

Trunk Control Ability after Minimally Invasive Lumbar Fusion Surgery during the Early Postoperative Phase

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Abstract. [Purpose] Lumbar fusion has been used for spinal disorders when conservative treatment fails. The minimally invasive approach causes minimal damage to the back muscles and shortens the postoperative recovery time. However, evidence regarding functional recovery in patients after minimally invasive lumbar spinal fusion is limited. The purpose of this study was to investigate how trunk control ability is affected after minimally invasive lumbar fusion surgery during the early postoperative phase. [Subjects and Methods] Sixteen patients and 16 age- and sex-matched healthy participants were recruited. Participants were asked to perform a maximum forward reaching task and were evaluated 1 day before and again 1 month after the lumbar fusion surgery. Center of pressure (COP) displacement, back muscle strength, and scores for the Visual Analog Scale, and Chinese version of the modified Oswestry Disability Index (ODI) were recorded. [Results] The healthy control group exhibited more favorable outcomes than the patient group both before and after surgery in back strength, reaching distance, reaching velocity, and COP displacement. The patient group improved significantly after surgery in all clinical outcome measurements. However, reaching distance decreased, and the reaching velocity as well as COP displacement did not differ before and after surgery. [Conclusion] The LBP patients with lumbar fusion surgery showed improvement in pain intensity 1 month after surgery but no improvement in trunk control during forward reaching. The results provide evidence that the back muscle strength was not fully recovered in patients 1 month after surgery and limited their ability to move their trunk forward.

Key words: Biomechanics, Postural balance, Low back pain

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INTRODUCTION

Lumbar spinal fusion surgery has been widely used to manage pain and neurological symptoms in LBP patients. The surgery usually eliminates symptoms successfully, with long-term consequences being considered of secondary importance. However, nearly one-third of patients undergo spine surgery again within 2 years after the first operation¹⁾. Therefore, it is crucial to understand the characteristics of functional outcomes following postoperative sequelae of lumbar fusions.

The immediate effect of minimally invasive lumbar

fusion is marked^{2, 3)}. Pain is diminished, and the unstable spinal segment is stabilized. However, limited back motion after spinal fusion can induce compensatory movement for the loss of spinal motion⁴⁾. Only a few studies have addressed changes in muscle function⁵⁾ and functional performance^{6, 7)} after lumbar spinal fusion surgery. Paraspinal muscle damage resulting from surgical procedures can induce muscle atrophy and fatty infiltrations^{8, 9)}. Previous research has shown the active roles of paraspinal muscles in stabilizing the spine¹⁰⁾. Thus, identifying the relationship between back muscle function and trunk control is critical¹¹⁾.

To obtain spinal stability, it is essential to maintain a balanced interaction among the 3 subsystems: active (e.g., contractile tissues such as muscles and tendons), passive (e.g., the bony structure comprising the spine and ligaments), and neuromuscular control system (e.g., the neural control center and mechanoreceptors)^{12, 13)}. Damage to or dysfunction in one subsystem requires the other 2 systems to compen-

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sate and may result in alteration in movement control for spinal stability. Regarding multi-joint coordination in spinal control, both biomechanical models and empirical evidence show that a combination of spinal muscle forces and appropriately timed muscle activity is necessary for maintaining spinal stability during movements^{14, 15}. Altered lumbar and pelvis coordination in patients with LBP has also been shown during trunk forward bending^{16, 17}, rising from a chair¹⁸, and walking¹⁹.

Previous research has indicated that altered intersegmental movement of the lumbar spine and pelvis could be explained by lack of adequate control of trunk extensors and potential trunk extensor muscle dysfunction¹⁷. Regarding the spinal stability system, minimally invasive spinal fusion can restore the function of passive spinal structures without extensive dissection of the active structure, such as paraspinal muscles. More importantly, after such a surgical procedure, patients can resume daily activities in a short amount of time. However, it remains unclear whether trunk control during forward movement is affected after a minimally invasive spinal fusion procedure, especially in the early postoperative phase.

Forward reaching is a common daily functional activity and has been considered an indicator of trunk control in patients with LBP^{10, 17, 20}. A forward reaching movement could involve the lumbar spine, hip, knee, and ankle joints. During forward bending, the moment acting on the back can reach over 300 Nm, which is equal to the moment generated by lifting a 15.7-kg object from the floor²¹. Thus, forward reaching movement is considered challenging for patients with LBP and could be used as an index to evaluate postoperation recovery. In clinical practice, patients are usually asked to protect their spine for 3 to 6 months after surgery. The safety margin for forward reaching in the early recovery phase must be identified.

The back muscles play a major role during trunk flexion contraction^{22, 23}. Previous studies have also shown that lumbar movement is challenged most in the first 30° of motion in trunk forward bending^{16, 24–26}. The flexion of the trunk is initiated by the lumbar spine considerably earlier than that of the hip²⁷. Different reaching speeds may change the reaching distance²⁸. Moreover, a higher speed requires greater posture control and might alter muscle performance in the trunk and lower extremities. Speed of movement is often a crucial factor for movement control. How fast a patient should reach forward also must be identified.

Therefore, the purpose of this study was to investigate how trunk control such as in forward reaching, recover after minimally invasive spinal fusion during the early postoperative phase. We hypothesized that patients who have undergone spinal fusion still have decreased back muscle strength compared with healthy controls and that the ability to perform forward reaching thus declines after surgery. Specifically, reaching distance, reaching velocity, and center of pressure (COP) displacement were expected to be less in patients than in healthy participants. Moreover, trunk control ability would be associated with back muscle strength.

SUBJECTS AND METHODS

This study adhered to the principles of the Declaration of Helsinki for human research. Both the Research Ethics Committee of National Taiwan University Hospital and Far Eastern Memorial Hospital approved this study. Written informed consent was acquired for each participant.

Sixteen patients with LBP who underwent minimally invasive transforaminal lumbar interbody fusion (Mini-TLIF) in a medical center (the patient group) and 16 age- and sex-matched healthy adults (the control group) were recruited. The inclusion criteria for the patient group (patient group) before and after the Mini-TLIF operation were patients that (1) had a diagnosis of LBP requiring spinal fusion surgery provided by an orthopedic surgeon and verified with magnetic resonance imaging (MRI) data, (2) were scheduled for Mini-TLIF operation within 1 week, and (3) required an operation site that involved only the lumbar spine area. Patients with the following conditions were excluded: (1) scoliosis, (2) other neurological disorders, (3) self-reported impairment in daily activity, (4) leg length deficiency of over 2 cm, and (5) a body mass index over 30. The inclusion criteria of the age- and sex-matched control group (control group) were (1) no history of LBP within 1 year and (2) no previous operations in the spine and lower extremities. The exclusion criteria for the control group were the same as those for the patient group.

Participants provided written informed consent after the experimenter explained the study procedures. The patient group completed clinical outcome questionnaires regarding their health history and activity status 1 day before and 1 month after surgery (Pre-op and Post-op) at the bedside, including the Visual Analog Scale (VAS)²⁹, and the Chinese version of the modified Oswestry Disability Index (ODI)³⁰ for disability assessment. The control group did not complete the questionnaires.

For both groups, hamstring flexibility was examined using the straight leg raise (SLR) test in the supine position. Back extensor muscle strength was measured using a back-leg-pull dynamometer in the standing position with the knee extended³¹. The reaching distance during the forward reaching task was measured using a potentiometer, as shown in Fig. 1.

The pole of the potentiometer was set to shoulder height of the participant. Participants stood comfortably with their feet shoulder-width apart on a force plate (Kistler 9260AA6, Kistler Instrumente AG, Winterthur, Switzerland). The sampling rate of the ground reaction forces was set at 1,000 Hz. Footprints were marked to ensure identical positioning for each trial. In the starting position, participants held both arms at a height equal to that of the acromion process, with the palms facing each other and middle fingers pointed at the contact plate of the potentiometer.

Participants were asked to maintain the starting position for 3 seconds and then reach forward as far as possible at a self-selected speed. Having reached the maximal displacement, the participants were asked to hold that position for another 3 seconds. All participants practiced several times before the data were collected, that is, until they felt famil-

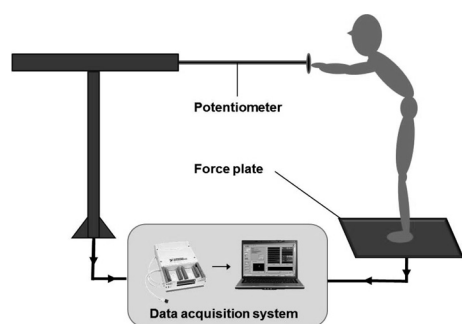


Fig. 1. Schematic diagram of the experiment setup.

iar with the reaching task. Five trials were recorded and then averaged across trials for the final result.

Forward reaching distance was measured using the voltage difference of the potentiometer between the onset and offset of the reaching movement. The reaching distance was then normalized to the foot length of each participant for an inter-person comparison. Forward reaching velocity was calculated to determine the onset and offset of the forward-reaching activity. The onset time was defined as the time at which the movement velocity was more than 10% of the peak velocity, whereas the offset time was defined as the time at which the movement velocity was less than 10% of the peak velocity (Fig. 2).

The weight-shifting ability was evaluated using force plate measurements of the center of pressure (COP) displacements. The COP was calculated using the ground reaction forces and moments recorded from the force plate by custom-written programs (MATLAB Version 7.0, MathWorks, Natick, MA, USA) and a zero-lag, fourth-order Butterworth filter using a 6-Hz cutoff. The COP was separately calculated along the anteroposterior (AP) and mediolateral (ML) directions using the following equations:

$$COP_{AP} = \frac{F_x}{F_z},$$

$$COP_{ML} = \frac{F_y}{F_z},$$

where F_x , F_y , and F_z are the ML, AP, and vertical ground reaction forces, respectively. The COP displacement was normalized to participant foot length, which indicates weight-shifting ability during forward reaching. The variance of the COP displacement in the ML direction during forward reaching was calculated to quantify the smoothness of forward reaching. COP variables were analyzed during the entire reaching phase.

The group differences in the demographic data between the control group and the patient group were examined using an independent *t* test. The questionnaires (e.g., VAS and ODI) conducted for the patient group at the Pre-op and Post-op were examined by using a nonparametric test (the Wilcoxon test). Back muscle strength, forward reaching distance, reach mean and peak velocity, and COP displacement were examined using an independent *t* test for group

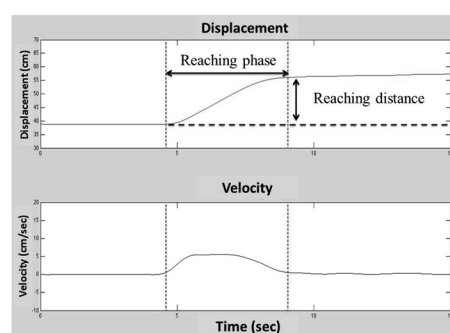


Fig. 2. A representative example of reaching displacement (upper) and velocity (lower) of forward reaching movement.

comparisons and a paired *t* test for Pre-op and Post-op comparisons. A Pearson correlation was used to determine the correlations between back muscle strength and forward reaching outcome measures using pre- and postoperative data from the patient group.

The significance level was set at $p < 0.05$, and the sample size was estimated using our preliminary data based on the primary outcome—reaching distance. To determine the anticipated differences in reaching distance between groups for an α level of 0.05, we required 12 participants in each group for approximately 80% power. Sixteen participants were recruited into each group to ensure sufficient power to detect differences. All statistical analyses were performed using the SPSS 18.0 package.

RESULTS

The participant's anthropometric and SLR test data are presented in Table 1. Both groups had similar ages, heights, weights, body mass indexes, and sex distributions. All clinical outcomes were significantly improved after surgery in the patient group (Table 2). After surgery, the VAS decreased by 5.31 ± 3.46 and ODI score decreased by 12.38 ± 7.31 . The back muscle strength in the patient group was not significantly different at Pre-op and Post-op, and was significantly less than that in the control group.

The outcome measures of the forward reaching task are shown in Table 2. The reaching distance in the patient group was significantly less than that in the control group, both at Pre-op and Post-op. Moreover, the reaching distance significantly decreased at Post-op compared to Pre-op in the patient group.

The reaching velocity and peak velocity were significantly slower both Pre-op and Post-op in the patient group compared with the control group (Table 2). Furthermore, the reaching velocity was not improved significantly Post-op in the patient group.

The COP measures for the forward reaching task are shown in Table 2. The COP displacement in the AP direction was significantly less in the patient group compared with the control group, both Pre-op and Post-op. The COP displacement did not change at Post-op in the patient group. However, the variation of the COP displacement in the ML

Table 1. Characteristics of participants

	Control group (n = 16)		Patient group (n = 16)	
	Age (years)	62 (10.18)		61.88 (11.32)
Sex	9 male, 7 female		9 male, 7 female	
Height (cm)	161.69 (7.42)		160.50 (8.49)	
Weight (kg)	60.91 (11.71)		67.41 (10.78)	
Body mass index (kg/m ²)	23.17 (3.03)		26.2 (3.85)	
Straight leg raise test (degree)	Right	Left	Right	Left
	68.13 (13.52)	68.75 (13.52)	70.63 (11.95)	69.13 (14.06)
Level of fusion			L2-4	n = 1
			L3-4	n = 1
			L3-5	n = 3
			L4-5	n = 9
			L5-S1	n = 2

Values are means (SD)

Table 2. Clinical outcomes and reaching performance in the control group and patient group before (Pre-op) and after operation (Post-op)

	Control		Pre-op		Post-op	
	Mean	(SD)	Mean	(SD)	Mean	(SD)
Clinical outcomes						
VAS score	—	—	7.13	(2.36)	1.81	(1.76)
ODI score	—	—	22.69	(6.97)	10.31	(6.47)
Back muscle strength (kg)	70.50	(39.92)	22.25	(16.31)	23.25	(15.50)
Reaching performance						
Reaching distance (% foot length)	101.33	(16.31)	73.06	(22.21)	62.71	(22.25)
Average reaching velocity (cm/s)	5.95	(2.21)	3.52	(1.60)	3.42	(1.51)
Peak reaching velocity (cm/s)	11.04	(3.07)	8.10	(2.62)	8.23	(2.82)
COP displacement in anteroposterior direction (% foot length)	33.89	(5.36)	25.36	(7.17)	23.27	(8.21)
COP variation in mediolateral direction (cm ²)	0.29	(0.14)	0.20	(0.14)	0.16	(0.15)

VAS, Visual Analog Scale; ODI, Chinese version of the Modified Oswestry Disability Index

direction was significantly less in the patient group compared with the control group only at Post-op.

The results of analysis of the correlation between back muscle strength and forward reaching performance in the patient group are shown in Table 3. The average reaching velocity and COP displacement in the anteroposterior direction were highly correlated with back muscle strength at Post-op. Only peak reaching velocity was highly correlated with back muscle strength at Pre-op.

DISCUSSION

The main purpose of this study was to investigate how trunk control ability was affected in the early recovery phase after Mini-TLIF surgery. The results showed that functional ability according to the clinical outcome questionnaires and pain scores of the patient group improved at 1-month post operation. However, forward reaching performance did not improve after surgery. The findings support our hypothesis that patients who undergo spinal fusion still

have deficiencies in the back muscles that affect the ability to reach forward.

Minimally invasive surgery has several advantages compared with traditional open surgery, such as smaller surgical incisions and less paraspinal injury, which provide the benefits of less postoperative pain and a shorter recovery time³²⁻³⁵. Similar to previous studies, this study showed that the wounds on the back had already healed at 1 month post operation. The patients felt no pain when pressing on the scar tissue. Most of the patients reported soreness and pain mainly from the deep layer of the operation site. The VAS score was higher than 5 in 13 out of 16 patients before surgery. After surgery, the VAS score of all patients dropped to less than 5. Only 1 patient reported an increased VAS score after surgery with a centralized painful area. Nevertheless, the data of this particular patient did not differ from that of other participants.

ODI scores for disability assessment also improved. These results were consistent with previous studies, which have stated that minimally invasive surgery can immedi-

Table 3. Correlation coefficient (*r*) between back muscle strength and reaching performance evaluation in the patient group at Pre-op and Post-op

	Back muscle strength at Pre-Op (kg)	Back muscle strength at Post-Op (kg)
	<i>r</i>	<i>r</i>
Reaching distance (% foot length)	0.056	0.453
Averaged reaching velocity (cm/s)	0.527	0.810*
Peak reaching velocity (cm/s)	0.697*	0.388
COP displacement in anteroposterior direction (% foot length)	0.269	0.599*
COP variation in mediolateral direction (cm ²)	-0.324	-0.422

* *p* < 0.05

ately alleviate the pain of patients³²⁻³⁴). According to the answers provided for the clinical questionnaires, the patients were able to perform a higher load of daily activities more quickly after the operation than before the operation. However, the forward reaching distance of patients at 1 month post operation was still less than that of the control group. The trunk control ability of the LBP patients after surgery did not fully recover to the level of the healthy participants, even though the pain decreased. Thus, other factors such as muscle performance and movement stability must be further examined and are discussed as follows.

Forward reaching and COP displacement have been widely used to assess postural stability³⁶⁻⁴³, and they were used to assess the trunk control ability in this study. COP displacement along the AP direction during a forward reach task decreases with age^{40,44}, or with balance disorders^{41,43}. Movement velocity²⁸ and variation of the movement path⁴⁰ have also been used to assess the quality of reaching performance. With the portable force plate, clinicians can easily evaluate patient's postural stability at bedside instead of in a biomechanics laboratory.

The patients in this study exhibited significantly shorter reaching distances than those of the controls, and they did not show improvements 1 month after surgery despite their reduced pain severity. Decreased mean and peak reaching velocity indicated that the patients were not confident when reaching forward to their maximum distance. Moreover, the variance of the COP displacement in the ML direction was significantly less in the patients than in the control group only at Post-op. According to the demographic data (Table 1), the physiological properties of the 2 groups were the same. Thus, forward reaching distance was not affected by hamstring flexibility, and the decline in reaching performance can be reasonably attributed to other factors. Fear avoidance could be a factor that caused the patients to adopt a conservative approach after surgery. Elevated fear avoidance has been shown to be associated with physical impairment in LBP patients⁴⁵⁻⁴⁷ and to be significantly correlated with reduced lumbar flexor and extensor strength in patients with chronic LBP^{48,49}.

In clinical practice, patients are asked to protect their spine for 3 to 6 months after surgery by wearing a protective brace. This might be the reason that patients adopted a safe way to perform forward reaching at 1 month after sur-

gery. Nevertheless, poor dynamic balance and trunk control after surgery could increase the risk of falling in the patient group during daily activities. Therefore, long-term follow-up in the patient group is necessary.

During forward reaching while standing, maintaining the COP within the stability boundary is a challenging task for trunk control. A person can perform a forward reaching task by using lumbar, pelvic, hip, or ankle or a combination of the joints. In a previous study, healthy younger adults reached with greater trunk flexion and less lower limb flexion than elderly adults⁵⁰. The older population adopted a hip strategy but did not use an ankle strategy during balance recovery⁵¹. A hip strategy keeps the center of mass away from the edge of the base of support. This study shows that the deficiencies in back muscles could lead patients to avoid using an ankle strategy and instead use a hip strategy to reach.

Forward reaching is considered to be an indicator of balance ability¹⁷. Although reaching distance did not improve after surgery, patients who had greater back muscle strength could reach with a faster velocity and exhibited greater weight transfer ability in the COP displacement than patients who had less back muscle strength (Table 3). Given the current evidence of deficiencies in this patient population and the findings in this study, we recommend that clinicians assess back muscle function by using tests that challenge postural stability such as forward reaching.

Spinal stability and trunk control require a balanced interaction among active, passive, and neuromuscular subsystems^{12,13}. With increased awareness of the active roles of paraspinal muscles in stabilizing the spine¹⁰, minimally invasive surgery can minimize the extent of paraspinal muscle injury⁵²⁻⁵⁵. However, limited back motion and decreased muscle strength after spinal fusion may induce muscle atrophy and fatty infiltrations⁹. Paraspinal muscle damage caused by surgery has been found to accelerate muscle atrophy and fatty infiltrations. Therefore, whether minimally invasive lumbar fusion surgery will cause the same paraspinal muscle atrophy and fatty infiltration in the long term and how the decreased neuromuscular control of patients will correlate to the morphology changes in paraspinal muscles should be further monitored with MRI records in future research. Previous studies have shown that after spinal surgery, patients have weaker back muscle

strength⁵⁶⁾ and experience changes in muscle activity, such as a delayed firing time and abnormal patterns^{24–26)}. Therefore, the decline in trunk control while reaching may also be attributable to an overall decrease in neuromuscular control. Early rehabilitation in back muscle training should also be introduced after a Mini-TLIF surgery.

The findings of this study suggest possible back muscle impairment in patients after Mini-TLIF surgery. Despite recovery of functional ability and lessened pain, a lack of adequate early rehabilitation might cause a more severe secondary pathology in the future. Thus, the early use of static exercise to train the back muscles is vital for LBP patients after spine surgery. Compensatory movement patterns should be a cause for concern. Otherwise, this rehabilitative oversight might reduce the range of the stability boundary and increase the risk of falls in patients.

This study evaluated only muscle performance and movement quality during the forward reaching task and lacked of joint kinematic data. Because of the confined experiment space and limited time at the bedside, it was difficult to collect all kinematic data in a hospital setting. Moreover, the broad range in the patients' ages, occupations, and psychological problems might also be confounding factors. A subgroup analysis should be conducted with a large number of participants in a future study.

The patients' pain severity and daily activity functions improved at 1 month after Mini-TLIF surgery; however, the patients still showed deficiencies in their back muscle strengths and forward reaching performance. A compensatory movement pattern should be a source of concern because it might reduce the range of the stability boundary and increase the risk of falls in patients. These results suggest that LBP patients who undergo Mini-TLIF surgery might still be afraid of moving their trunk forward 1 month after surgery. Clinicians should focus on early back muscle training in LBP patients after lumbar fusion surgery to restore their back muscle function in the early recovery phase.

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