

# Radiotherapy activates autophagy to increase CD8<sup>+</sup> T cell infiltration by modulating major histocompatibility complex class-I expression in non-small cell lung cancer

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## Abstract

**Objective:** Radiotherapy is reported to enhance immune responses in cancer, but appropriate doses and mechanisms remain to be investigated. This study explored whether autophagy is involved in the regulation of major histocompatibility complex class I (MHC-I) expression and CD8<sup>+</sup> T cell infiltration at different radiation doses.

**Methods:** Non-small cell lung cancer (NSCLC) cell lines A549 and H1975 were exposed to different doses of radiation. The levels of autophagy and MHC-I expression were examined 6 hours after irradiation. The effects of the autophagy inhibitor chloroquine (CQ) on MHC-I expression were also investigated, as well as the relationship between autophagy and MHC-I expression. Pathological specimens from 69 NSCLC patients were collected, and immunohistochemistry was used to detect MHC-I expression and CD8<sup>+</sup> T cell infiltration in tumors.

**Results:** Irradiation induced autophagy and MHC-I expression during a single radiation dose from 2 to 20 Gy in a dose-dependent manner. CQ downregulated MHC-I expression. Immunohistochemistry indicated that MHC-I levels were positively correlated with the infiltration of CD8<sup>+</sup> T cells in NSCLC cells ( $R^2 = 0.713$ ).

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**Conclusions:** Autophagy induced MHC-I expression and increased CD8<sup>+</sup> T cell infiltration. A single radiation dose of 20 Gy induced the strongest CD8<sup>+</sup> T cell infiltration.

### Keywords

Radiotherapy, autophagy, MHC-I, CD8<sup>+</sup> T, non-small cell lung cancer, immune response

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## Introduction

The ability of radiotherapy to enhance immunotherapy responses has attracted increasing attention.<sup>1</sup> Radiotherapy and immunotherapy are expected to cooperate in the elimination of malignant tumors, but the mechanisms of their synergistic effects remain unclear. Previous studies of mouse tumor models indicated that radiation induced the cross-priming of T cells to relatively strong antigens and that T cells contributed to the therapeutic effects of radiation.<sup>2</sup> Moreover, pre-existing tumoral and peritumoral immune infiltration, especially of functional CD8<sup>+</sup> T cells, was shown to correlate with patient response to anti-programmed cell death protein-1 and anti-programmed death ligand 1 immunotherapy.<sup>3</sup> The CD8<sup>+</sup> T cell status has been proposed to impact the tumor response to radiation and immunotherapy, while only 18% of patients with advanced solid tumors were shown to respond to immune checkpoint inhibitors and radiation.<sup>4</sup> This might have resulted from less effective tumor antigens caused by inappropriate irradiation, as well as a less specific CD8<sup>+</sup> T cell response.

Many studies have attempted to identify novel radiation-induced antigens. One of these, the DNA exonuclease Trex1, is an upstream regulator of radiation-induced anti-tumor immunity whose expression is dependent on the radiation dose. Previous

results indicated that Trex1 levels were significantly increased by a single dose of 20 Gy and above, resulting in a decrease of cytosolic double-stranded DNA which is a vaccine that activates the immune system.<sup>5</sup> LTX-315 is an oncolytic peptide with potent immunological properties,<sup>6</sup> and transforming growth factor- $\beta$  is another regulator of radiotherapy that has been used to generate an *in situ* tumor vaccine.<sup>7</sup> Expression of the major histocompatibility complex class I (MHC-I) on CD8<sup>+</sup> T cells is required to activate the immune response, regardless of the type of intracellular antigen.<sup>8</sup> Therefore, an understanding of the regulation of MHC-I in tumor cells during radiotherapy is helpful to clarify the mechanism of CD8<sup>+</sup> T cell infiltration.

Autophagy is fundamental to the maintenance of intracellular homeostasis in all types of human cells. Malignant cells harness autophagy to thrive, especially in adverse microenvironmental conditions, so the inhibition of autophagy is proposed as a strategy to kill and sensitize cancer cells. Autophagy is also critical for optimal immune function, and mediates cell-extrinsic homeostatic effects through its fundamental roles in danger signaling. Alternate-day feeding regimens and a 30% reduction in daily caloric intake, not resulting in dramatic weight loss, were reported to improve the ability of single-dose radiation therapy (6–8 Gy) to limit the local growth and metastatic dissemination of

4T1 and 67NR breast cancer cells implanted orthotopically into immunocompetent BALB/c mice.<sup>9</sup> The roles of autophagy in the activation of anti-tumor adaptive immune responses are essential, and include regulation of the release of immunostimulatory danger signals.<sup>10</sup> The inhibition of autophagy was reported to abolish cross-presentation almost completely, whereas its induction dramatically enhanced the cross-presentation of tumor antigens.<sup>11</sup>

We hypothesized that high-dose radiation activates the immune response by activating autophagy, inducing the expression of MHC-I, and increasing CD8<sup>+</sup> T cell infiltration in lung cancers. Our findings provide important implications for the choice of radiation dose in the clinic to convert unresponsive patients into responders for immunotherapy.

## Materials and methods

### Cells

Non-small cell lung cancer (NSCLC) cell lines A549 and H1975 were purchased from The Cell Bank of Type Culture Collection, Chinese Academy of Sciences (Shanghai, China) and authenticated by Guangzhou Cellcook Biotech Co., Ltd. (Guangzhou, China). Cells were authenticated by morphology, phenotype, and growth. Cells were cultured in RPMI-H1640 medium supplemented with 100 U/mL penicillin, 100 µg/mL streptomycin, and 10% fetal bovine serum (all from Shanghai Lianshuo Biological Company, Shanghai, China).

### Western blot analysis

Proteins from A549 and H1975 cells were extracted in radioimmunoprecipitation assay buffer (Beyotime Biotechnology Co., Wuhan, China). Protein concentrations were determined using the BCA method.

Proteins were separated by 10% sodium dodecyl sulfate-polyacrylamide gel electrophoresis and run at 80 V for the separating gel, then at 120 V for the resolving gel. Proteins were then transferred to an activated polyvinylidene fluoride membrane in an ice bath at 300 mA for 90 minutes. Membranes were probed with primary antibodies (anti-LC3, 1: 1000; anti-SQSTM1/p62, 1: 10000; and anti-GAPDH, 1: 1000; all Abcam, Cambridge, UK) at 4°C overnight. After washing three times with phosphate-buffered saline-Tween-20 for 15 minutes each, blots were incubated with horseradish peroxidase-conjugated goat anti-rabbit IgG secondary antibody (1:10000; Aspen Biotechnology Company, Wuhan, China) at room temperature for 2 hours. Chemiluminescence was used to visualize protein bands, and a Precision Plus Protein Dual Color standard (Bio-Rad Ltd., Hercules, CA, USA) was used to estimate molecular weight. Relative quantification was performed using ImageJ software (v.1.51; NIH) to determine the (LC3-II/LC3-I)/GAPDH and p62/GAPDH ratio for each sample.

### Flow cytometry

Single cell suspensions were obtained from scraped tumor cells 6 hours after radiation. After blocking with 5% bovine serum albumin (Sigma-Aldrich, St Louis, MO, USA), the cells were incubated with an anti-human MHC-I antibody (Proteintech Company, Wuhan, China) at 4°C overnight. After washing with phosphate-buffered saline, the cells were incubated with fluorescein isothiocyanate at room temperature for 30 minutes. All samples were examined with a flow cytometer (Becton-Dickinson Ltd., Franklin Lakes, NJ, USA) and analyzed using FlowJo software (Tree Star, Inc., OR, USA).

### **Immunofluorescence**

A549 and H1975 cells were plated in six-well plates at a density of  $5 \times 10^5$  cells/mL. The next day, they were incubated with adenoviruses expressing the mCherry-GFP-LC3B fusion protein (Ad-mCherry-GFP-LC3B, Beyotime Biotechnology Company) for 24 hours. Cells were then irradiated with different doses of radiation from 2 to 20 Gy. One six-well plate was used as a negative control without irradiation but with adenoviral incubation. Cells were examined with a fluorescence microscope 6 hours after radiation. The multiplicity of infection was 20. The number of spots in 10 cells of each group were quantified and analyzed.

### **Immunohistochemistry**

Pathological specimens from 69 NSCLC patients who received surgery at The First People's Hospital of Jingzhou from January 1, 2017 to December 1, 2017 were collected. Oral consent was obtained from all patients. This study was approved by the Medical Ethics Committee of the First People's Hospital Affiliated to Changjiang University (approval number 2016-10).

The MHC-I rabbit polyclonal antibody (1: 150) was used to detect MHC-I expression. After blocking with 15% swine/5% human serum for 12 hours, mouse EnVision secondary detection was used (DAKO, Glostrup, Denmark). Tumor cell membrane staining was evaluated by two experienced pathologists in a double-blinded manner. MHC-I expression was scored between 0 and 8 from the sum of a proportion score (0–5 scale reflecting the fraction of cells with any stain) and a staining intensity score (0–3 scale reflecting the strength of staining among positive cells).<sup>12</sup> Average scores were further analyzed. Specimens were also immunostained for CD8 (clone C8/144B, 1: 100; DAKO).

Four fields per sample were randomly selected and observed under a 40× microscope, and the number of positive cells in 100 lymphocytes of each field was counted to obtain the average number of CD8<sup>+</sup> T cells.<sup>13</sup> Non-nucleated small fragments were not counted.

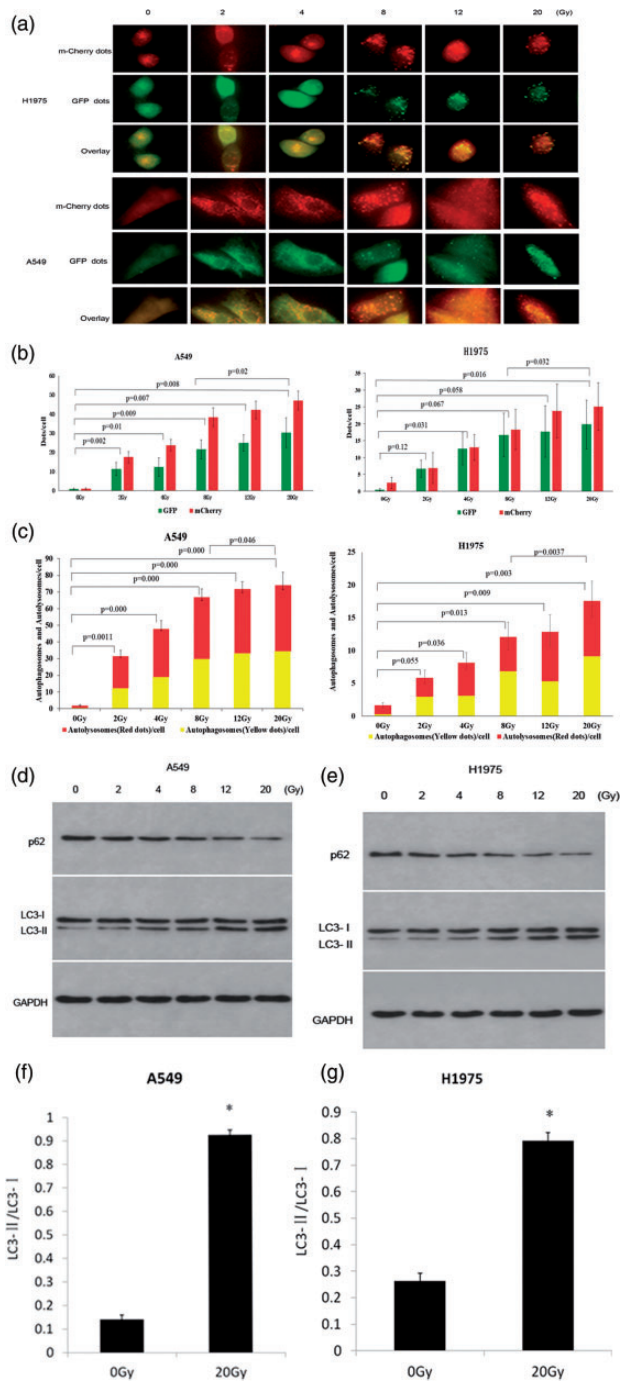
### **Statistical analysis**

Experiments were repeated at least three times, and results were presented as the mean ± standard deviation (SD). The difference between two measurements was analyzed by the unpaired Student's t-test using GraphPad Prism 5.0 Software. Differences were considered significant when  $P < 0.05$ .

## **Results**

### ***Irradiation induced autophagy and autophagy flux***

Several stresses, including nutrient deprivation and chemotherapeutic agents, were reported to induce autophagy in cancer cells. To determine whether autophagy was also induced by radiotherapy in NSCLC cells, we used fluorescence microscopy to detect the formation of autophagosomes and autolysosomes in A549 and H1975 cells 6 hours after exposure to radiation. Multiple green and red fluorescent proteins were observed in irradiated cells, suggesting that the adenoviruses expressing mCherry-GFP-LC3B fusion protein had invaded the cells (Figure 1a, b). Green GFP fluorescence quenched when autophagosomes were fused with acidic lysosomes because GFP is highly sensitive to acid. Therefore, the yellow and red spots represented autophagosomes and autolysosomes, respectively, suggesting that autophagic flow was unobstructed. In overlay images, the yellow and red spots indicated that autophagic flux was induced,



**Figure 1.** Irradiation induced autophagy in A549 and H1975 cells. (a) A549 and H1975 cells were transfected by adenoviruses expressing mCherry-GFP-LC3B fusion protein. After 24 hours, cells were irradiated with different doses of radiation, and autophagy was detected with a fluorescence microscope (400×)

while there were few autophagosomes in the control group (Figure 1c).

Western blot was used to detect the expression of LC3 protein, which is an important component of autophagosome membranes. Additionally, the LC3-I to LC3-II shift was reported to be associated with the formation of autophagy.<sup>11</sup> The ratio of LC3-II to LC3-I was significantly increased in both A549 and H1975 cells 6 hours after irradiation compared with the untreated group ( $P < 0.05$ ; Figure 1d–g). p62 is selectively incorporated into autophagosomes through binding to LC3, and is rapidly degraded during autophagy.<sup>14</sup> Thus, the total expression of p62 is inversely correlated with autophagic activity. We found that the production of p62 was significantly decreased in irradiated groups compared with the control group ( $P < 0.05$ ; Figure 1d), suggesting that irradiation induced autophagy and autophagy flux in both A549 and H1975 cells.

#### *Radiation dose-dependently increased autophagy in A549 and H1975 cell, which was inhibited by chloroquine*

The numbers of autophagosomes and autolysosomes at different doses of radiotherapy were counted, and shown to increase significantly in line with irradiation doses ( $P < 0.05$ , except between 0 Gy and 2 Gy in H1975 cells) (Figure 1c). To determine the optimal radiation dose for autophagy induction, a radiotherapy dose ramp test was performed. We found that protein levels of p62 and LC3-I decreased significantly with

increasing doses from 0 to 20 Gy, while LC3-II and the ratio of LC3-II/LC3-I increased significantly (Figure 1d–g). Previous studies suggested that autophagy has a cytoprotective role in cancer treatment, and that autophagy inhibition enhanced therapy-induced cell death.<sup>15</sup> CQ is a protease inhibitor that prevents autophagy lysosome formation during autophagy, and which was reported to increase LC3-II levels through the aggregation of autophagosomes.<sup>16</sup> Our results showed that CQ significantly upregulated the levels of LC3-II and p62 because autophagosomes could not degrade by binding to lysosomes ( $P < 0.05$ ; Figure 2a–d), suggesting that CQ inhibited autophagy in A549 and H1975 cell lines. These results indicated that CQ inhibited radiation-induced autophagy in a dose-dependent manner.

#### *Radiation dose-dependently increased MHC-I expression and CQ reversed its effects in A549 and H1975 cells*

Irradiation induces the oxidization of proteins and signal molecules, resulting in the antigen presentation pathway response.<sup>17</sup> We exposed A549 and H1975 cell lines to different doses of X-ray radiation, and detected MHC-I complexes on the cell surface by flow cytometry 6 hours later. Consistent with previous reports,<sup>18</sup> radiation induced the expression levels of MHC-I in a dose-dependent manner, with 20 Gy identified as optimal to maximize MHC-I expression (Figure 3a–c). To examine the effects of autophagy inhibition on

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#### **Figure 1.** Continued.

6 hours later. (b) GFP and mCherry dots per cell were quantified. Data are represented as mean  $\pm$  SD. The sum of GFP and mCherry was analyzed, and a significant difference was detected between groups. (c) Autophagosome and autolysosome dots per cell were quantified at different doses of radiation in A549 and H1975 cells. The sum of autolysosomes and autophagosomes was analyzed, and a significant difference was detected between groups. (d, e) Autophagy-related proteins were detected by western blot analysis in A549 and H1975 cells treated with different irradiation doses. (f, g) LC3-II/LC3-I was increased by radiation in both A549 and H1975 cells. \*,  $P < 0.05$ .

MHC-I expression, A549 and H1975 cell lines were treated with CQ after irradiation at different radiation doses. Inhibition of autophagy shifted the highest peak in the flow chart to the left, suggesting a decrease in MHC-1 expression following the inhibition of autophagy (Figure 3a, b).

### *Expression of MHC-I positively correlated with the infiltration of CD8<sup>+</sup> T cells*

Increased numbers of CD8<sup>+</sup> T cells are crucial to the response to immunotherapy<sup>19</sup> and are associated with excellent clinical outcomes.<sup>20</sup> Detailed patient information is listed in Table 1. MHC-I expression and CD8<sup>+</sup> T cell infiltration were determined by immunohistochemistry (Figure 4b, c), and shown to be highly correlated:  $R^2 = 0.713$  (Figure 4a). These results suggested that MHC-1 expression is upregulated in tumor-induced CD8<sup>+</sup> T cell infiltration.

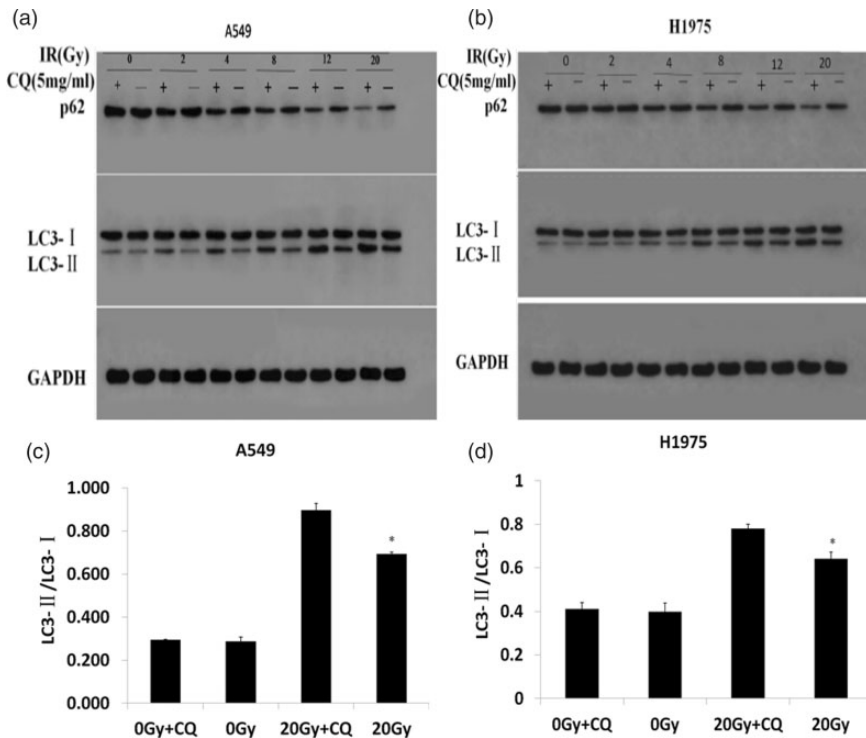
## **Discussion**

NSCLC is the most common fatal malignancy worldwide. Great progress has been made in NSCLC treatment during recent decades, with a combination of immunotherapy and radiotherapy seen as one of the most promising current treatments. Evidence has shown that a single high dose of radiation increased the release of immunogenic antigen, and achieved better results in conjunction with immunotherapy than conventional fraction radiotherapy.<sup>21</sup> Lehrer et al. reported that the synergy of stereotactic radiosurgery and immune checkpoint inhibitors reduced the probability of new brain lesions occurring by 40% in patients with brain metastases.<sup>22</sup>

Compared with  $10 \times 3$  Gy fractions, one dose of 30 Gy was reported to induce more CD8<sup>+</sup> T cell infiltration and fewer myeloid-derived suppressor cells in CT26 and MC38 colon tumors.<sup>23</sup> Moreover, advances in image-guided radiotherapy now enable

single radiation doses as high as 30 Gy to be safely delivered to tumor sites.<sup>23</sup> However, Vanpouillebox et al. found that abscopal responses were only seen in mice irradiated with  $3 \times 8$  Gy fractions plus anti-CTLA4, and that a single dose of 20 Gy was ineffective.<sup>5</sup> Indeed, they showed that radiation doses above 12 Gy induced DNA exonuclease Trex1, which downregulated cytosolic DNA and activated the cyclic GMP-AMP synthase/stimulator of interferon genes pathway. Golden et al. investigated the outcome of patients with metastatic solid tumors treated with concurrent radiotherapy at  $10 \times 3.5$  Gy fractions to one metastatic site and the subcutaneous injection of granulocyte-macrophage colony-stimulating factor ( $125 \mu\text{g}/\text{m}^2$ ) daily for 2 weeks. They found that metastatic lesions outside the field shrank in 11 of 41 patients (26.8%, 95% confidence interval 14.2–42.9).<sup>24</sup> Radiation ( $\leq 3$  Gy) was reported to induce abscopal responses outside the radiation field,<sup>25</sup> while Marconi et al. suggested that the probability of the abscopal effect was 50% for radiotherapy with equivalent biological doses over 60 Gy, regardless of the single radiation dose and number of fractions.<sup>26</sup> However, the optimal radiotherapy regimen for the strongest immune response remains controversial, and the mechanisms of how radiotherapy affects inflammation and immunity are still to be explored.

Autophagy is also induced by radiation and delivers cytoplasmic constituents for lysosomal degradation. It was reported to provide a substantial source of intra- and extracellular antigens for MHC presentation to T cells, which impacted on tumor-specific immune responses.<sup>27</sup> In adaptive immunity, the autophagy pathway was shown to be essential to antigen presentation,<sup>28</sup> while MHC-I downregulation was reported to be involved directly or indirectly in the mechanism of immune escape.<sup>29</sup> Previous studies revealed the regulatory mechanism of

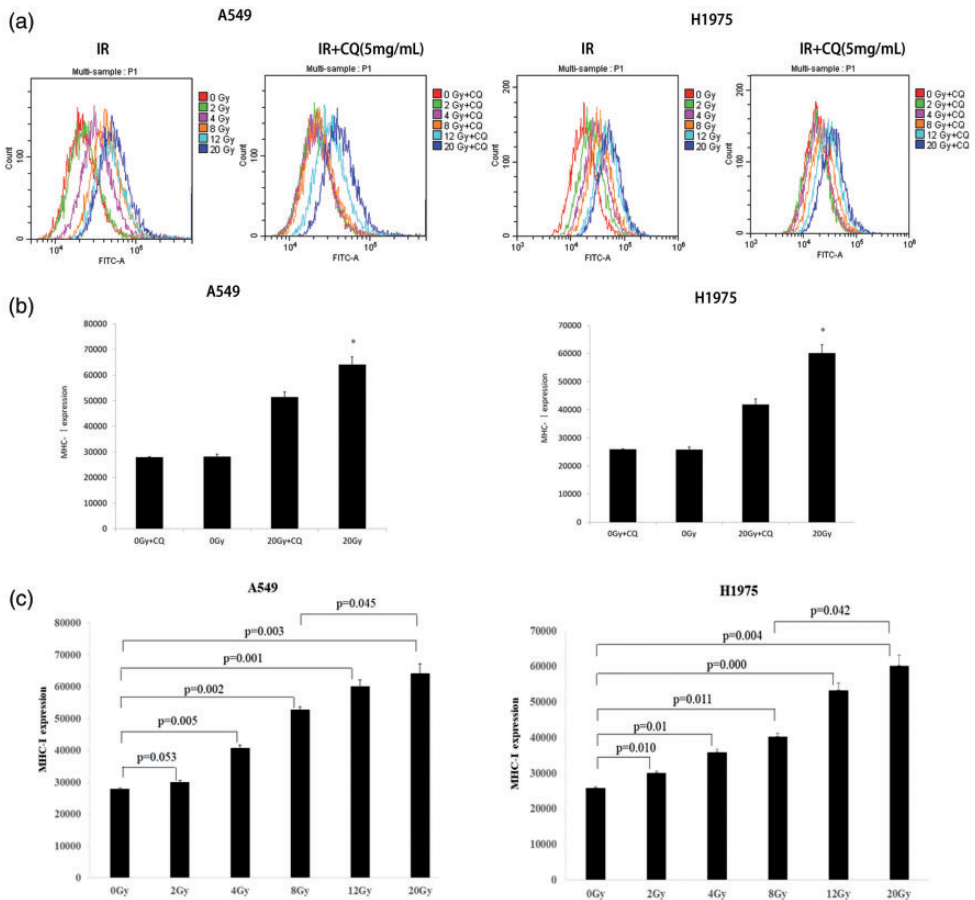


**Figure 2.** CQ increased LC3-II levels through the aggregation of autophagosome. (a, b) A549 and H1975 cells were treated in the presence or absence of 5 mg/ml CQ for 12 hours. Six hours after irradiation with different doses, protein levels of LC3-I, LC3-II, and p62 were examined by western blot analysis. (c, d) LC3-II/LC3-I was increased by CQ, especially at 20 Gy. \*,  $P < 0.05$  vs 20 Gy + CQ.

MHC-I by increasing its expression to avoid immune escape.<sup>30</sup> Patients with Merkel cell carcinoma demonstrated strong MHC-I expression after interferon (IFN) treatment,<sup>12</sup> while IFN production by lymphocytes was triggered through other signals released during radiation-induced immunogenic cell death.<sup>31</sup> In the present study, we used A549 and H1975 cell lines to investigate autophagy induction by irradiation and its effects on MHC-I expression. We showed that autophagy and MHC-I expression reached the highest levels when cells received a single dose of 20 Gy irradiation. However, MHC-I expression decreased after inhibition of autophagy by CQ, indicating that cellular autophagy regulates MHC-I expression.

The mechanism of autophagic regulation of the MHC-I could involve the release of immunostimulatory danger signals induced by irradiation, such as high-mobility group protein B1 (HMGB1), ATP, and calreticulin.<sup>32</sup> Indeed, Golden et al.<sup>33</sup> reported that HMGB1, ATP, and calreticulin were highly stimulated by ionizing radiation at 20 Gy. Additionally, autophagy is known to be involved in the release of HMGB1, a non-histone chromatin-binding protein involved in the perception of cancer cell death as immunogenic.<sup>34</sup> Both extranuclear and extracellular pools of HMGB1 previously promoted robust autophagic responses, indicating the existence of bidirectional crosstalk between autophagy and danger signaling.<sup>35</sup>



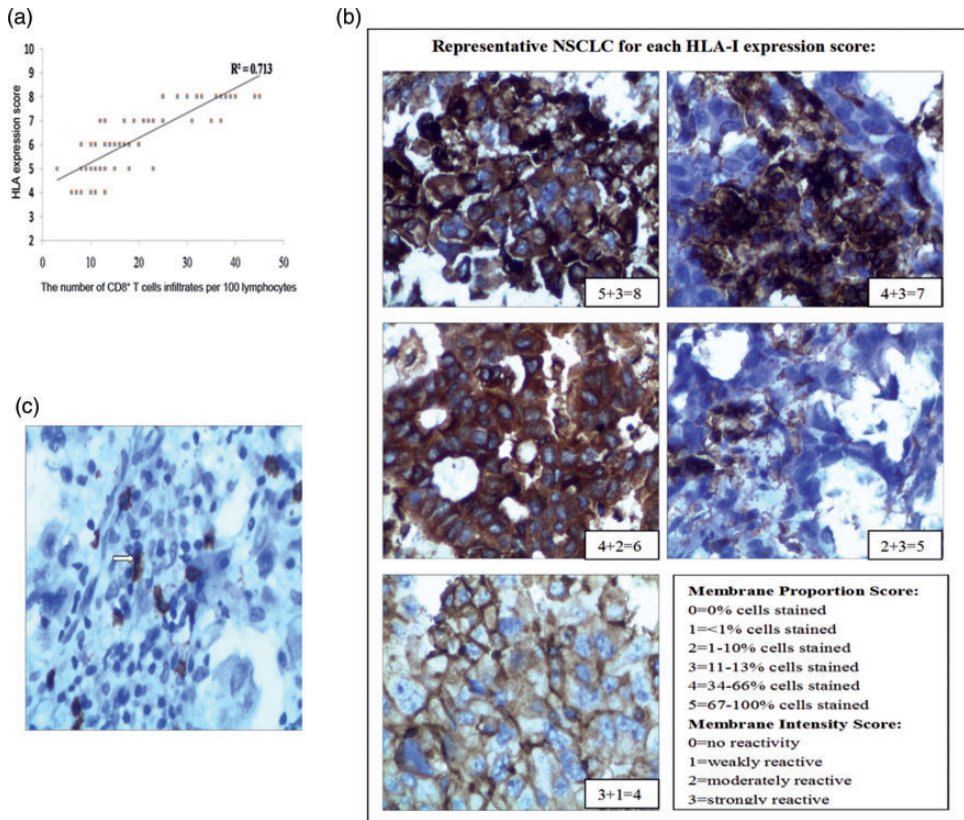


**Figure 3.** CQ decreased irradiation-induced MHC-I expression in lung cancer cells. (a) MHC-I expression was measured by flow cytometry in A549 and H1975 cells. (b) MHC-I expression increased with increasing doses of irradiation. CQ reversed the effects of irradiation (\*,  $P < 0.05$  vs 20 Gy + CQ). (c) MHC-I expression levels were quantified.

We demonstrated that autophagy and MHC-I increased in line with ionizing radiation increases up to 20 Gy, and that a single irradiation dose of 20 Gy activated the strongest autophagy with expression of the highest level of MHC-I. This finding differed from that of Zhang et al.<sup>36</sup> who showed that  $3 \times 8$  Gy induced the strongest IFN- $\beta$  secretion. Although a variety of radiation doses and delivery schedules have been used to induce anti-tumor T cells in different mouse tumor models,<sup>1</sup>

the optimal strategy to achieve this remains to be defined. To enable repair of healthy tissue, radiation is usually administered in multiple fractions, typically of 2 Gy each time, but this dose might result in inefficient activation of the immune response. However, using drugs that activate autophagy may achieve similar goals as high-dose radiotherapy, such as activation of the immune response.

T cells recognize antigens presented in the form of MHC-antigen complex



**Figure 4.** Detection of MHC-I expression and infiltration of interstitial CD8<sup>+</sup> T cells in NSCLC. (a) Interstitial lymphocyte infiltration and MHC-I expression on NSCLC cells were positively correlated ( $R^2 = 0.713$ ). (b) Representative MHC-I immunohistochemistry of NSCLC cells (400 $\times$ ). Images were scored for the proportion of cells expressing MHC-I and the intensity of expression. (c) Representative image of infiltrating interstitial CD8<sup>+</sup> T cells. White arrows indicate CD8<sup>+</sup> T cells (400 $\times$ ).

molecules, which is pivotal for the identification of infected or cancerous cells. Following antigen processing by the MHC, tumor-associated antigens are cross-presented to CD8<sup>+</sup> T or CD4<sup>+</sup> T cells, leading to the activation of T cell populations.<sup>30</sup> Thus, MHC molecules and T cells are thought to be closely linked. Romagnoli et al. demonstrated the presence of MHC-II molecules on activated murine CD8<sup>+</sup> T cells *in vitro* as well as *in vivo*.<sup>37</sup> When complexed with antigenic peptides, MHC-I molecules initiate CD8<sup>+</sup> T cell responses via interaction with the T cell receptor and co-receptor CD8.<sup>38</sup> In the

present study, we found that the expression of MHC-I was positively correlated with the infiltration of CD8<sup>+</sup> T cells.

An infiltration of CD8<sup>+</sup> T cells is required for good responses to immunotherapy. Matsutani et al.<sup>39</sup> reported that an evaluation of CD8<sup>+</sup> tumor-infiltrating lymphocyte density in rectal cancer during pretreatment biopsy could be used as a predictor of effectiveness of neoadjuvant treatment. The evolution of a local immune response may be triggered by the irradiation-mediated release of tumor antigens, creating a tumor microenvironment for cytotoxic T cell infiltration.

**Table 1.** Characteristics of 69 patients with NSCLC.

	All patients (n=69)
Median age (years)	56.0 (41.0–66.0)
Sex	
Female	28 (40.58%)
Male	41 (59.42%)
Histological type	
Adenocarcinoma	59 (85.51%)
Squamous	8 (11.59%)
Others	2 (2.9%)
Differentiation	
Well	34 (49.28%)
Moderate	14 (20.29%)
Poor	7 (10.14%)
Others (not reported)	14 (20.29%)
Smoking (before operation)	
No	38 (55.07%)
Yes	31 (44.93%)
AJCC stage*	
I	13 (18.84%)
II	21 (30.43%)
III	34 (49.28%)
IV	1 (1.45%)

\*AJCC: American Joint Committee on Cancer. The stage was postoperative staging based on the AJCC Cancer Staging Manual, Eighth Edition.

This might indicate a role for irradiation driven by CD8<sup>+</sup> T cells in anti-tumor immune responses.

## Conclusion

We concluded that autophagy induction should be used to guide the selection of radiation doses and fractionation to boost CD8<sup>+</sup> T cell infiltration. High-dose radiation therapy activated immune responses by stimulating autophagy and increasing MHC-I expression.

## Author contributions

All authors were involved in research design, data collection, results analysis, and manuscript preparation.

## Declaration of conflicting interest

The authors declare that there is no conflict of interest.

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