



Efficacy of an allograft cellular bone matrix as an alternative to autograft in anterior cervical discectomy and fusion: radiological results & safety

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Background: The predominant surgical procedure employed for patients with symptomatic cervical radiculopathy is anterior cervical discectomy and fusion (ACDF). ACDF typically involves the use of an interbody cage augmented with iliac crest bone graft (ICBG) or local autograft to enhance fusion rate. Substantial complications can arise from autograft use, including donor site morbidity, difficulties with ambulation, and diminished quality of life. This study aims to evaluate the effectiveness and safety of an allograft cellular bone matrix (ACBM) as an osteopromotive bone, in ACDF procedures.

Methods: This retrospective, single-center, consecutive case series included 73 patients who underwent an ACDF procedure. The surgical procedure involved the placement of an interbody cage supplemented with anterior plate fixation and an ACBM within the interbody spacer. Patient charts were reviewed to gather demographic information, radiographic findings, as well as perioperative and post-operative complications. Radiographic fusion was assessed at 6 and 12 months by a blinded, musculoskeletal-trained radiologist and a board-certified spinal surgeon reviewer. Any discrepancies were settled by a third, senior reviewer. Complete fusion was defined as: evidence of bridging bone across the disc space on CT, angular motion <3 degrees, and translational motion <2 mm on lateral radiographs. Complications were analyzed at 6, 12, and 15+ months post-operatively to assess clinical outcomes and device performance.

Results: A total of 73 patients (50 males, 23 females) with an average age of 54.6 (range, 31–77) years underwent an ACDF procedure between C3-T1 with an ACBM. The breakdown of levels operated on was 26%, 32%, 34%, and 8% for one, two, three, and four level procedures, respectively. There were three patients who received spinal injections for pain within the first year post-operatively. There were two patients who required secondary surgery within the first 12 months where supplemental posterior hardware was needed. Notably, there were no instances of cage subsidence, cage migration, cage/graft removal, or reoperation. There were no cases of chronic dysphasia. At 6 months, 45% of patients with available imaging demonstrated complete fusion, while 97.4% of patients with available imaging demonstrated complete fusion at 12 months.

Conclusions: At the 12-month follow-up, our study demonstrates a high fusion rate in a real-world population of up to 4 operative levels. There were no bone graft related complications or incidences of cage

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migration/subsidence. It is noteworthy that the study involved a significant number of multilevel cases (74% of cases). Despite this, our results align with historical fusion rates and provide support for the utilization of ACBMs as a fusion adjunct in ACDF procedures up to 4 levels.

Keywords: Anterior cervical discectomy and fusion (ACDF); allograft; cellular bone matrix; cervical spine; spinal fusion

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Introduction

Spinal fusion is commonly used to treat a variety of degenerative, traumatic, and malignant spine pathologies. Over the last decade, the number of individuals diagnosed with a degenerative cervical spine condition in the United States increased by 42% from 3.2 to 6.2 million annually (1,2). Approximately 25% of individuals who are afflicted by degenerative cervical spine conditions elect to undergo

surgical intervention, most commonly anterior cervical discectomy and fusion (ACDF), within the first 3 months of diagnosis (3,4). As a result, the utilization of ACDF procedures has escalated over recent decades, a trend that is forecasted to persist through 2040 (5-9).

During ACDF procedures, a variety of bone grafting materials can be employed to achieve successful fusion (10,11). Historically, autologous iliac crest bone graft (ICBG) or local autograft have been considered the gold standard for grafting material due to three distinct properties: (I) osteoinductive molecules that promote bone growth; (II) osteogenic cells to facilitate fusion; and (III) an osteoconductive matrix to serve as a medium for bone growth (12-14). Nevertheless, the use of autografts entails drawbacks such as prolonged procedure time, uncertain bone quality, and morbidity at the graft site (13-16). Heneghan and McCabe (14) reported that 38% of patients who underwent ICBG harvest experienced significant pain at the donor site and lower quality of life at 3 months post-ACDF, compared to the allograft group. Additionally, Sasso *et al.* (13) documented persistent pain at the donor site in 31% of patients 24 months following spinal fusion with ICBG. Recently, there have been advances in ICBG harvest by utilizing the trephine technique, which has demonstrated minimal post-operative pain and complications (15,16). Although promising, there is still concern that procedures which avoid donor site morbidity (local autograft & trephine technique) may result in insufficient volume of graft, especially for older patients with lower quality bone in need of multilevel procedures (17). Overall, there remains a need to offer additional grafting options for spinal fusion surgeries, particularly for patients with low-quality bone in need of multilevel procedures.

Numerous products have been investigated as alternatives to autograft for spinal fusion. Allograft materials like demineralized bone matrix (DBM) have been associated with increased infection rates and lower fusion rates (18-20).

Highlight box

Key findings

- Of the 53.4% of patients completing 12 months follow-up, the overall fusion rate was 97.4% (1-level: 100%, 2-level: 100%, 3-level: 92.3%, and 4-level: 100%). For the 12-month effective (completers and non-completers) fusion rate if we consider all lost to follow-up based on their 6-month status, the lower-bound fusion rate for the entire cohort was 71%.
- The incidence of surgery-related and device-related complications was low, with only 2 patients (2.7%) requiring secondary surgeries.

What is known and what is new?

- Autograft is the most used bone graft for spinal fusion surgeries due to its osteogenic, osteoconductive, and osteoinductive qualities. However, the use of autografts entails drawbacks such as prolonged procedure time, uncertain bone quality, and morbidity at the graft site.
- This study demonstrates that allograft cellular bone matrices (ACBMs) may serve as a safe, effective alternative for autograft as a fusion-promoting agent in anterior cervical discectomy and fusion (ACDF) procedures up to four levels.

What is the implication, and what should change now?

- ACBM should be considered as an alternative grafting option in single and multilevel ACDF procedures, especially with patients suspected to have poor bone quality.
- Future investigations are needed to prospectively compare fusion efficacy, clinical outcomes, and safety of ACBMs to autograft in spinal fusion surgeries.

Recombinant human bone morphogenetic protein 2 (rhBMP-2) use has resulted in high fusion rates, however, the product is not commonly used in the cervical region due to significant risk for neck edema, dysphagia, radiculitis, vertebral osteolysis, and heterotopic ossification (21-25). To date, no graft or osteobiologic has consistently exemplified the efficacy and safety to replace ICBG or local autograft as the mainstay graft option for ACDF procedures (26-28).

To establish the ideal bone graft material which includes osteogenic, osteoinductive, and osteoconductive properties, while minimizing autograft associated complications, allograft cellular bone matrices (ACBMs) have been developed. These products are composed of high quality allogenic bone that contains live cellular components like mesenchymal stem cells (MSCs) and osteoprogenitor cells (OPCs) (29,30). Despite recent investigations into the use of ACBMs as fusion adjuncts in spinal fusion, this field of research is still in its nascency, particularly in ACDF procedures (12,31-34). Two systematic reviews concluded that ACBMs are viable graft options due to their high fusion rates and low rates of complications, yet additional non-industry funded, randomized trials are needed (20,35). Two prospective studies demonstrated high safety and efficacy of the Trinity Evolution[®] ACBM (Musculoskeletal Transplant Foundation, Edison, NJ, USA) in single and two-level ACDFs (31,32). This is the first reported study on the third-generation Trinity Elite[®] (TE) ACBM (Orthofix, MTF Biologics, Edison, NJ, USA) in ACDFs. TE manufacturing is believed to yield a twofold increase in verified adult stem cells, a hydrophilic scaffold for optimal bone growth, and better handling compared to previous versions (36). The aim of this study was to evaluate the radiological outcomes and safety profile of the TE ACBM in ACDF procedures, specifically exploring its efficacy in three and four-level ACDFs. We present this article in accordance with the STROBE reporting checklist (available at <https://jss.amegroups.com/article/view/10.21037/jss-23-142/rc>).

Methods

Study design & population

This retrospective, single-center, consecutive case series aimed to evaluate the safety and effectiveness of the TE ACBM used in ACDF procedures between 2019 and 2021. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was

approved by the Hospital for Special Surgery IRB (No. 2023-1567). Participants did not have to give informed consent due to the retrospective nature of the study it was determined there was no risk to the patient.

Seventy-three patients with clinical and/or radiological evidence of symptomatic degenerative cervical spine disease who underwent ACDF with anterior plate fixation and TE at 1 to 4 contiguous levels were included. Patients were excluded if they had a prior attempt of fusion at the same level of the current procedure, autoimmune disease, active malignancy, or less than 6-month radiologic follow-up. Patient charts were reviewed to collect demographic information, medical comorbidities, and smoking status. Pertinent intra- and post-operative data, such as estimated blood loss (EBL), operative time, and post-operative length of stay, were also recorded. Post-operatively, all complications, epidural spinal injections, and secondary surgical procedures were collected to 15 months (12-month window limit). Complications were categorized as device or surgery related.

Allogenic cellular bone matrix

The TE ACBM is a flexible putty-like allograft that contains cancellous bone, viable MSCs and OPCs, and bone-promoting growth factors. The ACBM has a confirmed cell viability of 70% or greater with a minimum of 750,000 cells/cc of which 250,000 are MSCs or OPCs. The product is cryopreserved and stored in liquid nitrogen at -185 degrees Celsius. To prepare the ACBM for use during surgery, the product was placed unopened in a water bath containing warm sterile irrigant (saline or 5% Dextrose in Lactated Ringer's Solution; 35 to 39 °C; 95 to 102.2 °F) to thaw. Once thawed (approximately 30 minutes), we decanted the cryopreservation solution and added 5% Dextrose in Lactated Ringer's Solution to the indicated fill line. The product was mixed with all available morselized local bone packed within the interbody cage and implanted within 2 hours of thawing to ensure optimal cell viability. For single and two-level cases, the majority of cases (95%) utilized a small (1.2 cc) ACBM. For 3-level cases, 52% of cases utilized a small and 48% of cases used a medium (5.3 cc). In 4-level cases, all utilized a medium.

Surgical technique

All surgical procedures were conducted by one of two board-certified spine surgeons. The standard Smith-

Robinson approach was employed. For the interbody fusion, a poly-ether-ketone-ketone (PEKK) interbody cage (Xtant, Belgrade, MT, USA) or a titanium truss interbody cage (4webMedical, Frisco, TX, USA) was utilized. These interbody cages were specifically utilized because of their hydrophilic properties. Additionally, both cages are three-dimensionally printed to have a surface topography conducive to bone on-growth. The interbody cages were meticulously packed with TE ACBM/local bone and placed within the intervertebral space. All procedures were supplemented with anterior plate fixation.

Radiographic assessment

The primary outcome measure was radiographic fusion rate at 6 and 12 months. Fusion rate was calculated as a percentage based on the number of patients with available imaging studies at that specific time. All radiographic measurements were performed using the institution's PACS (Sectra-Workstation, Version 24.1) by a blinded, musculoskeletal-trained radiologist and a board-certified spinal surgeon reviewer. Any discrepancies were settled by a third, senior reviewer. To assess fusion, upright lateral flexion/extension radiographs and CT scans were utilized at the six-month and one-year follow-up intervals. Complete fusion was defined as: evidence of bridging bone across the disc space on CT, angular motion <3 degrees, and translational motion <2 mm on lateral radiographs. Fusion was only considered successful if all three of the criteria were achieved.

Segmental height was measured on plain radiographs as the distance from the most anterior and posterior points of the cephalad and caudal vertebral body, and the measurements were subsequently averaged. Subsidence was determined as a loss of segmental height >3 mm between the immediate and one-year post-operative follow up. Similarly, migration was defined as >3 mm displacement of the cage between the immediate and one-year post-operative follow-up. If subsidence and/or migration occurred, the segment could not be considered fused.

Safety assessment

All adverse events reported in the medical charts were evaluated for severity and causality (device-related or surgery-related) out to 15 months post-operatively. Occurrences of persistent neck and/or arm pain, paresthesia, weakness, and dysphagia were recorded. Device-related

complications included migration, subsidence, or implant breakage. Secondary surgical procedures were classified as reoperation (procedure at the index level that does not involve modification of the device), revision (any procedure that adjusts, modifies, or removes part of the original implant configuration), removal (entire construct removed), or supplemental fixation [additional hardware is implanted at index level(s)].

Literature search

A literature search was conducted to establish a comparable historical control using PubMed with search terms for ACDF, anterior plate fixation, interbody cage, poly-ether-ether-ketone (PEEK), PEKK, titanium (Ti), and single-level, or multilevel cases. To be included, publications must have reported a single-level or multilevel ACDF procedure using a combination of PEEK, PEKK or Ti cage with supplemental anterior fixation. The evidence level, procedure type, graft material, study sample demographics, fusion assessment method, 12-month fusion rate, and complications (within 24 months) were recorded. Publications that were excluded were those that did not utilize anterior plate fixation, did not report fusion assessment method, and included ACDF procedures greater than four levels. The objective of this literature search was to provide context for our patient outcomes using ACBMs as the primary grafting option, in comparison to studies employing various alternative grafting options (autografts, allografts, BMP-2, and other ACBMs) in single- and multilevel ACDFs.

Statistical analysis

Demographic data, perioperative data, fusion rates, and surgical and device complications were quantified by the mean and standard deviation (SD). All statistical analyses, tables, and figures were generated using Excel (version 16.67, Microsoft Corporation).

Results

A total of 73 subjects (164 levels) were included in the study. The majority of cases were multilevel with 26% one-level, 32% two-level cases, 34% three-level cases, and 8% being four-level cases (*Figure 1*). At the 6-month follow-up, 69 individuals (94.5%) completed the radiological assessment. At 12 months, 39 (53.4%) individuals completed the radiological assessment. Regarding 12-month patient

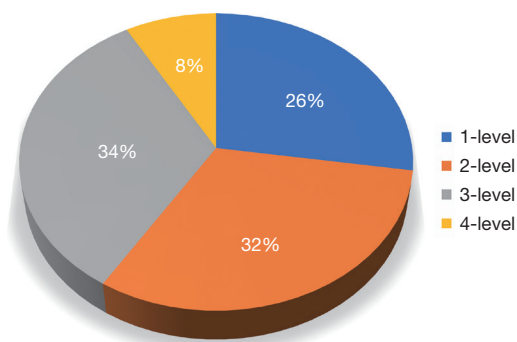


Figure 1 Distribution of one, two, three, and four level ACDF cases in our cohort. ACDF, anterior cervical discectomy and fusion.

accountability, there were no reported deaths, two patients underwent supplemental fixation in the first 12 months post-operatively thereby excluding them from the 12-month fusion assessment, 13 patients were fused at 6 months and did not seek additional follow-up, and 19 did not return for follow-up.

Demographic data

As depicted in *Table 1*, the mean age of the patient cohort was 54.6, with a range from 31 to 77 years of age. The cohort comprised of 50 (68.5%) males and 23 females (31.5%). The average body mass index (BMI) was 28.9 (± 4.9) kg/m². In terms of BMI classification, 13 (17.8%) patients were classified as normal weight, 33 (45.2%) patients were categorized as overweight, and the remaining 27 (37.0%) patients were obese. Among the cohort, 4 patients (5.5%) were current smokers, 22 subjects (30.1%) were former smokers, and 47 patients (64.4%) were nonsmokers. Perioperative characteristics included a median post-operative length of stay of 2 days, a median EBL of 40 mL, and a median operative time of 160 minutes (*Table 1*).

Fusion rates

Of the 94.5% of patients completing 6 months follow up, the overall fusion rate was 45% (1-level: 50%, 2-level: 39.1%, 3-level: 54.5%, and 4-level: 16.7%, *Figure 2*). Of the 53.4% of patients completing 12 months follow-up, the overall fusion rate was 97.4% (1-level: 100%, 2-level: 100%, 3-level: 92.3%, and 4-level: 100%, *Figure 3*). For the 12-month effective (completers and non-completers) fusion rate, if we consider all lost to follow-up based on their 6-month status, the lower-bound fusion rate for the entire cohort was 71%.

Table 1 Patient demographics and perioperative data

| Demographic/perioperative category | Amount (n=73) |
|------------------------------------------------------|---------------|
| Sex, n (%) | |
| Male | 50 (68.5) |
| Female | 23 (31.5) |
| Age (years), mean (SD) [range] or n (%) | |
| ≤50 | 25 (34.2) |
| >50 to <65 | 37 (50.7) |
| ≥65 | 11 (15.1) |
| BMI (kg/m ²), mean (SD) [range] or n (%) | |
| Normal (18–24.9) | 13 (17.8) |
| Overweight (25–29.9) | 33 (45.2) |
| Obese (≥30) | 27 (37.0) |
| Smoking status, n (%) | |
| Current | 4 (5.5) |
| Former | 22 (30.1) |
| Never | 47 (64.4) |
| Operated levels, mean (SD) | |
| Vertebral levels | 2.25 (0.9) |
| Perioperative data, median [IQR] | |
| Estimated blood loss (mL) | 40 [25–50] |
| Operative time (minutes) | 160 [130–180] |
| Length of stay (days) | 2 [1–3] |
| Graft volume (mL), mean | |
| 1-level | 1.6 |
| 2-level | 1.2 |
| 3-level | 3.2 |
| 4-level | 5.3 |
| Total | 2.2 |

SD, standard deviation; BMI, body mass index; IQR, interquartile range.

Only one case, a 3-level patient was assessed as not fused at 12 months. Conversely, the radiographic progression for a patient who underwent a successful 3-level fusion with symptomatic relief is shown in *Figure 4A-4D*.

Surgical and device complications

There were no cases of migration or subsidence of the cages

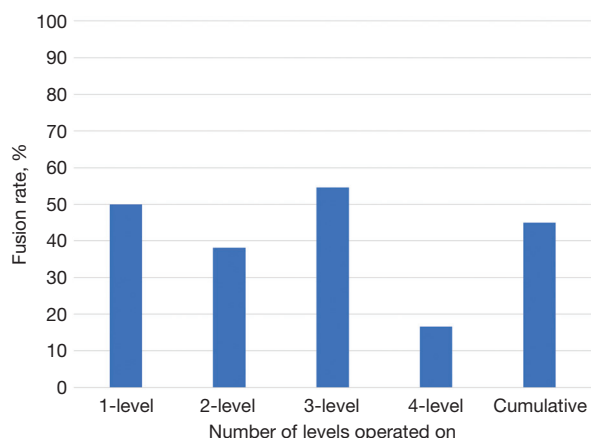


Figure 2 Percentage of patients with available imaging (n=69) who demonstrated fusion at 6 months, stratified by number of levels operated on.

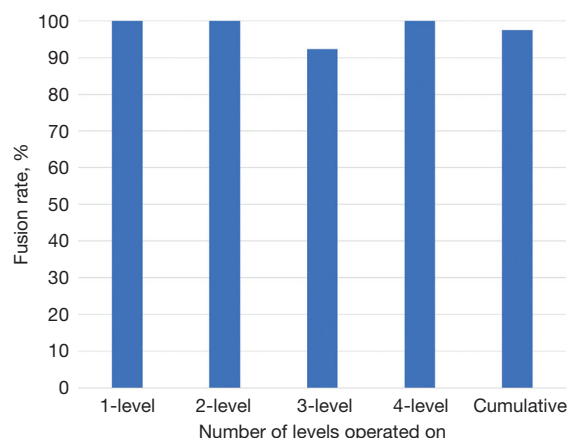


Figure 3 Percentage of patients with available imaging (n=39) who demonstrated fusion at 12 months, stratified by number of levels operated on.

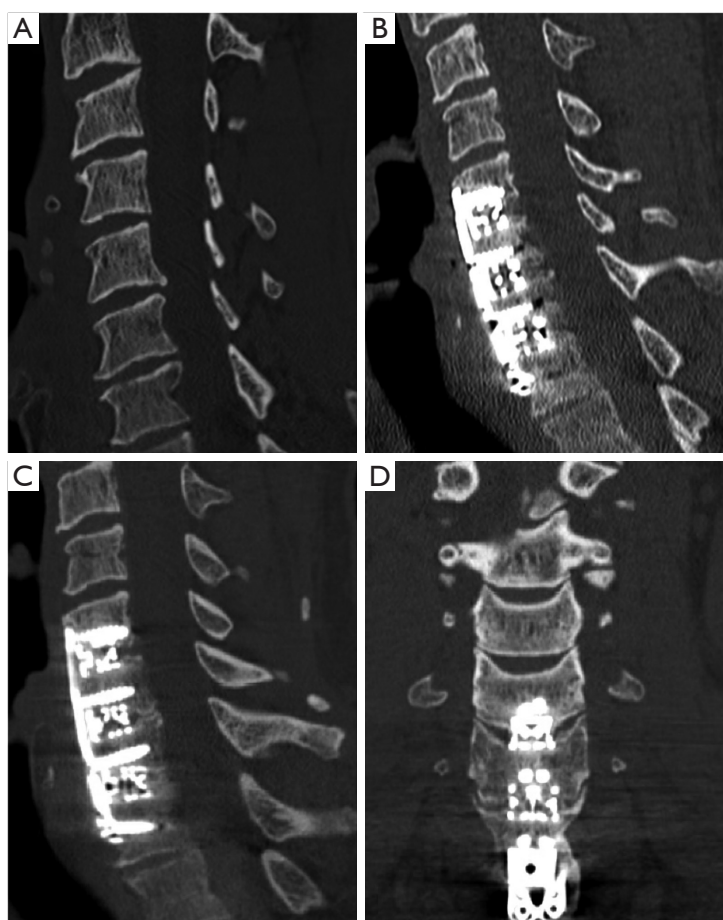


Figure 4 Radiographic progression of a 3-level fusion. (A) Preoperative CT scan; (B) 5-month post-operative CT scan; (C) final post-operative sagittal view CT scan; (D) final post-operative coronal view CT scan depicting successful fusion for a 49-year-old male, nonsmoker, who underwent a 3-level ACDF procedure with symptomatic relief. CT, computed tomography; ACDF, anterior cervical discectomy and fusion.

Table 2 Incidence of surgery-, device-related complications, and secondary surgeries at 6, 12, and 15 months post-operatively

| Complication | 6 months | 12 months | 15+ months |
|----------------------------|-----------|-----------|------------|
| Surgery related, n (%) | | | |
| Unresolved neck/arm pain | 18 (24.7) | 12 (16.4) | 7 (9.6) |
| Paresthesia | 15 (20.5) | 9 (12.3) | 9 (12.3) |
| Weakness | 13 (17.8) | 7 (9.6) | 5 (6.8) |
| Dysphagia | 4 (5.5) | 1 (1.4) | 0 |
| Device related | | | |
| Subsidence | 0 | 0 | 0 |
| Migration | 0 | 0 | 0 |
| Secondary surgeries, n (%) | | | |
| Removal | 0 | 0 | 0 |
| Reoperations | 0 | 0 | 0 |
| Revisions | 0 | 0 | 0 |
| Supplemental fixation | 2 (2.7) | 0 | 0 |

at any of the levels. The most common complaint following surgery was neck/arm pain with 18 (24.7%) patients at 6 months and 7 (9.6%) patients experiencing ongoing pain by 15 months post-operatively (Table 2). However, there was no record of intensity or frequency of the pain. Three patients (4.1%) had post-operative pain injections at an average of 12.1 months post-operatively. One patient had a single injection, the second patient had 3 injections concurrently, and the third patient had 6 injections over the 15-month period. None of the injection patients had secondary surgical intervention. At the latest documented follow-up, 9 (12.3%), 5 (6.8%), and 0 patients experienced, paresthesia, weakness, and dysphagia, respectively (Table 2).

Secondary surgical interventions

There were no instances of reoperation, removal or revision to the original construct (Table 2). Two patients (2.7%) underwent a secondary surgical intervention within the first year post-operatively that required supplemental fixation (Table 2). The first case was a 54-year-old non-smoker who underwent a 4-level ACDF secondary to a fall. At 8 months post-operatively, she reported recurrent neck and upper extremity pain with a possible pseudoarthrosis at C6–C7 seen on imaging. At 11 months, posterior supplemental fixation with lateral mass screws was added at C6–C7. At 16 months

(5 months post-operatively from second surgery), pain issues were resolved, and fusion was achieved (Figure 5A–5D). The second case was a 73-year-old male who underwent a C4–C7 fusion for degenerative changes resulting in radiculopathy and myelopathy. The patient had a severe fall at 5 months post-operative resulting in an unstable C2 vertebral fracture extending into a left side pars fracture. The patient then underwent a secondary surgery from C1–C6 involving additional posterior supplemental fixation. At the last follow-up, the patient was fused.

Discussion

In a real-world consecutive cohort of 73 patients undergoing an ACDF procedure treated with TE ACBM at up to 4 levels, 97.4% of patients with available imaging demonstrated fusion at 12 months post-operatively. There were no complications related to the graft material. Two patients required additional supplemental fixation and were excluded from radiographic analysis, although both went on to have satisfactory outcomes. Of the remaining population, the TE ACBM graft in conjunction with an osteoconductive interbody spacer demonstrated fusion rates of 100%, 100%, 92.3%, and 100% in one-, two-, three-, and four-level ACDFs, respectively. This study serves as preliminary evidence in support of the usage of ACBMs in cervical pathologies involving multiple spinal levels.

Given the multitude of bone graft materials available to surgeons, a literature review was conducted to compare our studies fusion outcomes to studies employing a comparable approach and instrumentation (Table 3).

Murrey *et al.* (37) reported local autograft fusion rates for single level ACDF to be 90.2%, while Fraser & Hartl's meta-analysis (40) illustrated fusion rates ranging from 97.1% for 1-level ACDF to 82.5% for 3-level ACDF with either ICBG or allograft supplemented with plate fixation. Moreover, Chau and Mobbs reported that autograft fusion rates for single level ACDF typically range from 83–99%, but this rate decreases significantly with increasing number of levels fused (43,44). This point is further supported by Laratta *et al.* (41) who reported a fusion rate of 76% for 3- or 4-level ACDF with autograft or allograft, while De la Garza-Ramos *et al.* (42) reported increased fusion rates of 94.4% and 84.6% for 3- and 4-level ACDF with autograft or allograft, respectively. Allograft alone has previously demonstrated pseudoarthrosis rates greater than 50% in 3- and 4-level ACDF patient cohorts (45). Our ACBMs results are comparable with reported autograft fusion

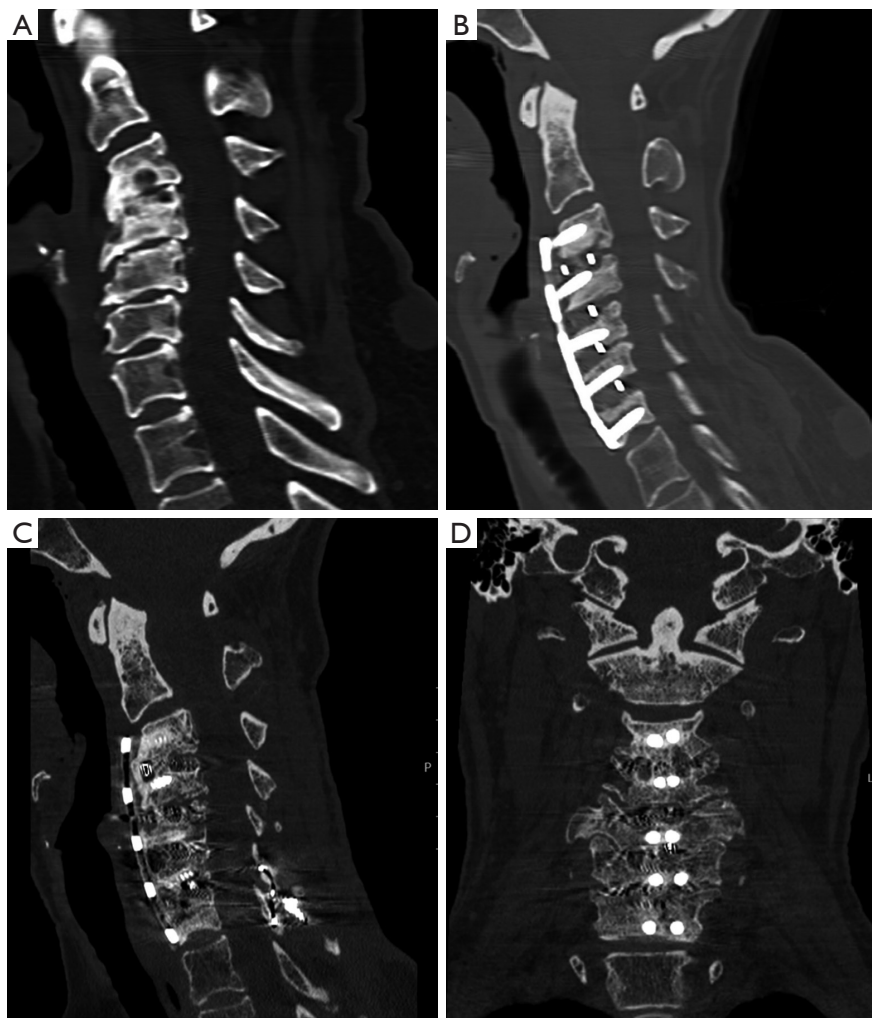


Figure 5 Radiographic progression of a primary 4-level ACDF with a secondary procedure for supplemental fixation. (A) Pre-operative CT scan; (B) 6-month post-operative CT scan. At 8 months post-operatively, the patient reported recurrent neck and upper extremity pain with a possible pseudoarthrosis at C6–C7 seen on imaging. At 11 months post-operatively, posterior supplemental fixation with lateral mass screws was added at C6–C7; (C) 16 months (5 months post-operative from second surgery) sagittal view CT scan; (D) 16-month post-operative coronal view CT scan depicting successful fusion for a 54-year-old non-smoker after secondary surgery. ACDF, anterior cervical discectomy and fusion; CT, computed tomography.

rates and increased compared to allograft fusion rates (45). Furthermore, rh-BMP2 can serve as a highly effective grafting option for single- and multilevel ACDFs (39), but the U.S. Food and Drug Administration has issued a black box warning listing a life-threatening risk for edema related to use in the cervical region (46–48). Regarding other ACBMs, McAnany *et al.* (34) demonstrated a fusion rate of 87.7% for the Osteocel ACBM, compared to 94.7% in their control allograft group for ACDF procedures; however, this analysis exclusively consisted of single or two-

level constructs. Previous investigations focusing on the Trinity Evolution established fusion rates of 94% for single-level ACDFs, and 89.4% for two-level ACDFs, respectively (31,32). Similarly, Eastlack *et al.* (12) reported an 87% fusion rate while investigating the utility of the Osteocel Plus ACBM in one or two level ACDF, while Kim *et al.* (33) reported a fusion rate of 88.1% for the ViBone ACBM in 1–3 level ACDFs. The results of this cohort are comparable to other reported ACBM literature. This study provides confidence for ACBM as a grafting option, particularly for

Table 3 Fusion and complication rates for historical ACDF cohorts with varying graft materials and levels operated

| Study | Evidence level | Procedure | Graft | Study population [†] | Fusion assessment method | Fusion rate at 1 year | Graft/device-related complications, n (%) |
|----------------------------------|---------------------|---------------------------------------------------------------------|--------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Murrey <i>et al.</i> 2009 (37) | I | 1-level ACDFs with allograft bone spacers & anterior plate fixation | Local autograft | <ul style="list-style-type: none"> • N=106 in ACDF group • Age: 43.5±7.1 years • 46.2% M • 53.8% F • BMI: 27.3±5.5 kg/m² • 34.9% smokers | <ul style="list-style-type: none"> • Increased or maintained bone density at the site • No motion (<2 degrees) • >50% of trabecular bridging or bone mass maturation • No visible gaps in fusion mass • No loss of disc height >3 mm | 90.2% | <ul style="list-style-type: none"> • Secondary surgery: 9 (8.5%) <ul style="list-style-type: none"> ◦ Revision: 5 (4.7%) ◦ Removals: 0 ◦ Reoperations: 1 (0.9%) ◦ Supplemental fixation: 3 (2.8%) • Subsidence & migration: 2 (1.9%) |
| Radcliff <i>et al.</i> 2017 (38) | I | 1 and 2-level ACDF with interbody cage and anterior fixation | Allograft | <ul style="list-style-type: none"> • 1-level: <ul style="list-style-type: none"> ◦ N=81 ◦ Age: 44±8.2 years ◦ 44.4% M ◦ 55.6% F ◦ BMI: 27.4±4.2 kg/m² • 2-level: <ul style="list-style-type: none"> ◦ N=105 ◦ Age: 46.2±8 years ◦ 42.9% M ◦ 57.1% F ◦ BMI: 28.1±4.2 kg/m² | <ul style="list-style-type: none"> • <2 degrees of segmental motion in flexion-extension • Evidence of bridging bone across disc space • Radiolucent lines at no more than 50% of the graft vertebral interfaces | 1-level: 95.5%; 2-level: 90.9% | <ul style="list-style-type: none"> • 1-level: <ul style="list-style-type: none"> ◦ Secondary surgery: 10 (12.3%) <ul style="list-style-type: none"> □ Removal: 7 (8.6%) □ Additional fixation 3: (3.7%) ◦ Pseudoarthrosis: 5 (6.2%) • 2-level: <ul style="list-style-type: none"> ◦ Secondary surgery: 17 (16.2%) <ul style="list-style-type: none"> □ Removal: 8 (7.5%) □ Revision: 4 (3.74%) □ Additional fixation: 3 (2.80%) □ Reoperation: 2 (1.87%) ◦ Pseudoarthrosis: 9 (8.41%) |
| Buttermann 2008 (39) | III | 1–3 level ACDF with cage and anterior fixation | Either ICBG or rh-BMP2 + allograft | <ul style="list-style-type: none"> • ICBG: <ul style="list-style-type: none"> ◦ N=36 ◦ Age: 48±9 years ◦ 33% M ◦ 66% F ◦ 53% smokers • Rh-BMP2 + allograft: <ul style="list-style-type: none"> ◦ N=30 ◦ Age: 49±10 years ◦ 50% M ◦ 50% F ◦ 37% smokers | <ul style="list-style-type: none"> • Trabecularization across disc space • <1-mm gapping of spinous processes on flexion/extension films | 92% | <ul style="list-style-type: none"> • ICBG: <ul style="list-style-type: none"> ◦ Pseudoarthrosis: 2 (5.6%) ◦ Delayed union: 1 (2.8%) ◦ Graft site complications (infection & fracture): 2 (5.7%) • Rh-BMP2 + allograft: <ul style="list-style-type: none"> ◦ Dysphagia: 15 (50%) ◦ Readmission to ICU: 3 (10%) ◦ Pseudoarthrosis: 1 (3.3%) |
| Fraser & Härtl 2007 (40) | III (meta-analysis) | 1–3 level ACDF with interbody cage and plate | Either allograft, local autograft, or ICBG | <ul style="list-style-type: none"> • N=2,682 • Mean age: 46.7 years • 53.4% M • 46.6% F | <ul style="list-style-type: none"> • Varied between studies | 1-level: 97.1%; 2-level: 94.6%; 3-level: 82.5% | <ul style="list-style-type: none"> • Pseudoarthrosis: <ul style="list-style-type: none"> ◦ 1-level: 10 (2.9%) ◦ 2-level: 10 (5.4%) ◦ 3-level: 7 (17.5%) |

Table 3 (continued)

Table 3 (continued)

| Study | Evidence level | Procedure | Graft | Study population [†] | Fusion assessment method | Fusion rate at 1 year | Graft/device-related complications, n (%) |
|-------------------------------------------|----------------|---------------------------------------------------------------|------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Vanichkachorn <i>et al.</i> 2016 (32) | IV | 1-level ACDF with PEEK cages and anterior fixation | ACBM only | <ul style="list-style-type: none"> • N=31 • Age: 48.9±8.1 years • 61% M • 39% F • 16.1% smokers | <ul style="list-style-type: none"> • Presence of bridging bone across adjacent endplates on thin cut CT scans • Less than 4 degrees of angular motion on flexion/extension X-ray | 93.5% | <ul style="list-style-type: none"> • No additional surgeries in study period • 5 adverse events deemed possibly related to the ACBM |
| Peppers <i>et al.</i> 2017 (31) | IV | 2-level ACDF. With PEEK cages and anterior fixation | ACBM only | <ul style="list-style-type: none"> • N=40 • Age: 48.5±9 years • 27.5% M • 72.5% F • 45% smokers | <ul style="list-style-type: none"> • Presence of bridging bone across adjacent endplates on thin cut CT scans • Less than 4 degrees of angular motion on flexion/extension X-ray • Both levels needed fusion | 89.4% | <ul style="list-style-type: none"> • No complications or additional surgeries in study period |
| Laratta <i>et al.</i> 2018 (41) | IV | 3- or 4-level ACDF with PEEK or Ti cage and anterior fixation | Local autograft or allograft | <ul style="list-style-type: none"> • N=46 • Age: 55.9±10.12 years • 46% M • 54% F • 22% smokers | <ul style="list-style-type: none"> • Not stated | 76% | <ul style="list-style-type: none"> • 24% nonunion rate • 35% return to surgery |
| De la Garza-Ramos <i>et al.</i> 2016 (42) | IV | 3- or 4-level ACDF | Allograft or ICBG | <ul style="list-style-type: none"> • 3-level: <ul style="list-style-type: none"> ◦ N=71 ◦ Age: 52.3±9.7 years ◦ 52.1% M ◦ 47.9% F ◦ 18.3% smokers • 4-level: <ul style="list-style-type: none"> ◦ N=26 ◦ Age: 57.2±8.8 years ◦ 50% M ◦ 50% F ◦ 11.5% smokers | <ul style="list-style-type: none"> • Not stated | 3-level: 94.4%; 4-level: 84.6% | <ul style="list-style-type: none"> • 3-level: <ul style="list-style-type: none"> ◦ Revision: 15 (21.1%) ◦ Pseudoarthrosis: 4 (5.6%) ◦ Dysphagia: 9 (12.7%) ◦ Neck Pain: 22 (31%) • 4-level: <ul style="list-style-type: none"> ◦ Revision: 5 (19.2%) ◦ Pseudoarthrosis: 4 (15.4%) ◦ Dysphagia: 8 (30.8%) ◦ Neck pain: 14 (53.8%) |

[†], data of age and BMI are presented as mean ± standard deviation. ACDF, anterior cervical discectomy and fusion; M, male; F, female; BMI, body mass index; ICBG, iliac crest bone graft; rh-BMP2, recombinant human-bone morphogenetic protein 2; ICU, intensive care unit; PEEK, poly-ether-ether-ketone; ACBM, allograft cellular bone matrix; CT, computed tomography; Ti, titanium.

patients undergoing multilevel ACDF.

As recent issues with bacterial contamination of other ACBM emerge (49), review of the complications to establish the safety profile for TE was vitally important. There were no device-related complications or unexpected adverse events related to the graft material. Further, this study demonstrated low rates of secondary procedures and post-operative dysphagia. All incidences of reported pain were noted but the majority were minor with 3 patients (4.1%) requiring injections. Notably, secondary procedures occurred in 2 patients (2.7% incidence). Based on our literature review, the secondary surgery rate for single-level ACDF with either autograft (local or ICBG) or allograft ranged from 2.9% to 12.3% (37-40). Comparatively, secondary procedure rates spanned from 5.4% to 35% for multilevel ACDF with autograft or allograft (38-42). It is important to note that two previous studies examining the 2nd generation, Trinity Evolution ACBM, in single- and two-level ACDF found no additional surgeries (31,32). Our secondary procedure rate compares favorably to autograft, and allografts included in our literature review but is increased compared to studies examining prior iterations of the Trinity ACBM (11,22,37). The incidence of dysphagia was 5.5% at 6 months post-operatively and 1.4% 12 months post-operatively, which aligns with the reported rates of post-operative dysphagia in 1.7–9.5% of ACDF patients (50). Several studies corroborate the heightened risk of dysphagia in multilevel procedures, with dysphagia rates as high as 30.8% in 4-level ACDFs (42,51,52). Our patient cohort experienced post-operative pain with 18 (24.7%), 12 (16.4%), and 7 (9.6%) patients experiencing ongoing pain at 6, 12, and 15 months post-operatively, respectively. These rates are higher than post-operative pain rates reported by Epstein (50) in a recent review on ACDF outcomes. However, studies examining outcomes in 3- and 4-level ACDFs have reported persistent post-operative neck pain in 11.6% to 49% of patients (53,54). Therefore, it is plausible that the high level of post-operative neck pain are in part due to the high amount of multilevel procedures in our cohort.

When considering bone graft alternatives for spinal fusions, especially in multilevel procedures, it's crucial to evaluate the cost-effectiveness of ACBMs. The estimated cost of ACBMs is \$525 per cm³, which is significantly more expensive than DBM, cancellous bone chips, and autograft, but less than rhBMP-2 which is widely used for lumbar fusions (55). Despite the greatest absolute cost, a cost-effectiveness study utilizing a Markov decision model demonstrated rhBMP-2 had the lowest cost per quality

adjusted life year (QALY), likely due to decreased revision procedures (56), justifying its usage. No studies have directly examined the cost-effectiveness of ACBMs in spinal fusion procedures. Future investigations on this topic would be beneficial to determine if the increased cost of ACBMs is worthwhile. In the present study, only 2 patients (2.7%) required secondary surgery, demonstrating high durability and low rates of costly secondary procedures associated with the use of ACBMs in this cohort.

It is crucial to acknowledge several limitations inherent in this retrospective case series. Due to the retrospective design, it was not feasible to establish a control (autograft) group. To compensate for this limitation, a literature search was conducted to provide a contextual framework for our fusion rate and complication profile. Another limitation of this study was the unavailability of comprehensive patient-reported outcome measures (PROMs) which would have given more comprehensive context to reported pain. To address this limitation, we analyzed patient complications as reported in physician notes at multiple post-operative timepoints, thereby increasing our understanding of the safety profile associated with the investigated procedure and graft. Finally, it is important to acknowledge that our radiographic data was limited to one year post-operatively. Shriver *et al.*'s meta-analysis (57) revealed a variance in pseudarthrosis rates between randomized controlled trials (RCTs) (4.8%, 95% CI: 2.6–7.0%) and prospective cohort studies (0.2%, 95% CI: 0.1–0.5%), suggesting that the differences in fusion criteria and longer follow-ups of RCT better characterize pseudoarthrosis rates. Therefore, we utilized more stringent fusion criteria similar to RCTs that grade the progression of the fusion (bony bridging), the segmental motion, and the translation.

Conclusions

This study examined the fusion rate and safety profile associated with the TE ACBM in 1–4 level ACDF procedures. Fusion rates were comparable to the historical standard, autograft, at the 12-month time point. This study demonstrated low rates of secondary procedures (2.7%) and post-operative dysphagia (1.4%) at the 12-month follow-up. These findings provide evidence for the usage of ACBMs, as an alternative to autograft, in multilevel (up to 4-level) ACDF procedures. Future prospective, comparative studies between ACBMs and autograft are needed to prove safety, and efficacy of ACBMs in single and multilevel ACDF procedures.

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Footnote

Reporting Checklist: The authors have completed the STROBE reporting checklist. Available at <https://jss.amegroups.com/article/view/10.21037/jss-23-142/rc>

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are

appropriately investigated and resolved. Ethical approval was obtained from the Hospital for Special Surgery IRB (No. 2023-1567). Participants did not have to give informed consent due to the retrospective nature of the study it was determined there was no risk to the patient. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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