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**Abbreviations:** HWE, Hardy–Weinberg equilibrium; OR, odds ratio; PCR-RFLP, polymerase chain

**RESEARCH ARTICLE** 

# Genetic polymorphisms as prognostic factors for recurrent kidney stones: A systematic review and meta-analysis

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# Abstract

Genetic polymorphisms have been suggested as risk factors affecting the occurrence and recurrence of kidney stones, although findings regarding the latter remain inconclusive. We performed this systematic review and meta-analysis to clarify the associations between genetic polymorphisms and recurrent kidney stones. PubMed, SCOPUS, EMBASE, and Cochrane Library databases were searched through May 28th, 2020 to identify eligible studies. The Quality in prognostic studies (QUIPS) tool was used to evaluate bias risk. Allelic freguencies and different inheritance models were assessed. All analyses were performed using Review manager 5.4. A total of 14 studies were included for meta-analysis, assessing urokinase (ApaL1) and vitamin D receptor (VDR) (ApaI, BsmI, FokI, and TaqI) gene polymorphisms. The ApaLI polymorphism demonstrated protective association in the recessive model [odds ratio (OR) 0.45, P < 0.01] albeit higher risk among Caucasians in the heterozygous model (OR 16.03, P < 0.01). The VDR-Apal polymorphism showed protective association in the dominant model (OR 0.60, P < 0.01). Among Asians, the VDR-Fokl polymorphism recessive model showed significant positive association (OR 1.70, P < 0.01) and the VDR-Taql polymorphism heterozygous model exhibited protective association (OR 0.72, P < 0.01). The VDR-Bsml polymorphism was not significantly associated with recurrent kidney stones in any model. Urokinase-ApaLI (recessive model), VDR-ApaI (dominant model), and VDR-Taql (heterozygous model) polymorphisms were associated with decreased recurrent kidney stone risk whereas urokinase-ApaLI (heterozygous model) and VDR-Fokl polymorphisms were associated with increased risk among Caucasians and Asians, respectively. These findings will assist in identifying individuals at risk of kidney stone recurrence.

# Introduction

A global increase in the incidence and prevalence of kidney stones has been documented in almost all populations, with the prevalence rates varying from 1 to 20% [1]. In the United

reaction-restriction fragment length polymorphism; QUIPS, Quality in Prognostic Studies; VDR, vitamin D receptor. States, the lifetime risk of kidney stones is 8.8% with approximate recurrence rates of 14 and 35% after one and five years, respectively [2, 3]. Moreover, a "stone forming belt" has been recognized in Asia extending from West Asia to Southeast and South Asia with prevalence rates between 5 and 19.1% [4] and lifetime recurrence risks estimated at 60–80% among Asian countries [4]. Notably, the financial burdens of recurrent stone disease are substantial, with. estimates of direct and indirect costs to treat patients with kidney stones exceeding \$5 billion USD [5]. Thus, preventing recurrences among at-risk stone formers may constitute a cost-effective approach to manage this disease.

Kidney stones are defined as a complex disease, as they develop from interactions between multiple environmental and genetic factors [6, 7]. In particular, genetic variants in the population may contribute significant risk for manifesting such multifactorial disorders including kidney stone formation and recurrence [8, 9]. It is thus expected that the study of associated genetic polymorphisms will assist in identifying individuals at risk of kidney stone recurrence, defining the pathophysiological mechanisms, and determining novel targets for drug therapy [10]. However, although several genes associated with recurrent kidney stones have been identified, the results have been inconsistent across studies. Additionally, to our knowledge, a meta-analysis evaluating the associations between genetic polymorphisms and recurrent kidney stone has not been reported. Therefore, we performed a systematic review and meta-analysis to comprehensively appraise the existing literature for possible associations between genetic polymorphisms and recurrent kidney stones.

# Material and methods

#### Literature search

The Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines were used as guidance to perform this systematic review and meta-analysis [11]. Systematic searches using PubMed, SCOPUS, EMBASE, and Cochrane Library databases through July 19<sup>th</sup>, 2020 were performed to find relevant literature evaluating association between recurrent kidney stones and gene polymorphisms. The following subject terms and keywords were applied: "kidney stone" or "kidney calculi" or "urolithiasis" or "nephrolithiasis" or "urinary calculi" and "genome" or "genetic" or "mutation" or "single nucleotide polymorphism". Detailed information regarding the keywords and search hits is presented in <u>Table 1</u>. Manual

Table 1.	Keywords and	l search hits in PubMe	d, EMBASE, SCOPUS,	, and Cochrane Library.
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Search Engine	Search Terms	Number of Articles
Pubmed	(kidney stone[Title/Abstract] OR urolithiasis[MeSH Terms] OR nephrolithiasis [MeSH Terms] OR kidney calculi[MeSH Terms] OR urinary calculi[MeSH Terms]) AND (genome[MeSH Terms] OR genetic[MeSH Terms] OR mutation [MeSH Terms] OR (single nucleotide polymorphism[MeSH Terms]))	517
SCOPUS	TITLE-ABS-KEY(("kidney stone" OR urolithiasis OR nephrolithiasis OR "kidney calculi" OR "urinary calculi") AND (genome OR genetic OR mutation OR "single nucleotide polymorphism")) AND (LIMIT-TO (EXACTKEYWORD, "Human"))	2,403
EMBASE	('kidney stone':ti,ab,kw OR urolithiasis:ti,ab,kw OR nephrolithiasis:ti,ab,kw OR 'kidney calculi':ti,ab,kw OR 'urinary calculi':ti,ab,kw) AND (genome:ti,ab,kw OR genetic:ti,ab,kw OR mutation:ti,ab,kw OR 'single nucleotide polymorphism':ti, ab,kw) AND 'human'/de	1,265
Cochrane Library	((kidney stone):ti,ab,kw OR (urolithiasis):ti,ab,kw OR (nephrolithiasis):ti,ab,kw OR (kidney calculi):ti,ab,kw OR (urinary calculi):ti,ab,kw) AND ((genome):ti,ab, kw OR (genetic):ti,ab,kw OR (mutation):ti,ab,kw OR (single nucleotide polymorphism):ti,ab,kw)	39

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searches were also conducted from references in related studies. The protocol was also registered under PROSPERO database (PROSPERO 2020 CRD42020191348).

#### Inclusion and exclusion criteria of study selection

The population of interest comprised patients with recurrent kidney stones, defined as a minimum of two symptomatic kidney stone occurrences within at least a six-month interval. Healthy subject groups or patients with a single kidney stone episode were included as comparison groups. The outcome in the eligible studies had to include any genetic polymorphisms associated with recurrent kidney stones. Eligible studies were required to fulfill the following inclusion criteria: (1) cross-sectional, case-control, or cohort studies evaluating the relationship between genetic polymorphisms and recurrent kidney stones; (2) availability of genotype frequency in both case and control groups to allow comparison for calculating odds ratios (OR) and 95% confidence intervals (95% CI); and (3) English language. The following were exclusion criteria in our study: (1) case reports, qualitative studies, in vitro experiments, animal studies, conference or poster abstracts, systematic reviews, and meta-analyses; (2) insufficient demographic data of the population (i.e., kidney stone recurrence was not mentioned); and (3) unavailability of detailed data or full text. Publication dates were not limited. In the case of duplicated studies from the same population, only the study with the largest sample was included.

### Quality assessment and data extraction

Two investigators (W.A. and P.A.R.R) independently performed quality assessment and data extraction. Disagreement was resolved by discussion and the assistance of other investigators if needed. Quality assessment was accomplished by evaluating bias using the Quality in Prognostic Studies (QUIPS) tool [12]. We recognized six domains of bias assessment including: (1) study participation, (2) study attrition, (3) prognostic factor measurement, (4) outcome measurement, (5) study confounding, and (6) statistical analysis and reporting [12, 13]. Each domain was then assigned as having a low, moderate, or high risk of bias [13]. Overall risk of bias was determined based on the ratings of each domain. Information on all eligible studies regarding author, publication year, demographic characteristics, control groups (healthy subjects or first-time stone former), sample size, genotyping method, and genotype distribution was extracted. The Checklist for Critical Appraisal and Data Extraction for Systematic Reviews of Prediction Modelling studies (CHARMS) was used to facilitate the data extraction process [14].

#### Statistical analysis

Meta-analysis was performed only for genetic polymorphisms with at least three available studies as a large number of genetic polymorphisms were reported. Associations between genetic polymorphisms and recurrent kidney stones were measured using ORs and 95% CIs. The ORs were calculated for the following five models: (1) allelic frequencies (X-allele vs. x-allele); (2) homozygous (XX vs. xx); (3) heterozygous (Xx vs. XX + xx); (4) dominant (XX + Xx vs. xx; and (5) recessive (XX vs. Xx + xx). A Chi-square-based Q test was performed to check the heterogeneity of the involved studies. Heterogeneity was considered to exist if the *P* value was < 0.10. The fixed-effects model was used to calculate pooled ORs when the studies were homogeneosus (*P* value of Q test > 0.10). In the case of heterogeneity, the random-effects model was adopted. In addition to comparison with healthy subjects, we also performed comparisons with first-time stone formers if at least two studies were available. We also performed sub-analysis on possible sources of heterogeneity, especially ethnicity [15]. Sub-analysis of

ethnicity (Asian and Caucasian) was performed if at least two studies were available. Begg's funnel plot was used to evaluate the publication bias. We also performed meta-analysis with restriction of larger studies (N > 200 cases) for quality control of publication bias [16]. Larger studies are less prone to publication bias compared to smaller studies [16]. The Hardy–Weinberg equilibrium (HWE) in the control group was calculated by using the chi-square test for goodness of fit (chi-square score >3.84 was determined as indicating discrepancy from the equilibrium) [17]. All statistical analyses were performed using Review manager 5.4. software (Revman Cochrane, London, UK).

### Results

#### Literature search and study characteristics

The first literature search consisted of 4244 articles of which 2735 remained after removal of duplicates. After screening titles and abstracts, 2420 articles were removed because of irrelevant topics. Furthermore, 71 review articles, 33 case reports, 29 animal or in vitro studies, and 18 poster or conference abstracts were excluded and an additional six articles were excluded because of full text unavailability. Three articles were not written in English. The full text of the remaining 155 articles was then assessed for eligibility. A total of 113 articles were subsequently excluded because of irrelevant design or unavailability of the expected predictor or outcomes. Finally, we identified 42 studies that fulfilled the inclusion criteria for systematic review. Among these, 14 studies were selected for meta-analysis, which evaluated polymorphisms in the urokinase and vitamin D receptor (*VDR*) genes. Specifically, five studies evaluated the urokinase (ApaL1) gene polymorphism [18–22]; for *VDR*, three studies investigated the ApaI polymorphism [7, 23, 24], four each examined the BsmI [23–26] and FokI polymorphisms, [24, 26–28] and eight studies evaluated the TaqI polymorphism [18, 19, 23, 25–27, 29, 30]. The eligibility pathway in our meta-analysis is shown in Fig 1.

In addition, several polymorphisms associated with kidney stone recurrence were only evaluated in a single study, such as in the androgen receptor (AR) gene [cytosine, adenine, guanine (CAG) repeat] [31], calcitonin receptor (CALCR) gene [AluI, IVS1-6T>C, IVS1insA, IVS5-17 (GTTT)3, IVS6A>G, IVS6G>A, IVS10+35-37delT, Ex13T>C, Ex13A>C, and 3'UTR+-18C>T] [32, 33], calcium-sensing receptor (CASR) gene (A986S, E1011Q, R990G, rs1048213, rs1501899, rs17251221, rs6776158, rs7648041, rs7648044, rs7652589, and rs7627468) [34-37], e-cadherin (CDH1) gene (PmlI) [38], cytochrome P450c17α enzyme (CYP17) gene (MspAI) [39], epidermal growth factor receptor (EGFR) gene (BsrI) [39], estrogen receptor (ER) gene [thymine-adenine (TA) dinucleotide repeat] [31], insulin-like growth factor-2 (IGF2) gene (ApaI) [39], interleukin-18 (IL18) gene (-607C/A, -137G/C, and +105A/C) [40], IL-1 receptor antagonist (IL1RA) gene (intron 2 variable number of tandem repeats) [41], IL1B gene (promoter, exon 5, and rs16944) [41, 42], *IL6* gene (rs1800795, rs1800796, and rs1800797) [42], inositol 1,4,5-trisphosphate 3-kinase C (ITPKC) gene (rs11673492, rs28493229, rs7257602, rs7251246, rs890934, rs10420685, rs2607420, and rs2290692) [43], manganese superoxide dismutase (Mn-SOD) gene (BsaWI) [44], melatonin receptor 1A (MTNR1A) gene (rs2119882, rs2375801, rs13140012, and rs6553010) [45], osteopontin (OPN) gene [9402 (Arg/His) and rs1126616] [46, 47], Ca release-activated calcium channel protein 1 (ORAII) gene (rs12313273) [48], ornithine decarboxylase (ODC) gene (+316 G/A) [49], osteocalcin gene (HindIII) [50], renal sodium-citrate (dicarboxylate) cotransporter (hNaDC1; SLC13A2) gene (I550V [51], rs11567842 [29]), regulator of G protein signaling 14 (*RGS14*) gene (rs12654812) [34], spermidine/spermine N1-acetyltransferase 1 (SAT1) gene (-1415 T/C) [49], transporter associated with antigen-processing (TAP) gene (DpnII, AccI, BstUI, MspI, and RsaI) [52], tumor necrosis factor-alpha (TNFA) gene (-308 A/G) [53], transient receptor potential cation



Fig 1. Flow chart of eligible study selection.

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channel, subfamily V, member 5 (*TRPV5*) gene (rs4236480) [9], *VDR* gene (NT-029419.12: g.10416049C>T) [27], and vascular endothelial growth factor (*VEGF*) gene (BstUI) [39]. Moreover, two studies each were identified that evaluated associations of the alkaline phosphatase, liver/bone/kidney (*ALPL*) gene (rs1256328) [34, 54] and matrix Gla protein gene (rs4236) [55, 56] polymorphisms with recurrent kidney stones. However, these studies were not included in the quantitative synthesis.

Blood samples were used for DNA extraction in all studies chosen for meta-analysis and control groups were primarily matched based on sex and age. However, genotype distributions in the control groups were inconsistent with HWE in several studies. Detailed characteristics of studies that were included in the quantitative synthesis are shown in <u>Table 2</u>. Evaluation using the QUIPS tool revealed that most selected studies showed low-risk of bias, with only two presenting moderate-risk of bias as indicated in <u>Table 3</u>.

#### Effect of urokinase gene polymorphism (ApaLI) on recurrent kidney stones

The association between urokinase gene polymorphism (ApaLI) and recurrent kidney stones was analyzed using five models as shown in Table 4. Significant protective effects of the ApaLI polymorphism on recurrent kidney stones were observed among healthy subject groups in the recessive model (CC vs. CT/TT: OR 0.45, P < 0.01) (Table 4; Fig 2A); no significant associations were identified in the allelic frequencies, homozygous, heterozygous, and dominant

Study (Urokinase	Country	Ethnicity	Age	Genotyping	Controls	C	ases (:	n)		Cont	rols (	n) <sup>a</sup>	Bias Risk	
ApaLI)			group	method		СС	СТ	ТТ	СС	СТ	ТТ	X <sup>2</sup> HWE	(QUIPS)	
Aykan et al., 2016 [ <u>18]</u>	Turkey	Caucasian	Adult	PCR-RFLP	Healthy subjects & 1st-time stone formers	64	5	9	155	0	12	167 <sup>ь</sup>	Low	
Jawad et al., 2020 [19]	Iraq	Asian	Adult	PCR-RFLP	Healthy subjects & 1st-time stone formers	20	16	84	45	40	42	17.4 <sup>b</sup>	Low	
Mittal et al., 2006 [20]	India	Asian	Adult	PCR-RFLP	Healthy subjects	16	82	32	30	72	48	0.10	Low	
Otzurk et al., 2008 [21]	Turkey	Caucasian	Children	PCR-RFLP	Healthy subjects & 1st-time stone formers	27	4	9	28	0	12	40 <sup>b</sup>	Moderate	
Tsai et al., 2002 [22]	Taiwan	Asian	Adult	PCR-RFLP	Healthy subjects	135	18	0	101	4	0	0.04	Low	
(VDR ApaI)	Country	Ethnicity	Age	Genotyping	Controls	C	ases (:	n)		Cont	rols (	n) <sup>a</sup>	Bias Risk	
			group	method		AA	Aa	aa	AA	Aa	aa	X <sup>2</sup> HWE	(QUIPS)	
Franco et al., 2007 [23]	Spain	Caucasian	Adult	PCR-RFLP	Healthy subjects	11	29	11	7	9	4	0.13	Low	
Rendina et al., 2004 [24]	Italy	Caucasian	Adult	PCR-RFLP	Healthy subjects	43	87	29	37	68	19	1.80	Moderate	
Wang et al., 2012 [7]	China	Asian	Adult	PCR-RFLP	Healthy subjects & 1st-time stone formers		36	67	46	195	209	0.003	Low	
(VDR BsmI)	Country	Ethnicity	Age	Genotyping	Controls	C	ases (	ses (n)		Controls (n		n) <sup>a</sup>	Bias Risk	
			group	method		BB	Bb	bb	BB	Bb	bb	X <sup>2</sup> HWE	(QUIPS)	
Franco et al., 2007 [23]	Spain	Caucasian	Adult	PCR-RFLP	Healthy subjects	5	25	21	5	9	6	0.19	Low	
Mossetti et al., 2003 [25]	Italy	Caucasian	Adult	PCR-RFLP	Healthy subjects		94	72	38	46	30	4.07 <sup>b</sup>	Low	
Mossetti et al., 2004 [26]	Italy	Caucasian	Adult	PCR-RFLP	Healthy subjects	40	46	24	40	56	31	1.64	Low	
Rendina et al., 2004 [24]	Italy	Caucasian	Adult	PCR-RFLP	Healthy subjects	47	69	43	39	56	29	1.02	Moderate	
(VDR FokI)	Country	Ethnicity	Age	Genotyping	Controls	Cases (n)			Controls (n) <sup>a</sup>				Bias Risk	
			group	method		FF	Ff	ff	FF	Ff	ff	X <sup>2</sup> HWE	(QUIPS)	
Basiri et al., 2012 [27]	Iran	Asian	Adult	PCR	Healthy subjects	54	42	6	36	27	43	25.3 <sup>b</sup>	Low	
Liu et al., 2007 [ <u>28</u> ]	Taiwan	Asian	Adult	PCR-RFLP	Healthy subjects & 1st-time stone formers	40	60	25	58	116	57	0.04	Low	
Mossetti et al., 2004 [ <u>26</u> ]	Italy	Caucasian	Adult	PCR-RFLP	Healthy subjects	43	47	20	53	55	19	0.57	Low	
Rendina et al., 2004 [24]	Italy	Caucasian	Adult	PCR-RFLP	Healthy subjects	69	68	22	53	55	16	0.09	Moderate	
(VDR TaqI)	Country	Ethnicity	Age	Genotyping	Controls	C	ases (n)			Cont	rols (	n) <sup>a</sup>	Bias Risk	
			group	method		TT	Tt	tt	ТТ	Tt	tt	X <sup>2</sup> HWE	(QUIPS)	
Aykan et al., 2016 [18]	Turkey	Caucasian	Adult	PCR-RFLP	Healthy subjects & 1st-time stone formers	28	24	26	66	86	15	3.08	Low	
Basiri et al., 2012 [27]	Iran	Asian	Adult	PCR	Healthy subjects	41	50	11	52	37	17	4.97 <sup>b</sup>	Low	
Franco et al., 2007 [23]	Spain	Caucasian	Adult	PCR-RFLP	Healthy subjects	21	26	4	6	9	5	0.19	Low	
Jawad et al., 2020 [ <u>19]</u>	Iraq	Asian	Adult	PCR-RFLP	Healthy subjects & 1st-time stone formers	48	33	19	55	60	12	0.57	Low	

#### Table 2. Characteristics of individual studies included in the meta-analysis.

(Continued)

#### Table 2. (Continued)

Mossetti et al., 2003 [25]	Italy	Caucasian	Adult	PCR-RFLP	Healthy subjects	80	104	36	35	66	13	4.68 <sup>b</sup>	Low
Mossetti et al., 2004 [26]	Italy	Caucasian	Adult	PCR-RFLP	Healthy subjects	21	53	36	21	68	38	1.04	Low
Rendina et al., 2016 [29]	Italy	Caucasian	Adult	PCR-RFLP	Healthy subjects	186	158	28	31	44	13	0.17	Low
Seyhan et al., 2007 [30]	Turkey	Caucasian	Children	PCR-RFLP	Healthy subjects & 1st-time stone formers	9	15	16	13	25	2	4.96 <sup>b</sup>	Low

<sup>a</sup> Genotypes shown are for controls from healthy subjects

 $^{\rm b}$  deviation from HWE (X  $^2\!>\!3.84$  ).

HWE: Hardy-Weinberg equilibrium; PCR-RFLP: polymerase chain reaction-restriction fragment length polymorphism; QUIPS: quality in prognosis studies; VDR: vitamin D receptor.

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models. Table 5 showed the association in the recessive model was still significant when restriction of larger studies was applied (CC vs. CT/TT: OR 0.40, P < 0.01), suggesting the lack of publication bias. A significant protective effect of this polymorphism was also apparent

#### Table 3. Risk of bias from individual studies included in the meta-analysis.

Study	Genetic	Risk of Bias (QUIPS)									
	polymorphisms	Study participation	Study attrition	Prognostic factor measurement	Outcome measurement	Study confounding	Statistical analysis	Risk (QUIPS)			
Aykan et al., 2016 [ <u>18]</u>	Urokinase (ApaLI) & <i>VDR</i> (TaqI)	Moderate	Low	Low	Low	Moderate	Low	Low			
Basiri et al., 2012 [ <u>27</u> ]	VDR (FokI, TaqI)	Low	Low	Low	Moderate	Moderate	Low	Low			
Franco et al., 2007 [23]	VDR (ApaI, BsmI, TaqI)	Low	Low	Low	Moderate	Moderate	Low	Low			
Jawad et al., 2020 [ <u>19</u> ]	Urokinase (ApaLI) & <i>VDR</i> (TaqI)	Moderate	Low	Low	Low	Moderate	Low	Low			
Liu et al., 2007 [28]	VDR (FokI)	Low	Low	Low	Moderate	Moderate	Low	Low			
Mittal et al., 2006 [20]	Urokinase (ApaLI)	Low	Low	Low	Low	Moderate	Low	Low			
Mossetti et al., 2003 [25]	VDR (BsmI, TaqI)	Low	Low	Low	Moderate	Low	Low	Low			
Mossetti et al., 2004 [ <u>26</u> ]	<i>VDR</i> (BsmI, FokI, TaqI)	Moderate	Low	Low	Moderate	Low	Low	Low			
Otzurk et al., 2008 [21]	Urokinase (ApaLI)	Moderate	Low	Low	Moderate	Moderate	Low	Moderate			
Rendina et al., 2004 [ <u>24</u> ]	VDR (ApaI, BsmI, FokI)	Moderate	Low	Low	Moderate	Moderate	Low	Moderate			
Rendina et al., 2016 [29]	VDR (TaqI)	Low	Low	Low	Low	Moderate	Low	Low			
Seyhan et al., 2007 [ <u>30</u> ]	VDR (TaqI)	Moderate	Low	Low	Low	Moderate	Low	Low			
Tsai et al., 2002 [22]	Urokinase (ApaLI)	Low	Low	Low	Moderate	Moderate	Low	Low			
Wang et al., 2012 [7]	VDR (ApaI)	Low	Low	Low	Moderate	Moderate	Low	Low			

QUIPS: quality in prognosis studies; VDR: vitamin D receptor.

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Gene Polymorphisms	N <sup>a</sup>	Allelic Frequencies Model		Homozygous Model		Heterozygou	s Model	Dominant	Model	Recessive Model	
		OR	Model <sup>b</sup>	OR	Model <sup>b</sup>	OR	Model <sup>b</sup>	OR	Model <sup>b</sup>	OR	Model <sup>b</sup>
Compared to healthy	subj	ects									
Urokinase (ApaLI)	5	0.55 (0.29– 1.04)	Random	0.57 (0.26– 1.24)	Random	2.16 (0.63– 7.38)	Random	0.70 (0.24– 2.05)	Random	0.45 (0.32- 0.63)	Fixed
VDR (ApaI)	3	0.69 (0.46– 1.03)	Random	0.44 (0.15– 1.27)	Random	0.86 (0.64– 1.17)	Fixed	0.60 (0.42– 0.85)	Fixed	0.51 (0.21– 1.26)	Random
VDR (BsmI)	4	0.87 (0.72– 1.05)	Fixed	0.78 (0.55– 1.11)	Fixed	1.00 (0.76– 1.30)	Fixed	0.84 (0.62– 1.14)	Fixed	0.85 (0.64– 1.14)	Fixed
VDR (FokI)	4	1.36 (0.88– 2.26)	Random	1.80 (0.66– 4.90)	Random	1.08 (0.85– 1.38)	Fixed	1.72 (0.65– 4.56)	Random	1.27 (0.98– 1.63)	Fixed
VDR (TaqI)	8	0.93 (0.70– 1.25)	Random	0.82 (0.41– 1.64)	Random	0.72 (0.59– 0.88)	Fixed	0.71 (0.36– 1.42)	Random	1.14 (0.93– 1.41)	Fixed
Compared to first tin	ne sto	one former									
Urokinase (ApaLI)	3	0.59 (0.23– 1.53)	Random	0.79 (0.25– 2.46)	Random	3.01 (0.24– 38.12)	Random	0.79 (0.50– 1.26)	Fixed	0.61 (0.14– 2.68)	Random
VDR (ApaI)	1					Not Perform	med <sup>c</sup>				
VDR (BsmI)	0					Not Perform	med <sup>c</sup>				
VDR (FokI)	0					Not Perform	med <sup>c</sup>				
VDR (TaqI)	2	0.69 (0.51– 0.93)	Fixed	0.40 (0.22- 0.74)	Fixed	0.62 (0.40- 0.95)	Fixed	0.36 (0.21– 0.64)	Fixed	0.88 (0.58– 1.33)	Fixed

Table 4. Pooled OR analysis between the urokinase (ApaLI) and VDR (ApaI, BsmI, FokI, and TaqI) gene polymorphisms and recurrent kidney stones.

<sup>a</sup> number of studies.

<sup>b</sup> if *P* value for the Q-test <0.10, the random–effects model was adopted; else the fixed–effects model was adopted.

<sup>c</sup> analysis was not performed owing to the limited number of available studies (<2).

\* significant results are marked in bold.

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upon sub-analysis among Asian (CC vs. CT/TT: OR 0.42, P < 0.01) but not Caucasian healthy subject groups (CC vs. CT/TT: OR 0.54, P = 0.05) (Table 6; Fig 2A). However, sub-analysis among Caucasians revealed significantly higher risk of recurrent kidney stone development in the heterozygous model (CT vs. CC/TT: OR 16.03, P < 0.01) (Table 6; Fig 2B). No asymmetry was noted in the resultant funnel plot (Fig 2A and 2B), suggesting the lack of publication bias.

Associations between the ApaLI gene polymorphism and recurrent kidney stones among first-time stone formers are presented in Table 4. Overall, no significant effect was detected in any of the five models. Restriction of larger studies also did not detect any significant effect among five models as shown in Table 5. However, sub-analysis among Caucasians revealed significant protective effects against recurrent kidney stones in allelic frequencies (C-allele vs. T-allele: OR 0.37, P < 0.01), homozygous (CC vs. TT: OR 0.43, P = 0.05), and recessive (CC vs. CT/TT: OR 0.29, P < 0.01) models as shown in Table 6. Conversely, a significantly higher risk of developing recurrent kidney stones was apparent in the heterozygous model (CT vs. CC/TT: OR 11.46, P = 0.02) among first-time Caucasian stone formers (Table 6; Fig 2C). The funnel plot did not indicate publication bias among eligible studies (Fig 2C).

#### Effects of VDR gene polymorphisms on recurrent kidney stones

**ApaI.** Table 4 shows the associations between the *VDR* ApaI polymorphism and recurrent kidney stones. A significant protective effect against recurrent kidney stones was noted among healthy subject groups in the dominant model (CC/CT vs. TT: OR 0.60, P < 0.01) (Table 4; Fig 3). The funnel plot showed no indication of publication bias among eligible studies (Fig 3).



Fig 2. Forest and funnel plots for the ApaLI polymorphism. A) ApaLI polymorphism (recessive model) with healthy subject groups as controls. B) Sub–analysis of the ApaLI polymorphism (heterozygous model) among Caucasians with healthy subject groups as controls. C) Sub–analysis of the ApaLI polymorphism (heterozygous model) among Caucasians with first–time stone formers as controls.

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The protective effect among healthy subject groups in the dominant model was also present when restriction of larger studies was applied as shown in Table 5 (CC/CT vs. TT: OR 0.58, P < 0.01). However, sub-analysis among Caucasians revealed no significant association in any of the five models (Table 6). Sub-analyses among Asian ethnicity and first-time stone former groups were not performed owing to the limited number of available studies (Table 6).

**BsmI.** In this meta-analysis, associations between the *VDR* BsmI gene polymorphism and recurrent kidney stones were not statistically significant in the allelic frequencies, homozygous, heterozygous, dominant, and recessive models (Tables 4 and 5). Sub-analyses among Asian ethnicity and first-time stone former groups were also not performed owing to the limited number of available studies (Table 6).

**FokI.** Associations between the *VDR* FokI polymorphism and recurrent kidney stone were not statistically significant in any models with healthy subject groups (Tables 4 and 5). However, significant association was detected in the recessive model (FF vs. Ff/ff: OR 1.70, P < 0.01) among Asians as shown in Table 6 and Fig 4. The funnel plot did not exhibit any significant asymmetry (Fig 4). Comparison with first-time stone formers was not performed owing to the limited number of available studies.

**TaqI.** The TaqI gene polymorphism exhibited significant protective association against recurrent kidney stones in the heterozygous model (Tt vs. TT/tt: OR 0.72, P < 0.01) as shown in Table 4. Table 5 showed the association in the heterozygous model was still significant when restriction of larger studies was applied (Tt vs. TT/tt: OR 0.73, P < 0.01), suggesting the lack of publication bias. This protective association was also observed upon sub-analysis of Caucasian

Gene Polymorphisms	N <sup>a</sup>	Allelic Frequencies Model		Homozygous Model		Heterozygous Model		Dominant 3	Model	Recessive Model			
		OR	Model <sup>b</sup>	OR	Model <sup>b</sup>	OR	Model <sup>b</sup>	OR	Model <sup>b</sup>	OR	Model <sup>b</sup>		
Compared to healthy subjects													
Urokinase (ApaLI)	4	0.47 (0.23- 0.96)	Random	0.45 (0.20- 1.02)	Random	1.79 (0.49– 6.56)	Random	0.57 (0.16– 2.05)	Random	0.40 (0.28- 0.58)	Fixed		
VDR (ApaI)	2	0.67 (0.38– 1.18)	Random	0.36 (0.06– 2.09)	Random	0.81 (0.59– 1.12)	Fixed	0.58 (0.40- 0.83)	Fixed	0.44 (0.08– 2.27)	Fixed		
VDR (BsmI)	3	0.90 (0.74– 1.09)	Fixed	0.82 (0.57– 1.18)	Fixed	0.98 (0.75– 1.30)	Fixed	0.86 (0.63– 1.18)	Fixed	0.89 (0.66– 1.20)	Fixed		
VDR (FokI)	4	1.36 (0.88– 2.26)	Random	1.80 (0.66– 4.90)	Random	1.08 (0.85– 1.38)	Fixed	1.72 (0.65– 4.56)	Random	1.27 (0.98– 1.63)	Fixed		
VDR (TaqI)	6	0.96 (0.73– 1.27)	Random	0.86 (0.44– 1.67)	Random	0.73 (0.60- 0.90)	Fixed	0.74 (0.38– 1.45)	Random	1.16 (0.94– 1.44)	Fixed		
Compared to first tir	ne sto	ne former											
Urokinase (ApaLI)	2	0.71 (0.20– 2.56)	Random	1.02 (0.21– 4.88)	Random	1.96 (0.08– 46.92)	Random	0.86 (0.52– 1.43)	Fixed	0.84 (0.10– 6.87)	Random		
VDR (ApaI)	1					Not Perform	ned <sup>c</sup>						
VDR (BsmI)	0					Not Perform	ned <sup>c</sup>						
VDR (FokI)	0					Not Perform	ned <sup>c</sup>						
VDR (TaqI)	3	0.54 (0.31– 0.94)	Random	0.27 (0.09– 0.75)	Random	0.62 (0.42– 0.91)	Fixed	0.29 (0.17– 0.48)	Fixed	0.76 (0.52– 1.11)	Fixed		

Table 5. Pooled OR analysis between the urokinase (ApaLI) and VDR (ApaI, BsmI, FokI, and TaqI) gene polymorphisms and recurrent kidney stones among larger studies (N > 200 samples).

<sup>a</sup> number of studies.

<sup>b</sup> if *P* value for the Q-test <0.10, the random-effects model was adopted; else the fixed-effects model was adopted.

<sup>c</sup> analysis was not performed owing to the limited number of available studies (<2).

\* significant results are marked in bold.

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(Tt vs. TT/tt: OR 0.65, P < 0.01) but not Asian populations (Tt vs. TT/tt: OR 0.98, P = 0.90) as shown in <u>Table 6</u> and <u>Fig 5A</u>. The funnel plot did not reveal any significant asymmetry (Fig 5A).

Comparison with first-time stone formers is shown in Table 4. Significant protective associations of the TaqI gene polymorphism were noted in the allelic frequencies (T-allele vs. t-allele: OR 0.54, P = 0.03), homozygous (TT vs. tt: OR 0.27, P = 0.01), heterozygous (Tt vs. TT/tt: OR 0.62, P = 0.01), and dominant (TT/Tt vs. tt: OR 0.29, P < 0.01) models. All of the protective associations remained clinically significant when restriction of larger studies was applied as shown in Table 5. The strongest protective association was observed in the homozygous model; a similar finding was also observed upon sub-analysis of Caucasian populations (TT vs. tt: OR 0.19, P < 0.01) as shown in Table 6 and Fig 5B.

### Effects of other gene polymorphisms on recurrent kidney stones

Variation in the number of *AR* gene CAG repeat and *ER* gene TA repeat polymorphisms were associated with increased susceptibility to recurrent kidney stones among males as shown in individual studies [31]. Several polymorphisms of the *CALCR* and *CASR* genes were also associated with recurrent kidney stones [32, 33, 35, 36]. Conversely, other studies found no associations between other *CASR* gene polymorphisms and kidney stone recurrence [34, 37] *SLC13A2* (HNaDC-1) gene polymorphism (I550V) may be associated with hypocitraturia in recurrent renal stone formers [51]. Prevalence of the *SLC13A2* gene polymorphic variant (rs11567842) was also higher in hypocitraturic recurrent calcium-oxalate stone formers [29].

Gene Polymorphisms	Ethnicity	N <sup>a</sup>	Allelic Freq Mode	uencies el	Homozygou	ıs Model	Heterozygous	Heterozygous Model		Model	Recessive	Model					
			OR	Model <sup>b</sup>	OR	Model <sup>b</sup>	OR	Model <sup>b</sup>	OR	Model <sup>b</sup>	OR	Model <sup>b</sup>					
Compared to health	y subjects																
Urokinase (ApaLI)	Asian	3	0.47 (0.18– 1.24)	Random	0.42 (0.12– 1.46)	Random	1.22 (0.33– 4.57)	Random	0.55 (0.08– 3.62)	Random	0.42 (0.28- 0.63)	Fixed					
	Caucasian	2	0.70 (0.28– 1.74)	Random	0.82 (0.42- 1.61)	Fixed	16.03 (2.03– 126.46)	Fixed	0.91 (0.46– 1.78)	Fixed	0.54 (0.29– 1.0)	Fixed					
VDR (ApaI)	Asian	1					Not Perforn	ned <sup>c</sup>									
	Caucasian	2	0.86 (0.64– 1.17)	Fixed	0.72 (0.38– 1.38)	Fixed	1.08 (0.70– 1.66)	Fixed	0.83 (0.47– 1.46)	Fixed	0.80 (0.50– 1.28)	Fixed					
VDR (BsmI)	Asian	0		Not Performed <sup>c</sup>													
	Caucasian	4	0.87 (0.72– 1.05)	Fixed	0.78 (0.55– 1.11)	Fixed	1.00 (0.76– 1.30)	Fixed	0.84 (0.62– 1.14)	Fixed	0.85 (0.64– 1.14)	Fixed					
VDR (FokI)	Asian	2	1.98 (0.80– 4.88)	Random	3.97 (0.6– 26.36)	Random	1.33 (0.61– 2.94)	Random	3.66 (0.45– 29.82)	Random	1.70 (1.18– 2.44)	Fixed					
	Caucasian	2	0.94 (0.73– 1.21)	Fixed	0.86 (0.51– 1.45)	Fixed	0.96 (0.67– 1.35)	Fixed	0.85 (0.52– 1.39)	Fixed	0.97 (0.68– 1.37)	Fixed					
VDR (TaqI)	Asian	2	0.91 (0.69– 1.21)	Fixed	0.80 (0.45– 1.44)	Fixed	0.98 (0.67– 1.43)	Fixed	0.83 (0.24– 2.89)	Random	0.93 (0.64– 1.36)	Fixed					
	Caucasian	6	0.94 (0.63– 1.40)	Random	0.81 (0.31– 2.09)	Random	0.65 (0.51– 0.82)	Fixed	0.67 (0.28– 1.63)	Random	1.25 (0.97– 1.60)	Fixed					
Compared to first ti	me stone fo	rmer	·														
Urokinase (ApaLI)	Asian	1	Not Performed <sup>c</sup>														
	Caucasian	2	0.37 (0.21- 0.64)	Fixed	0.43 (0.19- 0.99)	Fixed	11.46 (1.44– 91.08)	Fixed	0.48 (0.21– 1.10)	Fixed	0.29 (0.13- 0.63)	Fixed					
VDR (ApaI)	Asian	1					Not Perforn	ned <sup>c</sup>									
	Caucasian	0					Not Perforn	ned <sup>c</sup>									
VDR (BsmI)	Asian	0					Not Perforn	ned <sup>c</sup>									
	Caucasian	0					Not Perforn	ned <sup>c</sup>									
VDR (FokI)	Asian	0					Not Perforn	ned <sup>c</sup>									
	Caucasian	0		Not Performed <sup>c</sup>													
VDR (TaqI)	Asian	1					Not Perforn	ned <sup>c</sup>									
	Caucasian	2	0.44 (0.30- 0.63)	Fixed	0.19 (0.09– 0.41)	Fixed	0.59 (0.35– 1.00)	Fixed	0.19 (0.09– 0.39)	Fixed	0.56 (0.33– 0.94)	Fixed					

Table 6. Sub-analysis between the urokinase (ApaLI) and VDR (ApaI, BsmI, FokI, and TaqI) gene polymorphisms and recurrent kidney stones among Asian and Caucasian ethnicity.

<sup>a</sup> number of studies.

 $^{\rm b}$  if *P* value for the Q–test <0.10, the random–effects model was adopted; else the fixed–effects model was adopted.

 $^{\rm c}$  analysis was not performed owing to the limited number of available studies (<2).

\* significant results are marked in bold.

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Fig 3. Forest plot and funnel plot of the VDR ApaI polymorphism (dominant model) with healthy subject groups as controls.

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Fig 4. Forest and funnel plots of the VDR FokI polymorphism (recessive model) with healthy subject groups as controls.

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Other polymorphisms, such as in the *CDH1*, *VEGF*, *IL18*, *IL1RA*, *MnSOD*, *ORAI1*, and *TAP* genes, have also been reported to be associated with kidney stone recurrence [38–42, 44, 52]. Alternatively, other studies found that *ALPL*, *CYP17*, *IGF2*, *EGFR*, *IL1B*, *IL6*, *ITPKC*, *MTNR1A*, *OPN*, *ODC*, osteocalcin, *RGS14*, *SAT1*, *TNFA*, *TRPV5*, and matrix Gla protein gene polymorphisms were not associated with kidney stone recurrence [9, 34, 38–43, 46, 47, 49, 50]. Meta-analysis was not possible for these gene polymorphisms owing to an insufficient number of studies for each variant.

### Discussion

The primary outcome of interest in our study was to identify the effects of any gene polymorphisms on recurrent kidney stones. Toward this end, meta-analysis of all eligible studies was performed. Our findings suggested that protective effects against recurrent kidney stones were afforded by urokinase-ApaLI (recessive model), *VDR*-ApaI (dominant model), and *VDR*-TaqI (heterozygous model) gene polymorphisms. Sub-analyses among Caucasian and Asian populations were also performed. The urokinase-ApaLI (heterozygous model) gene polymorphism was associated with increased risk of recurrent kidney stones among the Caucasian population. In comparison, the *VDR*-FokI (recessive model) gene polymorphism was associated with increased risk of recurrent kidney stones among the Asian population. However, the *VDR*-BsmI gene polymorphism was not significantly associated with recurrent kidney stones.



Fig 5. Forest and funnel plots of the VDR TaqI polymorphism. A) TaqI polymorphism (heterozygous model) with healthy subject groups as controls. B) Subanalysis of the TaqI polymorphism (homozygous model) among Caucasians with first-time stone formers as controls.

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Our results regarding the urokinase (ApaLI) gene polymorphism revealed protective effects in the recessive model (CC vs. CT/TT) among healthy subject groups. Specifically, individuals that possessed the "T" allele (CT/TT) exhibited 2.22-fold higher incidence of recurrent kidney stones than that of individuals with the CC genotype. Our result was consistent with several other studies [18, 19, 21, 22]. However, the association was not statistically significant when compared to first-time stone formers. We also identified an increased risk of recurrent kidney stones among Caucasian stone formers carrying the heterozygous (CT) genotype, which was also consistent with several studies [18, 21]. In contrast, no significant association was observed in individuals of Asian ethnicity. Differences in environmental exposure and ethnic background may have contributed to this variance.

Functionally, the ApaLI polymorphism is crucial for the regulation of urokinase gene expression [19]. The urokinase enzyme exhibits proteolytic activity and prevents organization of the organic matrix in the urinary tract, thereby inhibiting the precipitation of minerals [18]. Thus, lower urokinase activity in the urinary tract will raise uromucoid levels, which may be involved in the formation of kidney stones by triggering matrix mineralization [20].

ApaLI polymorphism is a single nucleotide polymorphism located at the 3 -untranslated region (UTR) of urokinase gene [18]. The genetic variants in 3 -UTR may affect the ribonucleic acid (RNA) expression which influence risk of recurrent kidney stone formation. N4-acetylcy-tidine (ac4C) is a modified nucleoside that also affects RNA expression [57]. Recent researches suggest that ac4C is playing key role in several diseases, including recurrent kidney stone. ac4C helps to correctly read codons during translation and improves translation efficiency and the stability of mRNA [57].

In turn, *VDR* gene polymorphisms (ApaI, BsmI, TaqI, and FokI) may also influence VDR protein activity and expression, which play significant roles in kidney stone formation [7]. Vitamin D is an important component in mineral metabolism, including stimulation of intestinal absorption, bone resorption, and renal reabsorption of phosphate and calcium [7]. *VDR* gene polymorphisms may affect vitamin D signaling pathways, thus affecting kidney stone formation. Aside from *VDR* gene polymorphisms, prenatal vitamin D deficiency may also influences the risk of kidney stone formation. Study by Wang et al. [58] found that maternal vitamin D status were positively associated with neonatal vitamin D status. However our study did not assess the contribution of prenatal vitamin D deficiency toward the risk of recurrent kidney stone formation.

Our study revealed the protective association of the *VDR* ApaI gene polymorphism against recurrent kidney stones in the dominant model (AA/Aa vs. aa). This result was consistent with the study by Wang et al. [7] which revealed that the prevalence of the "a" allele was significantly higher in recurrent kidney stone formers. In comparison, our study found higher risk of recurrent kidney stones among Asian populations carrying the FF genotype (vs. Ff/ff genotypes) of the Fok1 polymorphism, which was consistent with a study by Basiri et al. [27]. The FokI gene polymorphism affects the transcriptional activity and order of the VDR protein, which lead to the initiation of pathological conditions [59]. Therefore, it is logical to presume a potential contribution of the FokI gene polymorphism in kidney stone recurrence as well.

The BsmI and TaqI gene polymorphisms are located near the end of the *VDR* gene or in the 3' untranscribed region, respectively [25]. These polymorphisms has been hypothesized as being responsible for differences in VDR translational efficiency or messenger RNA stability, resulting in changes in VDR protein expression [25]. However, these polymorphisms do not modify the structure of the VDR protein [59]. Our meta-analysis did not identify any significant association of the BsmI gene polymorphism with recurrent kidney stones in any of the five models. In contrast, we found protective association of the TaqI polymorphism in the

heterozygous model. This result was consistent with several studies that observed lower incidence of the Tt genotype among recurrent kidney stone formers in both adults and children [18, 30]. The incidence of the homozygous genotype TT (vs. tt) among Caucasians in the present meta-analysis was lower in the case groups than that in non-recurrent kidney stone formers. This result was also consistent with several studies in both adults and children [18, 30]. Functionally, the BsmI and TaqI gene polymorphisms have been suggested to contribute to the changes of urinary biochemical parameters in recurrent kidney stone formers [24, 25]. Rendina et al. [24] found that the BB genotype of the BsmI polymorphism was more frequent among fasting idiopathic hypercalciuric recurrent stone formers. Mossetti et al. [25] also observed a higher incidence of the BB genotype of the BsmI gene polymorphism and the tt genotype of the TaqI gene polymorphism among hypocitraturic recurrent stone formers. However, our meta-analysis was not able to perform further sub-analysis based on hypercalciuria or hypocitraturia status owing to the limited available data.

To our knowledge, this meta-analysis represents the only study to assess the association between genetic polymorphisms and recurrent kidney stones. We performed quality control by performing meta-analysis with restriction of larger studies (N > 200 cases) as performed by Xu et al. [16]. All of the significant associations in this meta-analysis remained significant after restriction of larger studies was applied, suggesting lack of publication bias. We also performed sub-group analysis on possible sources of confounding factors as performed by Jiang et al. in their meta-analysis [15]. However, this meta-analysis had several imitations. The number of studies that could be added in the meta-analysis was limited. Sub-group analysis of ethnicity was not performed in several genetic polymorphisms (Table 6) due to limited number of studies. Some other sub-group analyses were also not possible owing to the limited number of available studies. Trans-trait meta-analysis which was performed for genetic meta-analysis such as by Wu et al. cannot be performed in this study [60]. Biochemical parameters affecting kidney stone formation could not be assessed owing to insufficient available data. Other risk factors associated with kidney stone formation including sex, lifestyle, and dietary habits were not controlled. The studies included in the meta-analysis were limited to those in English only. This may have excluded eligible studies in other languages. Further studies to construct a standard prediction model with receiver operating characteristic (ROC) curve analysis [61] for both urokinase and VDR gene polymorphisms are needed. A multi-modal deep convolutional neural network [62] is also a promising method to predict risk of recurrent kidney stone formation in the future.

# Conclusion

This meta-analysis revealed existing gaps in our understanding of how genetic polymorphisms affect recurrent kidney stones. Our meta-analysis showed that urokinase-ApaLI (recessive model), *VDR*-ApaI (dominant model), and *VDR*-TaqI (heterozygous model) polymorphisms were significantly associated with decreased risk of recurrent kidney stones. Conversely, the urokinase-ApaLI (heterozygous model) gene polymorphism was significantly associated with increased risk of recurrent kidney stones among Caucasian populations whereas the *VDR*-FokI (recessive model) gene polymorphism was associated with increased risk of recurrent kidney stones among Asian populations.

### Supporting information

**S1 Checklist. PRISMA 2009 checklist.** (PDF)

**S2** Checklist. Meta-analysis on genetic association studies checklist. (DOCX)

## **Author Contributions**

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