# Is the Reinitiation of Antiplatelet Agents Safe at 1 Week after Gastric Endoscopic Submucosal Dissection? Assessment of Bleeding Risk Using the Forrest Classification

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Background/Aims: Delayed bleeding after gastric endoscopic submucosal dissection (ESD) commonly occurs within 3 days, but it may also occur after 1 week following ESD, especially in antiplatelet agent users. We evaluated the risk of delayed bleeding in post-ESD ulcers using the Forrest classification. Methods: Registry data on the Forrest classification of post-ESD ulcers (n=371) at 1 week or 2 weeks after ESD were retrospectively evaluated. The Forrest classification was categorized into two groups: increased risk (Forrest la to IIc) or low risk (Forrest III). The odds ratios (ORs) were calculated using logistic regression analysis. Results: Among 371 post-ESD ulcers, one ulcer (0.3%) was classified as Forrest lb, two (0.5%) as Forrest IIa, 17 (4.6%) as Forrest IIb, 172 (46.4%) as Forrest IIc, and 179 (48.2%) as Forrest III. The proportion of increased-risk ulcers was 72.2% (140/194) at 1 week after ESD, which decreased to 29.4% (52/177) at 2 weeks after ESD (p<0.001). In the multivariate analysis, a post-ESD ulcer at 1 week after ESD (OR, 7.54), younger age (OR, 2.17), and upper/middle ulcer location (OR, 2.05) were associated with increased-risk ulcers. Conclusions: One week after ESD, ulcers still have an increased risk of bleeding when assessed using the Forrest classification. This risk should be considered when resuming antiplatelet therapy. (Gut Liver 2017;11:489-496)

**Key Words:** Hemorrhage; Forrest classification; Stomach neoplasms; Endoscopic mucosal resection

# INTRODUCTION

Endoscopic submucosal dissection (ESD) for gastric neoplasms has high *en bloc* and curative resection rates and low local recurrence rates, but it can cause more significant adverse events, such as perforation and bleeding, than endoscopic mucosal resection.<sup>1,2</sup> Post-ESD bleeding occurs in approximately 4.1% to 15.3% of cases.<sup>2-7</sup> Most post-ESD bleedings occur within 3 days, and the incidence markedly decreases during the first week.<sup>7,8</sup> However, bleedings also occur after 1 week following ESD, especially in patients with risk factors, such as the use of antiplatelet agents.<sup>5-7</sup>

According to the guidelines for endoscopic procedures in patients with antithrombotic treatment, patients with high thromboembolic risk are recommended to continue aspirin for the endoscopic procedures, even in high-risk procedures for bleeding, such as ESD.<sup>9-11</sup> In our previous study, continuous aspirin use increased the bleeding risk, especially in those who resumed clopidogrel 7 days after ESD.<sup>5</sup> Reinitiation of antithrombotic drug was also reported to increase the delayed bleeding risk, especially in patients using two or more antithrombotic drugs.<sup>6,12</sup> However, other studies suggested that the continued use of aspirin only did not increase the bleeding risk after ESD.<sup>13,14</sup> Therefore, the use of antithrombotic drugs and the bleeding risk after ESD remains controversial, and there is no consensus on the optimal timing for reinitiating antiplatelet therapy after ESD, especially in patients taking dual antiplatelet agents.

The Forrest classification was initially developed to describe upper gastrointestinal ulcers that were or had been bleeding.<sup>15</sup> It is used to predict rebleeding rates and can stratify patients with acute upper gastrointestinal ulcer bleeding into high- and lowrisk categories for mortality.<sup>16,17</sup> Therefore, this classification can be used to predict the delayed bleeding of post-ESD ulcers because it is simple to classify the post-ESD ulcer base, and because the risk of delayed bleeding can be estimated from this classification during surveillance endoscopy.<sup>18-20</sup>

In this study, we investigated the risk of delayed bleeding at post-ESD ulcers at 1 week or 2 weeks after the procedure using

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Received on May 6, 2016. Revised on August 19, 2016. Accepted on October 11, 2016. Published online March 24, 2017 pISSN 1976-2283 eISSN 2005-1212 https://doi.org/10.5009/gnl16232

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the Forrest classification as a surrogate marker.

### MATERIALS AND METHODS

#### 1. Patients

This retrospective study was conducted using a prospectively collected database of consecutive patients at the National Cancer Center Hospital, Goyang, Korea. From August 2011 to June 2013, ESDs were performed to treat 573 gastric neoplasms, including early gastric cancer or adenoma, in 509 consecutive patients. Chromoendoscopies using indigo carmine and biopsies were done to determine whether ESD was indicated. During this period, the evaluations of post-ESD ulcers using Forrest classification were done at 1 week or 2 weeks after ESD for 405 post-ESD ulcers in 383 patients among 509 patient excluding 126 patients. Additional 13 ulcers in 12 patients were excluded because Forrest classification was impossible, and 21 smaller post-ESD ulcers in the same patient were also excluded. Thus, a total of 371 post-ESD ulcers in 371 patients were included in the analysis. A flow chart for inclusion in the analysis is shown in Fig. 1. Clinicopathologic features, comorbidities, the use of antiplatelet agents, and the Forrest classifications of post-ESD ulcers were obtained from the database. The Institutional Review Board of the National Cancer Center approved this study (NCC2015-0111).

# 2. ESD technique

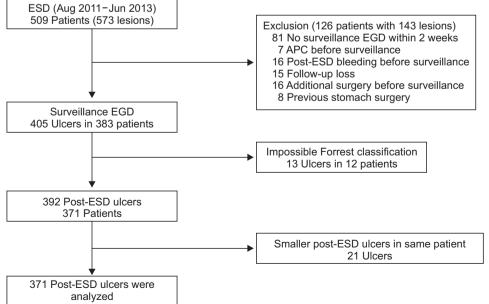
ESD was performed by one of four expert endoscopists using the standard methods of our institution as previously described.<sup>21</sup> Hemostatic procedures during ESD were performed as previously described.<sup>5</sup> In brief, active bleeding during ESD was controlled using hemostatic forceps (Radial Jaw 3; Boston Scientific, Heredia, Costa Rica) with an electrosurgical generator (VIO300D; ERBE Elektromedizin GmbH, Tübingen, Germany). At the end of the ESD procedure, preventive hemostasis was performed on oozing vessels or exposed vessels on the ulcer base. Ulcer diameters were estimated by measuring the maximal diameter of the resected specimens.

#### 3. Post-ESD management

All patients received intravenous pantoprazole (40 mg) twice daily for 2 days after ESD. Thereafter, they received oral pantoprazole (40 mg) twice daily. Hemoglobin levels were checked in the first post-ESD morning. If there was no adverse event, patients were allowed to have a liquid diet on the first day, and they were discharged on the second or third day. After discharge, patients took 40 mg pantoprazole once a day for at least 28 days.

### 4. Antiplatelet agents before and after ESD

The strategy for using antiplatelet agents before and after ESD was made according to the patient's risk stratification based on the published criteria<sup>9,10</sup> and our previously reported study results.<sup>5</sup> A patient taking aspirin or other antiplatelet agents for the primary prevention of the thromboembolic disease without significant predisposing conditions was recommended to stop these medications 7 days before the procedure and to resume 4 weeks after ESD. For a patient with a high risk for thromboembolic disease taking dual antiplatelet agents, only aspirin was continued without cessation and concomitant antiplatelet agents were ceased at least 7 days before ESD and resumed 2 weeks after ESD. In patients using nonaspirin antiplatelet agents with a high risk for thromboembolic disease, replacement with aspirin was done 7 days before ESD and aspirin was continued as above.



**Fig. 1.** Flow chart for inclusion in the analysis of the Forrest classification of post-ESD ulcers. ESD, endoscopic submucosal dissection; APC, argon plasma coagulation.

#### 5. Forrest classification of post-ESD ulcers

During the first half of the study period (August 2011 to March 2012), esophagogastroduodenoscopy (EGD) was performed at 2 weeks after ESD, and during the latter half of the study period (April 2012 to June 2013) it was performed at 1 week after ESD. Surveillance EGD was done by the same endoscopist who performed ESD for the patient. The Forrest classification of post-ESD ulcers was determined as follows (Fig. 2): ulcers with spurting hemorrhage (Forrest Ia), oozing hemorrhage (Forrest Ib), visible vessel (Forrest IIa), adherent clot (Forrest IIb), flat pigmented spot on the base (Forrest IIc), and a clean ulcer base (Forrest III).<sup>15</sup> Forrest classification was categorized into two groups using a simplified Forrest classification:<sup>22</sup> increased risk group (Forrest Ia to IIc) or low risk group (Forrest III).

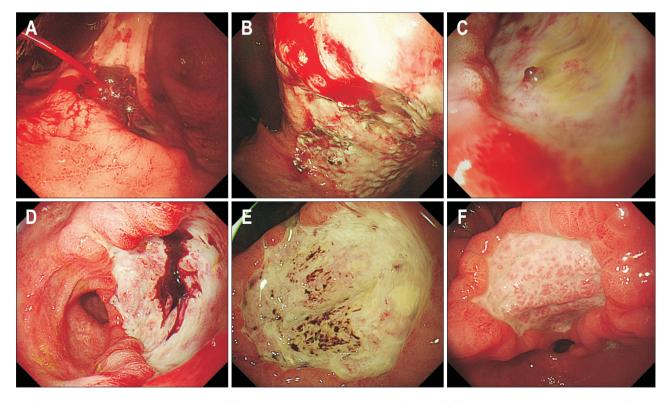
### 6. Statistical analysis

Continuous variables are presented as mean±standard deviation or as median with range. Statistical analysis was performed by the chi-square test for categorical variables and the Student t-test for continuous variables. Factors associated with the increased-risk ulcer group were assessed by logistic regression analysis using the estimated propensity scores for reducing bias. The propensity scores were estimated from the logistic regression model by including variables that could affect outcomes: sex, age, ulcer location, and ulcer size. After adjusting the estimated propensity scores, multivariate analysis was performed. Odds ratios (OR) with 95% confidence intervals (CI) were calculated. Variables found to be significant factors by univariate analysis were included in the multivariate analysis. Two-sided p-values <0.05 were considered significant.

### RESULTS

# 1. Patient characteristics and clinicopathologic features of gastric neoplasms and post-ESD ulcers

A total of 371 post-ESD ulcers in 371 patients were included in the analysis. Patient characteristics and clinicopathologic features of gastric neoplasms and post-ESD ulcers are summarized in Table 1. The mean age of the patients was 63.7 years, and 73.3% of the patients were male. Half of the patients had comorbid diseases (191/371, 51.5%) and 17.5% (65/371) were antiplatelet agent users. Among the antiplatelet agent users, 28 patients with a high risk for thromboembolic disease continued aspirin without cessation. Six patients with continued aspirin use were dual antiplatelet users, and they discontinued concomitant antiplatelet use 1 week before ESD and resumed 2 weeks after ESD. Patient characteristics and clinicopathologic features of post-ESD ulcers were not different between the 1 week and 2 week groups (Table 1).



**Fig. 2.** Forrest classification of post-ESD ulcers. (A) Ulcers with a spurting hemorrhage (Forrest Ia). (B) Ulcers with an oozing hemorrhage (Forrest Ib). (C) Ulcers with visible vessels (Forrest IIa). (D) An adherent clot on the ulcer base (Forrest IIb). (E) Flat pigmented spot on the ulcer base (Forrest IIc). (F) A clean ulcer base (Forrest III). ESD, endoscopic submucosal dissection.

Table 1. Patient Characteristics and Clinicopathologic Features of Gastric Neoplasms and Post-ESD Uld	cers

Characteristic	All patient			
	Total (n=371)	1 Week after ESD (n=194)	2 Weeks after ESD (n=177)	p-value*
Patients				
Age, yr				
Mean±SD	63.7±10.2	64.4±10.1	62.9±10.4	0.159
Median (range)	65.0 (27–85)	65.0 (36–84)	64.0 (27–85)	
≤65	197 (53.1)	99 (51.0)	98 (55.4)	0.403
>65	174 (46.9)	95 (49.0)	79 (44.6)	
Male sex	272 (73.3)	136 (70.1)	136 (76.8)	0.143
Comorbid condition				0.203
No	180 (48.5)	88 (45.4)	92 (52.0)	
Yes	191 (51.5)	106 (54.6)	85 (48.0)	
Hypertension	153 (41.2)	89 (45.9)	64 (36.2)	
Diabetes mellitus	67 (18.1)	38 (19.6)	29 (16.4)	
Coronary artery disease	17 (4.6)	11 (5.7)	6 (3.4)	
Atrial fibrillation	5 (1.3)	3 (1.5)	2 (1.1)	
Valvular heart disease	1 (0.3)	0	1 (0.6)	
Cerebrovascular disease	15 (4.0)	8 (4.1)	7 (4.0)	
Peripheral vascular disease	3 (0.8)	2 (1.0)	1 (0.6)	
Antiplatelet agents				0.539
None	306 (82.5)	156 (80.4)	150 (84.7)	
Stopped	37 (10.0)	22 (11.3)	15 (8.5)	
Continuous use	28 (7.5)	16 (8.2)	12 (6.8)	
Tumor				
Histology of main tumor				0.107
Adenoma	67 (18.1)	41 (21.1)	26 (14.7)	
EGC	304 (81.9)	153 (78.9)	151 (85.3)	
Tumor size, cm				0.556
Mean±SD	1.7±1.0	1.8±1.0	1.7 <u>±</u> 1.0	
Median (range)	1.5 (0.2–5.2)	1.5 (0.3–5.2)	1.5 (0.2–5.0)	
Post-ESD ulcer				
Location within stomach				0.065
Lower	288 (77.6)	158 (81.4)	130 (73.4)	
Upper/middle	83 (22.4)	36 (18.6)	47 (26.6)	
Ulcer size (long diameter), cm				
Mean±SD	4.4±1.1	4.4±1.2	4.4 <u>±</u> 1.0	0.934
≤4.0	180 (48.5)	90 (46.4)	90 (50.8)	0.391
>4.0	191 (51.5)	104 (53.6)	87 (49.2)	

Data are presented as number (%) unless otherwise indicated.

ESD, endoscopic submucosal dissection; SD, standard deviation; EGC, early gastric cancer.

\*p-values for comparison between 1 week and 2 weeks after ESD.

# 2. Forrest classifications of post-ESD ulcers and factors associated with increased-risk ulcers

Table 2 shows the Forrest classifications of the post-ESD ulcers at 1 week or 2 weeks after ESD. When categorizing the Forrest classification into the increased-risk group (Forrest Ia to IIc) or the low-risk group (Forrest III), increased-risk ulcers (Forrest Ia to IIc) were more common at 1 week (140/194, 72.2%) than at 2 weeks (52/177, 29.4%) (p<0.001) after ESD. Forrest Ia to IIa ulcers were found in three cases at 1 week, but were not found

Forrest classification	All patient			
	Total (n=371)	1 Week after ESD (n=194)	2 Weeks after ESD (n=177)	p-value
Increased risk	192 (51.8)	140 (72.2)	52 (29.4)	<0.001*
Ib	1 (0.3)	1 (0.5)	0	
IIa	2 (0.5)	2 (1.0)	0	
IIb	17 (4.6)	9 (4.6)	8 (4.5)	
IIc	172 (46.4)	128 (66.0)	44 (24.9)	
Low risk				
III	179 (48.2)	54 (27.8)	125 (70.6)	

#### Table 2. Forrest Classifications of Post-ESD Ulcers

Data are presented as number (%).

ESD, endoscopic submucosal dissection.

\*p-values were calculated between 1 week and 2 weeks after ESD according to the categorized risk groups (increased risk, Forrest Ia–IIc versus low risk, Forrest III).

# Table 3. Factors Associated with Increased-Risk Ulcers\* after ESD Using the Estimated Propensity Scores

	Total (n=371)				
-	Univariate		Multivariate		
-	OR (95% CI)	p-value	OR (95% CI)	p-value	
Sex					
Male	1 (Reference)				
Female	1.10 (0.70–1.75)	0.678	-	-	
Age, yr					
>65	1 (Reference)		1 (Reference)		
≤65	1.55 (1.03–2.33)	0.037	2.17 (1.29–3.64)	0.003	
Location					
Lower	1 (Reference)		1 (Reference)		
Upper/middle	1.46 (0.89–2.40)	0.133	2.05 (1.02-4.09)	0.043	
Comorbid condition					
No	1 (Reference)				
Yes	1.20 (0.80–1.80)	0.388	-	-	
Antiplatelet agents					
None	1 (Reference)		1 (Reference)		
Stopped	1.03 (0.52–2.04)	0.936	1.01 (0.46–2.23)	0.983	
Continuous use	1.75 (0.78–3.92)	0.171	2.15 (0.84–5.48)	0.110	
Ulcer size, cm					
≤4.0	1 (Reference)		1 (Reference)		
>4.0	1.49 (0.99–2.24)	0.057	1.49 (0.91–2.43)	0.109	
Duration after ESD, wk					
2	1 (Reference)		1 (Reference)		
1	6.23 (3.97–9.78)	<0.001	7.54 (4.62–12.3)	<0.001	
Propensity score	1.09 (0.12-10.3)	0.937	1.35 (0.05–39.8)	0.862	

ESD, endoscopic submucosal dissection; OR, odds ratio; CI, confidence interval.

\*Increased-risk ulcers were defined as Forrest Ia-IIc post-ESD ulcers.

# at 2 weeks after ESD.

Supplementary Tables 1 and 2 show the Forrest classifications of post ESD-ulcers according to use of antiplatelet agents (none, stopped, and continued) or ulcer location (lower and middle/ upper) at 1 week or 2 weeks. On subgroup analysis, there were no significant differences of the Forrest classification between antiplatelet agent users and nonusers at 1 week or at 2 weeks (p=0.691 and p=0.470, respectively) (Supplementary Table 1). There was no significant difference of the Forrest classification between lower and middle/upper locations at 1 week (p=0.993) (Supplementary Table 2). However, at 2 weeks, increased-risk ulcers were significantly higher in middle/upper location (48.9%) than in lower location (22.3%) (p=0.001).

Risk factors associated with the increased-risk group were assessed by logistic regression analysis (Table 3). Multivariate analyses determined that 1 week after ESD, the age  $\leq$ 65 years and middle/upper location of the ulcer in the stomach were significantly associated with increased-risk ulcers.

# DISCUSSION

In the present study, we investigated the risk of delayed bleeding at post-ESD ulcers at 1 week or 2 weeks after ESD using the Forrest classification to determine the optimal timing for reinitiating antiplatelet therapy. We found that at 1 week, a high proportion of ESD-induced ulcers were increased-risk ulcers (Forrest Ia to IIc, 72.2%), and the proportion decreased significantly at 2 weeks after the ESD when assessed by the Forrest classification. In addition, Forrest Ia to IIa lesions were found only in the 1 week group. Our results imply that at 1 week after the procedure, ESD-induced ulcers still have an increased risk of delayed bleeding.

Recent guidelines for gastroenterological endoscopy in patients with antithrombotic treatment recommend that patients with high thromboembolic risk continue aspirin, even if they are undergoing endoscopic procedures with a high risk for bleeding, such as ESD.9-11 Continued aspirin use during ESD is accepted, because most post-ESD bleedings can be successfully managed using endoscopic hemostasis,5,6,13 and discontinuation of antithrombotic drugs may result in thromboembolic events that cause severe morbidity or mortality.<sup>13,14,23</sup> Some studies have shown that continued use of low-dose aspirin does not increase the risk of bleeding after ESD,<sup>13,14</sup> while our previous study showed that post-ESD bleeding is higher in continuous aspirin users than in those with no or interrupted aspirin use.<sup>5</sup> Furthermore, the resumption of clopidogrel at 1 week after ESD, combined with aspirin use, is significantly associated with delayed post-ESD bleeding (relative risk, 26.71). Additionally, Koh et al.<sup>6</sup> showed that reinitiating antithrombotic drug therapy is a significant independent risk factor for delayed post-ESD bleeding. A recent multicenter prospective cohort study also reported that early resumption of a thienopyridine derivative is the only

significant factor for post-ESD bleeding in patients with continuous aspirin use due to a high risk of thromboembolism.<sup>12</sup> Therefore, we estimated the risk of bleeding at post-ESD ulcers using the Forrest classification to determine the optimal timing for the resumption of antiplatelet therapy in patients with high risk of thromboembolism.

In this study, we adopted the Forrest classification as a surrogate marker for estimating the risk of delayed bleeding at post-ESD ulcers because it is a simple method to classify post-ESD ulcer during endoscopy, and the risk of delayed bleeding is easily estimated from it. We categorized the original Forrest classification into a simplified Forrest classification<sup>22</sup> with an increased-risk group (Forrest Ia to IIc) and a low-risk group (Forrest III). Our results showed that increased-risk ulcers were significantly higher at 1 week than at 2 weeks after ESD. Furthermore, no ulcers exceeding Forrest IIb were found at 2 weeks after ESD. These results imply that ulcers still have a risk of delayed bleeding at 1 week after ESD, and this risk is significantly lower at 2 weeks after the procedure. Although the majority of post-ESD bleedings occurred within the first week,7,8 some occurred beyond 1 week after ESD, especially in patients taking antiplatelet agents.<sup>5-7,12</sup> Considering these reports and our results, reinitiating antiplatelet agents at 2 weeks after ESD may be safer than within 1 week, especially in patients taking dual antiplatelet agents with continuous aspirin use.

Although scheduled second-look endoscopy (SLE) was empirically performed after gastric ESD for the purpose of reducing post-ESD bleeding, recent randomized controlled trials for the efficacy of SLE concluded that SLE has little or no effect on the prevention of delayed bleeding.<sup>24-26</sup> However, recent SLE studies using the Forrest classification reported that high-risk ulcer stigma (Forrest I to IIa) during SLE are significantly associated with delayed bleeding after SLE, although additional hemostasis had been carried out for active bleeding (Forrest I) or nonbleeding visible vessels (Forrest IIa) during SLE.<sup>18-20</sup> These recent reports support our finding that ulcers still had a risk of bleeding at 1 week after ESD and our suggestion that resuming antiplatelet agents at 2 weeks after ESD might be safer than within 1 week. However, the Forrest classification distribution in previous SLE studies showed a higher proportion of active bleeding (Forrest Ia/Ib) or nonbleeding visible vessels (Forrest IIa) than did our study.<sup>18-20</sup> This might be due to timing differences, because SLE was performed at 1 day post-ESD, which was earlier than in our study.18-20

Previous studies on risk factors for bleeding after ESD showed that the location of the lesion, larger resection specimen size, less operator experience, or lack of meticulous post-ESD coagulation of visible vessels is associated with increased bleeding risk.<sup>7,27,28</sup> In our results, operator experience and post-ESD coagulation were not significant factors, because the four endoscopists who performed the ESDs had experienced at least 200 ESDs before this study, and meticulous preventive he-

mostasis was routinely done at the end of the ESD procedure. Furthermore, ulcers located in the upper and middle portion of the stomach were significantly associated with increased risk in our study. However, the risk associated with the lesion location is inconsistent among studies.<sup>7,28,29</sup> Takizawa *et al.*<sup>7</sup> showed that the incidence of delayed bleeding in the middle and lower stomach is less with post-ESD coagulation. However, post-ESD coagulation is difficult for lesions located in the upper part of the stomach due to the difficulty of controlling and approaching the scope for preventive hemostasis. For these reasons, upper/middle ulcer location might have an association with the increased risk in our study.

In our study, younger patient age was also a significant factor for increased-risk ulcers. One prior study reported that the patient's age ( $\leq$ 65 years; OR, 6.11) is a significant predictive variable for the delayed postendoscopic mucosal resection hemorrhage.<sup>30</sup> They assumed that atrophic changes with age might reduce the vascular plexus in the stomach and affect the results. Another study found that older age (OR, 0.931; 95% CI, 0.88 to 0.98) is a significant predictive factor for a successful ESD without bleeding,<sup>29</sup> although age was not found to be a risk factor for bleeding after ESD in other studies.<sup>7,27,28,31</sup> Further studies are needed to verify the association between the risk of delayed bleeding and different age groups.

A major strength of this study is that this is the first study to assess the Forrest classification of post-ESD ulcers at 1 week or 2 weeks after ESD. In addition, all patients were recommended to follow our institutional strategy for the use of antiplatelet agents before and after ESD. However, there were several limitations to this study. First, the timing of surveillance EGD for the Forrest classification was not randomly assigned. Instead, we performed EGD at 2 weeks after ESD consecutively during the first half of the study, and at 1 week during the latter half, which rendered no significant difference in baseline characteristics between the two groups. We also applied the propensity score adjustment to minimize the effects of biases on the clinical outcome. Second, the Forrest classification was originally developed for benign ulcers for predicting the risks for rebleeding and mortality. Post-ESD ulcers are artificial ulcers resulting from endoscopic resection and may have different pathophysiology and bleeding risks compared to those of benign peptic ulcers. Third, this study had a limited number of patients with continued use of aspirin (28/371, 7.5%). Therefore, the association between continued use of aspirin and increased risk of ulcers or post-ESD bleeding may require further investigation.

In summary, our study showed that ESD-induced ulcers still had an increased risk of delayed bleeding at 1 week after ESD, and the risk decreased significantly at 2 weeks after ESD when assessed by the Forrest classification. Thus, this finding should be taken into consideration in clinical practice for gastric ESD patients that use antiplatelet agents. Further study is needed to determine the optimal time interval to reinitiate antiplatelet therapy, especially for dual antiplatelet agent users.

# **CONFLICTS OF INTEREST**

No potential conflict of interest relevant to this article was reported.

# ACKNOWLEDGEMENTS

This work was supported by Grant NCC-1610180 from the National Cancer Center, Korea.

Author contributions: conception and design of the study, I.J.C.; analysis and interpretation of the data, I.J.C. and J.Y.L.; drafting of the article, I.J.C. and J.Y.L.; critical revision of the article for important intellectual content, C.G.K., S.J.C., and Y.I.K.; statistical analysis, J.Y.L. and Y.I.K.; and final approval of the article, J.Y.L., I.J.C., C.G.K., S.J.C., and Y.I.K.

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