WD, well differentiated; MD, moderately differentiated; PD, poorly differentiated; LN, lymph node.

Table 2. The extent and intensity scores of mucin (MUC) 1 and MUC4 expression in gallbladder adenocarcinoma (n=54)

	Scale	MUC1 (%)	MUC4 (%)
Extent	0	2 (4)	0 (0)
	1	5 (9)	2 (4)
	2	7 (13)	9 (16)
	3	40 (74)	43 (80)
Intensity	0	2 (4)	0 (0)
	1	11 (20)	21 (39)
	2	41 (76)	33 (61)
Sum score	0-3	10 (18.5)	10 (18.5)
	4-5	44 (81.5)	44 (81.5)

Extent scale: 0 (<5%), 1 (<33%), 2 (\leq 66%), 3 (>66%).

Intensity scale: 0 (negative), 1 (weak), 2 (strong).

DISCUSSION

Mucins are high molecular weight glycoproteins that have an important role in epithelial cell protection and maintenance of homeostasis. They are produced by various epithelial cells, and oligosaccharides are often attached to serine or threonine residues of the mucin core protein backbone by O-glycosidic linkages.¹⁵ Mucins are subdivided into two structural and functional classes: secreted mucins (MUC2, MUC5AC, MUC6) and transmembranous mucins (MUC1, MUC4).¹⁵ MUC1 and MUC4

membrane mucins are each composed of a large alpha (α) and small beta (β) subunit. While most previous studies have focused on the role of the α subunit in tumor progression, the β subunits have recently emerged as oncogenes and contribute to cell growth signaling.¹⁹ The potential of β subunits as predictive markers is suggested by observations that cancer cells overexpressing β subunits become resistant to chemotherapy-induced cell death.¹⁹

MUC1 has been mapped to a gene cluster on chromosome 1q21; it has an extracellular domain consisting of a variable number of highly conserved tandem repeats of 20 amino acids and a cytoplasmic tail of 69 amino acids.²⁰ In cancer, MUC1 may function as an anti-adhesion molecule that inhibits homotypical cell aggregation and adhesion to the extracellular matrix, promoting tumor cell invasion.²¹ MUC1 may also be a prognostic marker: for example, gastric cancer patients who maintain a high reactivity for MUC1 have a better prognosis.²² Conversely, MUC1 has been suggested to be an oncogene in breast carcinoma as overexpression of MUC1 is important for repression of p53 expression.²³

MUC4 maps to a gene cluster on chromosome 3q29 and consists of an N-terminal α domain (with a long glycosylated extracellular domain containing tandem repeats of 16 amino ac-

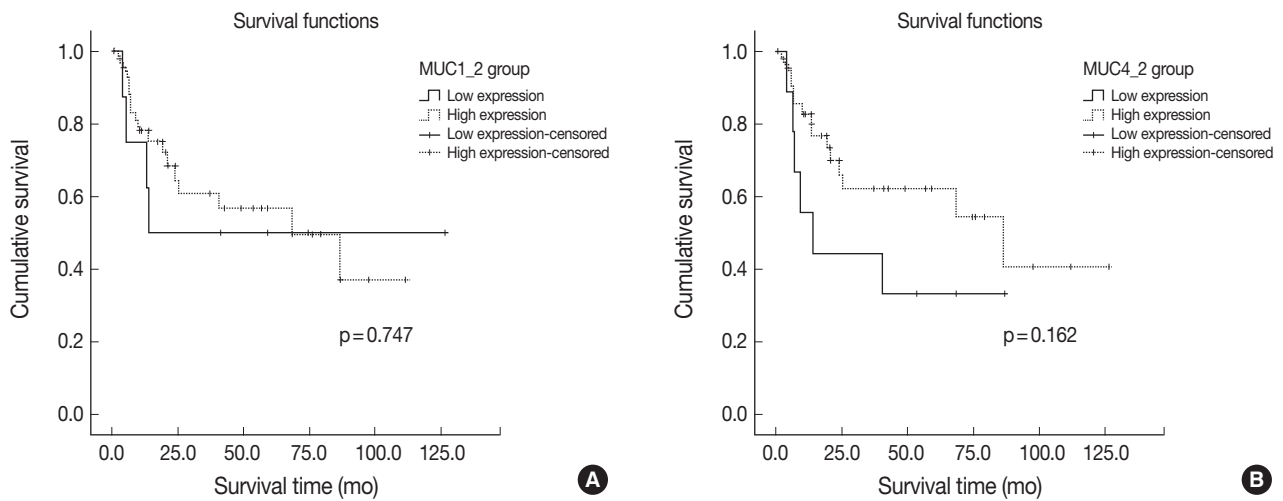


Fig. 2. Kaplan-Meier survival analyses for gallbladder adenocarcinoma stratified according to mucin (MUC) 1 (A) and MUC4 (B) expression. Neither MUC1 nor MUC4 expression correlated with overall survival. MUC1 ($p=0.747$), MUC4 ($p=0.162$).

Table 3. Cox regression analyses assessing prognostic significance in gallbladder adenocarcinoma

Parameter	Prognostic variable	HR (95% CI)	p-value
MUC1	Low vs high expression	1.364	0.742
MUC4	Low vs high expression	1.291	0.737
Sex	Male	0.880	0.804
Age	< 65 yr	0.779	0.653
Differentiation			
	MD vs PD	1.902	0.265
	WD vs PD	7.273	0.23
T-stage			
	T1 vs T2	1.774	0.456
	T1 vs T3-4	9.091	0.008
LN metastasis	Present	5.942	0.011
Lymphatic invasion	Present	0.249	0.126
Perineural invasion	Present	0.943	0.948

HR, hazard ratio; CI, confidence interval; MUC, mucin; MD, moderately differentiated; PD, poorly differentiated; WD, well differentiated; LN, lymph node.

ids) and C-terminal β domain.¹⁵ In addition to inhibiting apoptosis, the MUC4-erbB2 complex can also inhibit tumor cell proliferation, aggregation and/or immune surveillance, thus promoting intercellular invasion.¹⁶⁻¹⁸ Expression of MUC4 induces upregulation of the cell cycle inhibitor p27^{kip}, which is associated with regulation of the G1 and S phases of the cell cycle.¹⁵ This indicates that MUC4 may be a marker for cells with increased proliferative potential. Aberrant expression of MUC4 has been reported in the pancreaticobiliary tract, lung, salivary gland, ovary, and prostate. Overexpression of MUC4 is associated with increased and more aggressive breast cancer metastases, extrahepatic bile duct carcinoma, and cholangiocarcinoma.^{7-9,17,18,24} Conversely, MUC4 expression has been associated with im-

proved patient survival in ovarian cancer, mucoepidermoid carcinoma of the salivary glands, and squamous cell carcinoma of upper aerodigestive tract.²⁵⁻²⁷ Finally, Andrianifahanana *et al.*²⁸ reported that MUC4 is expressed in pancreatic cancer but practically absent from the normal pancreas, indicating that it is potential marker for cancer precursor cells.

Early diagnosis of gallbladder cancer is difficult due to it having few or no symptoms. Many cases are already in a progressive state upon diagnosis, resulting in poor prognosis. Once a carcinoma develops in an organ lacking submucous layers, it is highly likely that the tumor cells will invade adjacent organs, even at an earlier stage. However, few studies have been carried out on any of the clinicopathological factors or mechanisms involved. A few studies have examined the expression of MUC1 or MUC4 in gallbladder cancer, but until now, no clear assessment of their role as prognostic factors has been available. Previous studies analyzing MUC1 expression in the gallbladder have reported different results.¹²⁻¹⁴ Kawamoto *et al.*¹² found that MUC1 expression was limited to the apical portions of normal epithelial cells. In contrast, its expression was extensive in tumor cells due to a loss of its apical polar expression pattern, resulting in a breaking of cell-cell adhesion and helping the carcinoma to spread to nearby areas.¹² Yamato *et al.*¹³ reported that MUC1 was rarely expressed in normal epithelial cells in the gallbladder. Instead, it was seen in dysplastic or malignant tumor cells and was particularly highly expressed in invasive areas.¹³ In the present study, weak apico-membranous expression was seen in adjacent normal tissue while tumor cells showed variable expression (including apical, basolateral-membranous

or cytoplasmic patterns). In some areas, focal cytoplasmic accentuation was observed (data not shown), which may be related to aberrant depolarization or other as yet unknown causes. The present study was focused on the extent and intensity of MUC expression. Ghosh *et al.*¹⁴ found that MUC1 expression was remarkably lower in T1 stage tumors than in higher stages, and that there was no correlation between the level of MUC1 expression and the tumor histopathologic type and grade. However, there was a higher possibility of angio-lymphatic invasion in samples with a depolarized (membrane and cytoplasmic) expression MUC1 pattern.¹⁴ In the present study, high MUC1 expression was correlated with more differentiated tumors. However, MUC1 expression did not correlate with other pathological features, such as lymphatic and perineural invasion, nodal status and invasion depth. Xiong *et al.*²⁹ previously examined the correlation of MUC1 and MUC5AC in gallbladder adenocarcinoma, finding that the expression of MUC1 is linked with T-stage ($p < 0.01$) but not histologic differentiation. Additionally, increased MUC1 expression or decreased MUC5AC expression was associated with decreased overall survival. Furthermore decreased MUC5AC expression was an independent prognostic predictor.²⁹ In the present study, though, tumor stage and patient survival did not correlate with MUC1 expression. These contradictory results may be due to one or more different factors, including different antibody selection, different severity of specimens or different immunohistochemical procedures and/or interpretation criteria. In particular, Xiong *et al.*²⁹ had an even distribution of tumor stages (14 stage T1 samples, 35 T2, 37 T3, and 22 T4) and histological differentiation (36 well-differentiated samples, 31 moderately differentiated, and 30 poorly differentiated), while our study included only two T4 stage samples and 5 poorly differentiated adenocarcinomas.

Vandenhoute *et al.*³⁰ previously suggested that MUC4 was only weakly expressed in adjacent normal epithelial cells in gallbladder cancer and therefore would likely serve as tumor marker or prognostic factor. Miyahara *et al.*¹⁶ demonstrated that MUC4 was upregulated in gallbladder carcinoma, altering erbB2 signaling and actively contributing to carcinogenesis. Furthermore, they showed that MUC4 expression was elevated in moderately to highly differentiated gallbladder carcinomas, but there was no significant association with survival rate or metastasis.¹⁶ According to Miyahara *et al.*,¹⁶ MUC4 expression at the mRNA and protein levels was significantly higher in early stage tumors (T1 and T2), especially in differentiated tumor group. Our study found that MUC4 expression is not correlated with histological differentiation, tumor stage or lymphovascular or perineural in-

vasion. The only significant correlation we found was negative nodal status. These results contrast with previous studies showing aggressive prognostic roles for MUC4. However, MUC4 has been reported to be involved in early carcinogenesis rather than tumor progression, indicating that MUC4 may be useful marker for early diagnosis of cancers, particularly pancreatic carcinoma and ovarian carcinoma.^{25,28} In fact, MUC4 was identified as one of the most differentially expressed genes in pancreatic adenocarcinoma, with undetectable expression in the normal pancreas and early neoexpression in precancerous pancreatic intraepithelial neoplastic lesions.^{7,23} In our study, the significant correlation between negative nodal status and MUC4 expression could be viewed in a similar light, although the conclusions that can be drawn are limited by the relatively small number (5) of subjects with positive nodal status in our study.

We found no significant relationship between mean survival and MUC1 or MUC4 expression, although there was a sizeable difference in survival between subjects with low (38.0 months) and high (73.8 months) MUC4 expression. This is in contrast to the correlations between MUC1 and MUC4 expression and poor survival reported previously. As mentioned above, these discordances may be due to several procedural differences or limitations of the sample cohorts, in addition to the limited modality of the immunohistochemical approach.

Conflicts of Interest

No potential conflict of interest relevant to this article was reported.

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