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ABX464 (obefazimod) for patients with COVID-19 at risk for severe disease: miR-AGE, a randomized, double-blind placebo-controlled trial

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Background: ABX464 (obefazimod) is a small molecule that upregulates a single microRNA (miR-124) in immune cells and reduces the production of various inflammatory cytokines and chemokines.

Objective: We assessed the efficacy and safety of the standard of care (SoC) plus oral obefazimod (SoC plus ABX464), 50 mg once daily, versus the SoC plus placebo for prevention of severe acute respiratory syndrome in patients with coronavirus disease 2019 (COVID-19) who are at risk for severe disease. Methods: Eligible patients for this phase 2/3 double-blind, placebo-controlled miR-AGE study were randomized (2:1) into 2 groups: SoC-ABX464 (n = 339) and SoC-placebo (n = 170). The primary end point was the percentage of patients who did not require use of high-flow oxygen or invasive or noninvasive mechanical ventilation within 28 days. The safety analyses included patients who had been randomly assigned and had received at least 1 dose of the study treatment. Results: At the time of the interim analysis, obefazimod showed no benefit over placebo when added to the SoC; the study enrollment was stopped for futility. The evaluation of the safety of obefazimod in 505 patients showed significantly more treatment-emergent adverse events in the SoC-ABX464 group than in the SoC-placebo group (P = .007). Frequently reported AEs in the SoC-ABX464 group included headache (14.6%), abdominal pain (9.6%), diarrhea (9.0%), back pain (6.9%), and nausea (6.0%). No treatment-related changes in laboratory parameters were reported.

Conclusion: For patients who have severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection and are at risk for severe COVID-19, obefazimod, 50 mg, provided no benefit over placebo when added to the SoC, although it did have a

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good safety profile (comparable to that reported in many therapeutic areas). (J Allergy Clin Immunol Global 2023;2:100140.)

Key words: ABX464, obefazimod, miR-124, COVID-19

The novel coronavirus severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) was identified in 2019 in Wuhan, China, and soon confirmed as the cause of the coronavirus disease 2019 (COVID-19). SARS-CoV-2 infection can lead to a cytokine storm syndrome, acute respiratory distress syndrome, and respiratory and multiple organ failure.¹ The hyperinflammatory syndrome associated with COVID-19 includes increased monocyte chemoattractant protein-1 (MCP-1), IL-1 β , TNF- α , IL-17, G-CSF, and IL-6 levels. More specifically, elevated proinflammatory cytokines involved in T_H17 cell responses in patients infected with COVID-19 may be the cause of vascular permeability and leakage.²

ABX464 (obefazimod), a small molecule that induces the selective upregulation of miR-124 in immune cells,^{3,4} significantly improved moderate-to-severe active ulcerative colitis $(UC)^{5}$ and was safe and well tolerated in more than 850 volunteers and patients in clinical trials.⁶ The upregulation of the single miR-124 has been demonstrated in healthy subjects as well as in patients with HIV infection and patients with UC.3,7 From a mechanistic perspective, obefazimod was shown to bind the cap binding complex (CBC), a protein complex at the 5' ends of RNAs involved in fueling cellular RNA integrity (eg, splicing). As a result, obefazimod enhances the splicing of a long noncoding RNA to upregulate miR-124, which is a modulator of inflammation and innate immunity and has an antiproliferative effect (by affecting some of the important cell cycle transcripts).⁸ Developed initially as an inhibitor of HIV replication⁹⁻¹¹ and HIV reservoir reduction,¹² obefazimod–cap binding complex interactions were shown to strengthen the RNA quality control of HIV-RNA biogenesis preventing the production of unspliced HIV RNA,⁹ thereby reducing the viral reservoirs of patients infected with HIV.¹² In addition, a reduction of SARS-CoV-2 replication in an in vitro reconstituted human airway epithelial model showed comparable efficacy between remdesivir (5 μ M) and obefazimod (0.1, 1, and 5 µM) (Julien Santo, Abivax, personal communication, 2020).

Through its effects on miR-124, obefazimod has the potential to provide therapeutic restitution of physiologic pathways impaired by inflammatory diseases. miR-124 is a well-known physiologic inhibitor of systemic and pulmonary inflammation (potent

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Abbreviations	used
AE:	Adverse event
COVID-19:	Coronavirus disease 2019
DSMB:	Data and safety monitoring board
FAS:	Full analysis set
MCP-1:	Monocyte chemoattractant protein-1
SARS-CoV-2:	Severe acute respiratory syndrome coronavirus 2
SAE:	Serious adverse event
SAF:	Safety analysis set
Spo ₂ :	Specific oxygen saturation as measured by pulse
	oximetry
TEAE:	Treatment-emergent adverse event
UC:	Ulcerative colitis
ULN:	Upper limit of normal

reduction of MCP-1, IL-1 β , TNF α , IL-17, G-CSF, and IL-6). miR-124 exerts a direct effect on the translational downregulation of MCP-1, monocyte chemoattractant protein,¹³ as well as the IL-6 receptor.⁸ In conjunction with these effects, an increase in miR-124 has been associated with the maintenance of M2 differentiation of the tissue-resident macrophage. Indeed, M2 macrophages control tissue inflammation by producing type 2 cytokines such as IL-4 and IL-10, both of which are mediators of tissue homeostasis.¹⁴ Obefazimod affected the immune system *in vitro* and demonstrated a marked decrease in the inflammatory colitis in murine model of inflammatory bowel disease as well as in patients with UC.⁷ These effects of obefazimod^{15,16} were associated with the prevention of proliferation of T_H17 cells, which produce IL-17 in both peripheral blood and mesenteric lymph nodes.⁷

Given the antiviral and anti-inflammatory effects of obefazimod, we compared the efficacy and safety of the standard of care (SoC) plus 50 mg of oral ABX464 (the SoC-ABX464 group) once daily with the efficacy and safety of the SoC plus placebo for prevention of severe acute respiratory syndrome in patients with COVID-19 who are at risk for severe disease.

METHODS

Study design and participants

The miR-AGE study is a phase 2/3 randomized, double blind, placebo-controlled study to evaluate the efficacy and the safety of the SoC plus ABX464 in treating inflammation and preventing COVID-19–associated acute respiratory failure in patients aged 65 years or older and patients aged 18 years or older who have at least 1 additional risk factor for severe COVID-19 and are infected with SARS-CoV-2.

The study consisted of 3 periods: period 1, a treatment phase during which randomized patients were treated for 28 days; period 2, a 21-day safety follow-up phase; and period 3, a phase comprising exploratory follow-up of pulmonary function 3 months after the last intake of the study drug (Fig 1).

Patients were recruited from 56 centers in 9 countries (Belgium, Brazil, France, Germany, Italy, Mexico, Peru, Spain, and the United Kingdom). Eligible patients were (1) adult men or women (aged \geq 18 years), whether hospitalized or not hospitalized, who had been diagnosed with SARS-CoV-2 infection by PCR or a rapid antigen test (approximately 48 hours before randomization) and had at least 1 associated risk factor (obesity defined as BMI \geq 30, a recent history of uncontrolled high blood

pressure, treated diabetes [type I or II], and a history of ischemic cardiovascular disease) for severe COVID-19 and (2) older adults (aged ≥65 years). At least 1 of the following symptoms was present at enrollment: fever or perceived fever for more than 24 hours, headache, sore throat, dry cough, fatigue, chest pain or choking sensation (with no associated respiratory distress), myalgia, anosmia, ageusia, and gastrointestinal symptoms. Other inclusion criteria were a specific oxygen saturation as measured by pulse oximetry (Spo₂) of 92% or higher, hemoglobin level higher than 9.0 g/dL, absolute neutrophil count of 1000/mm, platelet count of 100,000/mm³ or more, creatinine clearance of at least 50 mL per minute as determined by the Cockcroft-Gault formula, total serum bilirubin level less than twice the upper limit of normal (ULN), alkaline phosphatase level less than twice the ULN, and aspartate transaminase (serum glutamate oxaloacetate transaminase) and alanine transaminase (serum glutamate pyruvate transaminase) levels less than 3 times the ULN.

Randomization

Eligible patients were randomized (2:1) into 2 groups: SoC plus obefazimod, 50 mg daily (the SoC-ABX464 group), and SoC plus placebo (the SoC-placebo group). After randomization, patients were stratified according to age (aged \geq 65 years or <65 years with at least 1 risk factor) and inpatient or outpatient status at the time of enrollment.

Outcomes

The primary end point was the percentage of patients who did not require use of high-flow oxygen (use of high-flow oxygen being defined as a setting of ≥ 3 L per minute with ≥ 1 Spo₂ measurement of <92%, with or without O₂ supplementation) or invasive or noninvasive mechanical ventilation within 28 days and remained alive at the end of the 28-day period.

The secondary end points during the study were as follows: proportion of patients requiring hospitalization; proportion of patients reporting each severity rating on a 7-point ordinal scale; improvement in score on the 7-point ordinal scale and time to an improvement in 1 category of the 7-point on an ordinal scale from baseline; effect of the SoC plus ABX464 on oxygen saturation before hospitalization and at the end of the study treatment; effect of the SoC plus ABX464 on immunophenotyping and cytokines levels; proportion of patients requiring oxygen supplementation (>3 L per minute) during the study; time to hospitalization (for all causes and for COVID-19-related hospitalization); time to application and duration of invasive or noninvasive mechanical ventilation or high-flow oxygen therapy or oxygen supplementation; duration of hospital stay for patients hospitalized because of consequences of COVID-19 infection; level of miR-124 expression in the blood; change over time in C-reactive protein, troponin I, and T and D-dimer levels; prevention of COVID-19-related deaths; SARS-CoV-2 infection in patients; and SpO₂ evolution from day 0 to day 14.

The safety end points comprised the number and percentages of patients with treatment-emergent adverse events (TEAEs); cumulative incidence of serious adverse events (SAEs), including serious adverse drug reactions and suspected unexpected serious adverse reactions; cumulative incidence of grade 3 and 4 adverse events (AEs); number of patients with a discontinuation or temporary suspension of study drugs (for any reason); long-



* Considering sites potential organization and the quarantine requirements in participating countries, randomization visit can be splitted into 2 separated visits. However, the interval between these 2 visits cannot exceed 48 hours to ensure patients start the study treatment at an early stage of the disease.

FIG 1. Study design. The study consisted of 3 periods: a treatment phase (randomized patients were treated for 28 days), a 21-day safety follow-up phase, and an exploratory phase consisting of follow-up of the patients' pulmonary function 3 months after the last study drug intake. From day 0 onward, randomized patients were followed by the investigational site ondays 7, 14, 21, and 28 (\pm 2 days) after randomization.

term effect of ABX464 on all-cause mortality from the first study drug intake to the 3-month follow-up visit; clinical laboratory parameters; vital signs; and electrocardiogram findings.

Interim analysis

An interim analysis was performed on the first 305 patients who completed the day 28 assessment or reached the end of the study to assess the safety of the study treatment, potentially stop the study early for futility, and reassess the total sample size. The results of the interim analysis were assessed by the study data and safety monitoring board (DSMB).

Statistical analysis

Two analysis sets were used: a safety analysis set (SAF) and a full analysis set (FAS). The SAF included all randomized patients who received at least 1 dose of study treatment, and the FAS included all randomized patients.

The primary efficacy end point was analyzed by using the Mantel-Haenszel test stratified for factors used in the randomization.

Descriptive statistics were summarized for all secondary efficacy end points and safety end points, as well as for clinical laboratory parameters, vital signs, and ECG findings.

Additional *post hoc* safety analyses were performed to compare event rates between treatment groups by using the chisquare test. Statistical analyses were carried out using SAS, version 9.4 or higher (SAS Institute, Inc, Cary, NC).

RESULTS

The study plan called for participation of approximately 800 patients, with the first patient enrolled on July 1, 2020. Following an interim analysis, the study was terminated early. Of the 509 randomized patients included in the FAS, 339 were assigned to the SoC-ABX464 group and 170 were assigned to the SoC-

placebo group. In all, 224 patients in the SoC-ABX464 group (66.9%) and 120 (70.6%) in the SoC-placebo group completed the study treatment (see Fig E1 in the Online Repository at www. jaci-global.org).

The demographic and baseline characteristics were similar among the groups. The baseline disease characteristics were generally similar among the treatment groups, with minor exception for the mean time since onset of symptoms (6.6 vs 8.1 days in the SoC-ABX464 vs SoC-placebo groups, respectively) (Table I).

Efficacy

After 305 randomized patients had completed the day 28 assessment or reached the end of this study, the estimated conditional power was 0% and the study met the predefined stopping criterion for futility (conditional power $\leq 10\%$).

Briefly, at time of the interim analysis, the ratio of responders in the SoC-ABX464 group (83.3%) was less than in the SoCplacebo group (85.3%) (Table II). There was no difference between the arms hospitalized at randomization, hospitalized after randomization, and not hospitalized. The rate of oxygen supplementation was numerically higher in the SoC-ABX464 group (23.0%) than in the SoC-placebo group (17.6%). The results of the interim analysis were assessed by the study DSMB, which deemed the study futile and recommended its termination. On the basis of the DSMB's recommendation, no further efficacy analysis was performed.

Safety

As there was no safety signal detected at the time of the interim analysis, the safety evaluation was performed at the end of the study. Overall, 505 patients were included in the SAF. A total of 757 TEAEs were reported in 289 patients (57.2%) during period 1 (Table III). TEAEs were reported significantly more often for

Disease characteristic	SoC-ABX464 (n = 339)	SoC-placebo (n = 170)
SARS-CoV-2 status, no.	338	170
Positive, no. (%)	338 (100)	170 (100)
Time since onset of symptoms (d)		
no.	336	170
Mean (SD)	8.1 (20.2)	6.6 (3.1)
Median	7.0	6.0
Risk factors, no. (%)		
Age	339	170
≥65 y	98 (28.9)	50 (29.4)
BMI, no.	334	168
≥30 (kg/m ²)	187 (56.0)	96 (57.1)
Recent history of uncontrolled high blood pressure, no. (%)	213 (62.8)	88 (51.8)
Treated diabetes (type I or II), no. (%)	115 (33.9)	46 (27.1)
History of ischemic cardiovascular disease, no. (%)	0	0
No. of concurrent risk factors		
no.	338	169
Mean (SD)	2.2 (0.9)	2.1 (0.9)
Median	2.0	2.0
SpO ₂ (visit 1)		
no.	338	170
Mean (SD)	96.7 (2.0)	96.9 (2.1)
Median	97.0	97.0
WHO ordinal scale (visit 1)	331	168
Not hospitalized, no limitations on activities	218 (65.9)	112 (66.7)
Not hospitalized, limitation on activities	46 (13.9)	22 (13.1)
Hospitalized, not requiring supplemental oxygen	54 (16.3)	28 (16.7)
Hospitalized, requiring supplemental oxygen	13 (3.9)	6 (3.6)

patients in the SoC-ABX464 group (for 206 of 335 patients [61.5%]) than for patients in the SoC-placebo group (for 83 of 170 patients [48.8%]) (P = .007). The rates of SAEs were similar among both groups. TEAEs were considered related to the study treatment for 124 patients (91 in the SoC-ABX464 group [27.2%] and 33 in the SoC-placebo group [19.4%]). In all, 25 patients (21 in the SoC-ABX464 group [6.3%] and 4 in the SoC-placebo group [2.4%]) were withdrawn from treatment on account of TEAEs (the rates between treatment groups were not significantly different [P = .055 according to the chi-square test]). During this period, 202 TEAEs occurring in at least 5% of patients were reported in 142 patients (28.1% in total [115 in the SoC-ABX464 group (34.3%) and 27 in the in SoC-placebo group (15.9%)]) (Table IV). The most frequently reported AEs in the SoC-ABX464 group were headache (14.6%), abdominal pain (9.6%), diarrhea (9.0%), back pain (6.9%), and nausea (6.0%).

Three patients died during period 1 (2 patients in the SoC-ABX464 group and 1 patient in the SoC-placebo group died of COVID-19). Several patients had laboratory values above or below the predefined normal ranges for this study, but none demonstrated clinically significantly abnormal values.

During period 2 (ie, after the end of medication treatment), a total of 73 TEAEs were reported in 42 patients (8.3%) (Table III). No TEAEs occurring in at least 5% of the patients were reported during period 2, and the occurrence of AEs, including SAEs, was

TABLE II. Response probabilities by strata overall (FAS for	
primary efficacy end point analysis)	

Condition (up to day 28)	Outcome	SoC-ABX464 (n = 203), No. (%)	SoC-placebo (n = 102), No. (%)
(a1) AND (a2)		19 (9.4)	4 (3.9)
(a1) Requires oxygen flow of ≥3 L per minute		37 (18.2)	9 (8.8)
(a2) Have ≥1 SpO ² measurement of <92%		25 (12.3)	8 (7.8)
 b) Requires mechanical ventilation (invasive, noninvasive, or extracorporeal membrane oxygenation) 		15 (7.4)	6 (5.9)
c) Death		4 (2.0)	1 (1.0)
Primary end point (combination of (a), (b), and (c))*	Response	169 (83.3)	87 (85.3)
	Nonresponse	24 (11.8)	7 (6.9)
	Missing	10 (4.9)	8 (7.8)

Percent sign indicates the percentage of patients within a criterion relative to the total number of patients in the FAS.

*Response indicates that outcome has been achieved before day 28; nonresponse indicates that outcome has not been achieved before day 28; and missing means that the patient would have been a responder but discontinued the study before day 28.

higher in the SoC-placebo group than in the SoC-ABX464 group (P < .05). Three patients in the SoC-placebo group died during this period (1 died of COVID-19, 1 died of septic shock, and 1 died of shock).

DISCUSSION

The miR-AGE study was a randomized double-blind, placebocontrolled study that evaluated the efficacy and safety of the SoC plus once-daily oral obefazimod, 50 mg (SoC plus ABX464), in treating inflammation and preventing COVID-19–associated acute respiratory failure in patients aged 65 years or older and patients aged 18 years or older who had at least 1 additional risk factor for severe COVID-19 and were infected with SARS-CoV-2.

For patients enrolled in the study, once-daily oral obefazimod, 50 mg, had no benefit over placebo when added to the SoC; as a result, the DSMB recommended stopping enrollment for futility after 509 patients (339 in the SoC-ABX464 group and 170 in the SoC-placebo group) had undergone randomization. The reasons for the lack of benefit for 50 mg of obefazimod in this trial are unknown, but the results should be interpreted in the context of a preliminary study assessing only 1 dose, which is clearly not appropriate for this population.

The negative efficacy readout of the study may be linked to a flaw in the protocol because at the time of the study's design, there was no information on when obefazimod treatment should be initiated to maximize its potential efficacy. As is the case with dexamethasone,¹⁷ the efficacy of obefazimod might be dependent on time of the initial treatment intervention. The patients in the miR-AGE study might have been receiving obefazimod too late during the infection. Obefazimod is a compound known to dampen chronic inflammation, and it does not completely suppress the immune/inflammation response. Such a mechanism of action may not be adequate to tackle an ongoing acute respiratory syndrome.

TABLE III. Overall summary of AEs (SAF)

	Group			
AE	SoC-ABX464 (n = 335) no. patients (%) no. events	SoC-placebo (n = 170) no. patients (%) no. events	Total (N = 505) no. patients (%) no. events	
Period 1: AE set on or worsens before or on day 28				
Any TEAE	206 (61.5) 549	83 (48.8) 208	289 (57.2) 757	
With grade of ≥ 3	28 (8.4) 31	17 (10.0) 21	45 (8.9) 52	
Classified as serious	34 (10.1) 38	14 (8.2) 16	48 (9.5) 54	
Leading to treatment withdrawal	21 (6.3) 21	4 (2.4) 4	25 (5.0) 25	
Leading to study discontinuation	3 (0.9) 8	0	3 (0.6) 8	
Leading to death	2 (0.6) 2	1 (0.6) 1	3 (0.6) 3	
Any serious adverse drug reaction	4 (1.2) 4	1 (0.6) 1	5 (1.0) 5	
Any (SUSARs)	4 (1.2) 4	1 (0.6) 1	5 (1.0) 5	
Period 2: AE set on or worsens after day 2	28			
Any TEAE	22 (6.6) 38	20 (11.8) 35	42 (8.3) 73	
With grade ≥ 3	1 (0.3) 1	7 (4.1) 10	8 (1.6) 11	
Classified as serious	2 (0.6) 2	6 (3.5) 9	8 (1.6) 11	
Leading to treatment withdrawal	0	1 (0.6) 1	1 (0.2) 1	
Leading to study discontinuation	1 (0.3) 1	0	1 (0.2) 1	
Leading to death	0	3 (1.8) 3	3 (0.6) 3	
Any serious adverse drug reaction	0	1 (0.6) 1	1 (0.2) 1	
Any (SUSARs)	0	1 (0.6) 1	1 (0.2) 1	

A TEAE is an AE with a start date on or after the date of dispensation of the study drug, or with a start date before the date of dispensation the study drug, the severity of which worsens on or after the date of dispensation of the study drug. A patient is presented once for each system organ class and preferred term according to their worst toxicology grade. AEs are sorted in descending frequency by system organ class, then preferred term within system organ class in the ABX464 group, then in the placebo group, and then alphabetically.

SUSAR, Suspected unexpected serious adverse reaction.

TABLE IV. TEAEs occurring in a least 5% of patients in any treatment group during	period '	1
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TEAE	SoC-ABX464m (n = 335) no. patients (%) no. events	SoC-placebo (N = 170) no. patients (%) no. events	Total (N = 505) no. patients (%) no. events
No. of patients with ≥ 1 TEAE	115 (34.3) 161	27 (15.9) 41	142 (28.1) 202
Gastrointestinal disorders	73 (21.8) 86	14 (8.2) 18	87 (17.2) 104
Abdominal pain upper	32 (9.6) 34	5 (2.9) 5	37 (7.3) 39
Diarrhea	30 (9.0) 31	6 (3.5) 6	36 (7.1) 37
Nausea	20 (6.0) 21	6 (3.5) 7	26 (5.1) 28
Nervous system disorders	49 (14.6) 51	15 (8.8) 19	64 (12.7) 70
Headache	49 (14.6) 51	15 (8.8) 19	64 (12.7) 70
Musculoskeletal and connective tissue disorders	23 (6.9) 24	4 (2.4) 4	27 (5.3) 28
Back pain	23 (6.9) 24	4 (2.4) 4	27 (5.3) 28

There was no safety concern at the time of the interim analysis, so the safety evaluation was performed at the end of the study; 505 patients were included in the SAF. Obefazimod showed a good safety profile in patients who have SARS-CoV-2 infection and are at risk for severe COVID-19. The incidences of treatmentemergent SAEs, death, and treatment discontinuation were similar among the SoC-ABX464 and SoC-placebo groups. The most frequently reported AEs in the SoC-ABX464 group, occurring in 5% or more of patients, were headache, abdominal or back pain, diarrhea, and nausea; no treatment-related changes in laboratory parameters were reported. No new safety signal of obefazimod was identified versus in the previous obefazimod trials, all of which have shown a consistent safety profile. The nature of these AEs was consistent with what has been observed in the more than 850 subjects who have thus far been treated in other clinical trials with obefazimod across different indications. Thus, phase 1 studies in healthy volunteers and a phase 2 study in patients with HIV infection have shown obefazimod to be well tolerated, with no treatment-limiting AEs^{10,11,18}; the most common AEs in patients treated for rheumatoid arthritis or UC were abdominal pain, headache, nausea, diarrhea, and nasopharyngitis.^{5,19,20} No treatment-related changes in laboratory parameters demonstrated clinically significant abnormal values.

The study has limitations. Enrollment into both arms was halted prematurely, so the rather small sample size is a limitation of the current study; however, the signal observed in the small number of patients suggests a high probability of inefficiency.

In conclusion, among patients who have SARS-CoV-2 infection and are at risk for severe COVID-19, once-daily oral obefazimod in a dose of 50 mg provided no benefit over placebo when added to the SoC, although it had a good safety profile, comparable to that reported in many therapeutic areas.

Study approval

The study was approved by the responsible independent ethics committee/institutional review board at each participating center, and it was conducted in accordance with the Declaration of Helsinki and the International Conference on Harmonization Guidelines for Good Clinical Practice. All participants provided written informed consent.

DISCLOSURE STATEMENT

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Key messages

- Obefazimod, a small molecule that modulates inflammation through the selective upregulation of miR-124 in immune cells, was administered for 28 days (50 mg once daily) to patients who had SARS-CoV-2 infection and were at risk for severe COVID-19.
- When added to the SoC, oral obefazimod (50 mg once daily) provided no benefit over placebo.
- The safety profile of obefazimod was good (comparable to that reported in other therapeutic areas).

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