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Systematic review of clinical effectiveness, components, and delivery of pulmonary rehabilitation in low-resource settings

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Pulmonary rehabilitation (PR) is a guideline-recommended multifaceted intervention that improves the physical and psychological well-being of people with chronic respiratory diseases (CRDs), though most of the evidence derives from trials in high-resource settings. In low- and middle-income countries, PR services are under-provided. We aimed to review the effectiveness, components and mode of delivery of PR in low-resource settings. Following Cochrane methodology, we systematically searched (1990 to October 2018; pre-publication update March 2020) MEDLINE, EMBASE, CABI, AMED, PUBMED, and CENTRAL for controlled clinical trials of adults with CRD (including but not restricted to chronic obstructive pulmonary disease) comparing PR with usual care in low-resource settings. After duplicate selection, we extracted data on exercise tolerance, health-related quality of life (HRQoL), breathlessness, included components, and mode of delivery. We used Cochrane risk of bias (RoB) to assess study quality and synthesised data narratively. From 8912 hits, we included 13 studies: 11 were at high RoB; 2 at moderate RoB. PR improved functional exercise capacity in 10 studies, HRQoL in 12, and breathlessness in 9 studies. One of the two studies at moderate RoB showed no benefit. All programmes included exercise training; most provided education, chest physiotherapy, and breathing exercises. Low cost services, adapted to the setting, used limited equipment and typically combined outpatient/centre delivery with a home/community-based service. Multicomponent PR programmes can be delivered in low-resource settings, employing a range of modes of delivery. There is a need for a high-quality trial to confirm the positive findings of these high/moderate RoB studies.

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INTRODUCTION

The epidemiological transition from communicable to noncommunicable disease (NCD) imposes a 'double burden' on lowand middle-income countries (LMICs)¹, which continue to combat infectious diseases but are typically not yet ready to manage NCDs including chronic respiratory diseases (CRDs)². CRDs are common^{3,4} and disabling^{5–7} imposing a substantial burden in LMICs. Poor awareness and insufficient resources^{8–10} in terms of infrastructure for diagnosis, availability of essential drugs, skilled health professionals, and overall healthcare priorities⁵ limit management options¹¹

Pulmonary rehabilitation (PR) is an effective component of CRD care¹². PR is a comprehensive, multidisciplinary, individually tailored intervention designed to overcome the deconditioning induced by CRDs¹³. The components of PR include, but are not limited to, exercise programmes, chest physiotherapy, education, and supporting self-management and lifestyle change, after optimising the recommended pharmacotherapy^{13–15}. PR costeffectively reduces symptoms, morbidity, hospital admission (and readmission), duration of hospital stay, and emergency medical help and improves functional exercise capacity and health-related quality of life (HRQoL)¹⁶⁻²⁰

However, most of the evidence is generated from high-income countries (HICs) and is disease specific^{21–24} (most commonly chronic obstructive pulmonary disease (COPD)), whereas respiratory disease is often much less differentiated in LMICs. In addition, PR services as developed in HICs may not be deliverable in the

same format in LMICs^{25,26} with substantial differences in resources, awareness, culture, healthcare configuration, and profile of diseases^{27,28}, which may affect overall management strategy. The potential gains to individuals and healthcare economies, however, are large given the burden of disease in LMICs^{29,30}

Despite well-established effectiveness 19,23, PR services are often unavailable even in HICs31-33 and uptake (by clinicians and patients) is poor particularly in LMICs and especially in rural communities³⁴. A strategy is needed to elaborate PR programmes that are deliverable and effective in LMICs. We therefore aimed to systematically search the literature to: (1) assess the impact of PR on HRQoL and exercise capacity, when delivered in low-resource settings for people with CRD, (2) identify the components used in effective interventions, and (3) describe the models of care deliverable in low-resource settings.

RESULTS

Study selection

Our systematic review identified 8912 records. We also found an additional 82 records from forward citation. Following the removal of duplicates, 7437 titles and abstracts were screened (Fig. 1). Fiftysix articles were reviewed in full text, with 43 articles excluded. Thirteen articles met the review criteria and were included^{35–47} No additional papers were identified in the pre-publication update. Total recruitment for the study was 661 individuals with

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PRISMA flow diagram

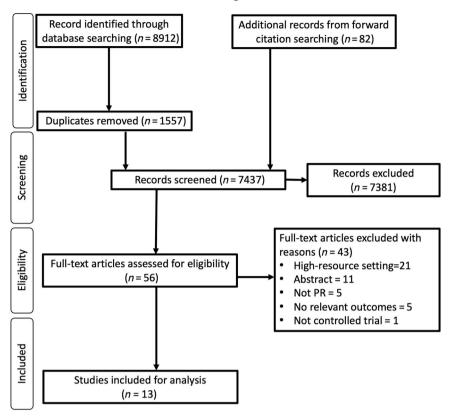


Fig. 1 PRISMA flow diagram. Flowchart reporting the number of articles identified, screened, excluded and included.

CRD. Attrition was reported in 9 studies; 96 (20%) of the 479 subjects dropped out.

Study participants

Study participants were COPD patients^{35,37–47} of varying degree of severity in all the trials except one which recruited people with pulmonary impairment after TB (PIAT)³⁶. Total number of enrolled participants was 661 of which COPD and PIAT were 83% and 17%, respectively.

Geographical area

The trials were conducted in Turkey $(n = 4)^{35,39,40,43}$, Brazil $(n = 3)^{37,41,46}$, India $(n = 2)^{38,47}$, Egypt $(n = 1)^{42}$, Iran $(n = 1)^{44}$ South Africa $(n = 1)^{36}$, and Venezuela $(n = 1)^{45}$.

Study settings

Five studies were conducted at hospital outpatient departments^{37–39,43,45} with or without continuation of exercise at home, seven were home-based^{35,36,40,42,44,46,47} training with or without telephonic/face-to-face monitoring or supervision, and one trial was conducted in a community centre⁴¹. Wherever the PR was delivered, all baseline and follow-up data were collected in a hospital/centre setting.

Risk of bias (RoB) assessment

Overall RoB is shown in the first column of Table 1 and detailed in Supplementary Results 1. Almost all studies were at overall high RoB, with only two studies^{36,39}, which concealed randomisation and took steps to avoid other biases, at moderate RoB. Due to the nature of the intervention, blinding of the patients or the

personnel delivering the PR was not possible, but only one study explicitly stated that outcome assessment was blind to allocation³⁶. Attrition was a problem or was not clear in all but three studies^{39,41,46}. None of the studies had a published protocol, so selective reporting could not be assessed.

Effectiveness of intervention (Objective 1)

Although 6-min walking test (6-MWT), St George's Respiratory Questionnaire (SGRQ), and modified Medical Research Council (mMRC) were widely used to assess functional exercise capacity, HRQoL, and breathlessness respectively, only six of the trials presented between-group comparisons 36,39,40,42,44,46. The other seven provided within-group differences 35,37,38,41,43,45,47. In addition, heterogeneity in terms of mode of intervention, duration, setting, comparator, and baseline measurements confirmed our decision that meta-analysis was not appropriate.

We therefore undertook a narrative synthesis and illustrated functional exercise capacity, HRQoL, and breathless in a harvest plot (Fig. 2). Our interpretation of the study findings and the structured process determining the decisions that underpinned the harvest plot are described in column 5 of Table 1.

Changes in functional exercise capacity were measured in 11 studies^{35–43,46,47}. Significant positive changes were found in 10 studies^{35,37–43,46,47}; the exception being one of the two studies at moderate RoB⁵³. HRQoL was measured in 12 studies^{35,37–47}; all showing positive changes. Breathlessness was measured in 11 studies^{35–39,41–43,45–47} of which 9 studies^{35,37–39,41–43,45,47} showed significant positive changes and 2 studies (1 at moderate RoB)^{36,46} showed no changes after intervention. None of the studies reported negative effects after the intervention.

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Table 1. Summary table of included	Summary table of included trials with key characteristics, main	main findings, and interpretation.	L	
Author (year); Country; Intervention; Design; Duration; Risk of bias (RoB)	Chronic respiratory condition; Age: Mean (SD); Inclusion criteria; Recruited/completed	PR baseline assessment	Clinical outcomes FUNCTIONAL EXERCISE CAPACITY HEALTH RELATED QUALITY OF LIFE (HRQoL) BREATHLESSNESS	Comments and conclusion for the harvest plot
de Grass 2014; South Africa; éw CHC to home PR: exercise + education; RCT: PR vs UC; FU: 6w; MODERATE ROB	Post pulmonary TB; Age: 18–65 years; Ambulant patient contactable by telephone; Recruited: 102 (PR = 51, UC = 51); Analysed: 67 (PR = 33, UC = 34)	Spirometry, 6-MWT; mBorg; EQ-5D; Par-Q	FUNCTIONAL EXERCISE CAPACITY No between-group difference in 6-MWT (m (SD)): Adjusted: NS • PR pre: 401.2 (96.1); post: 411.0 (79.8) • UC pre:340.0 (104.7); post: 356.9 (78.7) HRQoL not assessed BREATHLESSNESS No between-group difference in mBorg mean (SD): Adjusted NS • PR pre: 10.1 (2.3); post: 10.4 (1.8) • UC pre: 11.4 (1.6); post: 11.24 (1.5)	Significant difference lost when adjusted for large baseline differences. Attrition 35%: similar in both groups FUNCTIONAL EXERCISE CAPACITY* Illustrated as no significant changes (no effect) BREATHLESSNESS* Illustrated as no significant changes (no effect)
Duruturk 2015; Turkey; 6w Hospital OPD PR: cycle ergometry training or callisthenic exercises; Three groups of RCT: PR ^{Cycle} vs PR ^{Cali} vs UC FU: 6w; MODERATE ROB	Mod/Severe COPD; Age: $PR^{Cxeli}=61$ years, $PR^{Cxeli}=61$ years, $VS = 64$ years No cCI to PR Recruited: 47 ($PR^{Cxeli}=16$, $VS = 16$) and $VS = 16$ or $VS = 16$ and $VS = 16$. Analysed: $VS = 18$ and $VS = 18$ or $VS = 18$ and $VS = 18$ or $VS = 18$ and $VS = 18$ or	Spirometry; Cycle ergometry; FT; ECG; mMRC	FUNCTIONAL EXERCISE CAPACITY PR ^{Cycle} and PR ^{Call} Between-group difference ^b in 6-MWT (mean (5D)); • A 0.001 • PR ^{Cycle} pre: 448.7 (60.9); post: 514.2 (59.3) • PR ^{Cycle} pre: 435.6 (98.2); post: 448.3 (65.4) • UC pre: 413.6 (125.8); post: 413.5 (121.8) HRQoL PR ^{Cycle} and PR ^{Call} Between-group difference in SGRQ (mean (5D)); p = 0.001 • PR ^{Cycle} pre: 49.3 (19.6); post: 28.7 (12.9)	Three groups, small numbers but minimal attrition EUNCTIONAL EXERCISE CAPACITY** Illustrated as a significant positive effect HRQoL** Illustrated as a significant positive effect Blustrated as a significant positive effect Illustrated as a significant positive effect
Deepak 2014; India; 12w Hospital OPD: exercise + education; RCT: PR vs UC; FU: 12w; HIGH ROB	Males recruited 2w post AECOPD; Age: PR = 58.4 (6.8); $UC = 59.4$ (6.7); Recruited: 60 (PR = 30, $UC = 30$); Analysed: 56 (PR = 28, $UC = 28$)	Spirometry; 6-MWT; MMRC; SGRQ; ABG	e in mMRC sst. 1.8 (0.6) st. 1.8 (0.6) st. 1.8 (0.8) 2.7 (0.8) 2.7 (0.8) st. 340.5 (86.2); st. 340.5 (86.2); st. 260.0 (100.2); SGRQ t: 39.0 (12.9); p < t. 62.6 (18.7); p <	Minimal attrition. Between-group significance not reported FUNCTIONAL EXERCISE CAPACITY ^b Illustrated as a significant improvement in PR group (worsened in UC group) HRQoL ^b Illustrated as a significant improvement in PR group (worsened in UC group) Illustrated as a significant improvement in PR group BREATHLESSNESS ^b Illustrated as a significant improvement in PR group (not in UC)
Elci 2008; Turkey; 12w Hospital OPD (+home): exercise + education; RCT: PR vs UC;	Patient with GOLD -defined COPD Age: PR = 59.7 (8.6); UC = 58.1 (11.5); Recruited: 78 (PR = 39; UC = 39); Analysed: NR	Spirometry; 6-MWT; SGRQ; mMRC; HADS; SF-36	• UC not improved; $p < 0.015$ • UC not improved; $p < 0.102$ FUNCTIONAL EXERCISE CAPACITY Within-group change in 6-MWT (m (SD)) • PR pre: 312.4 (56.3); post: 328.9 (48.8); p = 0.001 (improved) • UC pre: 305.1 (54.6); post: 298.2 (52.8); p = 0.001 (worsened)	Attrition not reported. Between-group significance for 6-MWT and mMRC not reported FUNCTIONAL EXERCISE CAPACITY ^b Illustrated as a significant improvement in PR group (worsened in UC)

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Author (year); Country; Intervention; Design; Duration; Risk of bias (RoB)	Chronic respiratory condition; Age: Mean (SD); Inclusion criteria; Recruited/completed	PR baseline assessment	Clinical outcomes FUNCTIONAL EXERCISE CAPACITY HEALTH RELATED QUALITY OF LIFE (HRQoL) BREATHLESSNESS	Comments and conclusion for the harvest plot
FU: 4, 8, 12w; HIGH RoB			HRQoL Between-group difference in SGRQ (mean (SD)); $p = 0.001$ • PR pre: 60.3 (18.2); post: 45.9 (11.6) • UC pre: 61.7 (19.9); post: 65.5 (17.4) BREATHLESNESS Within-group change in mMRC (mean (SD)); PR pre: 3.2 (0.6); Post: 2.89 (0.7); $p = 0.001$ (improved)	Illustrated as a significant positive effect BREATHLESSNESS ^b Insufficient information to estimate the change as the data of UC is not reported
Akinci 2011; Turkey; 12w Home + tel. support: 12w e + education; CCT: PR vs UC; FU: 12w; HIGH ROB	Clinically stable, severe/very severe COPD; Age: PR = 71.8 (7.8); $UC = 65.1$ (10.2); Recruited: 52 (PR = 27; $UC = 25$); Analysed: 32 (PR = 16; $UC = 16$)	Spirometry 6-MWT SGRQ, BDI; ABG	FUNCTIONAL EXERCISE CAPACITY Within-group change in 6-MWT (m (SD)): • PR pre: 157.9 (64.5); post: 190.3 (65.0); • UC pre: 176.3 (54.9); post: 170.6 (55.4); p = 0.16 (NS) HRQoL HRQoL Within-group change in SGRQ	Intervention group worse at baseline. Attrition is approximately 40% in both groups. Between-group significance not reported FUNCTIONAL EXERCISE CAPACITY ^b FUNCTIONAL EXERCISE CAPACITY ^b (no significant improvement in PR group (no significant change in UC) HRQoL ^b (no significant improvement in PR group full strated as a significant improvement in PR group (no significant change in UC)
			• PR pre: 55 (16); post: 37 (13); $p = 0.001$ (improved) • UC pre: 45 (18); post: 47 (16); $p = 0.06$ (NS) BREATHLESSNESS Within-group change in BDI (mean (SD)) PR pre: 5.2 (1.6); post: 7.9 (1.5); $p = 0.001$ UC pre: 6.1 (2.1); post: 5.9 (1.5); $p = 0.001$	BREATHLESSNESS (1977) BreathlessNess (1978) Breathless (1978)
Farias 2014; Brazil; 8w Local park: exercise + education (hospital); RCT: PR vs UC; FU: 8w; HIGH RoB	COPD patients Age: PR = 64.6 (10.1); UC = 70.5 (8.1); Recruited: 38 (PR—19; UC—19); Analysed: 34 (PR—16; UC—18)	Spirometry 6-MWT; SGRQ; BODE index	FUNCTIONAL EXERCISE CAPACITY Within-group change in 6-MWT (m (SD)) • PR pre: 43.0 (80.6); post: 472.0 (72.7) • UC pre: 383 (72.5); post: 331.8 (86.7) p = NS HRQoL Within-group change in SGRQ (mean (SD)) • R pre: 42.8 (SD 14.7); post: 26.4 (SD 7.3) p < 0.05 • UC pre: 55 (17); post: 64.3 (12) p = NS	PR group was younger, less symptomatic, better baseline 6-MWT. Minimal attrition FUNCTIONAL EXERCISE CAPACITY ^b Illustrated as a significant improvement in PR group HRQoL ^b Illustrated as a significant positive effect BREATHLESSNESS ^b Illustrated as a significant improvement in PR group (no significant change in UC)
			Text states 'significantly different intergroup scores after the intervention—but no data BEATHLESSNESS Within-group change in MRC (mean (SD)) • PR pre: 2.3 (0.8); post: 2.0 (0.6) (ρ < 0.05) (improved) • UC pre: 2.8 (0.9); post: 3.3 (08) NS	
Paz-Diaz 2007; Venezuela; 8w Hospital OPD; PR: exercise + education; RCT: PR vs UC; FU: 8w; HIGH ROB	Stable, severe COPD; Age: PR = 67 (5); UC = 62(7); Recruited: 24 (PR—10; UC—14) Analysed: NR	Spirometry SGRQ; MRC; Beck Depression Inventory	FUNCTIONAL EXERCISE CAPACITY not assessed HRQoL Within-group change in SGRQ (mean (5D)) • PR pre: 58 (13); post: 45 (12); $p < 0.001$ • UC pre: 55 (16); post: 58 (16); $p = N5$	Attrition is not reported. Between-group significance not reported HRQoL ^b Illustrated as a significant improvement in PR group BREATHLESSNESS ^b



Table 1 continued				
Author (year); Country; Intervention; Design; Duration; Risk of bias (RoB)	Chronic respiratory condition; Age: Mean (SD); Inclusion criteria; Recruited/completed	PR baseline assessment	Clinical outcomes FUNCTIONAL EXERCISE CAPACITY HEALTH RELATED QUALITY OF LIFE (HRQoL) BREATHLESSNESS	Comments and conclusion for the harvest plot
Pradella 2015; Brazil; 8w (1-w hospital then home) PR: exercise + education; RCT: PR vs UC; FU 8w; HIGH RoB	GOLD defined COPD; Age: PR = 62.4 (10.7); UC = 65.3 (8); Recruited: 50 (PR = 32; UC = 18); Analysed: 44 (PR = 29; UC = 15)	Spirometry; 6-MWT; SGRQ	BREATHLESSNESS Within-group change in MRC (mean (SD)) • PR pre: 2.1 (0.5); post: 1.1 (0.5); p < 0.01 • UC pre: 2.1 (0.6); post: 2.1 (0.5); p = NS FUNCTIONAL EXERCISE CAPACITY Between-group difference in 6-MWT (m (SD)); MD 60.2 (95%Cl 4.6 to 115.7); p < 0.05 • OF pre: 485.1 (79.6); post: 550.8 (100.7) • CG pre: 485.6 (71.1); post: 462.1 (101.4) Between-group difference in ESWT: MD 285.42 (7.1 to 563.8) • UC pre: 923.7 (588.8); post: 954.9 (572.4) HRQoL Between-group difference in SGRQ (mean (SD)); MD 9.7 (-1.0 to -0.1); p < 0.05 • PR pre: 50.3 (20.9); post: 43.6 (18.5) • UC pre: 49.1 (23.2); post: 52.3 (24.5) BREATHLESSNESS BREATHLESSNESS Where 0.024 (0.6); post: 0.13 (0.4) UC pre: 0.24 (0.6); post: 0.13 (0.4) UC pre: 0.24 (0.6); post: 0.13 (0.4) UC pre: 0.24 (0.6); post: 0.13 (0.4)	Illustrated as a significant improvement in PR group (no significant change in UC) Rehabilitation group had worse lung function FUNCTIONAL EXERCISE CAPACITY* Illustrated as consistently a significant positive effect HRQoL* Illustrated as a significant positive effect Illustrated as no significant changes (no effect)
Karapolat 2007; Turkey; 8w Hospital OPD PR: exercise + education; RCT: PR vs UC; FU: 8w; HIGH ROB	Stable mild/moderate COPD; Age: PR = 65.1 (9.4); UC = 66.6 (8.4); Recruited: 49 (PR = 27; UC = 22); Analysed: 45 (PR = 26; UC = 19)	Spirometry; 6-MWT; SGRQ; ABG; VAS (Dyspnoea)	FUNCTIONAL EXERCISE CAPACITY Within-group change in 6-MWT (m (SD)) • R pre: 261.6 (41.5); post: 383.2 (50.4); p. • 0.05 (improved) • UC pre: 226.8 (62.7); post: 241.9 (57.4); NS HRQoL Within-group change in SGRQ (mean (SD)) • PR pre: 45.1 (17.8); post: 28.3 (15.2); p. • O.05 (improved) • UC pre: 50.7 (15.7); post: 47.0 (17.3); NS BREATHLESSNESS Within-group change on VAS (mm (SD)) PR pre: 5.9 (2.0); post: 3.1 (1.6); p. e.0.05) UC pre: 5.3 (2.0); post: 5.8 (1.8); p. e.NS	Five 'ineligible' UC participants were excluded after randomisation FUNCTIONAL EXERCISE CAPACITY ^b Illustrated as a significant improvement in PR group (no significant change in UC) HROaL ^b Illustrated as a significant improvement in PR group (no significant change in UC) BREATHLESSNESS ^b Illustrated as a significant improvement in PR group (no significant change in UC)
De Souto Araujo 2012; Brazil; 8w Hospital physio centre PR: exercise (floor or aquatic based) + education; Three groups of RCT: PR ^{FI} vs PR ^{Aq} vs UC; FU: 8w; HIGH ROB	Stable mod/severe/very severe COPD; Clinically stable; Age: $PR^{H} = 56.9 \ (7.9); PR^{Aq} = 62.4 \ (9.9); UC = 71.1 \ (10.1); Recruited: 42 \ (PR^{H} = 14; PR^{Aq} = 14; UC = 14); Analysed: 32 \ (PR^{H} = 13; PR^{Aq} = 8; UC = 11)$	Spirometry; 6-MWT; SGRQ; BODE index; Borg Fatigue score		Differential attrition between the groups. Control group was older. Inter-group comparison all NS, but no paired comparisons (PR ^H /JUC or PR ^{AQ} /JUC). Some data only illustrated graphically PUNCTIONAL EXERCISE CAPACITY ^b HEUNCTIONAL EXERCISE CAPACITY ^b HIstrated as a significant improvement in PR ^{FI} group, not in PR ^{AQ} (no significant change in UC) HRQoL ^b Illustrated as a significant improvement in PR ^{FI} group, not in PR ^{AQ} and UC in PR ^{AQ} and UC in PR ^{AQ} and UC in PR ^{FQ} (no significant improvement in PR ^{AQ} group, not in PR ^{FG} (no significant change in UC)



(RoB) 10; + education; LC; i 2013; hospital pre-discharged, PR: exercise + education; JC;		ne assessment	Clinical outcomes FUNCTIONAL EXERCISE CAPACITY HEALTH RELATED QUALITY OF LIFE (HRQoL) BREATHLESSNESS Within-group change in MRC (data NR) • PR ^{FI} p = NS • UC p < 0.05 (worsened)	Comments and conclusion for the harvest plot
	د	£	Within-group change in MRC (data NR) PR $^{\rm FI}$ $p={\rm NS}$ PR $^{\rm Aq}$ $p<0.001$ (improved) ${\rm UC}$ $p<0.05$ (worsened) ${\rm UC}$ ${\rm PC}$ ${\rm UC}$ ${\rm PC}$ ${\rm PC}$ ${\rm MC}$ ${\rm PC}$ ${\rm PC$	
			6-MWT (m (5D)); MD 58.2 ± 11.2 (p < 0.001) • PR pre: 88.7 (19.1); post: 141.7 (23.1) • UC pre: 83.8 (15.9); post: 68.6 (32.1) Between-group significant difference in all CRQ domains (mean (5D)) Fatigue MD 5.3 (1.9–9.8); p = 0.004 • PR pre: 9.8 (2.8); post: 17.4 (5.4) • UC pre: 11.6 (6.1); post: 13.2 (5.1) Emotion MD 8.7 (2.5–15); p = 0.008 • PR pre: 22.1 (5.8); post: 33.5 (7.2) • UC pre: 27.0 (12.6); post: 29.7 (11.4) BREATHLESSNESS MD 5.5 (3.0–9.0); • P = 0.003 • P = 0.003 • UC pre: 11.8 (5.0); post: 19.6 (5.2) • UC pre: 11.4 (4.4); post: 13.5 (4.3)	Unclear why uneven numbers in the groups FUNCTIONAL EXERCISE CAPACITY ^a llustrated as a significant positive effect HRQoL ^a llustrated as a significant positive effect BREATHLESSNES ^a llustrated as a significant positive effect
	ADL level; be similar SF-12 QOL; -20);		FUNCTIONAL EXERCISE CAPACITY Not measured HRQoL Significant between-group difference in SF-12 (mean (5D); p < 0.001 • PR pre: -21.3 (11.5) post: -14.5 (7.1); BREATHLESSNESS Not measured	Sample size calculation: 20/group, and 20/group were analysed. No data on number recruited/ attrition HRQoL ^a Illustrated as a significant positive effect
Singh 2003; Stable, severe COPD; India; Age: 59.3 (6.4); Age: 59.3 (6.4); Age: 50.3 (6.4); Age: 50.3 (6.4); Analysed: NR = 20; UC = 20); RCT: PR vs UC; FU; 4w; HIGH RoB	Spirometry, 6-MWT; CRQ		FUNCTIONAL EXERCISE CAPACITY Within-group change in 6-MWT (m (SD)) • PR pre: 261 (113); post: 315 (118); • A < 0.001 (improvement) • UC pre: 257.7 (158); post: 264 (157); NS HRQoL a group difference in CRQ (mean (SD)); p < 0.001 • OR pre: 2.9 (0.9); post: 38 (0.9) p < 0.001 • UC pre: 3.1 (0.8); post: 3.2 (0.8) NS BREATHLESSNES Within-group change in dyspnoea domain of CRQ (mean (SD)) p < 0.007 p < 0.007 • OR pre: 3.16 (1.0); post: 4.1 (0.9); p < 0.007 • UC pre: 3.5 (0.8) post: 3.6 (0.8)	Baseline characteristics not given but reported as not significantly different. Attrition not reported FUNCTIONAL EXERCISE CAPACITY ^b Illustrated as a significant improvement in PR group (no significant change in UC) HRQoL ^b Illustrated as a significant improvement in PR group (no significant change in UC) BREATHLESSNESS ^b Illustrated as a significant improvement in PR group (no significant change in UC) Illustrated as a significant improvement in PR group (no significant change in UC)

^bHatched in the harvest plot to show within-group comparison.

CCT controlled clinical trial, CG control group, FG floor group, 6-MWT 6-min walk test, EQ-5D EuroQual Questionnaire, Par-Q Physical Activity Readiness Questionnaire, RoB risk of bias, HRQoL health-related quality of life, SGRQ Saint George Respiratory Questionnaire, cycle ergo cycle ergometry, FT Fitness Test, SF-36 Short Form-36, HADS Hospital Anxiety and Depression Scale, BB Baseline Dyspnoea Index, ABG arterial blood gas, m metres, MD mean difference, VAS Visual Analogue Scale, NS not significant.

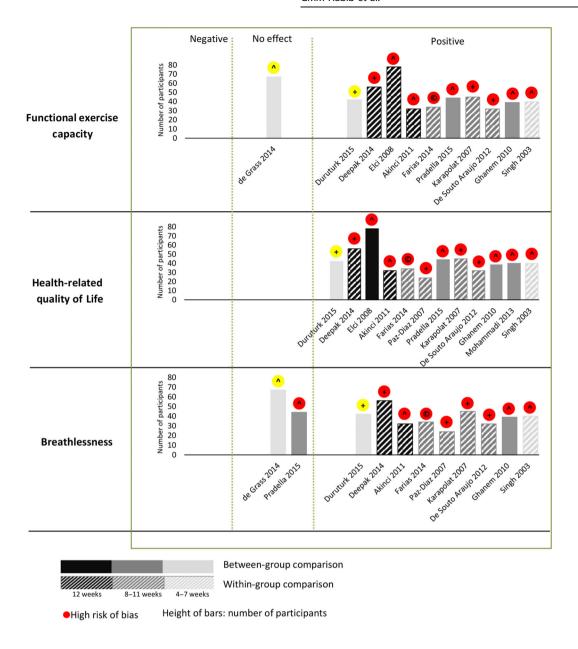


Fig. 2 Harvest plot illustrating the impact of pulmonary rehabilitation on functional exercise capacity, health-related quality of life, and breathlessness. Each column represents an included study, shaded according to whether it is a RCT (solid shading) or within group comparison (hatched shading). The depth of shading represents study duration of 4-7 weeks (light shading); 8-11 weeks (moderate shading); 12 weeks or more (dark shading). The height of the bars represent the number of patients. The icon on the top of the bars represents the overall risk of bias as high risk of bias (red) or moderate risk of bias (yellow). Within the icon the mode of delivery of the PR is indicated as + (OPD-based); ^ (Home-based) or C (Community-based). The effectiveness of interventions is illustrated with respect to functional exercise capacity, health-related quality of life, and breathlessness in the three tiers of the graph. Studies are positioned according to whether overall the outcomes were positive (i.e., interventions were significantly beneficial), negative (i.e., interventions were significantly harmful), or had no effect. Table 1; Column 5 details how these decisions were reached.

Components of the intervention (Objective 2)

All interventions included exercise and non-exercise components (as per inclusion criteria), though the approach, content, method of delivery, and duration varied. The components are described in Table 1 and their presence are indicated in a matrix in Table 2.

Endurance training was included in all 13 studies. Other common exercises were upper limb exercise^{35–37,39,45,46} and strength training in seven studies^{37–40,42,43,46} and stretching exercises in four studies^{39,42,43,45}. Although not described in detail, the other common component was breathing exercises included

in eight studies^{35,36,38,42–45,47}. Along with the exercise, patient education was provided in ten studies^{35,36,38–44,46}, and skills (such as inhaler technique and airway clearance) were included in seven studies^{35,36,39,40,42,43,47}. Other components in a minority of studies were social support³⁸, optimisation of pharmacotherapy^{35,37}, nutrition^{40,42–44}, coping strategies^{35,38,40,43,47}, psychological intervention^{35,40,43,46}, self-management⁴², and physical activity interventions^{43,44,46}. Smoking cessation support was reported in only two studies^{35,44}.

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Table 2. Componer	its of pulmo	Components of pulmonary rehabilitation from the selected papers.	tation from th	ne selected	papers.									
-	de Grass 201 ²	de Grass 2014 Duruturk 2015 Deepak 2014 Elci 200	15 Deepak 20°	14 Elci 2008	18 Akinci 201.	3 Farias 201	Akinci 2013 Farias 2014 Paz-Diaz 2007 Pradella 2015	Pradella 201	5 Karapolat 2007	de Souto Araujo 2012	Ghanem 2009	Ghanem 2009 Mohannadi 2013	Singh 2003	1
Exercise programme														
Endurance training (including interval training)	,	`	`	`	`	`	`	`	`	`	`	`	`	13
Resistance/strength training		`	`	`				`	`	`	`			
Upper limb exercise	`	`			`		`	`		`				9
Flexibility training		`					`		`		`			4
Breathing exercises (including IMT)	`		`		`		`		`		`	`	`	<u></u>
Other components														
Pursed-lip breathing	`		`		`		`	`	`		`	`	`	6
Diaphragmatic breathing	`		`		`		`	`			`		`	
Knowledge (disease/ , medication)	`	`	`	`	`	`		`	`		`	`		10
Skill acquisition (airway clearance, inhaler technique, use of oxygen)	,	`		`	`				`		`		`	
Psychological interventions (CBT, relaxation)				`	`			`	`					4
Coping strategies (pacing, energy conservation)			`	`	`				`				`	2
Nutrition				`>					`		`	`		4
Physical activity (Unsupervised exercise)								`	`			`		m
Smoking cessation					`							`		7
Self-management Social support (including walking aids)			`								`			
Pharmacological optimisation					`					,				7



Table 3. Models of pu	Models of pulmonary rehabilitation services.				
Study	Who	Where	Whom	Ном	What (components of PR are described in Table 2)
de Grass 2014	Assessor: physiotherapist Provider: physiotherapist	Assessment (0, 3, 6 weeks): community health centre PR: initial training: community health centre, then home	Post-TB patients after active treatment	• PR course: 6 weeks • Frequency: Daily • Duration of sessions: NR	Home exercise, physiotherapy/breathing exercises, education materials
Duruturk 2015	Assessor: physiotherapist Provider: physiotherapist	Assessment (0, 6 weeks): hospital PR: hospital	Moderate/severe/stable COPD patients	 PR course: 6 weeks Frequency: 3 times a week Duration of sessions: 30 min 	Exercise training Physiotherapy/breathing exercises, Education session
Deepak 2014	Assessor: NR Providers: physiotherapist, doctor	Assessment (0, 12 weeks): hospital PR: hospital	COPD patients 2 weeks after hospital discharge	• PR course: 12 weeks • Frequency: NR • Duration of session: 2 h	Exercise training Physiotherapy/breathing exercises Education sessions Psycho-social support
Elci 2008	Assessor: nurse Providers: physiotherapist, doctor	Assessment (0, 4, 8, 12 weeks): hospital PRP: hospital (+ home exercises)	Stable COPD patients	 PR course: 12 weeks Frequency: 2 times a week Duration of sessions: 90 min 	Exercise training Physiotherapy/breathing exercises Education sessions + materials
Akinci 2011	Assessor: doctor Provider: nurse trained in PR	Assessment (0, 12 weeks): hospital PR: home + telephone support	COPD patients	 • PR course: 12 weeks • Frequency: Daily exercise • Duration of home visits: 90 min 	Exercise training + home exercise Physiotherapy/breathing exercises education sessions
Farias 2014	Assessor: physiotherapist Provider: physiotherapist	Assessment: (0, 8 weeks): hospital PR: supervised in local park (education at hospital)	COPD patients	• PR course: 8 weeks • Frequency: Five times a week • Duration of exercise sessions: 40–60 min	Exercise: walking in local park Physiotherapy/breathing exercises Education sessions
Paz-Diaz 2007	Assessor: NR Provider: NR	Assessment (0, 8 weeks): hospital PR: hospital	Stable, severe COPD	• PR course: 8 weeks • Frequency: 3 times per week • Duration of PR: 60 min	Exercise training Physiotherapy/breathing exercises
Pradella 2015	Assessor: NR Provider: NR	Assessment: (0, 8 weeks): rehabilitation centre PR: 1-week rehabilitation centre, then home + telephone support	COPD patients	 PR course: 8 weeks Frequency: 3 times a week Duration of sessions: 90 min 	Exercise (walking and stairs) Physiotherapy/breathing exercises Printed material
Karapolat 2007	Assessor: doctor Provider: physiotherapist	Assessment (0, 8, 12 weeks): hospital PR: hospital	Mild, moderate, and severe stable COPD	 PR course: 8 weeks Frequency: 3 times a week Duration of sessions: 90 min 	Exercise, Physiotherapy/breathing exercises Education
De Souto Araujo 2012	Assessor: NR Provider: NR	Assessment: (0, 8 weeks) physiotherapy centre PR: physiotherapy centre	Moderate, severe, and very severe stable COPD	 • PR course: 8 weeks • Frequency: 3 times a week • Duration of PR sessions: 90 min 	Exercise (floor or pool) Optimisation of pharmacotherapy
Ghanem 2010	Assessor: doctor, nurses Provider: pulmonary specialist, nurses	Assessment: (0, 8 weeks); in hospital predischarge PR: home + hospital 2 weekly	Post-exacerbation COPD patients	 PR course: 8 weeks Frequency: Every other day Duration of sessions: NR 	Exercise, Physiotherapy/breathing exercises Education
Mohammadi 2013	Assessor: nurse specialist Provider: nurse at home	Assessment: (0, 8 weeks) PR: 1-week in hospital pre-discharge then home + telephone alternate days	Post-exacerbation COPD patients	 PR course: 8 weeks Frequency: Alternate days; Duration of PR sessions: NR 	Exercise, Physiotherapy/breathing exercises Education (3 1-h sessions)
Singh 2003	Assessor: NR Provider: NR	Assessment: (0, 4 weeks) hospital PR: hospital then home + weekly supervision	Stable, severe COPD	PR course: 4 weeks Frequency: Twice a day Duration of PR sessions: 30 min	Exercise, Physiotherapy/breathing exercises



Models of care (Objective 3)

We identified three models of PR service in our included studies according to the settings in which they were delivered (see Table 3). Five were based in hospital or rehabilitation centres^{37–39,43,45}, and one was based in a community health centre⁴¹. Only one was delivered completely at home³⁵ while most home-based programmes^{36,40,42,44,46,47} provided initial training in the hospital or centre and maintained telephone^{40,44,46} or face-to-face supervision^{42,47}. The programmes typically lasted 8 weeks (range 4–12), with supervised sessions lasting between 30 and 120 min provided 2 or 3 times per week. Home-based programmes promoted more frequent exercise sessions often supported by telephone or face-to-face contacts. Physiotherapists provided the sessions in six studies^{36,38–41,43}, with nurses involved in four studies^{35,40,42,44}. Adherence to the PR course was poorly reported with no details provided about reasons for non-completion.

Inexpensive instruments were often used in the studies, which ensured the wide availability and acceptability to the consumers. Lower limb endurance exercise was conducted by walking as opposed to expensive stationary bicycle with upper limb resistance/strength training conducted using home-made weights, such as water bottles. Breathing exercises were done with similar devices that are used in higher resource setting (e.g. incentive spirometers, tri-flow).

DISCUSSION

In summary, our systematic review identified and selected 13 heterogeneous studies from 7 different countries with a total study population of 661 patients. Overall, PR was reported as being effective in terms of improving functional exercise capacity, HRQoL, and breathlessness, though RoB was high in 11 studies. Of the two at moderate RoB, one showed no benefit in any of the outcomes reported³⁶. The exercise programmes typically included endurance, interval, upper limb, and resistance/strength training. The commonest additional components were education to improve knowledge and skill acquisition (e.g. inhaler technique) and strategies for coping with breathlessness. Smoking cessation was provided in only two studies. Most PR services were provided in hospital settings or home based, with some describing adaptations to locally acceptable and deliverable approaches.

The strength of this systematic review is its broad literature search constructed with the help of a senior librarian and informed by Cochrane's standard search terms for COPD and LMICs. Nevertheless, we may have missed important studies of PR conducted in low-resource settings. Although we did not specifically search for papers in other languages, we were open to including non-English language papers but none were identified in our searches, perhaps because locally conducted studies or articles in local languages are often not published in indexed journals⁴⁸. We may have missed important information from these studies but lacked resources to extend the search to non-indexed publications and grey literature.

We followed rigorous Cochrane methodology duplicating the selection, data extraction, and quality assessment procedures, but confidence in our findings is limited by the high RoB in most of the studies included. We only included controlled trials because we wanted to assess effectiveness. We acknowledge, however, that in LMICs there are many challenges and barriers such as lack of infrastructure, heterogeneity of resources, and poor health literacy, which discourage clinical trials ^{49,50}. Reliable tools for measuring outcomes (e.g. validated questionnaires in local language, well-trained assessors, effective training facilities, etc.) may not be available in low-resource settings reducing accuracy of assessing effectiveness ^{51,52}. We did not search for health economic assessments.

All our included studies reported positive outcomes, but the high RoB limits interpretation of this finding. In contrast, the evidence from studies conducted in HICs are mostly at low-to-moderate RoB, so that the Cochrane review was able to conclude confidently that PR was an effective intervention for people with COPD²³. It is likely that insufficient resources, training, and facilities in LMICs is responsible for the lack of high-quality trials. This is a gap that NIHR-funded initiatives, such as RESPIRE⁵³, and RECHARGE⁵⁴ aim to address.

Compared to high-resource settings, under-diagnosis due to lack of awareness of CRD compounded by limited access to diagnostic tools such as spirometry results in a minority of potentially eligible participants being approached to be enrolled in studies. Poor universal health coverage⁵⁵ and 'catastrophic' costs of healthcare⁵⁶ further limit participation in trials.

The lack of diagnostics means that patients recruited as COPD may in fact have a range of undifferentiated CRDs (e.g. pulmonary impairment after tuberculosis or combined obstructive and restrictive disorder⁵⁷). While this lack of detailed characterisation may impact on findings, offering PR to people with CRD (regardless of specific diagnosis) may be a more appropriate strategy especially in resource-limited settings.

There was considerable variation in the clinical status of participants, which might affect outcomes. There was considerable range in severity of functional limitation (see Table 1). In addition, some of the patients were stable at enrolment ^{37,39,40,43,45,47} while some had been hospitalised for a recent exacerbation ^{38,42,44}.

Exercise training is the cornerstone of PR⁵⁸ and was an inclusion criterion for the studies in our review. Endurance training was included in all the studies in addition to a range of other modalities as per recognised guidelines. Behavioural changes and continuing physical activities are crucial for maintaining effectiveness of PR⁵⁹, but these were not reported in any of the studies.

Education on CRD and its treatment was widely provided along with strategies on managing breathlessness, but other components such as self-management support and addressing social care needs were rarely reported, despite evidence of effectiveness in CRDs⁶⁰. In HICs, smoking is the predominant risk factor and cessation support is seen as essential. Surprisingly, only two of the studies in our review reported a smoking cessation component and none reported avoidance of pollution and indoor biomass exposure, which are also important risk factors in LMICs^{61,62}. The brief descriptions in the papers make it difficult to assess how these and other important educational topics (such as inhaler technique) were addressed.

Models of PR delivery depends on who, where, to whom, and how the service is delivered⁶³. Different models of PR services were described in the included studies reflecting diversity in the healthcare context and access to PR services; individuals' health literacy; and background beliefs, attitudes, and preferences, as well as practical factors such as availability of transport and capability of payment⁶⁴. A home-based, inexpensively equipped PR service with minimal attendance at a potentially distant centre may be more suitable model in rural areas with limited resources and poor transport infrastructure^{65,66}. In home-based models, the cost to the patient is minimised, and people have flexibility in how they invest their time^{67–69}. Digital technology is a rising paradigm in LMICs, which may be considered in developing a remote model of PR service⁷⁰.

Our findings have implications for clinical practice and research. Breathlessness is the principal symptom that drives the patients with CRDs to seek medical help⁷¹. In LMICs, diagnosis of chronic respiratory symptoms depends on clinical history and physical examination, with limited, or sometimes no, access to spirometry or other investigations⁷². Poor healthcare coverage may mean that tasks regarded as prerequisites to referral in HICs, such as identifying co-morbidities, optimising pharmacotherapy, and



PICOS	Description, inclusion/exclusion criteria	Operational rules
Population	Adults with CRDs. Comorbidity was not an exclusion criterion. No age restrictions	Any CRD (COPD, post TB, remodelled asthma, bronchiectasis, interstitia lung disease) or poorly differentiated respiratory conditions that cause chronic symptoms. We excluded studies that included non-respiratory causes for symptoms
Intervention	Pulmonary rehabilitation (PR), which comprised both exercise AND at least one non-exercise component	Non-exercise components included recognised PR interventions, such as patient education, breathing exercises, energy conservation training, self-management skill development We included optimisation of pharmacotherapy as a component because in low-resource settings this may not be accessed/provided elsewhere
Comparison	Population who are not given PR	Individuals received usual care as normal in the setting
Outcomes	Primary outcomes: • Functional exercise capacity • Health-related quality of life (HRQoL) Secondary outcomes: • Symptom control • Psychological status • Uptake of the service, completion rates • Adverse effects	Validated instruments considered: Functional exercise capacity: 6-Minute Walk Test, Endurance Shuttle Walking Test HRQoL: SGRQ, CRQ, SF-36, SF-12, EQ-5D Symptom control: mMRC, Borg scale Psychological status: HADS, PHQ-9, STAI, Beck Inventory test Non-validated instruments were extracted, but evidence noted as being less reliable
Setting	Low-resource settings Typically characterised by a lack of funds leading to: Limited access to medication, equipment Poorly developed infrastructure Few trained personnel Limited access to routine care	In practice, this decision was normally based on the World Bank category of a LMIC country at the time of the study. However, while low resource settings were usually in LMICs, PR delivered in a well-resourced contex (e.g. a tertiary care hospital) in an LMIC would be excluded, and interventions in HICs might be included if the context was low resource (e.g. remote, deprived community)
Study designs	Randomised controlled trials (RCTs); clinical controlled trials	We excluded studies that did not have a control group

SGRQ St Georges Respiratory Questionnaire, CRQ Chronic Respiratory Questionnaire, SF-36 Short Form-36, SF-12 Short Form-12, EQ-5D EuroQol Five Dimension, mMRC modified Medical Research Council, HADS Hospital Anxiety and Depression Scale, PHQ-9 Patient Health Questionnaire-9, STAI State-Trait Anxiety Inventory.

exclusion of contraindications, may need to be a component of PR in LMICs⁷³. The studies included in this review identified some practical solutions to these challenges, but high-quality evidence of the clinical and cost effectiveness of these pragmatic approaches is urgently needed.

In conclusion, recommendations in PR guidelines typically reflect services delivered in high-income settings. Our literature review, although identifying studies with high-to-moderate RoB, highlighted the feasibility of conducting PR in LMICs with positive effects on outcomes such as exercise tolerance, HRQoL, and symptoms improvement. Our findings point to the need for PR services that are effective across a broad range of (potentially poorly differentiated) CRDs, overcoming barriers of cost, distance, and access to healthcare such that they are deliverable and sustainable in low-resource settings with minimal equipment. Only then will the known benefits of PR be available to address the increasing burden of CRDs in LMICs.

METHODS

Published review protocol

The review is registered with PROSPERO [ID: CRD42019125326]. The detailed systematic review protocol is published⁷⁴ with salient points described here. We followed the procedures described in the Cochrane Handbook for Systematic Reviews of Interventions⁷⁵.

Deviation from published protocol

We planned to use Grading of Recommendations Assessment Development and Evaluation (GRADE⁷⁶) approach to rate the quality of evidence for primary outcomes and the important secondary outcomes; however, there was substantial missing information in the papers, so we were unable to apply the GRADE

approach (see Supplementary Results 2 for our limited GRADE exercise).

Search strategy

Table 4 gives details of the search strategy developed to detect randomised controlled trials (RCTs) and controlled clinical trials of 'Pulmonary Rehabilitation' AND 'COPD or other CRD' AND 'LMIC or low-resource settings' from 1990 (when global COPD guidelines first recommended PR⁷⁷) to November 2018 with no language restrictions. We searched MEDLINE (Supplementary Methods 1) EMBASE, Global Health (CABI), AMED, PubMed, and the Cochrane Database of Controlled Trials (CENTRAL). We did not undertake hand searching as we found no journal that regularly published PR papers in LMICs. Additionally, we conducted forward citations of the included articles. We used EndNote for overall data management.

The searches were completed on 28 October 2018, with a prepublication update on 8 March 2020 using the 'efficient and effective' approach⁷⁸ of forward citation using Google Scholar, of all included papers, and the Cochrane review²³.

Selection process

Details of inclusion and exclusion criteria and definitions used are in Table 4. In summary, we undertook a duplicate selection process using rules for operationalising the inclusion/exclusion criteria (see protocol for details⁷⁴). Two trained reviewers (G.M.M. H. and M.N.U.) independently screened titles and abstracts, then full-text papers (G.M.M.H., M.N.U., and K.D.). Disagreements were resolved by discussion, involving H.P. and R.R. or the wider team as necessary. We reported the process in a PRISMA flow diagram (Fig. 1)⁷⁹.



Outcome measurement

Our primary outcomes were between-group difference in functional exercise capacity (e.g. 6-MWT^{80–82}) and HRQoL (e.g. SGRQ^{83,84}). We also included breathlessness (e.g. mMRC Dyspnoea score⁸⁵). These are defined, and secondary outcomes are described in Table 4.

Data extraction and RoB

Two reviewers (G.M.M.H. and M.N.U. and checked by H.P.) extracted data on a piloted data extraction form (Supplementary Methods 2) based on the Cochrane Effective Practice and Organisation of Care guidance⁸⁶; G.M.M.H. and M.N.U. (checked by H.P.) independently assessed the methodological quality of all the included studies according to the Cochrane RoB tool⁷⁵.

Data analysis

The analysis addressed our three objectives:

- Effectiveness of PR in low-resource settings: On the basis of our initial scoping, we anticipated that our included studies would have substantial clinical, methodological, and statistical heterogeneity, and meta-analysis would not be appropriate. We, therefore, conducted a narrative synthesis illustrating the key outcomes on a harvest plot^{87,88}. In order to ensure transparency of interpretation, the decisions that underpinned the harvest plot are described in Table 1: column 5.
- 2. Components used in effective studies: We identified the components that are described in internationally recognised guidelines^{13,15,89} using categories from the American Thoracic Society/European Respiratory Society task force report¹³, British Thoracic Society guidelines for PR¹⁵, and Lung Foundation of Australia⁹⁰. We then constructed a matrix with the components used in the (effective and ineffective) studies.
- 3. Models of care used in the PR interventions: We described the models of care used, including PR providers and (if specified) their training, venue and equipment available, number and frequency of training sessions, use of telehealth, and strategies for sustainability.

DATE AVAILABILITY

Data sharing is not applicable as no data sets were produced during this study. The data that support the findings of this systematic review are all available in the published papers.

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AUTHOR CONTRIBUTIONS

G.M.M.H. conceived the idea of reviewing pulmonary rehabilitation in low-resource settings, and H.P. and R.R. supported protocol development. G.M.M.H. and M.N.U. with K.D. and S.A. completed screening, data extraction, risk of bias assessment, and data analysis. All authors contributed to interpretation of findings. G.M.M.H. drafted the first version of the manuscript with help from M.N.U. and supervised by H.P. and R.R. All authors read and approved the final manuscript.

COMPETING INTERESTS

Neither the funder nor the sponsor (University of Edinburgh) contributed to protocol development. G.M.M.H. owns a pulmonary rehabilitation clinic in Bangladesh. All other authors declare no competing interests.

ADDITIONAL INFORMATION

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