

Decreasing Incidence of Acute Kidney Injury in Patients with COVID-19 Critical Illness in New York City



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Introduction: Reports from the United States suggest that acute kidney injury (AKI) frequently complicates coronavirus disease 2019 (COVID-19), but understanding of AKI risks and outcomes is incomplete. In addition, whether kidney outcomes have evolved during the course of the pandemic is unknown.

Methods: We used electronic medical records to identify patients with COVID-19 with and without AKI admitted to 3 New York Hospitals between March 2 and August 25, 2020. Outcomes included AKI overall and according to admission week, AKI stage, the requirement for new renal replacement therapy (RRT), mortality, and recovery of kidney function. Logistic regression was used to assess associations of patient characteristics and outcomes.

Results: Of 4732 admissions, 1386 (29.3%) patients had AKI. Among those with AKI, 717 (51.7%) had stage 1 disease, 132 (9.5%) had stage 2 disease, 537 (38.7%) had stage 3 disease, and 237 (17.1%) required RRT initiation. In March, 536 of 1648 (32.5%) patients developed AKI compared with 15 of 87 (17.2%) in August ($P < 0.001$ for monthly trend), whereas RRT initiation was required in 6.9% and 0% of admissions in March and August, respectively. Mortality was higher with than without AKI (51.6% vs. 8.6%) and was 71.9% in individuals requiring RRT. However, most patients with AKI who survived hospitalization (77%) recovered to within 0.3 mg/dl of baseline creatinine. Among those surviving to discharge, 62% discontinued RRT.

Conclusions: AKI impacts a high proportion of admitted patients with COVID-19 and is associated with high mortality, particularly when RRT is required. AKI incidence appears to be decreasing over time and kidney function frequently recovers in those who survive.

Kidney Int Rep (2021) 6, 916–927; <https://doi.org/10.1016/j.ekir.2021.01.036>

KEYWORDS: acute renal failure; COVID-19; critical illness; mortality; renal replacement therapy; SARS-CoV-2

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Severe acute respiratory syndrome coronavirus 2 is a highly infectious¹ and virulent pathogen.² To our knowledge, the first peer-reviewed report focusing on AKI in patients with COVID-19 included 116 confirmed cases from a single center in Wuhan, China. Among these patients, none of the 111 patients without chronic kidney disease (CKD) at baseline developed AKI.³ A subsequent report including 333 patients with COVID-19 at a single hospital in China found that 75% of patients had abnormal urinalysis, 66% had proteinuria, and $\leq 7.5\%$ of

patients had AKI, with a plurality having stage 1 AKI.⁴ A second article from a tertiary care center in Wuhan reported similar findings among 701 patients, finding that proteinuria and hematuria were frequently present on admission and that 5.1% of patients experienced AKI.⁵

Although these reports suggest that AKI is an infrequent component of COVID-19 illness, more recent reports from the United States suggest a much higher AKI incidence. In a report from the largest health system in New York, $>30\%$ of 5449 patients admitted with COVID-19 experienced AKI and 4.4% of patients required RRT.⁶ Several other publications have reported even higher rates, including an article reporting on 3235 patients hospitalized in New York (AKI incidence 46%, 8.6% of all patients requiring RRT),⁷ as well as smaller case series, including a report from Philadelphia noting a 49% incidence of AKI with roughly 8% of patients with AKI requiring RRT⁸ and a

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Received 24 July 2020; revised 20 January 2021; accepted 25 January 2021; published online 4 February 2021

report from New Jersey that RRT was required in 21% of minority patients.⁹

These data establish AKI as a critical and frequent complication of COVID-19 disease, at least in the United States. However, it is unknown whether the rapid evolution in treatments, hospital practices, and public health measures during the initial months of the COVID-19 pandemic has been associated with changes in the incidence of AKI. In addition, information on the risk factors for development of AKI, the prognosis of COVID-19-associated AKI, and outcomes of RRT remain incomplete. We undertook this effort to better describe the characteristics and prognosis of COVID-19 AKI as well as temporal changes in AKI incidence in a multi-center cohort in the United States.

METHODS

Study Population

We included patients admitted to 3 New York University (NYU) Langone Health Hospitals: Tisch Hospital in Manhattan, NYU Langone Hospital–Brooklyn in Brooklyn, and NYU Winthrop on Long Island. The institutions span a range of models including an urban quaternary care facility, a suburban referral center, and an urban safety net institution. The cohort included all patients admitted for treatment of COVID-19 between March 1, 2020 and August 25, 2020. All patients were required to have a documented test positive for severe acute respiratory syndrome coronavirus 2 by real-time reverse transcription polymerase chain reaction assay of nasopharyngeal or oropharyngeal swab specimens during the admission or within the 2 weeks before the admission date. For patients admitted more than once ($n = 196$), we included all hospitalizations. Patients with end-stage renal disease (ESRD) on dialysis at the time of admission were excluded based on the presence of codes for “ESRD present on admission,” a history of dialysis on a previous admission combined with dialysis during the index admission, and manual review of cases receiving RRT on the day of admission.

Follow-up was available through August 25, 2020. This study was approved with a waiver of informed consent and a Health Insurance Portability and Accountability Act waiver by the NYU Grossman School of Medicine Institutional Review Board (i20-00485).

Data Elements

We used the electronic health record (Epic Systems, Verona, WI), which contains information on inpatient and outpatient visits, to extract data on demographics, comorbidities, smoking, vital signs, comorbidities, laboratory values, and use of extracorporeal oxygenation, high flow oxygen, and mechanical ventilation. All data in the electronic health record were used to extract

information, including problem lists, medical history section, or encounter diagnoses from previous inpatient and outpatient visits.

Outcomes

Our primary outcomes included AKI, the need for new RRT during the index hospitalization, and survival to discharge during the index hospitalization. Acute kidney injury was defined using the creatinine criteria as defined by AKI Network criteria and staged accordingly¹⁰: stage 1—increase of ≥ 0.3 mg/dl or to >1.5 to 2 times baseline; stage 2—increase >2 to ≤ 3 times the baseline value; and stage 3—increase >3 -fold from baseline or rise to ≥ 4.0 mg/dl with an acute increase ≥ 0.5 mg/dl, or new initiation of RRT. Because urine output was inconsistently recorded for patients who were not admitted to the intensive care unit (ICU), we did not use the AKI Network urine output criteria to define AKI. Where available, the most recent outpatient creatinine values within 6 months of admission were used to define the baseline for the definition of AKI. When no outpatient value was available within this time frame, we used the admission creatinine. A sensitivity analysis defined AKI using the minimum creatinine (while not on dialysis) during the hospitalization as the baseline for individuals without a known baseline value. We did not require that AKI-qualifying changes in creatinine be documented to occur ≤ 7 days because the majority (76.3%) of patients with outpatient creatinine values had them drawn >7 days before admission, the median length of stay was 6 days, and because the first day of AKI occurred at median of 3 days and 2 days in those with and without a need for RRT. Individuals with the combination of only a single inpatient creatinine measurement, no available preadmission creatinine, and discharge on hospital day 0 or 1 were categorized as not having AKI on the presumption that individuals with this combination were unlikely to have clinically significant kidney injury.

RRT was extracted directly from the medical record. Renal recovery was defined as a decrease in creatinine to ≤ 0.3 mg/dl above baseline together with the absence of ongoing RRT at any time prior to discharge. In addition, we examined an outcome of RRT discontinuation. For this outcome, discontinuation because of futility or change in goals of care was not considered to represent discontinuation.

Variables

The following variables were extracted from the electronic health record: age at admission, sex, self-reported race/ethnicity, smoking status, history of hypertension, hyperlipidemia, coronary artery disease, heart failure, pulmonary disease (defined by chronic

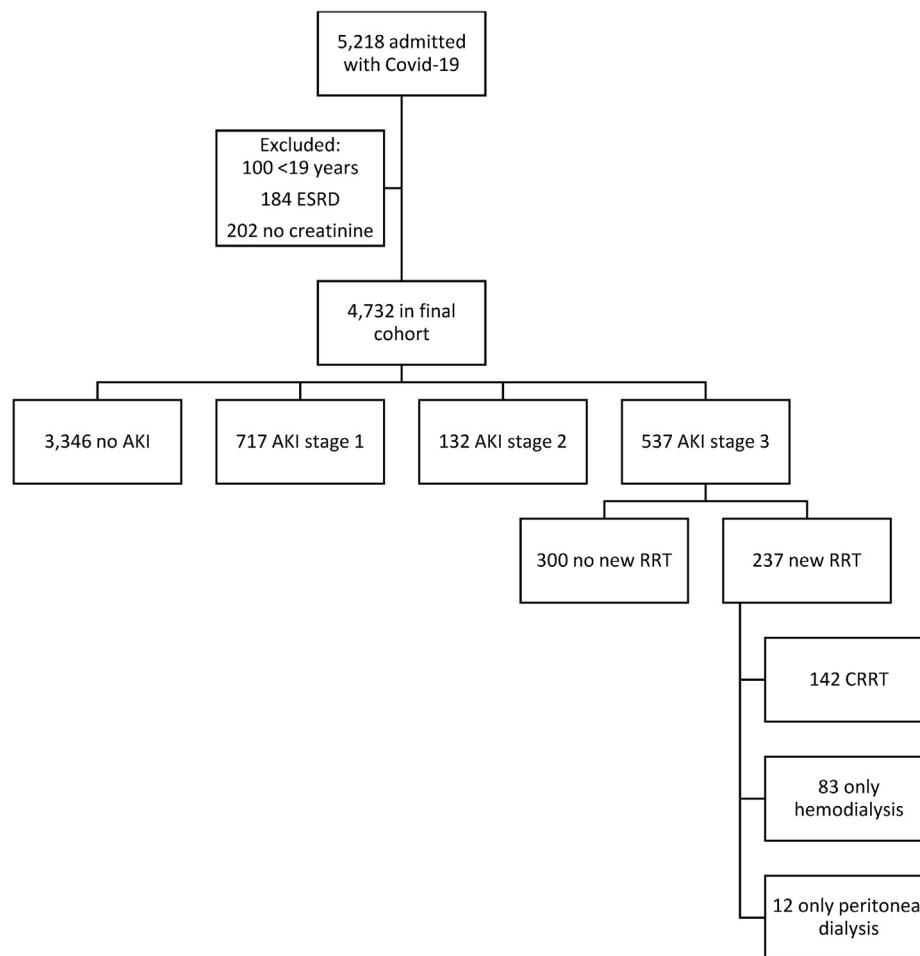


Figure 1. Study population. AKI, acute kidney injury; CRRT, continuous renal replacement therapy; ESRD, end-stage renal disease; RRT, renal replacement therapy.

obstructive pulmonary disease or asthma), malignancy (excluding nonmetastatic nonmelanoma skin cancer), diabetes, CKD, and obesity (defined by most recent body mass index). We also obtained vital signs and laboratory values at admission.

Statistical Analysis

Baseline variables are reported according to the distribution as median (interquartile range [IQR]) for continuous variables and n (%) for categorical variables, stratified by AKI stage. Binary comparisons between groups were made using χ^2 tests. We tested for a differential risk of AKI and AKI requiring RRT by calendar week of admission using the Cochran-Armitage test for trend. Multivariable logistic regression models were constructed to analyze risk of developing AKI and the risk of in-hospital death with AKI compared with no AKI. Proportions of patients with each outcome according to ICU admission in those with or without AKI or with or without a new dialysis requirement were reported as n (%).

In addition, an exploratory model was used to analyze associations of baseline factors with in-hospital

survival after starting RRT. Variables were included based on *a priori* clinical considerations after testing for collinearity and ensuring variance inflation factor was >2 .¹¹ In addition, the association of calendar week of admission with the risk of AKI was analyzed by including calendar week as a variable in final incident AKI model. Given a smaller sample size of patients receiving RRT, the model for death among patients receiving RRT included only demographic and comorbidities. Multicollinearity was assessed using the determinant of correlation matrix using the `mctest` library in *R* software.¹²

All statistical analyses were conducted with *R* (version 3.6.3). Two-sided P values <0.05 were considered statistically significant. No adjustments were made for multiple comparisons.

RESULTS

Baseline Characteristics

Between March 1, 2020 and August 25, 2020, we identified 4732 patients admitted with COVID-19 at NYU Langone Health, of whom 381 were excluded

Table 1. Characteristics of the study population

Characteristic	Total (N = 4732)	No AKI (N = 3346)	Any AKI (N = 1386)	New RRT (N = 237)
Age, yr, median (IQR)	65 (51–76)	62 (48–75)	69 (59–79)	63 (53–71)
Age, yr, n (%)				
19–44	805 (17.01)	701 (20.95)	104 (7.5)	15 (6.82)
45–54	622 (13.14)	488 (14.58)	134 (9.67)	36 (16.36)
55–64	916 (19.36)	647 (19.34)	269 (19.41)	65 (29.55)
65–74	1056 (22.32)	652 (19.49)	404 (29.15)	75 (34.09)
≥75	1333 (28.17)	858 (25.64)	475 (34.27)	29 (13.18)
Male, n (%)	2702 (57.10)	1790 (53.5)	912 (65.8)	182 (82.73)
Race/ethnicity, n (%)				
Asian	333 (7.04)	232 (6.93)	101 (7.29)	22 (10)
Non-Hispanic African American	686 (14.50)	483 (14.44)	203 (14.65)	29 (13.18)
Hispanic	1291 (27.28)	945 (28.24)	346 (24.96)	70 (31.82)
Other/multiracial	337 (7.12)	243 (7.26)	94 (6.78)	15 (6.82)
Unknown	154 (3.25)	110 (3.29)	44 (3.17)	7 (3.18)
Non-Hispanic white	1931 (40.81)	1333 (39.84)	598 (43.15)	77 (35)
Tobacco use, n (%)				
Current	283 (5.98)	205 (6.13)	78 (5.63)	12 (5.45)
Former	1019 (21.53)	670 (20.02)	349 (25.18)	32 (14.55)
Never	2717 (57.42)	1992 (59.53)	725 (52.31)	128 (58.18)
Unknown	713 (15.07)	479 (14.32)	234 (16.88)	48 (21.82)
Obesity, n (%)				
BMI <25 kg/m ²	1087 (22.97)	768 (22.95)	319 (23.02)	35 (15.91)
BMI 25–<30 kg/m ²	1557 (32.90)	1100 (32.88)	457 (32.97)	63 (28.64)
BMI 30–<40 kg/m ²	1551 (32.78)	1103 (32.96)	448 (32.32)	93 (42.27)
BMI ≥40 kg/m ²	384 (8.11)	248 (7.41)	136 (9.81)	28 (12.73)
Unknown	153 (3.23)	127 (3.8)	26 (1.88)	1 (0.45)
Any chronic condition, n (%)	3857 (81.51)	2609 (77.97)	1248 (90.04)	178 (80.91)
Coronary artery disease	707 (14.94)	410 (12.25)	297 (21.43)	35 (15.91)
Heart failure	488 (10.31)	249 (7.44)	239 (17.24)	13 (5.91)
Hyperlipidemia	2052 (43.36)	1341 (40.08)	711 (51.3)	92 (41.82)
Hypertension	2738 (57.86)	1736 (51.88)	1002 (72.29)	140 (63.64)
Diabetes	1646 (34.78)	1054 (31.5)	592 (42.71)	88 (40)
Asthma or chronic obstructive pulmonary disorder	815 (17.22)	555 (16.59)	260 (18.76)	26 (11.82)
Chronic kidney disease	761 (16.08)	343 (10.25)	418 (30.16)	57 (25.91)
Cancer	582 (12.30)	377 (11.27)	205 (14.79)	23 (10.45)
Use of high-flow oxygen	321 (6.78)	216 (6.46)	105 (7.58)	2 (0.91)
Mechanical ventilation	876 (18.51)	133 (3.97)	743 (53.61)	208 (94.55)
ECMO	48 (1.01)	3 (0.09)	45 (3.25)	12 (5.45)
Length of stay, days, median (IQR)	6 (3–11)	5 (3–8)	12 (6–24)	21 (11–40.5)
Hospital day first with any AKI, mean (IQR)	N/A	N/A	3 (1–6)	2 (1–5)
Renal recovery, ^a n/N (%)	N/A	N/A	523/678 (77.1)	28/68 (41.2)
Cessation of new dialysis, ^a n/N (%)	N/A	N/A	N/A	42/68 (61.8)
Oxygen saturation <88% at presentation, n (%)	601 (12.70)	271 (8.1)	330 (23.81)	75 (34.09)
Systolic blood pressure <100 mm Hg at presentation, n (%)	289 (6.11)	185 (5.53)	104 (7.5)	8 (3.64)

AKI, acute kidney injury; ECMO, extracorporeal membrane oxygenation; IQR, interquartile range; RRT, renal replacement therapy.

^aAmong patients who did not die or who were not discharged to hospice.

(85 <18 years of age, 148 without any creatinine drawn, and 148 with ESRD on dialysis), leaving 4272 in the study cohort (Figure 1). A previous outpatient creatinine measurement within 6 months before admission was available in 1021 patients (21.7%).

There were 1386 (29.3%) patients with AKI overall. Among those with AKI, 717 (51.7%) had stage 1, 132 (9.5%) stage 2, and 537 (38.7%) stage 3 AKI. New RRT was required in 237 (17.1%) of those with AKI. Among patients admitted to the ICU (*n* = 1056), AKI incidence was even higher, with 788 (74.6%) of

patients having AKI and 213 (20.2%) requiring new RRT. Results were similar in analyses using the nadir creatinine with an overall AKI incidence of 30.7% with RRT required in 16.3% of all AKI.

Older age, diabetes, hypertension, and congestive heart failure were more common among those with AKI than those without AKI during admission (Table 1). There was a higher incidence of severe hypoxia (oxygen saturation <88%) at presentation in those with AKI. Admission laboratory values (Table 2) were substantially different in individuals with AKI, who had

Table 2. Laboratory values at baseline

Characteristic	Total (N = 4732)	No AKI (N = 3346)	Any AKI (N = 1386)	New RRT (N = 237)
First urinalysis				
RBC				
Missing, n	2282	1954	328	31
Large, n (%)	254 (10.37)	104 (3.11)	150 (10.82)	40 (21.16)
Moderate, n (%)	348 (14.20)	157 (4.69)	191 (13.78)	49 (25.93)
Small, n (%)	341 (13.92)	158 (4.72)	183 (13.20)	33 (17.46)
Negative, n (%)	1507 (61.51)	973 (29.08)	534 (38.53)	67 (35.45)
Mean WBCs per hpf				
Missing, n	2282	1954	328	31
Large, n (%)	168 (6.86)	102 (3.05)	66 (4.76)	6 (3.17)
Moderate, n (%)	179 (7.31)	104 (3.11)	75 (5.41)	12 (6.35)
Small, n (%)	202 (8.24)	112 (3.35)	90 (6.49)	17 (8.99)
Negative, n (%)	1901 (77.59)	1074 (32.10)	827 (59.67)	154 (81.48)
Urine protein				
Missing, n	2259	1933	326	31
Large, n (%)	206 (8.33)	92 (2.75)	114 (8.23)	26 (13.76)
Moderate, n (%)	683 (27.62)	352 (10.52)	331 (23.88)	84 (44.44)
Small, n (%)	724 (29.28)	408 (12.19)	316 (22.80)	50 (26.46)
Negative, n (%)	860 (34.78)	561 (16.77)	299 (21.57)	29 (15.34)
D-dimer, N, median (IQR)	4158, 422.5 (247–846)	2853, 380 (223–709)	1305, 543 (312–1416)	234, 558 (318–1763)
Creatinine, N, median (IQR)	4723, 0.989 (0.79–1.33)	3339, 0.9 (0.75–1.17)	1384, 1.25 (0.925–1.83)	236, 1.21 (0.9505–2.09)
Sodium, N, median (IQR)	4723, 137 (134–140)	3339, 137 (134–140)	1384, 136 (133–140)	236, 135 (131.5–139)
Potassium, N, median (IQR)	4591, 4 (3.7–4.4)	3250, 4 (3.7–4.4)	1341, 4.2 (3.7–4.6)	227, 4.1 (3.7–4.6)
Bicarbonate, N, median (IQR)	4721, 24 (21–26)	3338, 24 (22–26)	1383, 23 (20–25)	236, 22 (19.5–25)
CK, N, median (IQR)	3270, 136 (62–327)	2109, 114 (57–277)	1161, 181 (79–444)	224, 268.5 (120.5–578.5)
IL-6, N, median (IQR)	2710, 11.2 (5–31)	1684, 8 (5–19)	1026, 22 (8–57)	202, 32.5 (15–92)
CRP, N, median (IQR)	4353, 95.7 (38.79–161)	3005, 82.96 (31.1–147)	1348, 125.35 (63.19–190.5)	237, 148.8 (93.45–210)

CK, creatine kinase; CRP, C-reactive protein; hpf, high-power field; IL-6, interleukin-6; IQR, interquartile range; RBC, red blood cell; WBC, white blood cell. N provided within each row for laboratory tests because not all tests were performed on all patients.

higher D-dimer, interleukin-6, and C-reactive protein (CRP) levels. Among those with urinalyses, dipstick proteinuria was present in 71.1%, hematuria in 49.5%, and leukocyturia in 21.8% of patients with AKI.

Differences in oxygen saturation were more prominent in those who developed severe AKI requiring RRT and in those who developed higher stages of AKI (Supplementary Table 1). In particular, hematuria and proteinuria were more frequent in those with higher AKI severity ($P < 0.001$). Similarly, D-dimer, interleukin-6, and CRP concentrations were higher in those with more severe AKI ($P < 0.001$).

Risk of AKI by Date of Admission

As shown in Table 3, Supplementary Table 2, and Figure 2, the proportion of patients developing AKI and AKI requiring RRT decreased significantly over time ($P < 0.001$ for trend). Overall, in March, 536 of 1648 (32.5%) patients developed AKI compared with 15 of 87 (17.2%) in August. Rates of new RRT in March and August were 6.9% and 0%, respectively. The incidence of AKI was 36.3% the week of March 16–22. Although there were no new RRT initiations during the first week of March (among 7 COVID-19-positive admissions),

5.0% to 11.1% of all admissions required RRT for the next 6 weeks. Subsequently, the weekly incidence of AKI requiring RRT was $\leq 3.6\%$ with the exceptions of week 20 (4.4%) and week 29 (5.9%). Trends were qualitatively similar in analyses using the alternative definition of AKI with peak incidence of 57.1% in the week of March 2–8 ($n = 4$) and 40% ($n = 18$) in the week of March 9–15 and lower rates thereafter.

As shown in Figure 2, the early decline in AKI paralleled an increase in the use of tocilizumab and corticosteroids through week 15 of 2020. However, the incidence of AKI continued to fall thereafter, despite reduced usage of these therapies. The association of admission week with risk of AKI was robust and

Table 3. Incidence of AKI according to admission month

Month	Total (N = 4732)	No AKI (N = 3346), n (%)	Any AKI (N = 1386), n (%)	New RRT (N = 237), n (%)
March 2020	1648	1112 (67.48)	536 (32.52)	113 (6.86)
April 2020	2162	1478 (68.36)	684 (31.64)	111 (5.13)
May 2020	463	383 (82.72)	80 (17.28)	8 (1.73)
June 2020	184	147 (79.89)	37 (20.11)	2 (1.09)
July 2020	188	154 (81.91)	34 (18.09)	3 (1.6)
August 2020	87	72 (82.76)	15 (17.24)	0 (0)

AKI, acute kidney injury.

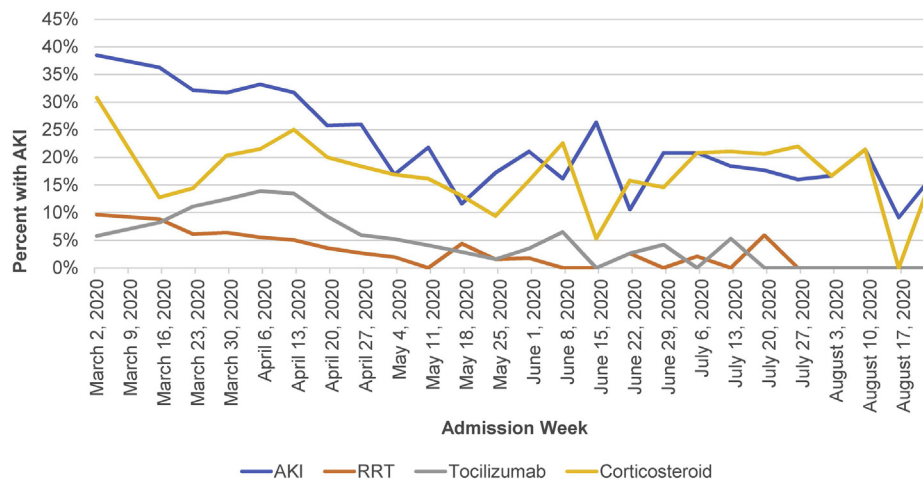


Figure 2. Acute kidney injury (AKI) incidence, use of corticosteroids, and use of tocilizumab according to admission week. The weeks of March 2 and March 9, 2020 are combined. RRT, renal replacement therapy.

remained significant in models adjusted for clinical risk factors and COVID-19 presentation (Table 4). To understand temporal trends for AKI, we analyzed patient characteristics according to the time of admission. CRP levels, age, and the proportion of patients with clinically significant hypoxia at admission were significantly lower during later weeks of the pandemic (Table 5).

Renal Replacement Modality and Recovery of Kidney Function

Renal recovery back to within ≤ 0.3 mg/dl above baseline before discharge was present in 523 of 678 (77.1%) surviving patients with AKI. The proportion recovering was qualitatively similar but numerically higher among those without (80.4%) compared with those with (70.7%) a previous outpatient baseline creatinine. Among 237 patients who received new RRT, 83 (35.0%) received intermittent hemodialysis without continuous RRT, and 12 (5.1%) received peritoneal dialysis without continuous RRT, and 142 (59.9%) received continuous RRT. The median duration of continuous RRT was 4 days (IQR 2-8). Among the 66 patients requiring RRT who survived to discharge, 41 (62.1%) discontinued RRT; an additional 2 patients were still admitted at the time the data were locked, of whom 1 had discontinued RRT.

Mortality and ICU Admission

Patients with AKI were more likely to be admitted to an ICU (56.9% vs. 8.0%, $P < 0.001$), undergo mechanical ventilation (53.6% vs. 4.0%, $P < 0.001$), or undergo extracorporeal membrane oxygenation (3.3% vs. 0.1%, $P < 0.001$) during admission than those without (Tables 1 and 6). Among individuals with an outcome (death or discharge), mortality was higher with than without AKI (51.6% vs. 8.6%) and was

71.9% in individuals requiring RRT. Using the nadir creatinine-based definition, mortality was 50.1% and 8.4% in those with and without AKI, respectively. In addition, 21 patients were still admitted at the time we locked the data. Mortality was higher for individuals with AKI or AKI requiring RRT both in the setting of ICU admission and in those not admitted to an ICU during hospitalization. Patients admitted to the ICU and requiring RRT had particularly poor outcomes. Out of 211 such patients with an outcome at the time of data lock, only 50 (23.7%) patients survived to discharge. By contrast, 452 of 834 (54.2%) ICU patients not requiring RRT with outcomes survived to discharge (Table 6; time to event analyses of survival are provided in Supplementary Table 3 and Supplementary Figure 1). When analyzed according to maximal AKI stage, mortality increased across AKI stages (Supplementary Table 4). Following adjustment for demographics, laboratory values, and comorbid conditions, the adjusted overall risk for death was more than 10-fold higher with than without AKI (odds ratio 10.22 [95% confidence interval 8.39-12.49; Supplementary Table 5). In contrast, week of admission was not consistently associated with mortality. In an exploratory analysis among individuals requiring RRT, we did not identify significant associations between age, race, or ethnicity. History of cancer was associated with increased mortality after RRT initiation whereas baseline CKD, coronary disease, and hypertension were associated with better survival. In addition, obesity was strongly associated with the risk of death (odds ratio 3.93 [95% confidence interval 1.53-10.47] for body mass index for $30 < 40$ kg/m² vs. < 25 kg/m² and odds ratio 9.10 [95% CI 2.36-40.68] for body mass index ≥ 40 kg/m² vs. < 25 kg/m² [Supplemental Table 6]).

Table 4. Crude and adjusted associations with AKI

Variable	Crude OR	95% CI	P value	Adjusted OR	95% CI	P value
Male sex	1.68	1.47–1.91	<0.001	1.67	1.43–1.95	<0.001
Race						
White	Reference	—	—	Reference	—	—
Asian	0.97	0.75–1.25	0.83	1.03	0.76–1.38	0.85
Black	0.94	0.77–1.13	0.51	0.96	0.77–1.20	0.73
Hispanic	0.82	0.70–0.96	0.01	1.13	0.93–1.36	0.21
Other/multiracial	0.86	0.67–1.11	0.26	1.08	0.80–1.45	0.61
Unknown	0.90	0.62–1.29	0.57	1.00	0.65–1.50	0.99
Age, yr						
19–44	Reference	—	—	Reference	—	—
45–54	1.84	1.39–2.44	<0.001	1.10	0.81–1.50	0.54
55–64	2.80	2.19–3.61	<0.001	1.51	1.14–2.02	0.004
65–74	4.18	3.30–5.33	<0.001	2.06	1.55–2.75	<0.001
≥75	3.74	2.97–4.74	<0.001	1.79	1.32–2.43	<0.001
Smoking						
Never	Reference	—	—	Reference	—	—
Current	1.05	0.79–1.37	0.74	0.91	0.66–1.25	0.56
Former	1.43	1.23–1.67	<0.001	0.95	0.79–1.14	0.59
Unknown	1.35	1.13–1.61	0.001	1.36	1.10–1.67	0.004
Cancer						
Chronic kidney disease	1.37	1.14–1.64	0.001	1.15	0.92–1.42	0.21
Chronic kidney disease	3.78	3.22–4.44	<0.001	3.18	2.62–3.86	<0.001
Coronary artery disease	1.95	1.66–2.30	<0.001	0.97	0.79–1.20	0.80
Diabetes	1.81	1.60–2.06	<0.001	1.25	1.07–1.46	0.004
Heart failure	3.02	2.54–3.58	<0.001	2.30	1.86–2.83	<0.001
Hyperlipidemia	1.57	1.39–1.78	<0.001	0.84	0.71–1.00	0.05
Hypertension	2.34	2.04–2.69	<0.001	1.50	1.26–1.80	<0.001
Pulmonary	1.16	0.99–1.37	0.07	0.90	0.74–1.10	0.31
Body mass index, kg/m ²						
<25	Reference	—	—	Reference	—	—
25–<30	1.00	0.84–1.19	1.00	0.99	0.81–1.21	0.93
30–<40	0.98	0.83–1.16	0.80	1.00	0.81–1.23	0.98
≥40	1.37	1.14–1.64	0.001	1.66	1.23–2.25	<0.001
Unknown	0.47	0.30–0.73	0.001	0.46	0.28–0.74	0.002
Systolic blood pressure, mm Hg						
<100	1.38	1.04–1.80	0.02	1.09	0.80–1.48	0.60
101–120	Reference	—	—	Reference	—	—
121–160	0.96	0.83–1.12	0.61	0.88	0.74–1.05	0.15
>160	1.29	1.02–1.63	0.03	0.88	0.67–1.15	0.34
Oxygen saturation, %						
93–100	Reference	—	—	Reference	—	—
89–92	3.93	3.28–4.71	<0.001	1.40	1.17–1.67	<0.001
≤88	1.51	1.29–1.77	<0.001	3.39	2.76–4.17	<0.001
Temperature, °C						
<37.0	Reference	—	—	Reference	—	—
>39.0	1.18	0.93–1.49	0.18	1.23	0.93–1.63	0.15
38.1–39.0	1.23	1.01–1.49	0.04	1.20	0.95–1.51	0.12
37.5–38.0	1.04	0.90–1.20	0.63	1.01	0.85–1.19	0.93
D-dimer, ng/ml						
0–250	Reference	—	—	Reference	—	—
251–500	1.91	1.58–2.32	<0.001	1.69	1.37–2.09	<0.001
501–1000	2.30	1.87–2.84	<0.001	1.98	1.57–2.49	<0.001
1001–2500	2.81	2.18–3.61	<0.001	2.44	1.83–3.25	<0.001
>2500	3.97	3.13–5.04	<0.001	3.08	2.35–4.04	<0.001
Not recorded	0.71	0.53–0.93	0.02	0.50	0.35–0.71	<0.001
Date of admission						
10 (March 9–15)	Reference	—	—	Reference	—	—
11 (March 16–22)	0.91	0.50–1.69	0.76	0.71	0.35–1.48	0.36
12 (March 23–29)	0.76	0.43–1.37	0.35	0.37	0.19–0.77	0.01

(Continued on following page)

Table 4. (Continued)

	Crude OR	95% CI	P value	Adjusted OR	95% CI	P value
13 (March 30–April 5)	0.74	0.42–1.34	0.31	0.30	0.15–0.61	0.001
14 (April 6–April 12)	0.79	0.45–1.44	0.43	0.25	0.12–0.52	<0.001
15 (April 13–19)	0.74	0.41–1.36	0.33	0.24	0.12–0.50	<0.001
16 (April 20–26)	0.55	0.30–1.04	0.06	0.18	0.08–0.38	<0.001
17 (April 27–May 3)	0.56	0.29–1.08	0.08	0.21	0.10–0.47	<0.001
18 (May 4–10)	0.33	0.16–0.66	0.002	0.13	0.06–0.31	<0.001
19 (May 11–17)	0.45	0.22–0.90	0.02	0.23	0.10–0.53	0.001
20 (May 18–24)	0.21	0.08–0.51	0.001	0.11	0.04–0.32	<0.001
21 (May 25–31)	0.33	0.14–0.77	0.01	0.13	0.05–0.36	<0.001
22 (June 1–7)	0.43	0.18–0.98	0.05	0.22	0.08–0.60	0.003
23 (June 8–14)	0.31	0.09–0.88	0.04	0.17	0.05–0.57	0.01
24 (June 15–21)	0.57	0.22–1.40	0.23	0.34	0.11–0.99	0.05
25 (June 22–29)	0.19	0.05–0.56	0.01	0.13	0.03–0.45	0.00
26 (June 30–July 6)	0.42	0.17–1.01	0.06	0.24	0.08–0.68	0.01
27 (July 7–13)	0.42	0.17–1.01	0.06	0.32	0.11–0.88	0.03
28 (July 14–20)	0.36	0.13–0.94	0.04	0.24	0.07–0.73	0.01
29 (July 21–27)	0.34	0.11–0.93	0.04	0.24	0.06–0.80	0.02
30 (July 28–August 3)	0.30	0.11–0.76	0.01	0.28	0.09–0.81	0.02
31 (August 4–10)	0.32	0.11–0.87	0.03	0.16	0.05–0.53	0.004
32 (August 11–17)	0.44	0.14–1.21	0.13	0.46	0.13–1.45	0.20
33 (August 18–24)	0.16	0.01–0.93	0.09	0.12	0.01–1.01	0.09
34 (August 19–25)	0.32	0.02–2.18	0.31	0.14	0.00–2.63	0.28

CI, confidence interval; OR, odds ratio.

N = 4729. Two patients were not included because of missing data on oxygen saturation and 1 because of missing information on systolic blood pressure.

Associations With AKI

In adjusted analyses (Table 4), age, male sex, baseline CKD, diabetes, heart failure, hypertension, body mass index >40 kg/m², and admit week were independently associated with the risk of AKI. Presenting systolic blood pressure was not independently associated with AKI risk, but AKI risk was higher in those with lower oxygen saturation and higher D-dimer at presentation (Figure 3).

DISCUSSION

We analyzed data from 4732 patients admitted with COVID-19 between March and August, 2020 to 3 different New York area hospitals affiliated with NYU Langone Health. Of 4732 admissions, 1386 (29.3%) patients had AKI. Among those with AKI, 717 (51.7%) had stage 1 disease, 132 (9.5%) had stage 2 disease, and 537 (38.7%) had stage 3 disease, and 237 (17.1%) required RRT initiation. AKI was present in 29.3% of patients and was accompanied by the presence of hematuria or proteinuria in a high proportion of patients in whom urinalysis assessments were obtained. AKI severity was high, with 14.1% of admitted patients without preexisting ESRD having stage ≥2 AKI, 5.0% requiring new RRT, and 20.2% of all patients admitted to an ICU requiring new RRT. In addition, AKI was associated with a marked increase in the risk of in-hospital death, especially in patients in the ICU

requiring RRT, in whom mortality exceeded 76%. Lastly, despite a high incidence of AKI, overall, we observed a marked reduction in AKI incidence over time with a rate that was one-third lower during the last 7 weeks compared with the first half of the surge.

Early reports of COVID-19 illness from China suggested that the incidence of AKI was low, ranging from <1% to 7.5%.^{2,4,5,13} A recent metaanalysis suggested the prevalence of AKI was 17%,¹⁴ and recent reports from the United States have consistently demonstrated even higher rates of AKI.^{6–9} Consistent with these reports, we observed a markedly higher incidence of overall AKI among patients admitted with COVID-19 in New York compared with reports from China, and identified a rate of severe AKI requiring RRT comparable to the highest incidence of AKI in the previous reports and higher than those seen in earlier reports from China.⁴

Reasons for the stark differences in the incidence of AKI in the United States and China are uncertain. Potential explanations include differences in threshold for admission between China and the United States, differences in the race and ethnicity and underlying genetic susceptibility to COVID-19-related AKI in patients, as well as socioeconomic conditions, differences in age, underlying comorbidities, such as diabetes and preexisting CKD, or the treatments provided for patients upon presentation. The lack of standardization in the definitions used to define AKI, the absence

Table 5. Severity of illness according to admission month

Characteristic	March (N = 1648)	April (N = 2162)	May (N = 463)	June (N = 184)	July (N = 188)	August (N = 87)
Age, yr	63 (51, 74)	66 (54, 78)	65 (46, 81)	59 (35, 72)	58 (37, 72)	51 (33, 72)
Diabetes	623 (37.8)	946 (43.8)	154 (33.3)	64 (34.8)	62 (33.0)	25 (28.7)
CKD	217 (13.17)	400 (18.5)	73 (15.8)	29 (15.8)	33 (17.6)	9 (10.3)
Hypertension	987 (59.9)	1361 (63.0)	270 (58.3)	104 (56.5)	95 (50.5)	40 (46.0)
Race/ethnicity						
White	751 (45.6)	793 (36.7)	209 (45.1)	74 (40.2)	66 (35.1)	38 (43.7)
Asian	94 (5.7)	189 (8.7)	25 (5.4)	7 (3.8)	11 (5.9)	7 (8.1)
Black	232 (14.1)	326 (15.1)	70 (15.1)	22 (12.0)	32 (17.0)	4 (4.6)
Hispanic	394 (23.9)	612 (28.3)	129 (27.9)	63 (34.2)	65 (34.6)	28 (32.2)
Other/multiracial	117 (7.1)	169 (7.8)	20 (4.3)	14 (7.6)	11 (5.9)	6 (6.9)
Unknown	60 (3.6)	73 (3.4)	10 (2.2)	4 (2.2)	3 (1.6)	4 (4.6)
Body mass index, kg/m ²						
<25	314 (19.1)	516 (23.9)	134 (28.9)	44 (23.9)	60 (31.9)	19 (21.8)
25–30	553 (33.6)	718 (33.2)	155 (33.5)	49 (26.6)	56 (29.8)	26 (29.9)
30–<40	585 (35.5)	681 (31.5)	129 (27.9)	60 (32.6)	60 (31.9)	36 (41.4)
>40	141 (8.6)	176 (8.1)	30 (6.5)	20 (10.9)	12 (6.4)	5 (5.8)
Unknown	55 (3.3)	71 (3.3)	15 (3.2)	11 (6.0)	0 (0.0)	1 (1.2)
Initial oxygen saturation, %						
93–100	1055 (64.0)	1296 (59.9)	390 (84.2)	174 (94.6)	167 (88.8)	80 (92.0)
89–92	373 (22.6)	516 (23.9)	53 (11.5)	7 (3.8)	16 (8.0)	3 (3.5)
≤88	220 (13.4)	350 (16.2)	20 (4.3)	2 (1.1)	5 (2.7)	4 (4.6)
Unknown	0 (0.0)	0 (0.0)	0 (0.0)	1 (0.5)	1 (0.5)	0 (0.0)
Mechanical ventilation	416 (25.2)	401 (18.6)	33 (7.1)	14 (7.6)	11 (5.9)	1 (1.2)
SOFA score ^a						
N	814	2106	410	170	168	76
Median	0 (0, 5)	0 (0, 1)	0 (0, 1)	0 (0, 1)	0 (0, 1)	0 (0, 1)
D-dimer, ng/ml						
N	1337	2099	409	138	116	59
Median	372 (234, 667)	449 (257, 947)	490 (259, 1040)	473 (260, 862)	379 (224.5, 753)	645 (295, 1165)
CRP, mg/ml						
N	1536	2096	396	133	130	62
Median	104 (47.1, 162.1)	111 (53.1, 178)	43.3 (9.0, 116.7)	15.3 (4.2, 72.4)	23.9 (5.0, 67.8)	31.9 (5.1, 94.3)

CKD, chronic kidney disease; CRP, C-reactive protein; SOFA, Sequential Organ Failure Assessment Score.

Data are presented as *n* (%) or median (25th, 75th percentile).

^aMean initial SOFA scores were 2.46 in March, 0.87 in April, 0.88 in May, 0.84 in June, 0.96 in July, and 0.68 in August 2020. Higher scores indicated greater severity of illness.

of baseline creatinine measurements, varying methods used to define the baseline creatinine, and the lack of data on urine output in most studies are also likely to contribute to the observed differences in incidence across published studies. In theory, differences in the pathogenesis of the most prevalent viral strains¹⁵ between New York and China could also underlie differences in kidney injury outcomes, although there is no evidence supporting this to date. Finally, differences in RRT rates may also reflect differences in practice patterns and utilization of RRT in patients

with critical illness between countries. Studies designed to assess the causal roles of risk factors, treatments, and viral strains, as well as the role of practice patterns in COVID-19 AKI are needed to better understand these phenomena.

In addition to further refining estimates of AKI, our study extends upon the earlier studies from the United States and China in demonstrating significant temporal changes in the AKI incidence during the course of the New York surge. To our knowledge, a decrease in the incidence of COVID-19-related AKI over time has not

Table 6. Outcomes of AKI and of initiation of RRT, by level of care

AKI severity, <i>n</i> (%)	Non-ICU patients (N = 3676)				ICU patients (N = 1056)			
	Discharged	Deceased or hospice	Still admitted	<i>P</i> value	Discharged	Deceased or hospice	Still admitted	<i>P</i> value
No AKI	2844 (92.4)	226 (7.3)	8 (0.3)	<0.001	207 (77.2)	61 (22.8)	0 (0.0)	<0.001
AKI	370 (61.9)	226 (37.8)	2 (0.3)		295 (37.4)	482 (61.2)	11 (1.4)	
Never dialysis	3198 (87.6)	444 (12.2)	10 (0.3)	<0.001	452 (53.6)	382 (45.3)	9 (1.1)	<0.001
New dialysis	16 (66.7)	8 (33.3)	0 (0.0)		50 (23.5)	161 (75.6)	2 (0.9)	

AKI, acute kidney injury; ICU, intensive care unit; RRT, renal replacement therapy.

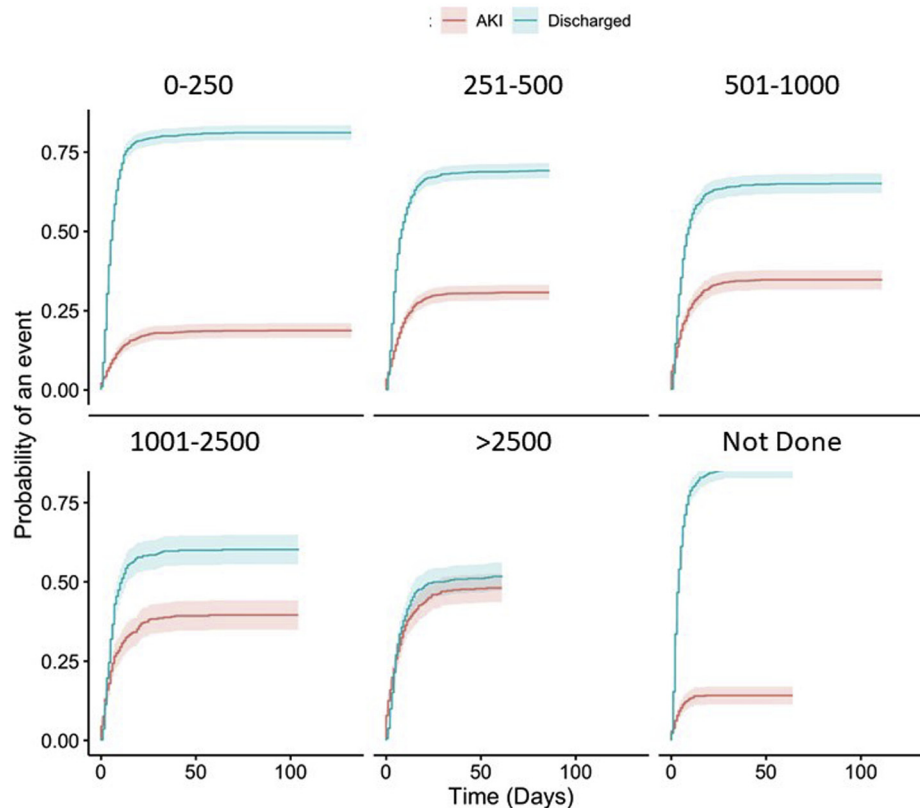


Figure 3. Cumulative incidence of acute kidney injury (AKI) according to peak D-dimer concentration (ng/ml).

been previously reported. If the decrease in AKI rate over time is generalizable, it would have important implications regarding resource allocations needed to prepare for future surges. In addition, given the high mortality incidence in patients with COVID-19-associated AKI, a decrease in AKI incidence would be expected to be associated with concomitant reductions in mortality among hospitalized patients with COVID-19.

Reasons for the decreased incidence require further investigation. The decrease in AKI did not have a clear relationship to the use of steroids or tocilizumab. However, it may reflect other evolutions in clinical care over the course of the pandemic, including broader use of prophylactic anticoagulation, widespread use of proning, differential management of volume status, changes in thresholds for the use of mechanical ventilation, and changes in the availability of ICU beds. Indeed, the decrease in admission rates later in the surge is likely to have improved staffing ratios and allowed for more careful assessment of volume status. In addition, age and C-reactive protein levels were lower in patients admitted during later months of the pandemic whereas the proportion of patients with clinically significant hypoxia was lower. These findings suggest that patients admitted later had lower degrees of inflammation and less severe pulmonary involvement, possibly reflecting lower degrees of viral

exposure in patients admitted after the institution of lockdowns, widespread social distancing, and mask wearing. The change in AKI incidence (and severity of illness/inflammation at admission) may also be partly attributable to changes in the age distribution of admitted patients. However, in multivariable models, the change in AKI incidence across time was independent of age and degree of hypoxia at admission, suggesting that other factors, such as the quality of care, are likely important. Further investigation of the underlying explanation is warranted.

Our study also provides detailed information on the AKI development and prognosis. As in other forms of AKI,¹⁶ preexisting CKD, heart failure, and diabetes as well as older age were associated with the development of AKI. This is consistent with the possibility that patients with COVID-19 suffer from similar types of kidney injury, primarily acute tubular necrosis, as individuals with other forms of critical illness and lung-kidney cross-talk.¹⁷ Indeed, diffuse proximal tubular injury and frank necrosis was frequently identified in renal specimens from a recently reported postmortem series.¹⁸ However, the high incidence of proteinuria, hematuria, leukocyturia, and the strong independent association of higher D-dimer concentration with AKI risk are consistent with important roles for additional

mechanisms of kidney injury in COVID-19. The elevated D-dimer levels suggest an important role for thrombosis and microangiopathy in AKI and are consistent with observations of megakaryocytosis and thrombosis observed pathologically.^{18,19} Conversely, the urinary findings suggest that collapsing glomerulopathy,^{20–22} the presence of viral particles in tubular cells,¹⁸ pigmented casts, and capillary obstruction by erythrocytes aggregates, which were also seen in the aforementioned series, may underlie an important proportion of COVID-19 AKI.

As expected, individuals with AKI had worse survival compared with patients without AKI, even after adjusting for comorbidities and severity of presentation. Our data suggest that COVID-19 AKI has a particularly poor prognosis compared with other forms of AKI—mortality for admitted patients with AKI was 38% among non-ICU patients and 61% among those admitted to an ICU—comparable to the mortality generally reported for pre-COVID-19 cohorts of ICU patients requiring RRT.²³ Furthermore, the combination of ICU admission and requirement for RRT was fatal in nearly three quarters of cases suggesting that RRT may not change survival in an important proportion of cases. Identifying individuals unlikely to benefit from RRT may be important given concerns that have been raised about the ability of health systems to provide RRT to all patients with COVID-19 and AKI.²⁴

Although mortality was high in individuals with AKI in the setting of COVID-19, recovery of renal function was relatively common among survivors. Recovery to baseline was observed in 80% of patients and RRT was discontinued in 80% of discharged survivors. Data on renal recovery after RRT are sparse. Kidney function recovery amongst survivors was 57% in a preprint manuscript.⁷ Discontinuation of RRT amongst survivors was not reported, but approximately 30% of patients requiring RRT had stage ≤ 2 stage 2 AKI at the time of discharge or death in this series. Similarly, Gupta *et al.*²⁵ reported that 28.7% of patients requiring RRT were able to discontinue dialysis. In addition, our estimates of mortality as well as kidney recovery after AKI in COVID-19 are likely to be more precise than previous reports because most of the individuals in our study (99.6%) had a final disposition compared with previous reports in which $\geq 20\%$ of patients with AKI were still admitted at the time of data lock.^{6,7}

Although our cohort was large and included patients admitted to 3 hospitals, several limitations should be acknowledged. Baseline creatinine was not available in most patients. Although results

were consistent in analyses using a nadir creatinine to define the baseline in those without previous values, we cannot rule out some misclassification. Individuals with no previous baseline creatinine and only a single inpatient measurement who were discharged on hospital day 0 or 1 were classified as no AKI. We believe that individuals with apparent AKI or high severity of illness would be unlikely to be discharged so rapidly and that their elimination would have excluded the least sick individuals and resulted in biased, nongeneralizable estimates. We cannot rule out the possibility of misclassification of early AKI in some of these individuals, but qualitative impact on our findings is unlikely. We were unable to assess the underlying causes of AKI or distinguish tubular necrosis from “prerenal” or other causes of kidney injury, or to assess duration of symptoms before presentation. Our findings may reflect the unique makeup of included patients and hospitals and should be generalized cautiously. Whether evolving practice patterns will impact AKI incidence or survival is uncertain, but our findings are best interpreted within the context of a newly defined disease entity for which treatments and understanding are rapidly evolving. Lastly, a recent outpatient measurement of serum creatinine was not available in all patients. It is reassuring that results were similar when we used the admission creatinine or nadir creatinine as the baseline, but some misclassification could have occurred.

In summary, among patients admitted with COVID-19 in NY, AKI impacted 31% of all admissions, with RRT required in 21% of critically ill patients, and was associated with poor survival, particularly among patients in the ICU requiring RRT. Kidney injury was transient with independence from RRT and recovery to or near baseline kidney function in most survivors. Lastly, the incidence of COVID-associated AKI appears to be decreasing. Our findings suggest that AKI is an important but potentially preventable complication of COVID-19 and suggest an urgent need to improve understanding of the underlying mechanisms, develop risk-stratification tools, and develop therapies for AKI.

DISCLOSURE

DC reports consulting fees from Amgen, Novo Nordisk, AstraZeneca, Fresenius, Janssen, Merck, Medtronic, PLC medical, and Gilead Pharmaceutical. He reports research support from NovoNordisk, Amgen (pending), Medtronic, Novo Nordisk, Gilead and Bioporto. The remaining authors declared no competing interests.

ACKNOWLEDGMENTS

We acknowledge the thousands of patients admitted to NYU Langone Health with COVID-19 and the staff who cared for them. This work was funded in part by the Kenneth C. Griffin Charitable Fund.

SUPPLEMENTARY MATERIAL

[Supplementary File \(PDF\)](#)

Supplementary Methods.

Supplementary References.

Table S1. Patient characteristics by AKI stage.

Table S2. Incidence of AKI according to admission week.

Table S3. Risks of combined mortality and discharge to hospice according to AKI and initiation of RRT, by level of care.

Table S4. Outcomes of AKI and of initiation of RRT, by level of care.

Table S5. Adjusted risk of death during hospital admission.

Table S6. Adjusted risk of death among those requiring RRT.

Figure S1. Time to event for combined mortality and discharge to hospice according to AKI or of initiation of RRT, by level of care.

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