

RESEARCH ARTICLE

# Plasma amyloid beta 40/42, phosphorylated tau 181, and neurofilament light are associated with cognitive impairment and neuropathological changes among World Trade Center responders: A prospective cohort study of exposures and cognitive aging at midlife

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## Abstract

**Introduction:** World Trade Center (WTC) responders are experiencing a high risk of mild cognitive impairment (MCI) and dementia, though the etiology remains inadequately characterized. This study investigated whether WTC exposures and chronic post-traumatic stress disorder (PTSD) were correlated with plasma biomarkers characteristic of Alzheimer's disease (AD) neuropathology.

**Methods:** Eligible participants included WTC-exposed individuals with a baseline cognitive assessment and available plasma sample. We examined levels of the amyloid beta (A $\beta$ )40/42 ratio, phosphorylated tau 181 (p-tau181), and neurofilament light chain

Minos Kritikos and Erica D. Diminich contributed equally to this study.

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(NfL) and associations with a WTC exposures (duration on site  $\geq 15$  weeks, dust cloud), the PTSD Symptom Checklist for Diagnostic and Statistical Manual of Mental Disorders, 4th edition PTSD, and classification of amyloid/tau/neurodegeneration (AT[N]) profiles. Multinomial logistic regressions assessed whether biomarkers predicted increased risk of MCI or dementia.

**Results:** Of 1179 eligible responders, 93.0% were male, mean (standard deviation) age 56.6 years (7.8).  $A\beta_{40/42}$ , p-tau181, and NfL intercorrelated and increased with age. In subgroup analyses of responders with available neuroimaging data ( $n = 75$ ),  $A\beta_{40/42}$  and p-tau181 were further associated with decreased hippocampal volume (Spearman's  $\rho = -0.3$ ). Overall, 58.08% of responders with dementia had  $\geq 1$  elevated biomarker, and 3.45% had elevations across all biomarkers. In total, 248 (21.05%) had MCI and 70 (5.94%) had dementia. Increased risk of dementia was associated with plasma AT(N) profile T+ or A+N+. Exposure on site  $\geq 15$  weeks was independently associated with T+ (adjusted risk ratio [aRR] = 1.03 [1.01–1.05],  $P = 0.009$ ), and T+N+ profile (aRR = 2.34 [1.12–4.87]). The presence of PTSD was independently associated with risk of A+ (aRR = 1.77 [1.11–2.82]).

**Discussion:** WTC exposures and chronic PTSD are associated with plasma biomarkers consistent with neurodegenerative disease.

## 1 | INTRODUCTION

The events of September 11, 2001 at the World Trade Center (WTC) in New York, New York, USA, have been widely documented and several groups have monitored the long-term psychiatric and disease outcomes among distinct WTC-affected populations.<sup>1–3</sup> Research reports high rates of chronic post-traumatic stress disorder (PTSD)<sup>22–25</sup> among WTC responders. WTC responders were men and women whose employment required their service or who volunteered at the site. While there, they inhaled airborne toxins, and participated in a range of high-risk activities including, for example, digging through the rubble while looking for survivors, sifting through the debris looking for remains, or working on bucket brigades.<sup>4,5</sup>

Over the past decade, studies have reported a higher than expected incidence of mild cognitive impairment (MCI),<sup>6</sup> more rapid cognitive decline,<sup>7</sup> and greater than age-expected impairments in physical functioning in WTC responders.<sup>8</sup> Recent neuroimaging work examining the reasons for these changes in WTC responders with chronic PTSD have identified reduced cortical complexity,<sup>9</sup> and evidence of cortical and hippocampal atrophy,<sup>10,11</sup> as well as widespread changes to the brain's connectome.<sup>12,13</sup> These changes raised concerns that WTC exposures and related PTSD may have accelerated brain atrophy, resulting in a neurodegenerative disease that is emerging at mid-life, 20 years after response efforts ended.<sup>12</sup> The evidence suggesting the presence of earlier than age-expected cognitive impairment (CI) and decline requires a better understanding of the nature of aging and the onset of neuropathology in this cohort. WTC responders are now at mid-life; it is therefore important to understand how these exposures might change expectations for aging in this population.

Alzheimer's disease (AD) is the most common cause of dementia worldwide and sixth leading cause of death in the United States.<sup>14,15</sup>

The neuropathological course of disease is heterogeneous and insidious with the preclinical phase of AD evident nearly three decades prior to the emergence of clinical symptoms.<sup>15</sup> With the implementation of the National Institute on Aging and Alzheimer's Association (NIA-AA) Research Framework, using a biological definition of AD to map the presence of amyloid beta ( $A\beta$ )-induced amyloidosis (A), hyperphosphorylated tau-induced neurofibrillary tangles and tauopathy (T), and neurodegeneration (N; i.e., the AT[N] biomarker profile) has become a public health priority.<sup>16,17</sup> Growing evidence supports the use of plasma biomarkers, which are cost effective and minimally invasive. However, profiles of preclinical plasma AD and neuronal injury biomarkers have not been fully characterized. For example, recent investigations have reported that plasma AT(N) biomarker classification may outperform a single biomarker in predicting conversion to AD in cognitively unimpaired older adults.<sup>18</sup> There is relatively limited information about the clinical performance of these metrics among individuals whose cognition may be diminished due to chronic stress or environmental exposure.<sup>18–24</sup>

Plasma biomarkers have increasingly been examined in relation to cognition and AD-related neuropathologic changes in the brain.<sup>25</sup> For example, prior studies have reported that reduced concentrations of plasma  $A\beta_{42}$  are associated with greater rates of cognitive decline in healthy older adults,<sup>26</sup> and conversion to all-cause dementia and AD.<sup>27,28</sup> Several studies report that higher concentrations of plasma phosphorylated tau 181 (p-tau181) correlate with neuroimaging biomarkers of AD pathology and can reliably differentiate AD neuropathologic changes from non-AD tau pathology.<sup>29,30</sup> Finally,

considerable evidence supports the use of plasma neurofilament light chain (NfL) as a marker of neuronal and axonal injury to monitor the course of disease in preclinical AD. Plasma NfL correlates with cerebrospinal fluid (CSF) NfL,<sup>31</sup> brain atrophy,<sup>21</sup> and changes in attentional control.<sup>22</sup> Some evidence suggests that plasma NfL has a high level of accuracy in the identification of neurodegeneration in younger versus older individuals, potentially suggesting that plasma NfL may be particularly informative in the detection of individuals at early stages of risk and across diseases emerging from different neurodegenerative etiologies.<sup>23</sup>

Although work to date confirms associations of CI with PTSD,<sup>32</sup> lengthier exposures to the WTC work sites,<sup>33,34</sup> and brain morphological changes,<sup>35-37</sup> existing work has not been able to clarify whether these findings are consistent with early-onset AD, a related phenotype (i.e., parietal-dominant or another AD-related dementia), or some other unspecified WTC-related neurological disorder. The goals of the present report were to test the hypothesis that plasma AT(N) pathology might help to explain the high incidence of MCI seen among high-exposure WTC responders who continue to report symptoms of WTC-related PTSD. First, owing to the limited data available on the characterization of plasma biomarkers among younger populations, we characterized plasma biomarker AT(N) profiles using the NIA-AA Research Framework and determined whether they were associated with MCI and dementia as well as neuroimaging data in the WTC cohort. Second, we examined the extent to which WTC-related stress and exposures were associated with plasma biomarker levels. Third, in subgroup analyses of responders with concurrent neuroimaging data ( $n = 75$ ), we examined associations between plasma biomarkers and AT(N) classification with region-specific brain atrophy.

## 2 | METHODS

### 2.1 | Study design and participants

In this population-based cohort, we analyzed data from 1179 adults at mid-life enrolled in the WTC Aging Study (WTC-AS) from 2014 through 2019. The WTC-AS is a longitudinal epidemiological study, with the primary purpose of determining the incidence of MCI among WTC-exposed individuals.<sup>31</sup> Additional inclusion criteria were that participants had a baseline cognitive assessment and an available plasma sample collected in 2019.<sup>32-33</sup> Of those in the WTC-AS whose blood was analyzed, 15 declined all cognitive assessments. Individuals who declined cognitive assessments were like study participants included in the present investigation ( $P = 0.168$ ).

### 2.2 | Ethics

This study was approved by the Stony Brook University Institutional Review Board (IRB #604113). All participants provided written informed consent.

## RESEARCH IN CONTEXT

- 1. Systematic Review:** On September 11, 2001, terrorists attacked the World Trade Center (WTC) in New York, New York, USA. Since then, the individuals who helped in the search, rescue, and recovery operations have been monitored for the emergence of novel conditions. A recent review reported that lengthier WTC exposures are associated with an increased risk of cognitive dysfunction and impairment. Seeking an understanding of these causes, follow-up research has noted that WTC responders with cognitive impairment have evidence of cortical atrophy in the cerebral and cerebellar cortices. Recent advances in biomarker detection have allowed researchers to examine the presence of amyloid beta 1-42 alongside phosphorylated tau 181 and neurofilament light chain to indicate potential dysregulation in Alzheimer's disease-related biomarkers. While studies are clear that exposures and subsequent medical sequelae may implicate changes in cognitive functioning, the mechanisms tying exposure to neurological changes are unclear.
- 2. Interpretation:** This cross-sectional study allowed us to follow the National Institute on Aging and Alzheimer's Association Research Framework to examine the potential role of WTC exposures in predicting changes in amyloid, tau, and neurodegeneration (AT[N]) as measured using serology. In the first study to examine AT(N) biomarkers in a large group of WTC responders, we found that serological AT(N) biomarkers were associated with both cognitive outcomes and with WTC exposures (e.g., lengthy exposures, actively laboring in the dust on site, and inhaling particulate matter from the dust cloud).
- 3. Future Directions:** WTC responders may be at high risk of experiencing a WTC-related neuropathological condition, and screening for and monitoring the progression of neurodegenerative disease may be supported. The long-term prognosis of biomarkers is not yet established; however, a follow-up study is ongoing.

### 2.3 | Outcome: plasma biomarkers

Our outcome in this study was levels of ratio  $A\beta_{40/42}$ , p-tau181, and NfL measured in plasma. Non-fasting plasma samples were collected through venipuncture in 2019 as previously described.<sup>38</sup> Briefly, we used the Simoa Human Neurology four-plex assay to measure  $A\beta_{1-42}$  (lower limit of quantitation [LLOQ] = 0.38 pg/mL),  $A\beta_{1-40}$  (LLOQ = 1.02 pg/mL), and NfL (LLOQ = 0.40 pg/mL) accompanied by Phosphorylated Tau single-assay kits (LLOQ = 0.09 pg/mL). All assays passed quality control procedures, and mean coefficients of variation (CV)

were CV = 2.35% ( $A\beta_{1-42}$ ), 2.24% ( $A\beta_{1-40}$ ), 4.40% (p-tau181), and 3.54% (NfL), making them less than 5% and therefore within acceptable ranges. A few samples (five p-tau181, one NfL, and one  $A\beta_{1-42}$ ) had analytes below the LLOQ. Due to instrumentation error, five participants (0.38%) were missing information on  $A\beta_{1-42}$ ,  $A\beta_{1-40}$ , and NfL, and 12 (0.92%) were missing information on p-tau181. We examined the impact of imputing these using the LLOQ as their values, and results were similar with or without these values so imputed values were retained. Participants with missing plasma samples did not differ in terms of demographics, cognitive status, WTC exposures, or across biomarker concentration levels compared to those whose data was successfully assayed.

## 2.4 | Classification of plasma AT(N) biomarker profiles

High levels of plasma  $A\beta_{40/42}$  ratios (A), phosphorylated tau-181 (T), and neurofilament light (N) are reported in the early stages of sporadic AD.<sup>39</sup> However, given limited available data for cut-off values across preclinical AD plasma and neuronal injury biomarkers to differentiate at risk from cognitively healthy individuals in epidemiological studies of healthy individuals at mid-life and based on earlier work from our group,<sup>38</sup> we defined cutoffs based on distribution of our sample as sex-stratified top quantiles for A+, T+, and N+ status (see Table S1 in supporting information for cutoff values).

## 2.5 | Classification of cognitive status

CI was operationalized algorithmically following standard criteria for MCI,<sup>40</sup> and dementia,<sup>41</sup> using the Montreal Cognitive Assessment (MoCA), an instrument developed to reliably identify age-related cognitive impairment.<sup>42</sup> To avoid test-retest biases resulting in increased scores on cognitive assessments, alternate test versions were used at each screening visit. Eligibility criterion used to identify MCI and dementia relies on conservative cutoff scores of 21 to 23 and  $\leq 20$ ,<sup>13</sup> respectively, consistent with current guidance in population-based cognitive monitoring studies.<sup>13,19</sup>

## 2.6 | Subgroup analysis of plasma and neuroimaging biomarkers

Data for a subsample of responders ( $n = 75$ ) with available plasma biomarkers and neuroimaging data were examined to further characterize associations between the burden of plasma AT(N) biomarker profiles with region-specific brain changes. Structural neuroimaging data were all available from previously published papers.<sup>10,11</sup> Briefly, scans were completed as part of a separate study using a Siemens 3T multimodal magnetic resonance imaging machine using a T1-MPRAGE protocol. Quantification was completed using standard imaging methods with reported mean cortical thickness, mean gray matter volume

(% of intracranial volume [%ICV]), mean hippocampal volume (%ICV), white matter hypointensity burden, and cortical complexity as measured using the fractal dimension were reported.<sup>11,36,43</sup>

## 2.7 | WTC exposures and PTSD

Participants were stratified by occupational role (supervisor, non-supervisor) reported while on site at the WTC. Duration on site has been identified as a primary risk factor for CI in this cohort and was included here as  $\geq 15$  weeks (the top quintile of exposure durations). Exposure to the dust cloud on the morning of 9/11 has been identified as the most intense and caustic period of particle and gas exposure and has been implicated in the severity of long-term health outcomes among WTC-affected populations so we included dust cloud exposure.<sup>44,45</sup>

Probable PTSD was assessed using the 17-item PTSD Checklist (PCL-C) for Diagnostic and Statistical Manual of Mental Disorders, 4th edition.<sup>46</sup> The index was created by summing symptoms (Cronbach's  $\alpha = 0.95$ ), and a cutoff of PCL  $\geq 44$  was used to determine WTC-related probable PTSD (hereafter, PTSD).

## 2.8 | Demographic and medical covariates

We adjusted for potential confounders (retrieved from monitoring visit questionnaires and medical records) in the relationship with plasma biomarkers and cognitive status, including sociodemographic characteristics (age, sex, race/ethnicity), and educational attainment. We further adjusted for medical conditions associated with increased risk of AD including history of hypertension and diabetes, as well as those that are common in this cohort (i.e., obstructive airway disease, cancer) and have been linked to cognitive decline.

## 2.9 | Statistical analyses

Our analyses had four main steps. First, we describe the study cohort using categorical variables expressed by percentages and continuous variables expressed by means (standard deviation). Second, we examined unadjusted correlations between plasma biomarkers with continuous predictors using Spearman's rho ( $\rho$ ). Third, we examined correlates of plasma biomarkers with clinical profiles. Specifically, we first used  $\rho$  to examine associations between plasma AT(N) biomarkers with structural indicators of neurodegeneration in the imaging subgroup ( $n = 75$ ). We examined the prevalence of different plasma AT(N) profiles by calculating the observed/expected ratio based on assumptions of independence and reported  $P$ -values derived from tests of proportions.

Next, we used multinomial logistic regressions adjusted for covariates to estimate multivariable-adjusted risk ratios for associations between plasma AT(N) biomarker profiles and the presence of MCI or dementia. Fourth, we examined WTC exposure-related predictors

including PTSD with AT(N) biomarker profiles by first relying on generalized linear modeling with Poisson regression using a robust standard error to estimate the relative risk of having plasma biomarkers in the top quintile. Poisson regression is preferable to logistic in situations in which outcomes are common.<sup>47</sup> Next, we supplemented these analyses using multinomial logistic regression to examine combinations between overlapping plasma biomarker profiles to characterize a preclinical AD plasma biomarker model consistent with the AT(N) research framework.<sup>3</sup> Multivariable-adjusted relative risks (aRR) and accompanying 95% confidence intervals were reported alongside *P*-values. Analyses were completed using Stata 17/MP (StataCorp).

## 2.10 | Role of the funding source

The funding agency played no role in study design, data collection, data analysis, data interpretation, or writing of the report. All authors had full access to all data in the study. SAPC had final responsibility for the decision to submit for publication.

## 3 | RESULTS

Among 1179 participants (Table 1), 1104 (93.0%) were male, with a mean age of 56.55 (7.81) at the time of blood collection. Overall, 346 (29.12%) were volunteer and construction workers, while the remainder worked as police or other security officers, and 166 (13.97%) reported working as supervisors on site at the WTC.

All four biomarkers were positively intercorrelated and increased with age (Table 2). Unadjusted associations with overall cognition were weak to moderate in size; however, we identified associations between NFL with overall cognition and processing speed with A $\beta$ 40/42 and NFL, and abstraction with A $\beta$ 40/42.

Analyses in a subset of responders (*n* = 75) with available neuroimaging data (average age = 56.1 [4.8], 17.3% female) revealed some significant associations between plasma biomarkers with region-specific brain atrophy (Figure 1). After adjusting for ICV, age, and participant sex we found that p-tau181 and A $\beta$ 40/42 were correlated with hippocampal volume.

To understand the distribution of biomarker profiles in WTC responders, we compared the prevalence of overlapping AT(N) categories to the expected prevalence in the case that biomarkers were uncorrelated (Table 3). This analysis showed that the prevalence of the overlapping categories of A+T+N+, and T+N+(A-) were relatively more common than expected while A+T+(N-) and N+(A-T-) were relatively less common than expected from chance alone.

Next, we examined risk ratios of associations between plasma AT(N) profiles and cognitive status (Figure 2). Risk of dementia was associated with the presence of plasma A+N+ (aRR = 2.70 [1.04–6.99], *P* = 0.040) and T+ (aRR = 4.60 [1.15–18.46], *P* = 0.031) profiles. Overall, 58.08% (50.88–65.04) of participants with dementia had abnormal plasma AT(N) biomarker levels.

**TABLE 1** World Trade Center responder sample characteristics.

Participant characteristic	N (%) or Mean (SD)
<b>Demographics</b>	
Age, years	57.48 (7.76)
Female sex	86 (7.29)
<b>Educational attainment</b>	
High school or less	312 (26.46)
Some college	545 (46.23)
University degree	322 (27.31)
<b>Biomarkers</b>	
Amyloid beta 40/42 ratio, pg/mL	15.87 (3.99)
Phosphorylated tau 181, pg/mL	1.70 (1.09)
Neurofilament light chain, pg/mL	10.94 (6.93)
<b>Cognitive status</b>	
Unimpaired	913 (79.39)
Mild cognitive impairment	188 (16.35)
Possible dementia	49 (4.26)
<b>WTC exposure</b>	
Untrained responder	337 (28.58)
Supervisor	163 (13.83)
Probable post-traumatic stress disorder at enrollment	129 (11.22)
≥ 15 weeks on site	253 (21.46)
Dust cloud exposure	552 (47.42)
<b>Medical comorbidities</b>	
Hypertension	325 (27.85)
Diabetes	65 (5.58)
Obstructive airway disease	55 (4.66)
Cancer	179 (15.18)

Note: Data are *N* (%), mean (SD) for the enrolled study cohort. Non-supervisors included volunteers, construction workers, police, and security officers; Probable post-traumatic stress disorder based on cut-off score of 44 on PCL-C.

Abbreviations: PCL-C, PTSD Checklist; pg/mL, picogram per millimeter; SD, standard deviation; WTC, World Trade Center.

Multivariable adjusted modeling was used to examine WTC-related exposures and PTSD as predictors associated independently with plasma biomarker levels (Table 4). Older age was associated with increased risk of higher levels of plasma p-tau181 and NFL, but not with A $\beta$ 40/42. Analyses further revealed that greater time on site and occupational role (e.g., supervisor vs. non-supervisor) were associated with increased risks of higher p-tau181.

Multivariable-adjusted multinomial logistic regression modeling was used to assess increased risks of single versus combined plasma biomarker levels with WTC-related PTSD and exposures (Table 5; different combinations of biomarkers shown in models 1–3). Overall, age was associated with increased pathology across nearly all plasma biomarker profiles. Additionally, PTSD was associated with increased

**TABLE 2** Associations of amyloid beta 40/42 ratio, phosphorylated tau 181, and neurofilament light chain biomarkers with age and cognition at baseline. Spearman's rho examining correlations between plasma biomarkers, age, and cognition at baseline in the World Trade Center responder sample. Bold figures are statistically significant ( $P < 0.05$ ), red shading shows cells with stronger associations

Characteristics	1 Age	2 $A\beta_{40/42}$	3 p-tau181	4 NfL
1 Age, years	1.000			
2 Amyloid beta 40/42 ratio	<b>0.191</b>	1.000		
4 Phosphorylated tau 181	<b>0.276</b>	<b>0.138</b>	1.000	
5 Neurofilament light chain	<b>0.553</b>	<b>0.186</b>	<b>0.353</b>	1.000
6 Montreal Cognitive Assessment score	<b>0.096</b>	0.013	0.014	0.051
7 Attention	<b>0.082</b>	<b>0.067</b>	-0.004	0.041
8 Abstraction	0.053	0.008	0.014	0.023
9 Episodic memory	<b>0.072</b>	0.001	0.002	0.044
10 Processing speed	<b>0.152</b>	<b>-0.068</b>	<b>-0.019</b>	<b>0.057</b>
11 Calculation	<b>0.097</b>	0.033	0.026	0.013
12 Language	0.041	-0.020	0.039	0.005
13 Executive function	-0.005	0.024	0.027	0.031
14 Orientation	<b>0.092</b>	0.034	0.006	0.038
15 Visuospatial function	<b>0.062</b>	0.010	0.030	0.023

Abbreviations:  $A\beta_{40/42}$ , ratio of levels of amyloid beta 1-40 to amyloid beta 1-42; NfL, neurofilament light chain; pg/mL, picogram per milliliter; p-tau181, levels of phosphorylated tau 181.

ATN Biomarker	Cortex, mm	Gray Matter, ICV%	White Matter Hypointensity Burden	Hippocampal Volume, %ICV
B-Amyloid 40/42 Ratio	-0.11	-0.17	-0.04	<b>-0.26</b>
Phosphorylated-Tau-181, pg/mL	-0.03	-0.06	0.07	<b>-0.28</b>
Neurofilament-Light, pg/mL	-0.14	-0.11	0.06	-0.13

**FIGURE 1** Associations of amyloid beta ( $A\beta$ )40/42 ratio, phosphorylated tau 181, and neurofilament light chain biomarkers with neuroimaging regions of interest. Spearman's rho showing associations between the distribution of plasma ratio  $A\beta_{1-40/42}$  (A), phosphorylated tau 181 (T), and neurofilament light chain (N) biomarker levels with region-specific brain atrophy among a subset of participants ( $n = 75$ ) with available neuroimaging data. pg/mL, picogram per millimeter. Black typeface shows trends ( $P < 0.10$ ); bold typeface shows nominally statistically significant ( $P < 0.05$ ); italicized numbers are those determined to be statistically significant after accounting for the false discovery rate. Blue versus red coloring shows direction of relationship, while hue shows strength of the relationship. Associations have all been adjusted for age, sex, and intracranial volume (ICV).

risk of plasma A+ biomarker status. WTC exposure on site, reported as  $\geq 15$  weeks at the WTC, was associated with increased risk of plasma T+N+ status. Although not reported, while most medical comorbidities were not significantly associated with plasma biomarker levels or plasma AT(N) biomarker profiles, a history of diabetes was associated with both the presence of N+ (aRR = 2.85 [1.30–6.26],  $P = 0.009$ ) and T+N+ (aRR = 3.01 [1.30–7.01],  $P = 0.010$ ) status.

## 4 | DISCUSSION

There is an increasing interest in understanding the utility of plasma-based biomarkers in different populations and in the monitoring of AD and AD-related dementias (ADRD). To our knowledge, this is the first and largest population-based study to characterize preclinical AD

and neuronal injury plasma biomarkers with cognitive status at mid-life among a well-characterized cohort at high risk of aging-related diseases. We used the NIA-AA Research Framework to create plasma biomarker AT(N) classification and found that plasma T+ and A+N+ profiles were associated with increased risk of dementia in WTC responders. Next, we identified distinct plasma AT(N) biomarker profiles consistent with neurodegenerative disease that were significantly associated with WTC-related exposures and PTSD.

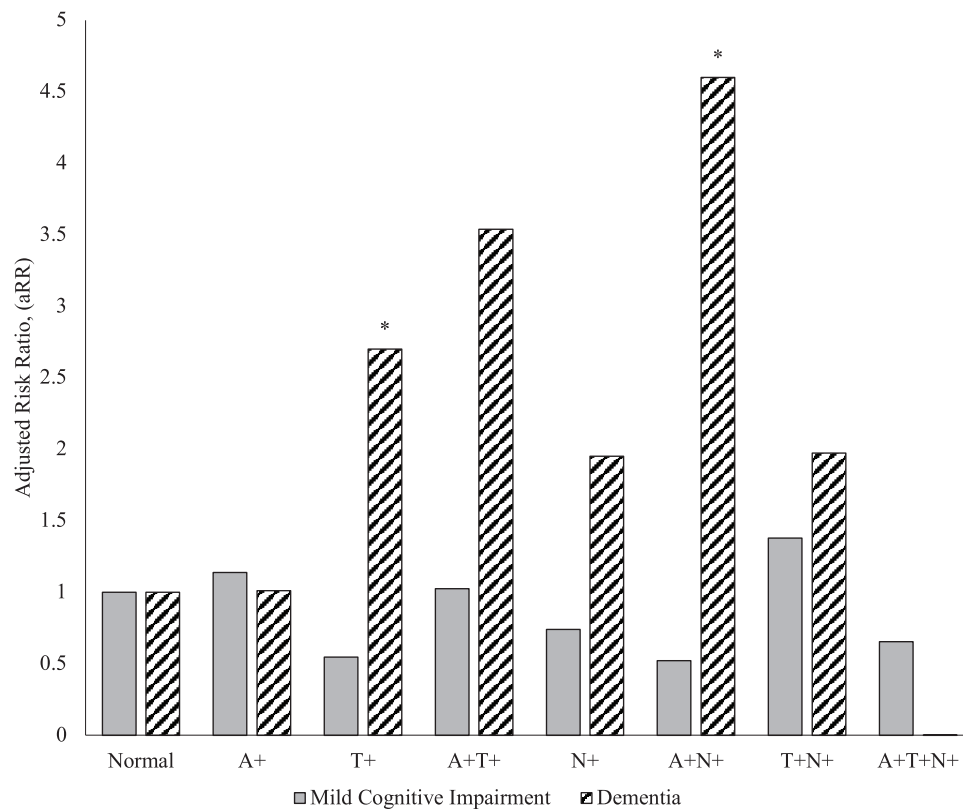
Among individuals who more than 20 years since 9/11 met screening criteria for WTC-related probable PTSD, we found that PTSD was further associated with distinct plasma AD biomarker profiles. Animal models have reported that stress accelerates  $A\beta$  production<sup>48</sup> and population-based studies have indicated that PTSD increases vulnerability to oxidative stress, in turn increasing risk of dementia.<sup>49,50</sup> The association we report here is consistent with this research. Although

**TABLE 3** Prevalence of amyloid beta 40/42 ratio, phosphorylated tau 181, and neurofilament light chain in sample.

Amyloid status	Tau status		Neurofilament status	
			N+	N-
A+	T+	%	3.45% (2.6–4.7)	1.87% (1.29–2.85)
		O/E, P	<b>4.32, P &lt; 0.001</b>	<b>0.58, P = 0.013</b>
	T-	%	3.55% (2.68–4.8)	11.67% (10–13.67)
		O/E, P	1.11, P = 0.518	0.91, P = 0.269
A	T+	%	5.32% (4.22–6.8)	9.52% (8.02–11.38)
		O/E, P	<b>1.66, P &lt; 0.001</b>	<b>0.74, P = 0.001</b>
	T-	%	7.66% (6.32–9.36)	56.96% (54.15–59.81)
		O/E, P	<b>0.60, P &lt; 0.001</b>	1.11, P = 0.998

Notes: Bold typeface shows statistically significant results. P-values were derived from tests of proportions examining the hypothesis that the cell proportion was different from expected depending on random chance.

Abbreviations: A+, amyloid beta 40/42 ratios in the top sex-specific quintile; N+, neurofilament light chain results in the top sex-specific quintile; T+, phosphorylated tau 181 results in the top sex-specific quintiles. A-, T-, and N- indicate results that are not in the positive category.



**FIGURE 2** Associations of plasma AT(N) biomarker status amyloid beta (A $\beta$ )40/42 ratio, phosphorylated tau 181, and neurofilament light chain biomarkers with cognitive status. Degree of association between the plasma A $\beta$ 40/42 (A), phosphorylated tau 181 (T), and/or neurofilament light chain (N) profiles and cognitive status of mild cognitive impairment or dementia among participants compared to the lowest risk group.

\*P < 0.05. A+ indicates that A $\beta$ 40/42 ratios were in the top sex-specific quintile. T+ indicates that plasma phosphorylated tau 181 results were in the top sex-specific quintile. N+ indicates that plasma neurofilament light results were in the top sex-specific quintile. Model reports relative risks derived from multinomial logistic regression models estimating the risk of mild cognitive impairment and dementia adjusting for age, sex, race/ethnicity, and education.

**TABLE 4** Multivariable-adjusted risk ratios showing degree of association between World Trade Center related PTSD and exposures with plasma AT(N), A $\beta$ <sub>40/42</sub> ratio (A), phosphorylated tau 181 (T), and neurofilament-light (N) status.

Characteristics	Model 1 Amyloid beta 40/42 (A+), ratio			Model 2 Phosphorylated tau 181 positive (T+), pg/mL			Model 3 Neurofilament light positive (N+), pg/mL		
	aRR	95% CI	P	aRR	95% CI	P	aRR	95% CI	P
Age, years	<b>1.044</b>	<b>1.03–1.06</b>	<b>&lt;0.001</b>	<b>1.059</b>	<b>1.04–1.08</b>	<b>&lt;0.001</b>	<b>1.114</b>	<b>1.1–1.13</b>	<b>&lt;0.001</b>
≥15 weeks on-site	1.000	0.97–1.03	0.982	<b>1.031</b>	<b>1.01–1.05</b>	<b>0.009</b>	1.001	0.98–1.03	0.936
PTSD	<b>1.028</b>	<b>1.00–1.06</b>	0.089	1.012	0.98–1.05	0.504	1.018	0.98–1.05	0.323
Non-supervisory role	1.049	0.73–1.51	0.795	<b>1.733</b>	<b>1.09–2.75</b>	<b>0.020</b>	1.027	0.73–1.44	0.878
Dust cloud	1.226	0.94–1.59	0.127	0.815	0.61–1.08	0.157	1.010	0.77–1.32	0.944

Note: Bold typeface shows statistically significant results.

Abbreviations: 95% CI, 95% confidence interval; A+, those with elevated A $\beta$ <sub>40/42</sub> ratio; A $\beta$ , amyloid beta; aRR, adjusted risk ratio, models adjusted for demographics including age, race/ethnicity, sex, and education; N+, those with elevated neurofilament light chain; PTSD, post-traumatic stress disorder; Occupation role, responders self-reported classification as supervisor/non-supervisor while on site at the World Trade Center; T+, those with elevated phosphorylated tau 181.

**TABLE 5** Multivariable-adjusted risk ratios between World Trade Center exposures with plasma AT(N) biomarker classification based on combinations of AT(N).

AT(N) status	Age, years		≥15 weeks on site		Post-traumatic stress disorder		Non-supervisory role		Dust cloud	
	aRR	95% CI	aRR	95% CI	aRR	95% CI	aRR	95% CI	aRR	95% CI
A+	0.99	0.96–1.01	1.50	0.84–2.67	<b>1.77</b>	<b>1.11–2.82</b>	1.13	0.67–1.89	1.16	0.83–1.61
T+	<b>1.03</b>	<b>1.00–1.07</b>	1.63	0.79–3.32	1.03	0.50–2.10	1.76	0.86–3.61	0.68	0.44–1.06
A+T+	1.05	0.99–1.12	2.65	0.84–8.34	–	–	5.66	0.73–43.84	1.48	0.67–3.25
N+	<b>1.16</b>	<b>1.12–1.20</b>	1.81	0.93–3.50	0.68	0.30–1.52	1.24	0.69–2.24	0.83	0.54–1.29
A+N+	<b>1.18</b>	<b>1.11–1.26</b>	0.41	0.05–3.26	2.14	0.74–6.18	0.71	0.24–2.08	1.16	0.50–2.73
T+N+	<b>1.22</b>	<b>1.18–1.27</b>	<b>2.34</b>	<b>1.12–4.87</b>	1.22	0.55–2.72	1.86	0.90–3.86	0.85	0.50–1.42
A+T+N+	<b>1.30</b>	<b>1.14–1.49</b>	5.49	0.71–42.42	3.30	0.26–42.06	0.68	0.11–4.22	1.85	0.34–10.02

Note: Bold typeface shows statistically significant results. Models additionally adjusted for sex, educational attainment, race/ethnicity, diabetes, hypertension, obstructive airway disease, and cancer. Results labeled with a dash did not converge.

Abbreviations: 95% CI, 95% confidence interval; A+, amyloid beta 40/42 ratios in the highest sex-specific quintile; aRR, multivariable-adjusted risk ratio derived from multinomial logistic regression; N+, neurofilament light levels in the highest sex-specific quintile; T+, phosphorylated tau 181 levels in the highest sex-specific quintile.

we did not find evidence of associations for PTSD with other plasma A+ profiles including A+T+, there was a trend toward potential classification of plasma A+N+ status. Additional longitudinal studies are needed to determine whether plasma A+ profiles among WTC responders with PTSD are pathogenic.

In the present study, we extend previous work in which we identified that decreases in plasma A $\beta$ <sub>42</sub> were associated with increased risk of CI.<sup>51</sup> Using the NIA-AA Research Framework, we now demonstrate that profiles of plasma biomarkers consistent with the AT(N) classification system are associated with CI and WTC exposures. A $\beta$ <sub>40/42</sub> has been previously associated with conversion to all-cause dementia and AD.<sup>52</sup> Similar to CSF, plasma p-tau181 has a high degree of sensitivity and specificity in discriminating AD dementia,<sup>53</sup> with several studies reporting higher p-tau181 in AD versus healthy controls.<sup>54</sup> Together, our results suggest that A $\beta$ <sub>40/42</sub>, p-tau181, and NfL may be associated with an early-onset dementia among younger populations, with similar

molecular mechanisms to AD. Preclinical phases of possible AD pathology are suspected to begin around 40 years of age; however, profiles of preclinical AD plasma biomarkers in younger populations have not been well characterized. Thus, although some evidence indicates that early-onset AD may be exacerbated by tauopathy, the temporal course of tau and amyloid in this population remains largely unknown.

Extending prior plasma AT(N) research with WTC responders, we here found that the duration of time on site at the WTC was associated with increased risk of plasma AT(N) biomarker profiles and with T+N+ profiles in particular.<sup>16</sup> Previous studies have reported that plasma NfL strongly correlates with CSF NfL,<sup>31</sup> is associated with decline in hippocampal volume and cortical thickness,<sup>29</sup> and several reports support the utility of plasma NfL as a reliable staging biomarker in pre-symptomatic AD.<sup>21</sup> Related to the present investigation, emerging studies further support the utility of plasma NfL as a promising biomarker in monitoring AD progression among younger



at-risk individuals.<sup>23</sup> Specifically, among individuals aged 65 years and younger, plasma concentrations of NfL were helpful in differentiating neurodegeneration among younger versus older controls.<sup>23</sup>

#### 4.1 | Limitations

Our study has several limitations. First, apolipoprotein E genotyping to identify carriers and non-carriers of the  $\epsilon 4$  allele, the most significant risk factor associated with AD, was not available at the time of this study. Second, the plasma biomarkers in the present report have only been validated among older populations; thus, determining a threshold for cut points was challenging among younger individuals at mid-life. Third, WTC-related PTSD symptoms were assessed with a self-reported rating scale. Although the PCL is a well-validated measure of PTSD extensively used for screening of individuals with probable PTSD, it does not confirm diagnosis. Importantly given the complex and synergistic effects of occupational, neurotoxic, and psychological exposures that WTC-exposed individuals endured, plasma biomarkers levels of amyloid and neuronal injury may not be applicable to same-aged non-WTC-exposed populations. We did not characterize these plasma biomarkers in a same-age, sex-matched non-WTC-exposed control group. Furthermore, although we found that the plasma A+T+N+ profile was more common than expected and was associated with decreased hippocampal volume among responders with neuroimaging data ( $n = 75$ ), we may be underpowered to further characterize these results owing to sample size limitations. Nonetheless, given the large numbers of WTC-exposed survivors who did not participate in rescue and recovery efforts, we believe that it is in WTC monitoring programs and similar research settings that these plasma biomarkers have the greatest clinical significance.

## 5 | CONCLUSION

In the two decades since 9/11, PTSD, an identified correlate of aging-related diseases including dementia,<sup>49</sup> remains the most frequently endorsed psychiatric condition among WTC-exposed populations. Emerging research further indicates that responders are experiencing a higher risk of MCI earlier in life than expected.<sup>32</sup> Ongoing efforts within our group are attempting to characterize the neurobiological etiology for these observations, as identifying the underlying pathogenesis would enable the development of targeted clinical interventions to slow the progression of neurodegeneration. In this study, we applied the NIA-AA Research Framework to the study of WTC responders with available plasma biomarkers characteristic of AD neuropathology and found that a variety of diagnostic phenotypes were relatively more common in this cohort than expected, including the plasma A+T+N+, and T+N+ phenotypes. Additionally, we found that the duration on site at the WTC was associated with increased risk of T+ status and with T+N+(A-) in particular. Additionally, we found that probable PTSD was associated with plasma A+(T-N-) status. These results may suggest the presence of a non-amyloid exposure-related

tauopathy (T+N+[A-]) among high exposure responders and highlight the potential for an AD-like condition (A+[T-N-]) among responders with chronic PTSD. Our findings using novel plasma biomarkers provide additional evidence in support of an ongoing neuropathological process that does not necessarily fit the NIA-AA, AD research framework of AT(N) biomarkers, yet mirrors the complex neurotoxic exposures and WTC-related stressors endured by responders.

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#### CONFLICTS OF INTEREST STATEMENT

The authors declare no conflicts of interest. Author disclosures are available in the [supporting information](#).

#### DATA AVAILABILITY STATEMENT

All data in this study are considered private health information. A limited dataset for this study can be made available to any institutionally affiliated researcher after execution of a data usage agreement. Interested parties should contact Dr. Clouston ([sean.clouston@stonybrookmedicine.edu](mailto:sean.clouston@stonybrookmedicine.edu)) for details about this process.

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## SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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