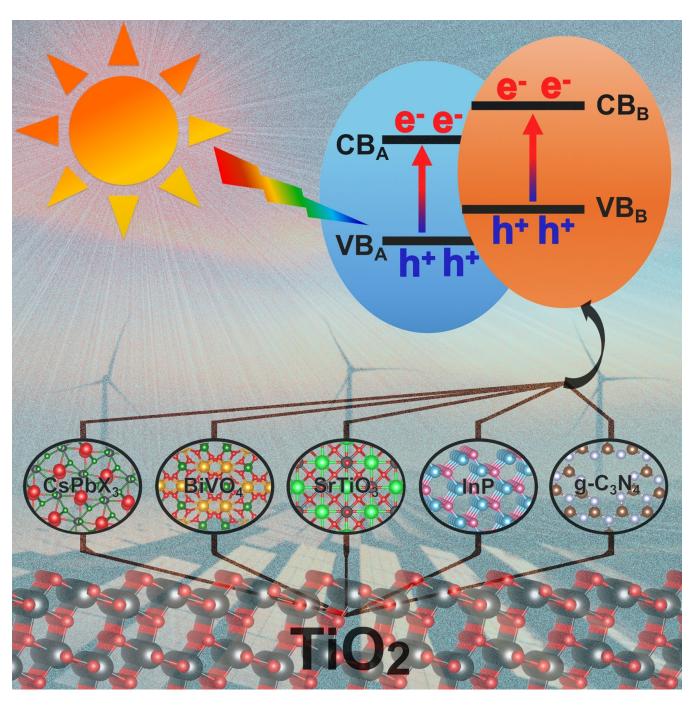


Rational Design of Semiconductor Heterojunctions for Photocatalysis

Giovanni Di Liberto,^[a] Luis A. Cipriano,^[a] Sergio Tosoni,^[a] and Gianfranco Pacchioni*^[a]





Abstract: Electronic structure calculations provide a useful complement to experimental characterization tools in the atomic-scale design of semiconductor heterojunctions for photocatalysis. The band alignment of the heterojunction is of fundamental importance to achieve an efficient charge carrier separation, so as to reduce electron/hole recombination and improve photoactivity. The accurate prediction of the offsets of valence and conduction bands in the constituent units is thus of key importance but poses several methodological and practical problems. In this Minireview we address some of these problems by considering selected

examples of binary and ternary semiconductor heterojunctions and how these are determined at the level of density functional theory (DFT). The atomically precise description of the interface, the consequent charge polarization, the role of quantum confinement, the possibility to use facet engineering to determine a specific band alignment, are among the effects discussed, with particular attention to pros and cons of each one of these aspects. This analysis shows the increasingly important role of accurate electronic structure calculations to drive the design and the preparation of new interfaces with desired properties.

1. Introduction

A variety of strategies have been used to increase the efficiency of photocatalytic materials, via doping, nano-structuring, formation of a semiconductor heterojunction, use of co-catalysts, or a combination of these approaches. [1-10] The applications of heterojunctions in photocatalysis cover various aspects of modern life.[11-19] The key requirements for the design of an efficient photocatalyst are (1) a good visible light absorption, (2) a favorable position of the edges of the valence and conduction bands (VB and CB) with respect to target redox species, (3) an efficient charge carriers separation upon excitation, (4) a high mobility and low recombination rate of the charge carriers, and last but not least (5) a good chemical stability. [20-27] Composite materials in which two or more units are in intimate contact thanks to the formation of a junction region can in principle successfully address all these aspects. [2,3]

One can identify three types of heterojunctions depending on the alignment of the VB and CB edges of the interface: straddling gap (Type-I), staggered gap (Type-II) or broken gap (Type-III), Figure 1.

When the band edges are positioned according to a Type-II alignment, then the photogenerated electrons and holes migrate towards different components of the heterojunction, hindering recombination and enhancing the efficiency of the redox processes. Sometimes the advantage of creating a heterojunction consists in the possibility to protect a chemically unstable low-band gap semiconductor by coating it with a corrosion resistant thin oxide film; [28-31] this can lead to a visiblelight active and chemically stable photocatalyst.

The possibility to engineer and design interfaces between different semiconductors opens in principle a variety of systems to investigate. In doing this, one has to take into account a number of parameters, such as the choice of the surface terminations that are interfaced, the nature of the chemical bonds, the occurrence of a charge transfer at the interface, the possibility to growth nanofilms exploiting quantum confinement effects, etc. An important role in this context is played by electronic structure calculations based on Density Functional Theory (DFT). Using advanced DFT methods we studied a series of semiconductor heterojunctions and identified some important trends. In this Minireview the systems that will be discussed have been selected based on their relevance for practical applications, on the existence of experimental results showing the possibility to construct the junctions, and in order to identify specific effects that determine the final behavior of the junction. This gives us the possibility to discuss the underlying physical principles behind semiconductor heterojunctions for photocatalysis, and to show how is it possible to conceive new atomic-scale interfaces and predict their performances.

2. Methodological Aspects

To address semiconductor heterojunctions with DFT calculations one has to deal with various methodological issues. Some are of very general nature, such as i) the problem of the determination of the band gap within the DFT formalism, [32] ii) the role of quantum confinement when nanostructured materi-



Figure 1. Three types of semiconductor heterojunctions: (a) straddling gap, Type-I (b) staggered gap, Type-II (c) broken gap, Type-III.

CB_B

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als are considered.^[33] Other problems are more specific to heterojunctions modelling, such as iii) the necessity of properly align the energy levels of two or more solids connected via formation of an interface. These three aspects will be discussed in this section.

Other methodological problems are intrinsically related to the creation of the interface, and involve the role of surface termination, the problem of strain that can result by the use of the same supercell to describe two materials with different lattice constants, etc.^[34] Some of these aspects will be discussed below in connection to specific examples.

Most of the systems discussed below have been studied using the CRYSTAL code^[35,36] which makes use of localized basis functions; for a few cases the VASP periodic code based on plane waves has been used.^[37-39] The use of two computer codes is motivated by the different efficiency in calculating the band structure with hybrid functionals; on conventional CPUs the atom-centered CRYSTAL code does not undergo relevant loss of efficiency when doing hybrid functional calculations. However, the increased computing power in the new generation of architectures makes feasible hybrid functional calculations also with plane-wave codes, as VASP. On the contrary no major qualitative differences are found among the various hybrid functionals tested. Further details can be found in the original publications.

2.1. The band gap problem

The problem of describing the Kohn-Sham (KS) band gap in semiconducting materials has been widely discussed in the past.[32] There is general consensus that hybrid functionals, where a portion of exact exchange is added to the DFT exchange functional, offer a better description of this important property.[40-43] Therefore, all the results discussed in this Minireview are based on hybrid functional DFT calculations. However, several kinds of hybrid functionals have been proposed over the years. They usually differ by the choice of the GGA functional^[44] approximating the semi-local part, and by the amount of exact exchange added to the DFT functional. Usually this is fixed and is not material dependent. However, an alternative is represented by the dielectric-dependent (DD) functionals where the amount of exact exchange is obtained self-consistently from the dielectric constant of the material.[45-50] In a recent study we compared the performances of various popular hybrid functionals, including standard (PBEO, B3LYP, HSE06, etc.) and dielectric-dependent (DD) functionals. [42] The conclusion is that there is no general improvement in the use of DD functionals compared to PBE0, HSE06 and B3LYP, although some systems are better described at the DD level. Thus, standard hybrid functionals (PBE0 or HSE06) are recommended for non-magnetic bulk 3D metal oxides, while layered materials benefits from the use of DD, range-separated functionals.

A specific problem related to the study of heterojunctions where two or more materials are interfaced, is that a single functional must be used for the whole system, thus limiting the



use of material dependent functionals such as the DD ones. An important requirement is thus that the functional used provides a balanced description of both components.

2.2. Band offsets

To describe the band alignment in a semiconductor heterojunction one can follow three different strategies. The first one consists in using an alternating sequence of slabs A/B/A/B/A/ B... repeated along the non-periodic direction of the supercell ("alternating slabs junction" approach); [51-53] in this model an interface between A and B is defined and optimized, but the slabs are not terminated by a surface exposed to vacuum. This has the advantage that there is no need to model the surface of a material (provided that no important surface effects are present). A similar approach makes use of a sequence of surface terminated slabs separated by a vacuum region (...), A/B/.../A/ B/.../A/B/... . In this "surface terminated junction" approach, two surfaces are present, one for A and one for B, and, as in the previous model, the A/B interface is explicitly taken into account.[54] The third approach is also the simplest one and is based on the calculation of the properties of the separated, non-interacting, A and B components of the junction ("independent units"). These can be calculated using bulk unit cells, $^{\scriptscriptstyle{[55,56]}}$ or finite slab models reasonably converged with respect to the thickness.[55-57]

Once the model has been defined, the next step consists in calculating the band edges and offsets (VBO and CBO) of the two materials, choosing a proper reference to align them. This can be done following the "electrostatic potential line-up" method where the plane-averaged electrostatic potential (V) of the heterostructure and the separated components are calculated.^[51] Then, the valence band maximum, VBM, and the conduction band minimum, CBM, of the composing units are aligned using as a common reference the macroscopic average of V or V stationary points. [51,58-62] An alternative approach, which has a similar accuracy, is to use the core levels (e.g. the energy of the 1 s orbitals). [21,63,64]

2.3. Quantum confinement

When ultrathin films of a semiconductor are grown on top of another material electronic effects due to quantum confinement can emerge. The impact of quantum confinement on the band gap of 2D nanostructures of group III-V semiconductors has been studied by considering nine materials, AIP, AIAs, AISb, GaP, GaAs, GaSb, InP, InAs, InSb (CRYSTAL code, HSE06 functional). $^{[65]}$ Films from ~ 0.5 to ~ 9 nm were considered, and the properties gradually converged to the bulk ones, Figure 2.

The variation of the properties with the film thickness provides a measure of the role of quantum confinement.[33] In general, the CB is affected more by the reduced dimensionality while the VB is almost insensitive. InSb and InAs are more sensitive to quantum size effects^[66,67] and show the largest change of band gap by reducing the film thickness; on the

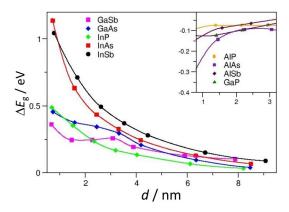


Figure 2. Computed band gap (E_a^{slab}) with respect to the bulk (E_a^{bulk}) for 2D slabs of III-V semiconductors as a function of thickness. Inset: magnified region shows the trend for systems where the gap quickly converges to the bulk value.

contrary, AIP, AIAs, AISb and GaP are almost insensitive to nanostructuring. The different behavior of InSb and InAs is due to the different electron's and hole's effective masses, where the first term is dominant. The electron and hole effective masses of a semiconductor can be computed from the curvatures of the bands corresponding to the CBM and VBM, [68] and provide an indication of the mobility of the charge carriers. The hole's effective mass is always higher than the electron's one, indicating higher mobility of the latter.

3. Type-II Heterojunctions: Joining Different Semiconductors

In this Section we present five cases of Type-II heterojunctions as described by DFT calculations. In the first four cases anatase TiO₂ is interfaced with various semiconductors: halide perovskites, [69] ternary compounds such as BiVO₄ and SrTiO₃, [70,71] or III-V semiconductors such as InP,[72] in the last example BiVO₄ is interfaced with WO₃.^[73]

3.1. TiO₂/CsPbX₃

In this first example we consider the role of surface termination. Over the past few years there has been a growing interest towards organic-inorganic halide perovskites, ABX₃, where A is an organic cation or an alkali metal cation, B an inorganic cation, and X is the halogen.[74-78] These materials find application in solar cells, light emitting diodes, photocatalysis, etc.^[79-85] CsPbX₃ perovskites have been used in combination with oxide films, such as TiO₂, that protect them from chemical attack^[82,86-90] and provide a Type-II alignment of the band edges. $^{\hbox{\tiny [20,69,77,91]}}$ The most relevant polymorphs of CsPbX_3 materials are the cubic (α) and orthorhombic (γ) phases.^[76,78,92] Using the CRYSTAL code and the HSE06 functional the properties of α -CsPbX₃ (X=Cl, Br, I) 2D nanostructures have been studied. [93]

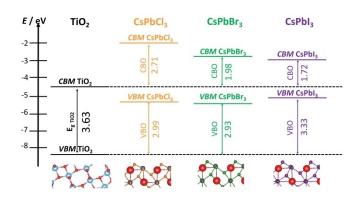


Figure 3. Band edges offset of CsPbX₃(110) and TiO₂(101) moieties in CsPbX₃/TiO₂ heterojunctions (DFT/HSE06 results).

The (001) surface of α -CsPbX₃ (X=Cl, Br, I) 2D nanostructures has two main terminations, PbX₂- and CsX-, while the (110) one is characterized by three terminations, X, Cs, or PbX. This offers a great variability in designing the junction with TiO₂. The PbX₂-terminated slabs have band edges lower in energy than the CsX-terminated ones, but their stability is similar. In the case of the (110) surface, X-termination displays the lowest surface energy. Since the corresponding band edges are in closer agreement with the experiment, it is likely that this is the termination of (110) surfaces in real samples. Taking the most stable terminations, the band edges of the (110) slabs are always below those of the (001) ones, so that it is possible in principle to take advantage of this feature to design systems that induce charge carriers separation. Using the independent units model, without explicitly simulating the interface, a qualitative estimate of the band alignment in CsPbX₃/TiO₂ has been obtained, showing a Type-II alignment for all three perovskites, Figure 3.

This example shows the importance of the selection of the proper facet to construct the heterojunction, a result that has been obtained without the explicit consideration of the interface.

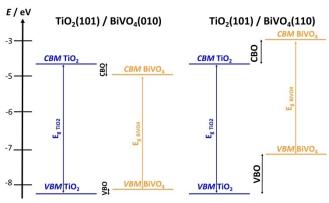


Figure 5. (a) Type-I band alignment of TiO₂(101)/BiVO₄(010); (b) Type-II band alignment of TiO₂(101)/BiVO₄(110) (HSE06 results).

3.2. TiO₂/BiVO₄

TiO₂/BiVO₄ is a highly active system in photocatalytic water splitting.^[94,95] We use this example to discuss the role of slab thickness and surface termination (CRYSTAL calculations, HSE06 and PBEO_{DD} functionals). [96] Slabs of about 2 nm thickness for both TiO₂(101) and BiVO₄(010) components, Figure 4, showed converged structural and electronic properties, with a marginal effect of the choice of the functional. However, using thinner slabs results in considerably shifted VBM and CBM values, Figure 4, showing the importance to check that the results are converged with respect to slab thickness.

The band edges of the independent units (no explicit interface) were used to analyze the type of alignment in TiO₂/ BiVO₄ comparing two BiVO₄ surfaces, (010) and (110). If TiO₂(101) is interfaced with the BiVO₄(010) surface the alignment is of Type-I, and the offset of the VB is negligible, Figure 5, thus reducing the driving force for the separation of the charge carriers. On the contrary, TiO₂(101)/BiVO₄(110) is a Type-II heterojunction, where the band edges of BiVO₄ are higher in energy that those of TiO₂. Thus, the TiO₂(101)/BiVO₄(110) interface outperforms the TiO₂(101)/BiVO₄(010) one showing

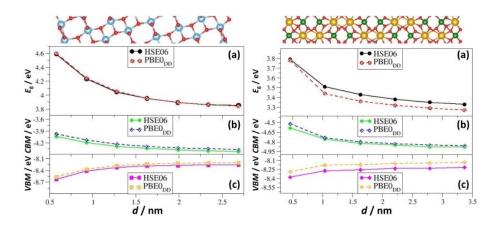


Figure 4. Left: TiO₂(101); right: BiVO₄(010). (a) band gap (E₀), (b) Conduction Band Minimum (CBM), (c) Valence Band Maximum (VBM).



once more the importance of the surface termination. This prediction, consistent with the experimental observations, [70] is obtained with the simple approach of the "independent units" but is nevertheless useful to assess the nature of the junction and represents a case of facet engineering.

3.3. TiO₂/SrTiO₃

The interface of anatase TiO₂(001) with SrTiO₃(001) is the next example. Differently from the previous two cases, here an explicit interface was constructed (CRYSTAL calculations, PBEO_{DD} functional). [97] TiO₂/SrTiO₃ represents an archetype of nearlyepitaxial matching between the two materials, due to the favorable lattice mismatch between the (001) surfaces of the two semiconductors which minimizes the strain. [71,98] However, two surface terminations are possible for SrTiO₃, SrO and TiO₂ termination, giving rise to two different interface models.

The band alignment has been obtained using (a) the independent units and (b) the surface terminated junction models, Figure 6. In both cases a Type-II heterojunction is predicted, and the band offsets differ by about 0.1 eV only. Furthermore, the calculated offsets are fully consistent with the experimental ones.[71] This example shows that the use of independent units, without explicit description of the interface, can be used in selected cases to predict the band alignment. However, as shown below, this does not hold true in presence of relevant polarization or charge transfer at the interface.

Finally, the impact of oxygen vacancies (O_v) was also considered, as these are commonly present. $^{[99,100]}$ O_{ν} on TiO_2 or in the contact region slightly decrease the offsets by 0.0-0.1 eV, while O_v on the SrTiO₃ side (less stable) lead to an increase of the offsets. In general, the presence of oxygen vacancies has only a moderate impact on the band alignment of the TiO₂/ SrTiO₃ junction.

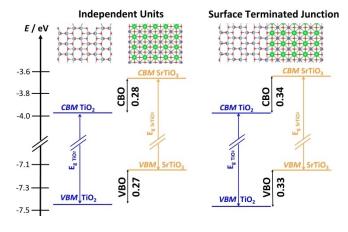


Figure 6. Band alignment for the TiO₂(001)/SrTiO₃(001) heterojunction, where SrTiO₂ is terminated with a TiO₂ layer. (a) independent units: (b) surface terminated junction models (explicit interface). Blue: TiO₂; orange: SrTiO₃.

3.4. InP/TiO₂

InP/TiO₂ heterojunctions show high efficiency in the conversion of solar light, thanks to a strong visible light absorption by InP and an efficient migration of photogenerated electrons towards TiO₂. [29,72] The passivating TiO₂ film also protects InP from water attack.[31] We investigated the nature of InP/TiO2 by means of the VASP code and the HSE06 functional in order to unveil the role of the thickness of the TiO₂ coating in the charge carriers migration.[101] The InP/TiO₂ interface can be created by combining InP(110) slabs with ultrathin TiO_2 films of ~0.6-0.8 nm thickness exposing either (101) or (001) surfaces. Here the use of the surface terminated junction approach to determine the band alignment is required due to the ultrathin nature of the titania layer. The formation of a InP/TiO₂ junction is characterized by the formation of covalent In-O and Ti-P interface bonds, with the InP/TiO₂(101) interface being more stable. Irrespective of the TiO₂ surface in contact with InP, the system behaves as a Type-II junction, where the TiO₂ band edges are lower in energy than InP ones, Figure 7. A favorable Type-II junction is retained for every thickness of the titania passivating film, but the highest efficiency is predicted for film thicknesses of 2-3 nm, as this provides a good compromise between favorable band alignment and efficient transfer of the photogenerated electrons to the surface. $^{\left[72\right] }$ In this example, the role of the TiO₂ surface used to create the junction is not crucial, as similar bands offsets are found for the two surfaces considered, (101) or (001), Figure 7. $^{[101]}$ On the contrary, the TiO_2 film thickness plays an important role in determining the band offsets and consequently the efficiency of the device.

3.5. WiO₃/BiVO₄

The third and last example of heterojunction made of two components is WO₃/BiVO₄. Photoanodes based on the WO₃/ BiVO₄ heterojunction showed excellent solar-to-hydrogen conversion efficiency in water splitting. [73,102-104] This is thanks to the visible light absorption of BiVO₄ combined with the high

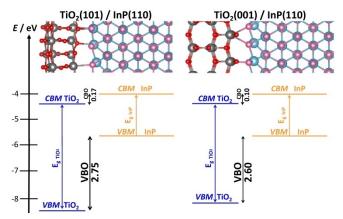


Figure 7. Band alignment of (a) InP/TiO₂(101) and (b) InP/TiO₂(001) heterojunction models. Grey: Ti; red: O; pink: In; light blue: P.



electron conductivity of WO₃. The nature of WO₃/BiVO₄ has been investigated with the aim to study the nature of charge transfer at the interface (CRYSTAL code, $PBEO_{DD}$ and HSE06functionals).[105] Recent experimental results confirm that the (010) surface of BiVO₄ is involved in the junction with WO₃. [106] A WO₃ slab of 1.6 nm thickness exhibits converged properties while for BiVO₄ 2 nm films are required to recover the bulk properties. The band alignment predicted by considering the separate units is qualitatively the same obtained simulating the explicit interface (surface terminated junction approach).

The role of charge polarization in this interface has been considered. This is an important aspect that should always been discussed, besides the position of the band edges. In fact, the band alignment determines the preferred direction of the photogenerated electrons and holes based on pure thermodynamic arguments; however, the creation of a large interface dipole due to the occurrence of a charge transfer may favor or disfavor charge carriers migration.^[5,107] In the case of WO₃/BiVO₄ the charge polarization at the interface is beneficial for charge separation since there is a charge accumulation on the BiVO₄ side on the interface and a consequent charge depletion at the opposite side. This effect, together with the band alignment, explains the superior photoactivity of this heterojunction. A model limited to the separate units would not account for this phenomenon.

4. Type-II Heterojunctions: Joining Different **Facets of the Same Semiconductor**

A Type-II junction can be created also by joining differ surface terminations of the same semiconductor,[57,108-110] in this case one generates a "surface junction".[3] Here we present two examples, one is the BiOIO₃(010)/BiOIO₃(100) interface, the second one involves the combination of two titania anatase facets, TiO₂(001)/TiO₂(101).

4.1. BiOIO₃(010)/BiOIO₃(100)

BiOlO₃ nanocrystals exposing (010) and (100) surfaces are excellent photocatalysts.[110] However, if one considers the position of the VB and CB of the (010) and (100) surfaces of BiOlO₃ one cannot explain the experimental trends. In fact, calculations done at the $PBEO_{DD}$ level with the CRYSTAL code show unambiguously that the most stable (010) and (100) surfaces of BiOlO₃ exhibit VBM and CBM positions which are reversed compared to experiment.[111] To reconcile theory with experiment, it is necessary to invoke the formation of a junction between a less stable (010) surface termination of BiOlO₃ with the most stable (100) one. When this is done new chemical bonds at the interface result in a thermodynamically stable system and a significant charge polarization at the interface. This junction not only provides the correct band alignment, but also exhibits a position of the energy levels that is fully consistent with the experiment, Figure 8.

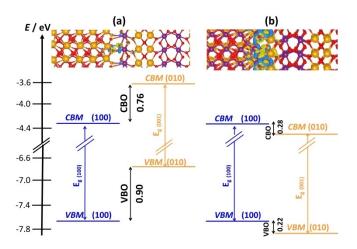


Figure 8. Band alignment in BiOIO₃(100)/BiOIO₃(010) (a) interface built from the most stable BiOIO₃(100) and BiOIO₃(010) surface terminations; (b) a thermodynamically more stable junction built from the most stable BiOIO₃(100) surface and a less stable BiOIO₃(010) termination. Only this latter model explains the observed band alignment of the BiOIO₃(100)/BiOIO₃(010) heterojunction.

This example shows that to rationalize the behavior of semiconductor heterojunctions it is important to describe the formation of explicit interfaces with atomistic precision, and to take into account the overall thermodynamic stability of the system, and not only the most stable surface terminations. This is a typical example where it is necessary to employ the "alternating slabs junction" or the "surface terminated junction" approaches.

4.2. TiO₂(001)/TiO₂(101)

The most common example of junction formed by interfacing two polymorphs of the same material is probably P25,[112] a mixture of anatase (75%) and rutile (25%) widely used to benchmark the photocatalytic efficiency of new materials. An heterostructure formed by combining the (101) and (001) facets of anatase TiO₂ provides interesting photocatalytic properties, even better than the reference P25, [57,108,113-115] and has been studied with the CRYSTAL code and PBE0[116] and PBE0 $_{\scriptsize DD}$ functionals. Two models have been used, the simple "independent units" approach and that based on "surface terminated junctions". A two-step process was followed in order to design a realistic model of the heterojunction. The superposition of (101) and (001) anatase facets leads to unacceptable strains and poor Ti-O match at the interface. Thus, a superlattice was created by rotating the two surfaces by an angle of ~45°, so that the lattice strain is considerably reduced (6.3% and 0.9%) and a good cation-anion match in the contact region is achieved.

The resulting band alignment, obtained by considering electronic states on inner layers, is of Type-II, Figure 9, and is predicted also by the independent units model.[117,118] The picture provided by the band alignment was assessed by

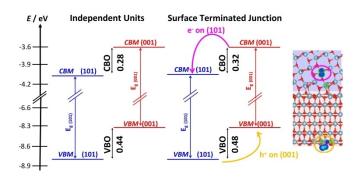


Figure 9. Band alignment of (001)-(101) anatase surface junction (PBE0 results).

simulating the explicit formation of a hole in the VB and of an electron in the CB according to a singlet-triplet excitation process.[119] The vertical excitation was followed by a structural relaxation that leads to hole and electron localization and polaron formation.[120-122] The generation of charge carriers upon photon absorption starts at the (001) surface with formation of delocalized holes in the VB and electrons in the CB. The structural relaxation induces hole localization on a single O ion on the (001) side of the junction and migration of the excited electron to the (101) side, where it localizes to form a Ti³⁺ ion, as shown by the spin density plots, Figure 9. Of course, the process can be studied only when the interface is explicitly included in the model.

Then, we looked at the effect of Nitrogen doping on the properties of the TiO₂(001)/TiO₂(101) junction. While doping of oxide semiconductors has been addressed in several studies, much less attention has been dedicated to the combined effect of doping and formation of heterojunctions. The effect of Ndoping on anatase and rutile TiO₂ has been studied extensively by some of us. [124-128] The presence of isolated N-dopants results in localized defect states just above the VB of the material, thus increasing the absorption in the visible region of the spectrum. N-dopants also favor the formation of O vacancies.

In a recent study, Sun et al. synthetized a junction formed by N-doped (001)-(101) anatase TiO₂ surfaces. [114] The new photocatalyst exhibits higher photoactivity under visible light with respect to the undoped samples, suggesting that the two main strategies to improve ${\rm TiO_2}$ performances (heterostructure and doping) can also work in a concerted way. A systematic analysis shows that both substitutional and interstitial Ndopants localize preferentially at the interface. At higher dopant concentrations, also doping of the inner layers becomes energetically accessible.

The band alignment picture however is not strongly altered by the presence of the N-impurities. This provides a solid theoretical basis for the experimental observation that the Ndopants are not detrimental for photocatalysis, while they increase the visible-light absorption capability. This is true also when the presence of the N-dopants is combined with O vacancies, commonly present in real samples. The excess electrons associated to the O vacancy (Ti³⁺ 3d¹) states are spontaneously transferred to the low-lying N-states, causing a remarkable decrease of the O vacancy formation energy. Despite these compensating mechanisms and internal charge transfers, the overall band alignment in N-TiO₂(001)/N-TiO₂(101) remains the same of the undoped junction.

5. Ternary Heterojunctions

In this section we discuss heterojunctions formed by three components, based on two examples. In the first one, TiO₂/TiO₂/ ZnS, a Type-II heterojunction is supposed to increase the efficiency due to a cascade process. In the second example, C₃N₄/SrTiO₃/TiO₂, the inclusion of a SrTiO₃ buffer layer between C₃N₄ and TiO₂ results in an inversion of the charge polarization at the interface and in a completely different behavior, from Type-II in C₃N₄/TiO₂ to Z-scheme in C₃N₄/SrTiO₃/TiO₂.

5.1. TiO₂/TiO₂/ZnS

We have seen above that the anatase (101)-(001) junction exhibits an efficient charge separation; the (101) side stabilizes the photogenerated electrons and the (001) side the photogenerated holes. An even better separation of charge carriers could be achieved adding a third component with higher holestabilizing capability, such as a ZnS(110) layer grown on the anatase (001) surface. This results in a ternary TiO₂/TiO₂/ZnS composite, investigated with the CRYSTAL code and the PBE0 functional. $^{\!\scriptscriptstyle [117]}$ New Zn–O and Ti–S bonds form at the interface between TiO₂ and ZnS giving rise to non-negligible structural reconstruction, also beyond the interfacial region.

The formation of a ternary compound has some effect on the band offsets. The computed band alignment is consistent with the experimental evidence, [129] i.e. the ternary TiO₂/TiO₂/ ZnS heterojunction promotes a charge carriers' cascade. This has been confirmed by an explicit simulation of a photoexcited electron-hole pair, which shows electron localization on the TiO₂ side and hole formation on the ZnS side of the junction.

However, the analysis of the band alignment alone is not sufficient to conclude on the direction and efficiency of charge carriers' migration. The excitation of one electron from the VB to the CB (triplet state) results in a full localization of the electron on a Ti³⁺ ion of TiO₂(001) and of the hole on a S site of the ZnS(110) surface, with formation of two polarons spatially separated by about 0.8 nm, Figure 10. The following step consists in localizing the electron on the TiO₂(101) moiety, as predicted by the band alignment. However, this step implies a penalty of 0.8 eV due to Coulombic forces hindering the electron-hole spatial separation. In this respect, the TiO₂/TiO₂/ ZnS junction is predicted to have good photoactivity, but not to overperform the TiO₂/TiO₂ one. Coulomb forces act against the separation of charge carriers predicted based on the relative energy of the band edges. This highlights the necessity of explicitly including structural and electronic junction's effects, as well as charge carriers' localization. Complex semiconductor architectures made by three or more units may exhibit effects



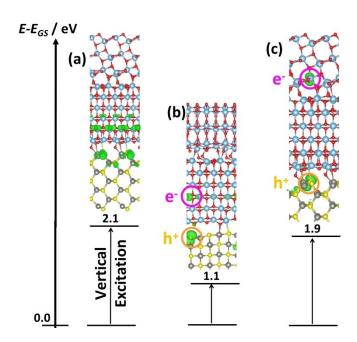


Figure 10. Triplet excited configurations in TiO₂/TiO₂/ZnS. (a) vertical excitation; (b) a relaxed configuration where $h^{\scriptscriptstyle +}$ is localized on ZnS(110) and e on TiO₂(001) (two polarons). (c) a relaxed configuration where h is localized on ZnS(110) and e in on TiO₂(101). Spin density iso-surfaces at $0.003 |e^{-1}/a_0^{-3}$ are shown in green. Light blue: Ti; red: O; yellow: S; grey: Zn.

that cannot be predicted by a simple inspection of the band edges of the system.

5.2. C₃N₄/SrTiO₃/TiO₂

The last case considered also shows that the simple inspection of the band alignment to predict the charge carriers separation may not be sufficient. This is the case of graphitic carbon nitride, q-C₃N₄, [130-133] a material which has attracted a lot of attention due to a high visible-light activity, chemical stability, and facile synthesis. Beside these positive aspects, the activity of g-C₃N₄ is deteriorated by a fast recombination of charge carriers, [132] a problem that can be mitigated by creating a g- C_3N_4/TiO_2 (anatase) interface. [134–137] Experimentally it has been shown that the TiO₂ band edges are lower in energy than those of g-C₃N₄, [3,5,107,135] accordingly, g-C₃N₄/TiO₂ should behave as a Type-II junction. On the contrary, photogenerated electrons and holes in q-C₃N₄/TiO₂ follow a different migration scheme, referred to as direct Z-scheme. [3,5,138] Differently from a Type-II junction where electrons and holes migrate towards the energetically most favorable component, Figures 11a and b, a different transport mechanism occurs in direct Z-scheme photocatalysts. Here the photogenerated electrons on side A, with lower CB, recombine with the holes photogenerated on semiconductor B with a higher VB. In this way the photogenerated electrons in B and holes in A can be preserved, Figures 11c and d. Coherently with this scheme, in q-C₃N₄/TiO₂ electrons do not migrate from q-C₃N₄ to TiO₂, as expected for a Type-II junction, and are retained on g-C₃N₄. At the same time, holes do not

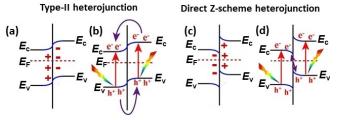


Figure 11. Type-II and direct Z-scheme heterojunction. (a) and (b) Type-II photocatalyst: (a) Band bending at the interface due to the formation of the interface dipole; (b) Photo-generated carriers migrate according to the alignment shown in (a). (c) and (d) Direct Z-scheme photocatalyst; (c) Band bending at the interface due to the formation of the interface dipole: (d) Photo-generated carriers migrate according to the alignment shown in (c). Reproduced with permission and adapted from Ref. [5]. Copyright: 2018,

migrate from TiO₂ to g-C₃N₄, but they tend to stay on the oxide. This change in behavior from Type-II to direct Z-scheme in g- C_3N_4/TiO_2 is due to an important effect: the generation of an interface dipole that determines a bend bending which prevents the flow of charge carriers as predicted by the Type-II alignment, Figure 11.^[5]

The problem has been recently addressed by performing VASP DFT calculations with the HSE06 functional.[139] The g- C_3N_4/TiO_2 interface was built by combining the $g-C_3N_4$ and $TiO_2(001)$ units (as experimentally observed). In g-C₃N₄/TiO₂ the VB and CB bands of TiO₂ are 0.63 eV and 0.24 eV, respectively, below those of g-C₃N₄ (Type-II alignment). However, the DFT calculations show the formation a large interface dipole of 1.2 D, with charge polarization δ^- on TiO₂ and δ^+ on g-C₃N₄, which confer to the junction the typical direct Z-scheme character, Figure 12. This provides a first principles rationalization of the observed behavior of g-C₃N₄/TiO₂ photocatalyst under irradiation and shows that the band alignment can be insufficient to predict the direction of charge carriers' migration.

However, the direction of the charge carriers flow can be reversed by modifying the interface. This requires switching the

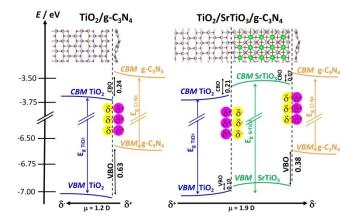


Figure 12. Band alignment and interface dipole in g-C₃N₄/TiO₂, a direct Zscheme photocatalyst, and g-C₃N₄/SrTiO₃/TiO₂, a Type-II heterojunctions. The change in behavior is due to the SrTiO₃ buffer layer, and the inversion of the interface dipole.



direction of the interface dipole. A way to do this is to introduce a buffer layer between the g-C₃N₄ and TiO₂ components. In particular, the addition of a thin SrTiO₃ layer, thus creating a ternary g-C₃N₄/SrTiO₃/TiO₂ junction,^[141] leads to the desired result. The g-C₃N₄/SrTiO₃ interface is characterized by stronger covalent bonds compared to the g-C₃N₄/TiO₂ one. The band edges of SrTiO₃ are between those of TiO₂ and g-C₃N₄, leading to a cascade sequence of Type-II alignments, as for the TiO₂/ TiO₂/ZnS case discussed above. The strong chemical bonds at the g-C₃N₄/SrTiO₃ side of the interface result in a large dipole of opposite sign compared to that of g-C₃N₄/TiO₂. The insertion of the SrTiO₃ layer leads to the creation of an interface dipole that favors migration of photogenerated electrons toward TiO_2 (δ^+ charge polarization) and photogenerated holes toward g-C₃N₄ $(\delta^-$ charge polarization). This picture is fully consistent with the experiments, [141] thus providing a rationalization of the opposite behavior of g-C₃N₄/TiO₂, a direct Z-scheme photocatalyst, and g-C₃N₄/SrTiO₃/TiO₂, a classical Type-II heterojunctions, Figure 12.

6. Conclusions

In this brief essay we have considered some examples of semiconductors heterojunctions as described by high-level DFT calculations. The purpose of these studies is to define from first principles the nature of the band alignment, since only Type-II junctions are favorable for the separation of photogenerated electrons and holes. Beside this, also the band offsets, the kind of surfaces involved, the direction and size of the interface dipole, etc. are essential for the rationalization of the behavior of existing photocatalysts, or for the design of new ones.

From a methodological point of view, the use of hybrid functionals or other self-interaction corrected functionals is recommended, while less important is the choice of the hybrid functional used, provided that it guarantees a balanced description of the two (or more) components of the junction.

Different models can be adopted to determine the band alignment. In some cases, the use of the simple "independent units" model is sufficient to predict the band alignment. This occurs when no important charge transfer occurs at the interface. In the other cases, either the "alternating slabs junction" or the "surface terminated junction" models are employed, and the chemical nature of the interface is explicitly included. In both cases, the choice of the surfaces to be joined is crucial: this determines the amount of strain in the model, the thermodynamic stability of the interface, the occurrence of charge transfer, the final band offsets, etc. Both "alternating slabs junction" and "surface terminated junction" approaches make use of slabs to represent the bulk materials, and a proper study of the convergence of the electronic properties with slab thickness is required. The use of slabs, on the other hand, allows one to study quantum confinement effects when ultrathin layers of a semiconductor are deposited on another material.

Once the explicit model of the interface is defined, some other aspects need to be considered. One is the direction of the charge polarization at the interface. This results in an interface dipole that can facilitate the charge carriers' separation, or act to modify the nature of the band alignment, for instance from Type-II to a direct Z-scheme. We have shown how in some systems the inclusion of buffer layers can be used to reverse the sign of the charge polarization and the nature of the band alignment.

Another aspect that is worth considering is the explicit formation of photogenerated electron/hole pairs by computing the triplet excited state of the system. The subsequent structural relaxation results in polarons formation and carriers localization on the two sides of the junction. However, this may result in a strong coulomb interaction that makes the charge carriers separation less favorable, despite the alignment of the bands.

From the few cases studied it does not seem that point defects or impurity atoms included in one or both sides of the junction have a major effect on the final alignment and band offsets. On the other hand, very few studies have been dedicated to this aspect, and further work is necessary to provide an answer to this question.

Finally, it must be mentioned that the presented models do not include solvent effects, that could become important.[142] Future studies will be dedicated specifically on the modelling of solvent effects and the role played by the semiconductor/ solvent interface on the band alignment. [143,144]

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Conflict of Interest

The authors declare no conflict of interest.

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