© 2019 THE AUTHORS. ORTHOPAEDIC SURGERY PUBLISHED BY CHINESE ORTHOPAEDIC ASSOCIATION AND JOHN WILEY & SONS AUSTRALIA, LTD.

CLINICAL ARTICLE

Risk Factors for Functional Outcomes of the Elderly with Intertrochanteric Fracture: A Retrospective Cohort Study

Jia-bao Ju, MD, Pei-xun Zhang, MD D, Bao-guo Jiang, MD

Department of Orthopaedics and Traumatology, Peking University People's Hospital, Beijing, China

Objective: To identify baseline factors relevant to functional outcomes and health-related quality of life in the elderly with intertrochanteric fractures.

Methods: For the present study, 168 patients with intertrochanteric fracture who were assigned to different treatments between January 2016 and December 2017 were retrospectively selected. Hip function was assessed by Harris hip score (HHS), and health-related quality of life was evaluated by Barthel index (BI) of activities of daily living (ADL) and EuroQol 5-dimensions (EQ-5D) score, respectively. Data were analyzed by *t*-test, ANOVA, Pearson's correlation, χ^2 -test, and multivariate linear regression.

Results: A total of 164 (97.6%) patients completed the follow-up, with an average follow-up time of 15.7 ± 6.9 months; 39 (23.8%) patients died during the follow-up period and 125 (76.2%) patients were eligible for the functional analysis. HHS at final follow-up of 125 patients was 71.8 ± 13.1 , and the following were associated with hip functional recovery: age (-0.45, 95% confidence interval (*Cl*) -0.73 to -0.18, *P* < 0.01), serum albumin (0.65, 95% *Cl* 0.04 to 1.27, *P* < 0.05), and ADL at discharge (0.18, 95% *Cl* 0.01 to 0.33, *P* < 0.05). The Barthel index at final follow up in this cohort was 80.2 ± 18.1 , and multivariable linear regression analysis showed that age (-0.49, 95% *Cl* -0.85 to -0.12; *P* < 0.05), ADL score at discharge (0.29, 95% *Cl* 0.07 to 0.51; *P* < 0.05) and internal fixation (16.3, 95% *Cl* 3.3 to 29.3; *P* < 0.05) were associated with ADL at final follow-up. EQ-5D at final follow-up was 0.74 \pm 0.2, with which HHS (0.012, 95% *Cl* 0.011 to 0.013; *P* < 0.01) was positively associated.

Conclusion: We identify several baseline factors associated with hip functional outcome, health utility, and ADL in the elderly after an intertrochanteric fracture, of which we could modify mutable factors to achieve better outcomes. These findings could help to inform treatment and functional prognosis.

Key words: Health-related quality of life; Hip functional outcome; Intertrochanteric fracture

Introduction

Hip fracture is common and consists of one-fifth of the operative work of an orthopaedic trauma center. It is a devastating health problem, associated with substantial mortality, morbidity, and costs. Millions of people are disabled as a result of hip fractures every year worldwide, which places a heavy burden on the healthcare system^{1,2}.

Fractures around the hip joint are associated with functional impairment and major disabilities. It is reported

that over half of patients could not return to premorbid mobility status³. Factors influencing functional recovery have been a major concern of surgeons and physiotherapists. Previous studies have compared the efficacy and safety of hemi-arthroplasty and intramedullary nails in treating unstable intertrochanteric fractures. The functional outcomes favored internal fixation from a long-term perspective^{4,5}. In a randomized control trial comparing intramedullary nails and sliding hip screws for intertrochanteric fractures,

Address for correspondence Pei-xun Zhang, MD, Department of Orthopaedics and Traumatology, Peking University People's Hospital, No.11 Xizhimen South Street, Beijing, China 100044 Tel: (+86) 013611237628; Email: zhangpeixun@bjmu.edu.cn Disclosure: The authors declare that they have no conflicts of interest. Received 21 January 2019; accepted 10 July 2019

Orthopaedic Surgery

Orthopaedic Surgery 2019;11:643-652 • DOI: 10.1111/os.12512

This is an open access article under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

ANALYSIS OF FUNCTIONAL OUTCOMES OF HIP FRACTURE

intramedullary fixation was superior in improving activities of daily living (ADL) and health utility and in reconstruction to the pre-fracture state⁶. A few studies have reported that patients with anemia at admission were not at increased risk of reduced ambulatory ability upon discharge from rehabilitation institutes^{7–9}. In a perspective study, the results showed that older age and fragile economic status were associated with impaired mobility¹⁰. Notably, some studies have confirmed that pre-injury function is positively correlated with functional recovery $^{11-14}$. Besides, cognitive impairment has been recognized as a negative prognostic factor for outcomes^{11,12,15,16}. In the analysis of bone turnover markers, unfavorable outcomes measured by Barthel index at discharge were associated with lower serum 25(OH)-D levels¹⁷. In the radiological evaluation, the greater trochanter displacement following an intramedullary nail fixation is associated with a poor functional outcome 18 . Previous studies have identified some prognostic factors; however, these studies have exclusively focused on the relationship between recovery of ambulatory capacity upon discharge from hospital or rehabilitation institutes and baseline factors at admission with a short-term follow up. Variables predicting the longterm functional recovery and health utility of patients with hip fractures were still unclear.

Recently, the Fixation using Alternative Implants for the Treatment of Hip fractures (FAITH) investigators conducted an international, multicenter, allocation concealed, randomized controlled trial to assess the effects of sliding hip screws versus cancellous screws on reoperations over a 24-month follow-up¹⁹. Hip function and health-related quality of life were secondary outcomes. The results demonstrated that smoking and displacement were risk factors for compromised hip function in patients with a sliding hip screw. Meanwhile, FAITH investigators used multilevel mixed models to identify potential factors associated with health-related quality of life²⁰. They found that female gender, higher ASA grade, and displaced fracture were inversely associated with health-related quality of life. The outcomes showed that displaced fracture was associated with worse functional outcome. Higher age, female gender, higher BMI, higher ASA grade, displaced fracture, and closed reduction were associated with lower EQ-5D score, indicating worse health utility.

Despite long-term regular follow up over 24 months, the FAITH trial exclusively included patients with femoral neck fractures and excluded intertrochanteric fractures. Fractures around the trochanteric region were located outside of the hip joint capsule and were different from femoral neck fractures in relation to biomechanics and treatment options. Prognostic predictors for functional outcomes of patients with intertrochanteric fractures were still unknown. It was of great significance to identify additional factors associated with long-term functional recovery, ADL, and health utility after an intertrochanteric fracture to optimize the care of these patients. Therefore, the purpose of this retrospective study was to: (i) evaluate overall long-term hip functional recovery and quality of life of patients after an intertrochanteric fracture; (ii) identify risk factors associated with hip functional recovery, ADL and health utility; and (iii) help to assess rehabilitation potential and modify mutable risk factors to reach satisfactory outcomes.

Methods

644

Inclusion and Exclusion Criteria

Inclusion criteria: (i): patients who were at 65 years of age and above with closed intertrochanteric fracture diagnosed by anterio–posterior and lateral plain film, CT or MRI; (ii): patients who had undergone intramedullary nail fixation (PFNA; AO, Switzerland); (iii): patients who had undergone hemi-arthroplasty (Biomet, UK) or conservative therapy; (iv): the main outcome measures included Harris hip score (HHS), Barthel index of activity of daily living, European Quality-five dimension; and (v): it is a retrospective case control study.

Patients were excluded if they met one of the following conditions: (i) patients with known metastatic cancer and pathology-proven pathological fractures; (ii) fractures associated with poly-trauma; (iii) younger than 65 years of age; (iv) patients were lost to follow-up or with missing data.

The medical and nursing record of patients with intertrochanteric fractures were retrospectively retrieved from the Department of Medical Records between January 2016 and December 2017. The data included demographic information, perioperative images and laboratory tests, and treatments. Fractures were classified according to Arbeitsgemeinschaft fur Osteosynthesefragen/Association for the Study of Internal Fixation (AO/OTA) classification. Patients enrolled in the cohort were followed up with telephone interviews or clinical visits. The hip joint function was evaluated according to HHS, and quality of life was measured by EuroQol 5-dimensions (EQ-5D) and Barthel index (BI) of ADL.

Ethics Statement

Written informed consent was obtained from all participants. All clinical investigations are conducted according to the principles expressed in the Declaration of Helsinki.

Surgical Techniques

Intramedullary Nail Fixation Anesthesia

Patients were given general or spinal anesthesia.

Exposure

Patients were placed in a supine position on a traction table. Satisfactory fracture reduction was achieved by limb traction with a slight abduction and pronation with the assistance of fluoroscopy. An incision was made 3 cm above the apex of

645

the greater trochanter, and the gluteus medius was incised to expose it.

Implantation

The nail was implanted percutaneously. The guide needle was inserted into the medullary cavity under fluoroscopy. Then proximal part of the femoral shaft was reamed with the reamer, and the main nail was inserted into the medullary cavity. The guidewire was inserted into the femoral neck with the help of an aiming arm. An anti-rotation spiral blade (PFNA; AO, Bettlach, Switzerland) was then inserted. The distal locking nail was achieved with the guidance of an aiming arm.

Postoperation Treatment

All patients were given prophylactic antibiotics (second generation of cephalosporins) within 24 h postoperation and low molecular weight heparin for thromboembolism prophylaxis. Patients were mobilized to bear full weight, as tolerated, for 6 weeks postoperatively (Fig. 1).

Hemi-arthroplasty

Anesthesia Patients were given general or spinal anesthesia.

Exposure

A lateral approach was used. Part of the gluteus medius and most of the anterior and lateral joint capsules were removed, then the femoral intertrochanteric fracture was exposed.

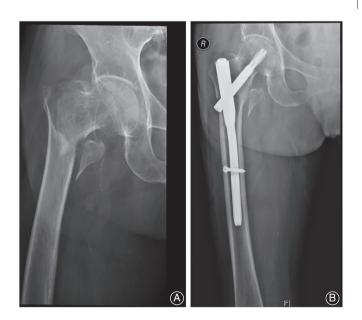


Fig. 1 (A) Anteroposterior radiograph showed an unstable intertrochanteric fracture. (B) Radiograph made 1 week after fixation with proximal femoral nail anti-rotation.

Implantation

The femur was cut obliquely 1.5 cm above the small trochanter. Then we took out the femoral head and exposed the medullary cavity of the femur, and tested the mold as the joint tightness was good. After injection of bone cement, the femoral prosthesis stem was inserted and an appropriate femoral head (Biomet, UK) was installed to maintain anatomical ante-version and equal length of the contralateral limb. We placed additional wires around the intertrochanteric region to restore the fractured greater trochanter.

Postoperation treatment

All patients received the same prophylactic antibiotics and thromboembolism prophylaxis as patients in the intramedullary nail group. Patients were mobilized to bear full weight, as tolerated, for 1 week postoperatively (Fig. 2).

Conservative treatment

Patients who refused surgical treatment and those with contraindications for surgery underwent conservative treatment. An anteroposterior radiograph showed an unstable intertrochanteric fracture of the left hip in a 43-year-old woman who fell at home 7 days previously (Fig. 3). She refused to undergo surgery and was immobilized for 2 months without further radiological assessment.

Outcome Measures

Harris Hip Score

Harris hip score is a clinician-administered tool and outcome scale developed in 1969 for patients with arthritis of the

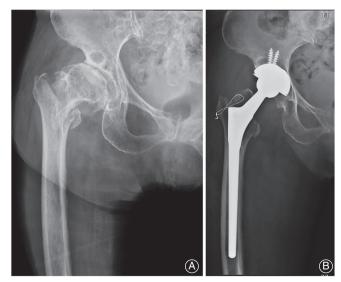


Fig. 2 (A) Anteroposterior radiograph showed an unstable intertrochanteric fracture. (B) Radiograph made 1 week after a total hip replacement with additional wires to fix the fractured greater trochanter.

Orthopaedic Surgery Volume 11 • Number 4 • August, 2019



Fig. 3 Anteroposterior radiograph showed an unstable intertrochanteric fracture.

 hip^{21} . It contains 13 domains across four areas of pain, function, activity, and range of movement. Total scores range from 0 to 100, and higher scores indicate better hip function.

EuroQol 5-Dimensions

The EuroQol 5-dimensions (EQ-5D) index comprises five dimensions: mobility, self-care, usual activities, pain or discomfort, and anxiety or depression²². For each dimension, there are three levels: no problems, some/moderate problems, and extreme problems. The summary scores derived from EQ-5D to the time trade-off (TTO) represent a person's health utility, with lower scores indicating worse utility²³.

Barthel Index

The Barthel index (BI) questionnaire contains 10 items: feeding, grooming, transfer, toilet use, mobility, dressing, going up and down stairs, bathing, bowel control, and bladder control. Scores for each activity reflect its relevance to the person's life. Items are summed with a total score range from 0 to 100. Higher scores reflect higher independence with ADL.

Statistical Analysis

We used descriptive statistics to summarize all factors (frequencies and percentages for categorical variables, and means and standard deviation for continuous variables). The Pearson χ^2 -test was used for comparison of categorical variables and the independent *t*-test was used for continuous variables. Multivariate linear regression analysis with a 95%

		len	Gender			Fracture type		ASA grade	grade				
	Age	Female	Male	Recurrent	A1	A2	A3	1-2	3-4	BMI (kg/m²)	Hospital stay (d)	ADL-D	Mortality
<u>۳</u>	$\textbf{80.0} \pm \textbf{8.5}$	95 (67.4%)	46 (32.6%)	95 (67.4%) 46 (32.6%) 17 (12.1%) 45 (31.9%) 84 (59.6%) 12 (8.5%) 88 (62.4%) 53 (37.6%)	45 (31.9%)	84 (59.6%)	12 (8.5%)	88 (62.4%)	53 (37.6%)	23.2 ± 4.4	13.8 ± 6.7 (19.1%)	35.3 ± 13.9 HR	27 81.9 ± 5.0
(77.8%)		2 (22.2%)	1(11.1%)	6 (66.7%)	2 (22.2%)	4 (44.4%)	6 (55.6%)			21.9 ± 5.1	15.3 ± 6.9	22.1 ± 7.4	2(22.2%)
NO	$\textbf{79.4} \pm \textbf{12.8}$	0	5 (35.7%)	1 (7.1%)	1 (7.1%)	13 (92.9%)	0			$\textbf{21.9} \pm \textbf{4.4}$	6.9 ± 3.0	26.1 ± 11.0	10
											(71.4%)	٩	0.79
0.82	2	0.57		0.06		0.31		0.43	<0.01	<0.01	<0.01		

ANALYSIS OF FUNCTIONAL OUTCOMES OF HIP FRACTURE

Analysis of Functional Outcomes of Hip Fracture

Orthopaedic Surgery Volume 11 • Number 4 • August, 2019

	2 Clinical outcomes mean±SD)	in different	t treatment
Groups	HHS	ADL-F	EQ-5D
IF HR NO	72.2 ± 13.2 64.6 ± 10.4 64.8 ± 10.0	81.6 ± 17.7 65.7 ± 19.7 66.3 ± 13.2	$\begin{array}{c} 0.75 \pm 0.18 \\ 0.59 \pm 0.24 \\ 0.61 \pm 0.11 \end{array}$
P	$\begin{array}{c} 64.8 \pm 10.0 \\ 0.19 \end{array}$	0.02	0.01 ± 0.11 0.03
life-5 dime	ivity of daily living at final fol ensions; HHS, Harris Hip Scor O, non-operative.		

confidence interval was used to evaluate factors influencing hip function, ADL, and health utility. The significance level was set to 0.05 and all *P*-values were two-tailed. All analyses were performed using the SPSS software (Version 20.0, SPSS, IBM, Armonk, NY, USA).

Results

General Results

A total of 168 patients aged 65 years and above with intertrochanteric fractures were included in the cohort between January 2016 and December 2017; 112 (66.7%) were women and 56 (33.3%) were men, with patients aged from 65 to 93 years (80.1 ± 8.7). According to AO classification, 49 (29.2%) cases were classified as 31A1, 105 (62.5%) as 31A2 and 14 (8.3%) as 31A3. A total of 20 (11.9%) patients had recurrent contralateral hip fractures.

Of these 168 patients, 144 (85.7%) were treated with internal fixation, 10 (6.0%) underwent hip replacement, and 14 (8.3%) received conservative treatment. In the end, 164 (97.6%) patients completed follow-up, with mean duration of 15.7 \pm 6.9 months (Table 1). A total of 39 (23.8%) patients died during the follow-up period. Finally, there were 125 (76.2%) patients who were eligible for analysis of risk factors relevant to functional outcomes and health-related quality of life.

Clinical Results

Between groups of different treatments (intramedullary nail fixation, hip replacement, and conservative treatment), there were no significant differences in terms of mean age (P = 0.79), gender (P = 0.82), BMI (P = 0.43), fracture types (P = 0.06), ASA grade (P = 0.31), and recurrence of hip fracture (P = 0.57). Clinical outcomes in different surgical treatment groups are summarized in Table 2.

Harris Hip Score

Overall HHS at final follow up of 125 patients was 71.8 \pm 13.1. Patients in internal fixation, hip replacement, and non-operative treatment groups scored 72.2 \pm 13.2, 64.6 \pm 10.4, and 64.8 \pm 10.0, respectively, without significant

TABLE 3 As	TABLE 3 Association between patients' baseline factors and Harris hip score	tween patie	nts' baselin	e factors an	d Harris h	nip score									
		Age		Gender	ler		Fracture type			Treatment		Recu	Recurrent	ASA	ASA grade
	<70	70-79	≥ 80	Female	Male	A1	A2	A3	F	НК	N	YES	N	1–2	3-4
No.(%) of patients n = 125	17(13.6%)	17(13.6%) 45(36.0%)	63(50.4%)	84(67.2%) 4	41(32.8%)	42(33.6%)	72(57.6%) 11(8.8%)	11(8.8%)	114(91.2%) 7(5.6%)		4(3.2%)	14(11.2%)	111(88.8%) 77(61.6%)	77(61.6%)	44(35.2%)
Harris hip	80.0 ± 10.7	7 72.4 \pm 15.0	$80.0\pm10.7\ \ 72.4\pm15.0\ \ 68.7\pm11.2\ \ 70.9\pm$	70.9 ± 13.9	73.0 ± 11.5	73.1 ± 12.5	71.2 ± 13.9	i 68.0 ± 9.8	$\textbf{72.2} \pm \textbf{13.2}$	$13.9 73.0 \pm 11.5 73.1 \pm 12.5 71.2 \pm 13.9 68.0 \pm 9.8 72.2 \pm 13.2 64.6 \pm 10.4 64.8 \pm 10.1 70.2 \pm 11.6 71.7 \pm 13.3 73.7 \pm 13.9 68.4 \pm 11.2 71.7 \pm 12.5 71.7 \pm 12.3 73.7 \pm 13.3 73.7 \pm 11.2 71.7 \pm 12.5 71.7 71.7 71.7 71.7 71.7 71.7 71.7 71.7 71.7 7$	64.8 ± 10.1	70.2 ± 11.6	$\textbf{71.7} \pm \textbf{13.3}$	73.7 ± 13.9	68.4 ± 11.2
P	~ 0	<0.01		0.41		0.5	10			0.19		0.69	6	0.03	ŋ
			cci				BMI				Alb			ЧН	
	0	1	2	>2		<23	23-24.9	25-29.9	≥30	<35	>35		>120	90–120	06>
No.(%) of patients	54(43.2%)	47(37.6%)	19(15.2%)	5(4.0%)	57(45.6%)		31(24.8%)	25(20.0%)	12(9.6%)	78(62.4%)	47(37.6%)		49(39.2%) 6	62(49.6%)	14(11.2%)
din = 125 Harris hip score	73.9 ± 11.4	69.3 ± 15.9	9 73.0 ± 8.7	7 62.6 \pm 11.2		72.6 ± 11.5 71	$\textbf{71.6} \pm \textbf{16.8}$	$\textbf{70.1} \pm \textbf{13.6}$	69.8 ± 8.4	73.7 ± 12.3	$.3 68.0 \pm 13.7$		73.6 ± 11.9 7	70.7 ± 14.3	68.2 ± 11.2
۵.		-	0.13				0.83			Ö	0.02			0.32	
Alb, albumin; /	Alb, albumin; ASA, American Society of Anesthesiologists; BMI	Society of Ane	esthesiologist	-	mass index	; ccl, charl.	son comorbic	dity index; HI	body mass index; CCI, Charlson comorbidity index; Hb hemoglobin.						
	1														

		Volu	Orthopaedic Surgery me 11 • Number 4 • August, 2019
	ADL-D	0.18 (0.01 to 0.33) 0.034	
	ASA	-2.68 (-7.32 to 2.00) 0.26	Ŀ
	qH	-0.07 (-0.20 to 0.06) 0.28	ADL-D, activity of daily living at discharge; Alb, albumin; ASA, American Society of Anesthesiologists; Cl, confidence interval; Hb, hemoglobin.
dicting the Harris hip score	AIb	0.65 (0.04 to 1.27) 0.036	American Society of Anesthesiologists.
TABLE 4 Linear regression analysis for variables predicting the Harris hip score	Age	-0.45 (-0.73 to -0.18) 0.002	iving at discharge; Alb, albumin; ASA, /
TABLE 4 Linear reg		B (95% CI) P	ADL-D, activity of daily l

TABLE 5 A	TABLE 5 Association between patients' baseline factors and ADL-F	tween pati	ents' baseli	ine factors	and ADL-F										
		Age		Gender	nder		Fracture type			Treatment		Recu	Recurrent	ASA	ASA grade
	<70	70–79	≥ 80	Female	Male	A1	A2	A3	٤	Н	0N N	YES	N	1-2	3-4
No.(%) of patients	17(13.6%)	17(13.6%) 45(36.0%)	63(50.4%)	84(67.2%)	41(32.8%)	42(33.6%)	72(57.6%)	11(8.8%)	114(91.2%) 7(5.6%)		4(3.2%)	14(11.2%)	111(88.8%) 77(61.6%)	77(61.6%)	44(35.2%)
n = 123 ADL-F P	90.0 ± 9.2	82.2 ± 17.5 0.011	90.0 ± 9.2 82.2 ± 17.5 76.1 ± 19.2 79.1 ± 15 0.011 ()		$\begin{array}{c} 82.4\pm14.8\\ 4\end{array}$	80.5 ± 19.	$\begin{array}{ccc} 0 & 80.7 \pm 18.2 \\ 0.71 \end{array}$	75.9 ± 14.6	81.6 ± 17.7	.5 82.4 ± 14.8 80.5 ± 19.0 80.7 ± 18.2 75.9 ± 14.6 81.6 ± 17.7 65.7 ± 19.7 66.3 ± 13.2 80.5 ± 17.9 77.5 ± 19.8 83.1 ± 16.7 76.5 ± 19.7 .34 0.71 0.71 0.02 0.02 0.056 0.056 0.055	36.3 ± 13.2	80.5 ± 17.9 0.56	77.5 ± 19.8 6	83.1 ± 16.7 0.05	76.5 ± 19.7 5
			cci				BMI (kg/m ²)	(m²)			AIb			ЧН	
	0	1	2	>2	V	<23	23–24.9	25-29.9	≥ 30	<35	>35		>120	90–120	06>
No.(%) of patients	54(43.2%)	47(37.6%)	19(15.2%)) 5(4.0%)	57(45.6%)		31(24.8%)	25(20.0%)	12(9.6%)	78(62.4%)	47(37.6%)		49(39.2%) 6	62(49.6%)	14(11.2%)
n = 125 ADL-F P	82.7 ± 15.9	77.5 ± 22.2 0.1	$\begin{array}{ccc} 2 & 83.4 \pm 9.0 \\ 0.15 \end{array}$	67.0	± 17.5 81.6	81.6 ± 15.5 80	80.3 ± 18.4 0.69	$\textbf{76.4} \pm \textbf{24.0}$	81.3 ± 15.7	81.0 ± 1	8.0 78.9 ± 18.3 0.55		82.4 ± 17.2 7	$78.6\pm18.1\\0.56$	$\textbf{79.6} \pm \textbf{21.4}$
ADL-F, activity	ADL-F, activity of daily living at final follow up: Alb, albumin; ASA,	at final follow	/ up; Alb, albu		nerican Soc	iety of Anes	thesiologists;	BMI, body m	lass index; C(American Society of Anesthesiologists; BMI, body mass index; CCI, Charlson comorbidity index; Hb, hemoglobin;	morbidity ir	ndex; Hb, h€	emoglobin;		
	,					,)				,				

ORTHOPAEDIC SURGERY

648

Orthopaedic Surgery Volume 11 • Number 4 • August, 2019 ANALYSIS OF FUNCTIONAL OUTCOMES OF HIP FRACTURE

	· · · ·			
	Age	ADL-D	ASA	IF
B (95% CI)	-0.49 (-0.85 to -0.12)	0.29 (0.07 to 0.51)	-2.4 (-8.9 to 4.0)	16.3 (3.3 to 29.3
Р	<0.05	0.012	0.455	0.014

ADL-D, activity of daily living at discharge; ADL-F, activity of daily living at final follow up; ASA, American Society of Anesthesiologists; CI, confidence interval; IF, internal fixation.

difference (P = 0.19). Patients in three different age groups (<70, 70–79, and ≥80 years of age) scored 80.0 ± 10.7 , 72.4 ± 15.0, and 68.7 ± 11.2 , respectively, and the difference was statistically significant (P < 0.01). Patients in the ASA 1/2 group scored 73.7 ± 13.9 and in the ASA 3/4 group scored 68.4 ± 11.2 , with significant difference (P = 0.03). Patients with hypoalbuminemia (<35g/L) scored 73.7 ± 12.3, and with normal albumin scored 68.0 ± 13.7 ; the difference between groups was significant (P = 0.02). No statistically significant interrelations were observed between HHS and gender, as well as fracture type, treatment options, recurrence, Charlson comorbidity index (CCI), body mass index (BMI), and hemoglobin levels (Table 3).

We used multivariable linear regression analysis to test factors that could serve as independent predictors for hip joint functional outcome at final follow up. The results showed that HHS was independently and inversely associated with age (B = -0.451; P < 0.01). Higher albumin levels (B = 0.654; P < 0.05) and ADL score at discharge (B = 0.175; P < 0.05) also emerged as independent factors predicting higher HHS at final follow-up. None of the other variables that we tested, including hemoglobin levels, were predictive of HHS (Table 4).

Activities of Daily Living

Overall Barthel index (BI) of ADL at final follow up of 125 patients was 80.2 ± 18.1 . Patients in internal fixation, hip replacement, and non-operative treatment groups scored 81.6 ± 17.7 , 65.7 ± 19.7 , and 66.3 ± 13.2 , respectively, with significant difference (P = 0.02). Patients in three different age groups (<70, 70–79, ≥80 years of age) scored 90.0 ± 9.2, 82.2 ± 17.5 , and 76.1 ± 19.2 , respectively, and the difference was statistically significant (P = 0.01). Besides, there was a significant correlation between ADL at discharge and ADL at final follow up (P < 0.01). We observed no statistically significant interrelations between ADL at final follow up and gender, fracture type, recurrence, CCI, ASA grade, BMI, and albumin levels (Table 5).

We performed a linear regression analysis to test factors that could serve as independent predictors for ADL at final follow up. The results showed that ADL at final follow up was independently and inversely associated with age (B = -0.49; P < 0.05). A higher ADL score at discharge (B = 0.29; P = 0.01) and internal fixation (B = 16.3; P = 0.01)

also emerged as factors independently predicting higher ADL at final follow-up. None of the other variables that we tested were predictive of ADL at final follow-up (Table 6).

EuroQol 5-Dimensions

EuroQol 5-dimensions (EQ-5D) in patients who survived at final follow up was 0.74 ± 0.2 . Patients in internal fixation, hip replacement, and conservative treatment groups scored 0.75 ± 0.18 , 0.59 ± 0.24 , and 0.61 ± 0.11 , respectively, with significant difference (P = 0.03). Patients in three different age groups (<70, 70–79, and ≥80 years of age) scored 0.83 ± 0.13 , 0.77 ± 0.18 , and 0.70 ± 0.19 , respectively, and the difference was statistically significant (P = 0.02). Patients in the ASA 1/2 group scored 0.78 ± 0.16 , and in the ASA 3/4 group scored 0.68 ± 0.21 , with significant difference (P < 0.01). No statistically significant interrelations were observed between EQ-5D at final follow up and gender, fracture type, recurrence, BMI, hemoglobin values, and albumin levels (Table 7).

We performed a multivariable linear regression analysis to test factors that could serve as independent predictor for EQ-5D at final follow up. This showed that EQ-5D at final follow up was independently and positively associated with HHS (B = 0.012; P < 0.01). No other factors were found to be significantly associated with EQ-5D at final follow up (Table 8).

Complications

Within the follow-up period, four patients developed pulmonary embolism, five patients were diagnosed with pulmonary infection, and seven patients had urinary tract infections. None had pressure sores.

Discussion

Previous studies have indicated that the physical function of patients with intertrochanteric fractures was more impaired than for femoral neck fractures at discharge²⁴. Prognostic predictors for hip function and health-related quality of life in patients after an intertrochanteric fracture were major concerns of surgeons and physiotherapist. Our research evaluated the impact of baseline factors and treatments on functional outcomes and health utility in patients 65 years old and above with intertrochanteric fractures.

ANALYSIS OF FUNCTIONAL OUTCOMES OF HIP FRACTURE

Clinical Outcomes

The study showed that younger age, higher serum albumin, and ADL at discharge were significantly associated with better hip function at final follow up, measured by HHS. In addition, we disclosed that younger age, higher ADL at discharge, and internal fixation (compared with conservative treatment) were related to higher ADL at final follow up as measured by the Barthel index. Finally, we revealed that post-fracture EQ-5D scores indicated that overall health utility was positively associated with hip joint function.

Harris Hip Score

In our study, hemoglobin levels did not emerge as an independent risk factor for impaired hip function both in simple and multivariable regression analysis. A recent meta-analysis reviewed studies on variables associated with hip joint function and concluded that anemia on admission was associated with poor functional outcomes with a weak level of evidence. It suggested that patients with low hemoglobin on admission were more likely to be frail and had less muscle strength than those without anemia²⁵. However, a recent randomized controlled trial found that a more liberal blood transfusion policy did not result in a better recovery of ADL²⁶. In fact, hemoglobin levels after acute fracture did not accurately reflect frailty. Fractures around the hip joint resulted in substantial amount of blood loss, and hemoglobin level stabilized shortly due to concentration and then declined over time²⁷. Hemoglobin level fluctuated dramatically due to physiological stress as a result of fractures and iatrogenic resuscitation after acute fractures. Therefore, hemoglobin level was not a reliable predictor of frailty. Besides, hemoglobin level tested at different post-injury time-points could contribute to the heterogeneity across patients and studies.

In a study including 499 elderly patients with hip fractures, Mizrahi et al. found that albumin levels could not independently predict better functional outcomes²⁸. However, a larger retrospective study of 17 651 patients reported that hypoalbuminemia was associated with increased mortality and complications compared with patients with normal albumin levels^{29,30}. Complications limited the rehabilitation of hip joints, resulting in a compromised functional outcome. This discrepancy may be explained by the small sample size and short follow up. Our study found that albumin was an independent prognostic factor for hip function. Specifically, albumin reflected nutritional status in the past few months, and remained stable within a short time after acute fracture. To the best of our knowledge, albumin was a reliable indicator for frailty, and could serve as a prognostic factor for post-fracture hip joint function.

Garden classification of femoral neck fractures was based on the degree of displacement, which was judged by AP radiograph by determining the relationship of the trabecular in the femoral head to those in the acetabulum. Garden III and IV type indicated more displacement, and in that case, vessels that supplied to the femoral head along the neck would be damaged. The results of the FAITH trial strongly

		Age		Gender	ıder		Fracture type			Treatment		Rect	Recurrent	ASA	ASA grade
	<70	70–79	≥ 80	Female	Male	A1	A2	A3	Ŀ	HR	ON	YES	ON	1–2	3-4
No.(%) of patients n = 125	17(13.6%)	17(13.6%) 45(36.0%)	63(50.4%)	84(67.2%)	41(32.8%)	42(33.6%)	72(57.6%) 11(8.8%)	11(8.8%)	114(91.2%) 7(5.6%)	7(5.6%)	4(3.2%)	14(11.2%)	111(88.8%) 77(61.6%) 44(35.2%)	77(61.6%)	44(35.2%)
EQ-5D P	$\textbf{0.83}\pm\textbf{0.13}$	0.77 ± 0.18 0.016	0.70 ± 0.19	0.73 ± 0.20 0.56	0.75 ± 0.15	0.75 ± 0.18	0.74 ± 0.20 0.77	0.70 ± 0.14	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	0.59 ± 0.24 0.028	0.61 ± 0.11	0.69 ± 0.25 0.27	$\begin{array}{c} 0.75\pm0.17\\7\end{array}$	0.78 ± 0.16 0.06 0.006	$\begin{array}{c} \textbf{0.68} \pm \textbf{0.21} \\ \textbf{06} \end{array}$
				BN	BMI (kg/m²)				AI	AIb			ЧH		
		<23	8	23–24.9	25-	25-29.9	≥ 30		<35	>35		>120	90-120	120	06>
No.(%) of patients <i>n</i> = 125 EQ-5D <i>P</i>	n = 125	57(45.6%) 0.75 \pm 0.16	6%) 0.16	31(24.8%) 0.74 ± 0.19	25(20.0%) 0.72 ± 0.2 0.95	25(20.0%) 0.72 ± 0.24	12(9.6%) 0.75 ± 0.16		78(62.4%) 0.75 ± 0.19 0.3	47(37.6%) 0.72 ± 0.17 3		49(39.2%) 0.77 ± 0.16	62(49.6%) 0.72 ± 0.20 0.41	6%) : 0.20	14(11.2%) 0.74 ± 0.18
Alb, albumin; ASA, American Society of Anesthesiologists; BM operative treatment.	SA, American Ient.	Society of	Anesthesiolo	gists; BMI, k	ody mass ii	ndex; EQ-5D,	European	quality of life	II, body mass index; EQ-5D, European quality of life-5 dimensions; IF, internal fixation; HA, hemi-arthroplasty; Hb, hemoglobin; NO, non-	ns; IF, interr	Ial fixation;	HA, hemi-arti	hroplasty; Hk	o, hemoglobi	in; NO, non-

ORTHOPAEDIC SURGERY VOLUME 11 • NUMBER 4 • AUGUST, 2019 Analysis of Functional Outcomes of Hip Fracture

	Age	HHS	HR	ASA
B (95% CI)	0.001 (-0.001 to 0.003)	0.012 (0.011 to 0.013)	-0.07(-0.14 to 0.004)	-0.03 (-0.07 to 0.005
Р	0.56	<0.01	0.07	0.09

internal fixation

supported that displacement was associated with poor hip function. Femoral head necrosis was proposed as the underlying mechanism for the association between Garden classification and functional outcome³¹. Previous studies indicated that compared with femoral neck fractures, intertrochanteric fractures were an independent risk factor for poorer hip function³². Classification of intertrochanteric fractures as type A1, A2, and A3 was done to imply the stability of fractures, to give guidance for different surgical treatments, and to predict the long-term clinical outcome of implants. Accordingly, among the prerequisites for stable fixation, type of intertrochanteric fracture had little implication for functional recovery. With regard to relatively small sample sizes, further research should be conducted to determine the correlation of fracture type with functional outcomes.

In a study of 303 patients with intertrochanteric fractures, Tang et al. found that HHS decreased in the elderly patients due to aging. Patients below 70 years of age had a mean HHS of 86.7 years, patients between 85 and 90 years old scored 79.3, and those older than 90 years only scored 77.1 on average³³. The results were consistent with our findings. Older age was an independent and non-modifiable risk factor for hip function.

Activities of Daily Living

We found that activities of daily living measured by Barthel index (BI) at final follow up was inversely related to older age. Hip joint function declined with aging, and compromised function limited mobility, which resulted in impaired ability to perform daily tasks. ADL at final follow up was associated with ADL at hospital discharge. Ishidou (2017) found that an increased risk of BI deterioration was associated with worse BI at discharge, which suggested that early rehabilitation could improve functional prognosis³⁴. Approximately 30% of patients underwent rehabilitation exercises in specialized institutions after surgery. Better ADL at discharge suggested better hip function and mobility, which allowed patients to ambulate earlier and enhanced the muscular strength of the lower limbs.

EuroOol 5-Dimensions

ur study suggested that health utility, measured by EQ-5D, was strongly associated with hip function. A systematic review of studies investigating health status or health-related quality of life following hip fractures found that mental status, pre-fracture function, comorbidity, female gender, nutritional status, postoperative pain, length of hospital stay, and complications were associated with healthrelated quality of life with strong evidence³⁵. In our study, we identified no other risk factors of EQ-5D for elderly patients after an intertrochanteric fracture, which indicated that hip function was a close predictor for health-related quality of life. Well-recovered hip function enjoyed better mobility and made it easier to fulfill daily activities, such as having meals and taking showers. Health-related quality of life was substantially associated with hip function. Due to retrospective analysis, data regarding the pre-fracture function and mental status were lacking, and we cannot confirm their predictive value.

Strengths and Limitations

This study had several strengths. First, to the best of our knowledge, it is the first to focus on the baseline factors associated with hip function, ADL, and health-related quality of life in a retrospective cohort of patients after an intertrochanteric fracture. In addition, inclusion of three different outcome measures contributed to the validity of the results. The study was strengthened by the more than 12-month follow-up period, which provided a long-term assessment of the patients.

Despite these strengths, the study was limited in its retrospective nature. Patients were not assigned to the groups randomly, which could have biased the results to some extent. It is possible that for larger groups of patients with various treatments, HHS differences would be significant and have sufficient statistical power. Finally, BI of ADL scales was the most widely used index in the previous literature, which has been validated for use in elderly patients. Nevertheless, it was not specifically used in the hip fracture population and could be affected by comorbidities. In comparison to generic scales, diseasespecific scales, including HHS and WOMAC, were more specialized in disease state. However, disease-specific measures used in musculoskeletal research have focused on patients with osteoarthritis, and not specifically on hip fractures. In fact, functional outcomes also depended on the death rate as patients may have recovered if they remained alive.

Orthopaedic Surgery Volume 11 • Number 4 • August, 2019 ANALYSIS OF FUNCTIONAL OUTCOMES OF HIP FRACTURE

Conclusion

In this retrospective analysis, to begin with, we reviewed the functional outcomes and health-related quality of life of patients after rehabilitation following an intertrochanteric fracture. Second, we identified age, albumin, and ADL at discharge as relevant factors for functional recovery. Age, ADL at discharge, and fixation type emerged as predictors for ADL at final follow up. In the end, we found that health utility was strongly associated with hip function.

- **1.** Papadimitriou N, Tsilidis KK, Orfanos P, *et al.* Burden of hip fracture using disability-adjusted life-years: a pooled analysis of prospective cohorts in the CHANCES consortium. Lancet Public Health, 2017, 2: E239–E246.
- 2. Cauley JA. Burden of hip fracture on disability. Lancet Public Health, 2017, 2: E209–E210.
- **3.** Gjertsen JE, Baste V, Fevang JM, Furnes O, Engesaeter LB. Quality of life following hip fractures: results from the Norwegian hip fracture register. BMC Musculoskelet Disord, 2016, 17: 265–272.
- **4.** Ozkayin N, Okcu G, Aktuglu K. Intertrochanteric femur fractures in the elderly treated with either proximal femur nailing or hemiarthroplasty: a prospective randomised clinical study. Injury, 2015, 46: S3–S8.

5. Desteli EE, Imren Y, Erdogan M, Aydagun O. Quality of life following treatment of trochanteric fractures with proximal femoral nail versus Cementless bipolar Hemiarthroplasty in elderly. Clin Invest Med, 2015, 38: E63–E72.

6. Aktselis I, Kokoroghiannis C, Fragkomichalos E, et al. Prospective randomised controlled trial of an intramedullary nail versus a sliding hip screw for

intertrochanteric fractures of the femur. Int Orthop, 2014, 38: 155–161. 7. Su H, Aharonoff GB, Zuckerman JD, Egol KA, Koval KJ. The relation between discharge hemoglobin and outcome after hip fracture. Am J Orthop, 2004, 33: 576–580.

8. Gruson KI, Aharonoff GB, Egol KA, Zuckerman JD, Koval KJ. The relationship between admission hemoglobin level and outcome after hip fracture. J Orthop Trauma, 2002, 16: 39–44.

9. Adunsky A, Arad M, Blumstein T, Weitzman A, Mizrahi EH. Discharge hemoglobin and functional outcome of elderly hip fractured patients undergoing rehabilitation. Eur J Phys Rehabil Med, 2008, 44: 417–422.

10. Vergara I, Vrotsou K, Orive M, Gonzalez N, Garcia S, Quintana JM. Factors related to functional prognosis in elderly patients after accidental hip fractures: a prospective cohort study. BMC Geriatr, 2014, 14: 124–132.

11. Uriz-Otano F, Uriz-Otano JI, Malafarina V. Factors associated with short-term functional recovery in elderly people with a hip fracture. Influence of cognitive impairment. J Am Med Dir Assoc, 2015, 16: 215–220.

12. McGilton KS, Chu CH, Naglie G, van Wyk PM, Stewart S, Davis AM. Factors influencing outcomes of older adults after undergoing rehabilitation for hip fracture. J Am Geriatr Soc, 2016, 64: 1601–1609.

13. Boese CK, Buecking B, Schwarting T, *et al.* The influence of pre-existing radiographic osteoarthritis on functional outcome after trochanteric fracture. Int Orthop, 2015, 39: 1405–1410.

14. Wong TM, Leung FKL, Lau TW, Fang C, Chan FHW, Wu J. Effectiveness of a day rehabilitation program in improving functional outcome and reducing mortality and readmission of elderly patients with fragility hip fractures. Geriatr Orthop Surg Rehabil, 2018, 9: 1–8.

15. Benedetti MG, Ginex V, Mariani E, et *al*. Cognitive impairment is a negative short-term and long-term prognostic factor in elderly patients with hip fracture. Eur J Phys Rehabil Med, 2015, 51: 815–823.

16. Bliemel C, Lechler P, Oberkircher L, *et al.* Effect of Preexisting cognitive impairment on in-patient treatment and discharge management among elderly patients with hip fractures. Dement Geriatr Cogn Disord, 2015, 40: 33–43.

17. Wang XG, Yang B, Wang YH, Cui LY, Luo JP. Serum levels of

25-hydroxyvitamin D and functional outcome in older patients with hip fracture. J Arthroplasty, 2015, 30: 891–894.

Acknowledgments

This research project was funded by the National Natural Science Foundation of China (No. 31571235, 31571236, 31271284) and the Major R&D Program of National Ministry of Science and Technology (2018YFB1105504), Beijing Municipal Science and Technology Commission Science and Technology Nova Cross Project (2018019), R&D Foundation of PKUPH (2018-01, 2017-01).

References

18. Studer P, Suhm N, Wang Q, Rosenthal R, Saleh HA, Jakob M. Displaced trochanteric fragments lead to poor functional outcome in pertrochanteric fractures treated by cephalomedullary nails. Injury, 2015, 46: 2384–2388.
19. Fixation using Alternative Implants for the Treatment of Hip fractures (FAITH) investigators. Fracture fixation in the operative management of hip fractures (FAITH): an international, multicentre, randomised controlled trial. Lancet, 2017, 389: 1519–1527.

20. Sprague S, Bhandari M, Heetveld MJ, *et al.* Factors associated with healthrelated quality of life, hip function, and health utility after operative management of femoral neck fractures. Bone Joint J, 2018, 100-B: 361–369.

21. Harris WH. Traumatic arthritis of the hip after dislocation and acetabular fractures: treatment by mold arthroplasty. An end-result study using a new method of result evaluation. J Bone Joint Surg Am, 1969, 51: 737–755.

22. Brooks R. EuroQol: the current state of play. Health Policy, 1996, 37: 53–72.
23. Liu GG, Wu H, Li M, Gao C, Luo N. Chinese time trade-off values for EQ-5D health states. Value Health, 2014, 17: 597–604.

24. Haentjens P, Autier P, Barette M, *et al.* Survival and functional outcome according to hip fracture type: a one-year prospective cohort study in elderly women with an intertrochanteric or femoral neck fracture. Bone, 2007, 41: 958–964.

25. Sheenhan KJ, Williamson J, Alexander J, Filliter C, Sobolev B. Prognostic factors of functional outcome after hip fracture surgery: a systematic review. Age Ageing, 2018, 47: 661–670.

26. Gregersen M, Borris LC, Damsgaard EM. Postoperative blood transfusion strategy in frail, anemic elderly patients with hip fracture: the TRIFE randomized controlled trial. Acta Orthop, 2015, 86: 363–372.

27. Smith GH, Tsang J, Molyneux SG, White TO. The hidden blood loss after hip fracture. Injury, 2011, 42: 133–135.

28. Mizrahi EH, Fleissig Y, Arad M, Blumstein T, Adunsky A. Admission albumin levels and functional outcome of elderly hip fracture patients: is it that important? Aging Clin Exp Res, 2007, 19: 284–289.

29. Chung AS, Hustedt JW, Walker R, Jones C, Lowe J, Russell GV. Increasing severity of malnutrition is associated with poorer 30-day outcomes in patients undergoing hip fracture surgery. J Orthop Trauma, 2018, 32: 155–160.

30. Bohl DD, Shen MR, Hannon CP, Fillingham YA, Darrith B, Della Valle CJ. Serum albumin predicts survival and postoperative course following surgery for geriatric hip fracture. J Bone Joint Surg Am, 2017, 99: 2110–2118.

31. Wang T, Sun JY, Zha GC, Jiang T, You ZJ, Yuan DJ. Analysis of risk factors for femoral head necrosis after internal fixation in femoral neck fractures. Orthopedics, 2014, 37: E1117–E1123.

32. Martin-Martin LM, Arroyo-Morales M, Sanchez-Cruz JJ, Valenza-Demet G, Valenza MC, Jimenez-Moleon JJ. Factors influencing performance-oriented mobility after hip fracture. J Aging Health, 2015, 27: 827–842.

33. Tang P, Hu F, Shen J, Zhang L, Zhang L. Proximal femoral nail antirotation versus hemiarthroplasty: a study for the treatment of intertrochanteric fractures. Injury, 2012, 43: 876–881.

34. Ishidou Y, Koriyama C, Kakoi H, et al. Predictive factors of mortality and deterioration in performance of activities of daily living after hip fracture surgery in Kagoshima. Japan Geriatr Gerontol Int, 2017, 17: 391–401.

35. Peeters CM, Visser E, Van de Ree CL, Gosens T, Den Oudsten BL, De Vries J. Quality of life after hip fracture in the elderly: a systematic literature review. Injury, 2016, 47: 1369–1382.