ORIGINAL WORK



Prevalence, Clinical Characteristics, and Outcomes Related to Ventilator-Associated Events in Neurocritically III Patients

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Abstract

Background: The prevalence, characteristics, and outcomes related to the ventilator-associated event(s) (VAE) in neurocritically ill patients are unknown and examined in this study.

Methods: A retrospective study was performed on neurocritically ill patients at a 413-bed level 1 trauma and stroke center who received three or more days of mechanical ventilation to describe rates of VAE, describe characteristics of patients with VAE, and examine the association of VAE on ventilator days, mortality, length of stay, and discharge to home.

Results: Over a 5-year period from 2014 through 2018, 855 neurocritically ill patients requiring mechanical ventilation were identified. A total of 147 VAEs occurred in 130 (15.2%) patients with an overall VAE rate of 13 per 1000 ventilator days and occurred across age, sex, BMI, and admission Glasgow Coma Scores. The average time from the start of ventilation to a VAE was 5 (range 3–48) days after initiation of mechanical ventilation. Using Centers for Disease Control and Prevention definitions, VAEs met criteria for a ventilator-associated condition in 58% of events (n = 85), infection-related VAE in 22% of events (n = 33), and possible ventilator-associated pneumonia in 20% of events (n = 29). A most common trigger for VAE was an increase in positive end-expiratory pressure (84%). Presence of a VAE was associated with an increase in duration of mechanical ventilation (17.4[IQR 20.5] vs. 7.9[8.9] days, p < 0.001, 95% CI 7.86–13.92), intensive care unit (ICU) length of stay (20.2[1.1] vs. 12.5[0.4] days, p < 0.001 95% CI 5.3–10.02), but not associated with in-patient mortality (34.1 vs. 31.3%. 95% CI 0.76–1.69) or discharge to home (12.7% vs. 16.3%, 95% 0.47–1.29).

Conclusions: VAE are prevalent in the neurocritically ill. They result in an increased duration of mechanical ventilation and ICU length of stay, but may not be associated with in-hospital mortality or discharge to home.

Keywords: Ventilator-associated event, VAE, Neurocritical care, Outcomes, Mechanical ventilation

Introduction

The National Healthcare Safety Network implemented ventilator-associated event (VAE) surveillance in multiple US hospitals starting in January 2003 [1]. Recent

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The study was conducted at Harborview Medical Center.



studies have suggested that VAE, as a broader marker for inflammation, may be the better outcomes metric than ventilator-associated pneumonia (VAP) in critically ill trauma patients [2]; however, this has not been validated nor tested in the neurocritically ill patients.

The incidence of mechanical ventilation in patients admitted to neurological and neurosurgical intensive care units is estimated to be 30-35% and may be higher than patients in other critical care units [3, 4]. After a period of stability, change in positive end-expiratory

pressure (PEEP), or fraction of delivered oxygen (FiO2) constitutes a VAE. Under the VAE surveillance tool are three nested tiers. A ventilator-associated condition (VAC) comprises the first tier and includes all patients with clinically significant pulmonary complications, irrespective of whether inflammation or infection may be the contributing factors. The second tier, infection-related ventilated-associated complications (IVAC), is reached when VAC criteria are met in addition to signs of leuko-cytosis, abnormal temperature, and commencement of antimicrobials. Progression to the third tier occurs when evidence exists of a pulmonary source of infection and is called probable or possible ventilator-associated pneumonia (PVAP) (Supplementary Figure 1) [1].

The rates of VAE among subgroups of patients such as isolated traumatic brain or spinal cord injury, non-traumatic subarachnoid or intraparenchymal hemorrhage, and acute ischemic stroke are unknown. Additionally, characteristics of patients, types, and triggers of VAE, and the association between VAE and outcomes such as neurocritical care unit and hospital length of stay, inhospital mortality, and discharge to home have not previously been described.

Thus, in this study of neurocritically ill patients, we aimed to (1) describe the prevalence, types, and triggers of VAE, (2) describe characteristics of patients with and without VAE, and (3) examine clinical outcomes (intensive care unit and hospital length of stay, mortality, and discharge to home) in patients with VAE.

Methods

Study Design and Study Population

This retrospective cohort study was conducted at Harborview Medical Center, a 413-bed level 1 trauma, and a comprehensive stroke center. Patients with various neurological emergencies such as non-traumatic subarachnoid hemorrhage, intracerebral hemorrhage, isolated traumatic brain, isolated spinal cord injury, acute ischemic stroke, neuromuscular disorders, and those requiring intensive care monitoring after surgical and interventional procedures are admitted to a dedicated 30-bed neurocritical care unit which is staffed by board-certified intensivists, resident and fellow trainees, advanced practice providers and nocturnal intensivists.

Patients who were admitted to the neurocritical care service between January 1, 2014, and December 31, 2018, and who were mechanically ventilated for three or more days [2] were included in this study.

Data Sources

Patient data for this study were extracted from the enterprise clinical data warehouse (EDW) at the University of Washington. We identified neurocritically ill patients admitted to the Neurocritical Care Service at Harborview Medical Center by querying admit and transfer orders. We then extracted hospitalization and outcome data for these patients from the EDW using various data sources, including hospital registration, nursing charts, clinical notes, orders, and facility billing data. We want to acknowledge the Center for Perioperative and Pain Initiatives in Quality Safety Outcome at the University of Washington for providing data for this study.

Exposures and Outcomes

The exposures of interest were patient characteristics, including age, sex, body mass index, diagnosis, Glasgow Coma Score (GCS), and intracranial pressure, fluid balance during the time spent on mechanical ventilation.

The primary outcomes were the prevalence of VAE and type and triggers for VAE by subgroups of patients with isolated traumatic and spinal cord injury, non-traumatic subarachnoid hemorrhage, and intraparenchymal hemorrhage, acute ischemic stroke. We defined VAE and its subgroups, VAC, IVAC, and PVAP, in accordance with the Centers for Disease Control and Prevention's (CDC-NHSN) guidelines (Supplementary Figure 1) [5, 6]. A VAE was diagnosed as having at least 2 days of mechanical ventilation with a stable or decreasing FIO2 or PEEP, followed by an increase in PEEP (>3 cmH2O) or FIO2 (\geq 0.20) for at least two subsequent days. As such, we only included patients mechanically ventilated for at least 3 days [2, 6].

The secondary outcomes were total duration on mechanical ventilation, intensive care unit, and hospital length of stay, in-hospital mortality, and discharge to home.

Our neurocritical care unit standards include initiation of enteral nutrition to all patients within 24–48 h of admission, ventilator weaning strategies including daily spontaneous breathing trials and aggressive titration of FiO2 and PEEP to as low levels as tolerable to maintain SpO2 greater or equal to 92%, and interruption in sedation for neurological wake-up tests for serial "q1 hour" neurological examinations. Our institutional infection control program performs electronic screening of the medical record for patients with VAE as described above.

Statistical Analysis Rate of VAE

RULE OF VAE

Overall and condition-specific VAE rates were calculated as events per 1000 ventilator days. Also, rates of VAC, IVAC, and PVAP were calculated as events per 1000 ventilator days.

Description of Characteristics of Patients with and without VAE

The study sample was described using mean (standard deviation) or median (interguartile range, IQR), whenever appropriate. We describe (as count and percentage) the characteristics of patients such as age, sex, body mass index, diagnosis, admission GCS, intracranial pressure, fluid balance during the time spent on mechanical ventilation, sedative (propofol, dexmedetomidine, midazolam, pentobarbital), and any neuromuscular blocker infusions in the VAE and non-VAE groups. For age, body mass index (BMI), intracranial pressures, admission GCS, partial pressure of oxygen (PO2), partial pressure of carbon dioxide (PCO2), FiO2 and Partial pressure of oxygen/Fraction of inspired oxygen (PaO2/FiO2) ratio, intensive unit length of stay, hospital length of stay, duration of mechanical ventilation, we conducted a 2-tailed *t* test to calculate the difference between the mean and calculated p value and 95% confidence intervals. For the categorical variables (male sex, positive fluid balance while on mechanical ventilation, sedative and neuromuscular blockade, mortality, the transition to comfort measures, and discharge to home) we conducted Fisher's exact test and calculated relative risk (95% confidence interval) for development of VAE. Stata 15.1 [7] was used for statistical analysis.

The study (Study Number 00006414) was approved by the Institutional Review Board of the University of Washington.

Results

Sample Characteristics

Out of 7055 neurocritically ill patients, 2827 (40.1%) patients were mechanically ventilated between January 1, 2014, and December 31, 2018 (Fig. 1). Of these, 855 (30.2%) received mechanical ventilation for three or more days and comprised the final study sample (Table 1).

Prevalence, Types, and Triggers for VAEs Prevalence of VAEs

A total of 147 VAEs occurred in 130 (15.2%) patients (Table 2). The overall rate for the development of VAE was 13 per 1000 ventilator days. The lowest VAE rate was in patients admitted to the intensive care unit after elective neurosurgical procedures (5.58 per 1000 ventilator days). The highest rate of VAE was among patients with status epilepticus (26.98 per 1000 ventilator days).

Types and Triggers for VAE

VAEs were classified as a VAC in 58% of events (n=85). They were further classified as an IVAC in 22% of events (n=33). Finally, 20% (n=29) of VAEs met criteria for PVAP (Table 3). Change in PEEP was the most common



trigger for VAE occurring in 123/147 (83.7%), with the remaining 24/147 (16.3%) being triggered by a change in FiO2.

Characteristics of Patients with and without VAE

Demographics Age (52[SD 18.4] vs. 56.6[SD 17.03] years, 95% CI 0.51–7.35, male sex (70% vs. 61%, 95% CI 1.01–1.31), BMI (29.8[SD 8.6] vs. 27.9[SD 7], 95% 0.49–3.29), and admission GCS (9 [range 3–15] vs. 7 [range 3–15], 95% CI 0.4–1.36) were comparable between the VAE and non-VAE groups (Table 1).

Overall, 31% of patients with VAE and 40.2% of patients without VAE received an intracranial pressure monitor. The maximum intracranial pressure was 10.6 mmHg higher in patients with VAE (39.6[SD 23.7] mmHg vs. 29[SD 18.4] mmHg, p < 0.001, 95% CI 5.3–15.8).

Analgosedation and neuromuscular blockade Overall, 756 (88.4%) of all patients received analgosedation. The use of propofol (87.6% vs. 89.7%, 95% CI 0.91–1.05) and pentobarbital (2.3% vs. 1%, 95% CI 0.63–9.21) was similar between the VAE and non-VAE groups. The use of dexmedetomidine (36.4% vs. 17.4%, relative risk 2.09, 95% CI 1.60–2.78), midazolam (13.9% vs. 4.1%, relative risk 3.37, 95% CI 1.94–5.9), fentanyl (31.9% vs. 10.9% relative risk 2.92(95% CI 2.11–4.05), and neuromuscular blockade (15.5% vs. 1.8%, 95% CI relative risk 8.66 [4.41–16.9] was higher in the patients who experienced a VAE (Table 1).

	Mechanical ventilation for 3 or more days with VAE (n = 130)	Mechanical ventilation for 3 or more days without VAE (n = 725)	<i>p</i> value (95% CI) or RR (95% CI)
Age years mean (SD)	52(18.4)	56.6(17.03)	NS
Sex (male)	90(70%)	439(61%)	RR 1.15(1.01–1.31)
Body mass index mean (SD)	29.8(8.6)	27.9(7)	NS
Diagnosis			<i>p</i> < 0.001
Isolated traumatic brain injury	26(16.8%)	193(83.2%)	
Spontaneous subarachnoid hemorrhage	25(14.2%)	151(85.8%)	
Non-traumatic intraparenchymal hemorrhage	15(10.5%)	128(89.5%)	
Traumatic spinal cord injury	25(26.3%)	70(73.7%)	
Postoperative care in the Intensive care unit	9(7.0%)	81(11.2%)	
Acute ischemic stroke	5(8.3%)	55(91.7%)	
Status epilepticus	7(25.9%)	20(74.1%)	
Meningitis/encephalitis	2(16.7%)	10(83.3%)	
Others	15(21.4%)	11(78.6%)	
Acute symptomatic hydrocephalus from shunt failure	1(14.3%)	6(85.7%)	
Admission GCS median [range]	9 [3–15]	7 [3–15]	NS
Intracranial pressure monitor <i>n</i> (%)	40(31%)	292(40.2%)	NS
Maximum intracranial pressure mmHg mean (SD)	39.6(23.7)	29(18.4)	p<0.001(5.3–15.8)
Positive fluid balance during mechanical ventilation	93(80.9%)	498(75%)	NS
Analgosedation and neuromuscular blockade			
Propofol	87.6%	89.7%	NS
Dexmedetomidine	36.4%	17.4%	RR 2.09(1.6-2.8)
Fentanyl	31.9%	10.9%	RR 2.92(2.11-4.05)
Midazolam	13.9%	4.1%	RR 3.37(1.94–5.9)
Pentobarbital	2.3%	1%	NS
Neuromuscular blockade	15.5%	1.8%	RR 8.66(4.41-16.9)
Average delivered FiO2% mean (SD)	53(13.3)	47(13.1)	p<0.001(3.6-8.7)
Average PO2 mmHg mean (SD)	113.8(34.3)	138(48.9)	p<0.001 (14.9–33.5)
Average PCO2 mmHg mean (SD)	40.5(6.3)	36.8(5.6)	p<0.001(2.63-4.86)
Average PaO2/FiO2 ratio mean (SD)	256(184)	329(238)	<i>p</i> =0.0016(27.7–118.4)

Table 1 Characteristics of neurocritically ill patients (N=855) admitted to the Neurocritical Care Service at Harborview Medical Center between January 1, 2014, and December 31, 2018, by VAE

FIO2 Fraction of inspired oxygen, GCS Glasgow Coma Score, NS not statistically significant, PO2 partial pressure of oxygen, PCO2 partial pressure of carbon dioxide, PaO2/FiO2 partial pressure of oxygen: fraction of inspired oxygen, RR relative risk calculated for the association with occurrence of VAE, SD standard deviation, VAE ventilator-associated event

Fluid balance We did not observe any difference in the prevalence of positive fluid balance among patients with and without VAE (80.9% vs. 75%, p = not statistically significant).

Microorganisms and Their Effect on Time to VAE, Duration of Mechanical Ventilation, and Intensive Care Unit Length of Stay

The commonly occurring organisms were methicillinsusceptible staphylococcus aureus (28%), Haemophilus species (13.2%), methicillin-resistant staphylococcus aureus (11.8%), Streptococcus species (9.2%), Enterobacter species (7.9%), Escherichia. coli (6.6%), Klebsiella (6.6%), Acinetobacter species (7.9%), Moraxella catarrhalis (2.6%), and Proteus species (2.6%). There was no statistical difference in the prevalence of one particular organism over another in patients with and without VAE. There was no difference in the duration of mechanical ventilation and no difference in the intensive care unit length of stay between various microorganisms among patients in the VAE and non-VAE groups.

Differences in Delivered FiO2, PO2, PCO2, and *P/F* Ratios in Patients with and without VAE

The delivered FiO2 was 6% higher in the VAE group (53[SD 13.3] % vs. 47[SD 13] %, p < 0.001 95% CI 3.6–8.7. The average PO2 values were 24.2 mmHg lower in patients with VAE 113.8[SD 34.3] mmHg vs. 138[SD 34.3]. p < 0.001, 95% CI 14.9–33.5).

The average PCO2 values were 3.7 mmHg higher in patients with VAE (40.5[SD 6.3] mmHg vs. 36.8[SD 5.6], p < 0.001 95% CI 2.63–4.86).

The average *P*/*F* ratio was 103 mmHg lower in patients with VAE (256[SD 184] vs. 329[SD 238], *p* < 0.001, 95% CI 27.7–118.4).

Table 2 Rate of VAE in 855 neurocritically ill patients admitted for three or more days to Harborview Medical Center between January 1, 2014, and December 31, 2018

Diagnosis and number of VAE events	Total ventilator days ^a	Rate of VAEs per 1000 ventilator days
Total VAE events ($n = 147$)	11,319	13.0
VAE rate by diagnoses (total VAE events $=$ 147)		
Status epilepticus ($n = 10$)	370.6	26.98
Traumatic spinal cord injury ($n = 30$)	1514.8	19.80
Hydrocephalus ($n = 2$)	105.4	18.98
Others $(n=3)$	189.5	15.83
Meningitis/encephalitis $(n=2)$	133.7	14.96
Isolated traumatic brain injury ($n = 42$)	2905.4	14.46
Spontaneous subarachnoid hemorrhage ($n = 25$)	2022.8	12.36
Non-traumatic intraparenchymal hemorrhage ($n = 19$)	1801.3	10.55
Acute ischemic stroke ($n = 6$)	807.9	7.43
Postoperative care in the intensive care unit $(n=8)$	1432.5	5.58

VAE ventilator-associated event

^a Total ventilator days only include patients with three or more days on the ventilator

Table 3 Type of VAE (n = 147) and trigger for VAEs in 130 patients who received mechanical ventilation for three or more days

	Total number of VAEs n = 147	Trigger for VAEs	
		FiO2 n=24	PEEP n = 123
Ventilator-associated condition (VAC)	85(58%)	13(15.3%)	72(84.7%)
Infection-related VAC (IVAC)	33(22%)	6(15.2%)	27(81.8%)
Possible ventilator-associated pneumonia (PVAP)	29(20%)	4(13.8%)	25(86.2%)

FiO2 fraction of inspired oxygen, IVAC infection-related ventilator associated condition, PEEP positive end-expiratory pressure, PVAP possible ventilator associated pneumonia, VAC ventilator-associated condition, VAE ventilator-associated event

Table 4 Effect of VAE on clinical outcomes in 855 neurocritically ill patients admitted to Harborview Medical Center between January 1, 2014, and December 31, 2018

	Mechanical ventilation For 3 or more days without VAE (n = 725)	Mechanical ventilation For 3 or more days with VAE (n = 130)	<i>p</i> value (95% CI)
Duration of mechanical ventilation days, median [interquar- tile range]	7.9[8.9]	17.4[20.5]	< 0.001(7.86-13.9)
Intensive care unit length of stay days, median [interquartile range]	12.5[0.4]	20.2[1.1]	< 0.001(5.3-10.02)
Hospital length of stay days, median [interquartile range]	26.5[1.2]	29.3[2.8]	NS
In-hospital mortality	31.3%	34.1%	NS
Transition to comfort measures only ^a	70.9%	52.6%	NS
Discharge to home	16.3%	12.7%	NS

VAE ventilator-associated event

^a The numerator is all patients transitioned to comfort measures only, denominator is all patients who experienced in-hospital mortality



Fig. 2 Relationship between development of ventilator-associated event (VAE) and duration of mechanical ventilation

Impact of VAE on the Duration of Mechanical Ventilation

Time from initiation of mechanical ventilation to the development of VAE was 5(3–48) days. Patients with VAE spent a long time on mechanical ventilation (17.4[20.5] vs. 7.9[8.9] days, 95% CI 7.86–13.9) (Table 4). Time from VAE to liberation from mechanical ventilation was 11(13.8) days. Thus, this time was greater than the total duration of mechanical ventilation in patients without VAE, as highlighted in Fig. 2 and Supplementary Table 1.

Association Between VAE and Outcomes

Patients with VAE experienced longer intensive care unit stay (20.2[1.1] vs. 12.5[0.4] days, p < 0.001 95% CI 5.3–10.02).

Mortality (34.1% vs. 31.3%, 95% CI 0.84–1.41), transition to comfort measures only (52.6% VS. 70.2%, 95% CI 0.54–1.01), median hospital length of stay (29.3[2.8] vs. 26.5[1.2] days, 95% CI -9.2-1.76), and discharge to home (12.7% vs. 16.3%, 0.48–1.29) were similar in patients with and without VAE (Table 4).

Discussion

The main findings of this study are that (1) VAEs are prevalent among neurocritically ill patients, occur within the first week of mechanical ventilation, across age, sex, BMI, and GCS, and the rates differ among various diagnoses, (2) the most common trigger for VAE is an increase in PEEP, and (3) VAE is associated with increased time spent on mechanical ventilation but is not associated with increased in-hospital mortality or discharge to home.

Prevalence of VAE in Neurocritical Care

This study finds that VAEs are prevalent among the neurocritically ill and that the rates differ among various conditions. The rates of VAE reported in the literature range from 5.8 to 49.9 per 1000 ventilator days [8–10]. The rates previously expressed among the neurologically impaired patients are between 4.9 and 8.9 per 1000 ventilator days, which is lower than the 13 per 1000 ventilator days seen in our population [5, 9]. It is unclear from prior studies whether the isolated traumatic brain and spinal

cord injured patients were among those studied; therefore, these results may not be comparable.

The time from initiation of mechanical ventilation to VAE reported in this study is similar to a previously published study [11], and it is important to note that VAE frequently occurs in the first week of initiation of mechanical ventilation, corresponding to the time the brain is most susceptible to secondary brain injury.

This study provides new information related to rates of VAE among various neurocritical conditions. The occurrence of VAE among patients with subarachnoid hemorrhage, intracerebral hemorrhage, acute ischemic stroke, status epilepticus, and isolated brain injury may highlight reactionary changes in PEEP and FiO2 changes as acute lung injury or acute lung injury or acute respiratory distress syndrome develops commonly in these conditions [12–18]. In summary, VAEs are prevalent, occur mostly during the first week of illness, and are present across various neurological conditions.

Proposed Association Between Isolated Traumatic Brain,

Isolated Spinal Cord Injury, and Status Epilepticus and VAE Patients with isolated traumatic brain injury, especially those with severe injury, may be at risk of VAP due to the concomitant presence of intracranial hypertension. This finding supports evidence from a previous study by Lou et al. [19] that examined the relationship between intracranial hypertension, acute lung injury, and systemic inflammatory response syndrome. In preclinical models, it has been demonstrated that even in the absence of hypoperfusion or hypoxemia, acute intracranial hypertension was associated with higher scores for inflammation, edema, and necrosis in heart, lung, kidney, and liver tissues [20]. There is increase in neuron-specific enolase, tumor necrosis factor-alpha plasma concentrations, extravascular lung water, and lung density [21]. In addition, severe traumatic brain injury also predisposes patients to myocardial dysfunction, which can present as reduced ejection fraction and may likely precipitate volume overload, and potential hypoxemic respiratory failure.

This implies that critical care management of patients with intracranial hypertension is often complicated by pulmonary dysfunction, which in turn may negatively affect cerebral oxygenation, and VAE may reflect a clinical response to reduce the burden of secondary brain injury.

Patients with isolated spinal cord injury may be exquisitely susceptible to VAE events. Proposed mechanisms include proportional reduction in functional residual capacity and forced vital capacity with increasing level of spinal cord injury involving the cervical segment. This predisposes patients to reduced cough effort, retained secretions, atelectasis, alveolar hypoventilation, pulmonary shunting, and hypoxia. Either of these conditions may require changes in PEEP and or FiO2, which will affect VAE rates.

Patients with status epilepticus may be at multifactorial higher risk of VAE. Proposed mechanisms include aspiration of gastric contents during ictus complicating subsequent ventilator management, the use of intravenous anesthetic agents such as propofol, barbiturate and midazolam infusion, level of sedation desired to interrupt the process of status epilepticus, and its downstream effects on the overall duration of mechanical ventilation, propensity for hospital-acquired infection. In addition, fluid resuscitation in patients with status epilepticus who experience rhabdomyolysis may also affect the VAE rate due to volume overload.

Impact of VAE on Liberation from Mechanical Ventilation

Our study finds that the liberation from mechanical ventilation is delayed in patients who experience VAE. This finding is similar to the previous study on non-neurocritically ill patients [22]. Altered mental status may be one [23] of the many factors precluding extubation in neurologically impaired patients; however, the fact that the admission GCS was higher in patients with VAE may imply that successful weaning from mechanical ventilation is poorly correlated with the level of consciousness.

Impact of VAE on Clinical Outcomes

Outcomes, such as mortality, median hospital length of stay, and discharge to home were not affected by VAE. This is contradictory to prior studies on non-neurologically critically ill patients, which demonstrated that VAE patients are twice as likely to die than non-VAE patients [24]. These studies did not indicate the specific mechanism of injury, and it is possible that in certain injury mechanisms (adult repiratory disease syndrome, polytrauma, sepsis), VAE could signify as a marker of injury severity. Although we did not find statistical differences in overall mortality, the impact of VAE on the transition to comfort measures only deserves further examination in a larger cohort of patients.

Implications on VAE in Neurocritical Care and Unanswered Questions

In our hospital, VAE are abstracted from the electronic medical records as a quality improvement measure, and neurocritical care clinicians do not have access to this information during routine care of the patient. The VAE terminology was initially developed for surveillance purposes and does not have an ICD code. VAE may, in fact, reflect reactionary measures taken by practitioners as they manage patients with acute lung injury or adult respiratory distress syndrome as part of the "PEEP ladder." Non-infectious VAE, which comprise the majority

of VAEs, both in our study and in previous studies, may be attributed to pulmonary edema, or atelectasis, and not necessarily to pneumonia, and VAP may occur without being preceded by a VAE.

Factors such as input/output balance ≥ 50 ml, gastric residuals ≥ 200 ml, and prolonged analgosedation have been identified as being associated with VAE [25]. Contrary to belief, compliance with ventilator bundle and chlorhexidine oral care has been shown to be associated with increased incidence of VAE [26]. Spontaneous breathing and awakening trials have been shown to reduce the overall incidence of VAE [27, 28].

In our hospital, aggressive ventilator weaning in the form of a reduction in PEEP and FiO2 is standard of care. It may be possible that this strategy may potentially increase VAE rates, and it is possible for VAE rates to differ by institutional variability depending on how mechanical ventilation parameters are adjusted [29].

In patients with intracranial hypertension, secondary brain injury, and systemic inflammatory response that ensues can manifest in the form of VAE. Frequently, patients with intracranial hypertension patients are receiving deep sedation often for prolonged periods, which in itself may be a factor associated with VAE.

In addition, leukocytosis and elevated temperature are common findings in the neurocritically ill patients, and the commencement of antibiotics is by no means diagnostic of infection. These are potential drawbacks of the CDC-NHSN criteria.

Thus, can VAE truly be preventable? These questions deserve further examination in larger cohorts of neurocritically ill patients within a specific diagnosis group.

Limitations

This single-center retrospective cohort study has some strengths and limitations. Since the overall rates of VAE were small, it precluded any analysis of patients with specific clinical conditions. However, the retrospective design reduces the possibility of manipulating ventilator settings to evade VAE diagnosis, which has been a concern regarding the validity of VAE rates in other studies [30]. If patients were maintained on higher levels of FiO2 than physiologically necessary, this could have potentially masked VAEs that would have met criteria by an increase in FiO2, making our results more significant despite this handicap. Due to the small sample size of VAE, we were unable to examine the association between patient characteristics and the development of VAE. Finally, the incidence of VAE based on diagnosis was derived from small numbers of actual VAE events for many diagnoses. These incidences should be used with caution until they can be further validated in larger studies.

Conclusions

VAE are prevalent among neurocritically ill patients, with the rates differing among various neurocritical care conditions. Presence of a VAE may be associated with increased time spent on mechanical ventilation, and in the intensive care unit, but may not be associated with mortality or discharge to home.

Electronic supplementary material

The online version of this article (https://doi.org/10.1007/s12028-019-00910-5) contains supplementary material, which is available to authorized users.

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VKSW and CF contributed to study design, data collection, data interpretation and manuscript editing. AMW contributed to data collection, data interpretation and manuscript editing. AVL contributed to study design, data collection, data interpretation, statistical analysis and manuscript editing.

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Conflicts of interest

AVL reports receiving research support from Aqueduct Critical Care and salary support from LifeCenter Northwest. The other authors do not have any conflicts of interest to report.

Ethical Approval

This study received approval from the Institutional Review Board of the University of Washington.

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