ORIGINAL ARTICLE

Calibration of FRAX ® 3.1 to the Dutch population with data on the epidemiology of hip fractures

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Abstract

Summary The FRAX tool has been calibrated to the entire Dutch population, using nationwide (hip) fracture incidence rates and mortality statistics from the Netherlands. Data used for the Dutch model are described in this paper.

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F. De Vries Department of Clinical Pharmacy and Toxicology, Maastricht University Medical Centre, Maastricht, The Netherlands Introduction Risk communication and decision making about whether or not to treat with anti-osteoporotic drugs with the use of T-scores are often unclear for patients. The recently developed FRAX models use easily obtainable clinical risk factors to estimate an individual's 10-year probability of a major osteoporotic fracture and hip fracture that is useful for risk communication and subsequent decision making in clinical practice. As of July 1, 2010, the tool has been calibrated to the total Dutch population. This paper describes the data used to develop the current Dutch FRAX model and illustrates its features compared to other countries.

Methods Age- and sex-stratified hip fracture incidence rates (LMR database) and mortality rates (Dutch national mortality statistics) for 2004 and 2005 were extracted from Dutch nationwide databases (patients aged 50+ years). For other major fractures, Dutch incidence rates were imputed, using Swedish ratios for hip to osteoporotic fracture (upper arm, wrist, hip, and clinically symptomatic vertebral) probabilities (age- and gender-stratified). The FRAX tool takes into account age, sex, body mass index (BMI), presence of clinical risk factors, and bone mineral density (BMD).

Results Fracture incidence rates increased with increasing age: for hip fracture, incidence rates were lowest among Dutch patients aged 50–54 years (per 10,000 inhabitants: 2.3 for men, 2.1 for women) and highest among the oldest subjects (95–99 years; 169 of 10,000 for men, 267 of 10,000 for women). Ten-year probability of hip or major osteoporotic fracture was increased in patients with a clinical risk factor, lower BMI, female gender, a higher age, and a decreased BMD T-score. Parental hip fracture accounted for the greatest increase in 10-year fracture probability.

Conclusion The Dutch FRAX tool is the first fracture prediction model that has been calibrated to the total Dutch population, using nationwide incidence rates for hip fracture and mortality rates. It is based on the original



FRAX methodology, which has been externally validated in several independent cohorts. Despite some limitations, the strengths make the Dutch FRAX tool a good candidate for implementation into clinical practice.

Keywords FRAX · Hip fracture · Osteoporosis · Osteoporotic fracture · 10-year fracture probability

Introduction

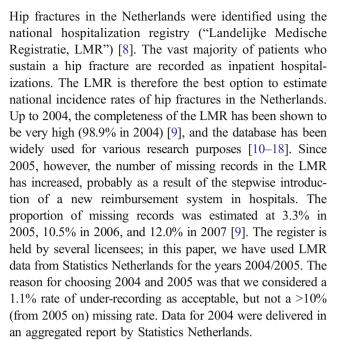
Osteoporosis is a devastating disease resulting in substantial health care costs and increased mortality. In Europe, osteoporotic fractures affect one in two women and one in five men aged 50 years and older [1]. In Europe, total health care costs associated with these fractures have been estimated to be around €30 billion [1]. In 2000, an estimated 5.8 million disability-adjusted life years were caused by osteoporotic fractures worldwide [2]. Among patients who have sustained a hip fracture, one in five will die within the first year after the fracture, whilst one in three of those surviving needs assistance with walking [3, 4]. Because of this huge burden, assessment of an individual's risk of fracture is important so that a prophylactic intervention can be effectively targeted.

As of July 1, 2010, the FRAX® tool has been calibrated to the total Dutch population (http://www.sheffield.ac.uk/ FRAX). FRAX uses easily obtainable clinical risk factors, with or without femoral neck bone mineral density (BMD), to estimate 10-year fracture probability [5]. It has been constructed using primary data from nine population-based cohorts around the world. The gradients of fracture risk have been validated externally in 11 independent cohorts with a similar geographic distribution [6]. FRAX is a platform technology using Poisson models that integrate risk variables, fracture risk, and death risk over a 10-year interval. Using the incidence rates of hip and osteoporotic fractures and mortality rates, FRAX can be calibrated to create a country-specific model [7]. With the introduction of the online Dutch FRAX tool, it is important to understand the origin of the data for further validation if needed. Furthermore, the possibilities of the Dutch FRAX tool and its strengths/limitations compared to other Dutch models need to be discussed. The objective of this paper is to describe the data used to develop the current Dutch FRAX model.

Methods

Data sources

For the calibration of FRAX, we used two different sources of data: (1) the national hospitalization registry of the Netherlands and (2) the Dutch national mortality statistics.



In contrast to hip fractures, incidence of osteoporotic fractures could not be determined using national registries (including LMR), because a dedicated registry with routinely recorded osteoporotic fractures does not exist in the Netherlands. Therefore, the World Health Organization Collaborating Centre for Metabolic Bone Disease used the population of Sweden in order to impute incidence rates of major osteoporotic fractures in the Netherlands [19, 20]. In Malmö, radiography referrals are recorded for all fractures that come to medical attention. For each age and sex category, incidence rate ratios for major osteoporotic fractures to hip fractures were calculated in this Swedish population [20]. It was assumed that these age- and genderspecific ratios found in Malmö are comparable to those in the Netherlands. This assumption has also been used for many of the FRAX models with incomplete epidemiological information. Available information suggests that the age- and gender-stratified pattern of fracture is very similar in the Western world and Australia, although it should be noted that incidence rates for vertebral fracture as judged by vertebral morphometry may be underestimated in some of these data sources [19].

Mortality rates were extracted using the national mortality registry, available from Statistics Netherlands. When a patient dies, doctors and coroners are obliged to fill out a death certificate. The national mortality registry has a high degree of completeness because of the legal requirement.

Study population and outcomes

Using the LMR data from 2004/2005, Statistics Netherlands computed the age- and gender-specific incidence rates of hip fracture (International Classification of Disease 9,



ICD9) code 820. Cases were defined as patients (aged 50+ years) who were hospitalized for a hip fracture in 2004/2005 and who had not been hospitalized for a hip fracture in the previous 5 years. Incidence rates were estimated as follows: the number of men and women in 5-year age intervals with at least one hip fracture in 2004 and 2005 was divided by the age-and sex-specific population of the Netherlands at the average midpoint of 2004 and 2005. We included hip fracture cases of persons who had been recorded in the national patient register as a Dutch resident for the full calendar year. We excluded those who had immigrated or emigrated during 2004/2005 [21]. In order to estimate the incidence of other osteoporotic fractures in the Netherlands, we used Swedish population-based data (Malmö), as described previously by Kanis et al. [19, 20]. First osteoporotic fracture diagnoses were identified, using files at the Department of Diagnostic Radiology in Malmö (1987-1993). Osteoporotic fractures included those of the hip, forearm, proximal humerus, and clinically symptomatic vertebral fractures. Past records were examined to exclude patients who had previously sustained a fracture of the same type. Multiple osteoporotic fractures at different sites were counted separately. Age- and gender-specific ratios for osteoporotic fracture to hip fracture were calculated and used to transform the Dutch hip fracture incidence rates to those for osteoporotic fractures [7, 19]. Mortality statistics for the year 2005 were retrieved from the website of Statistics Netherlands (www.statline.nl).

Calibration

The development and validation of FRAX ® has been extensively described by Kanis et al. and McCloskey et al. [5, 22, 23]. The risk factors used were based on a systematic set of meta-analyses of population-based cohorts

Table 1 Dutch age- and genderstratified 1-year incidence rates of hip fracture (true data; 2004/ 2005) and (imputed) osteoporotic fracture (imputed using Swedish data) per 10,000 inhabitants in 2004/2005 as modeled in FRAX

Modeled Dutch incidence rates for osteoporotic fractures imputed, using real-life Dutch incidence rates for hip fractures, and Swedish (age- and genderstratified) hip to osteoporotic fracture incidence rate ratios worldwide. For the construct of a FRAX model for the Netherlands, data from the following sources are required: (1) beta coefficients of the risk factors in the original FRAX model and (2) incidence rates of hip fracture, and mortality rates, for an individual country. The relative importance of the beta coefficients for death and fracture was assumed to be similar in the Netherlands, as has been shown across several European countries [6]. However, absolute agespecific fracture risk and mortality rates differ from country to country [5]. Consequently, for each age category, the hazard function was calibrated to match the mean risk (both fracture risk and mortality rate) for that specific age group in the Netherlands, without altering the relative importance of the beta coefficients [5].

Comparison of Dutch hip fracture incidence rates with those in other world regions

In order to compare Dutch hip fracture probabilities with those of other regions of the world, the remaining lifetime probability of hip fracture from the age of 50 years was calculated for men and women, as described by Kanis et al. and Johnell et al. [24, 25]. In the present analysis, values for the Netherlands were compared with those of China (with and without inclusion of Hong Kong), Mexico, Portugal, Spain, France, UK, Turkey, USA, and Sweden.

Results

Table 1 shows 1-year age- and gender-stratified incidence rates of hip fracture for the Netherlands (2004 and 2005), as well as the incidence of osteoporotic fractures, based on the Malmö transformation. Hip fracture incidence was lowest in patients aged 50–54 years old (per 10,000 inhabitants: 2.3 for men and 2.1 for women) and highest among the

Age category (years)	1-year incidence hip fracture by FRAX (per 10,000 inhabitants)		1-year imputed incidence osteoporotic fracture by FRAX (per 10,000 inhabitants)		
	Male	Female	Male	Female	
50–54	2.3	2.1	16.8	23.3	
55-59	3.0	4.2	17.1	33.0	
60-64	4.6	8.1	17.5	46.5	
65–69	8.9	15.3	28.3	68.1	
70-74	16.9	28.6	45.9	99.8	
75–79	32.3	53.6	74.4	146.2	
80-84	61.6	100.5	120.5	214.1	
85–89	117.6	188.2	195.4	313.6	
90–94	141.0	224.3	240.4	385.9	
95–99	169.0	267.3	295.8	474.9	



oldest subjects (95–99 years) (169.0 of 10,000 and 267.3 of 10,000 for men and women, respectively). With increasing age, there was a rise in proportion of all fractures primarily accounted for by hip fractures, with the highest proportion in the oldest patients (among osteoporotic fractures, 57.1% were hip fractures in males, 56.3% in females).

Age- and gender-stratified mortality rates for the total Dutch population are shown in Table 2. Mortality rates increased with higher ages, with rates of 4,245 per 10,000 male inhabitants and 3,532 per 10,000 female residents in the oldest age category (≥95 years).

In Table 3, 10-year probabilities of osteoporotic fractures are shown for Dutch men and women per age and gender category in the absence or presence of at least a single clinical risk factor (each row), without entering information on BMD, keeping BMI constant at 25 kg/m². Parental history of hip fracture was the strongest clinical risk factor in the elderly: a 90-year-old woman with a BMI of 25 kg/m², and a parental hip fracture as single clinical risk factor, had a 26% 10-year probability of osteoporotic fracture (20% for hip fracture), whilst the risk was only 13% for a female of equal age and BMI without a parental hip fracture. When compared to a male patient with the same clinical risk factors, the 10-year probability of fracture was halved (13% for osteoporotic fracture, 11% for hip fracture). In younger age categories, much smaller differences between the two genders were observed: the 10-year probability of osteoporotic fracture was 3.7% in a 50-year-old female with a BMI of 25 kg/m² and a parental hip fracture as single clinical risk factor (0.2% for hip fracture), as compared to 3.0% in a 50-year-old male with comparable clinical risk factors (0.1% for hip fracture).

Tables 4 and 5 show the effect of BMD on the 10-year probabilities of osteoporotic and hip fracture in men and women aged 60 years old (Table 4) and aged 80 years old (Table 5) with a BMI of 25 kg/m², rheumatoid arthritis, and

Table 2 Dutch age- and gender-stratified mortality rates (per 10,000 inhabitants) in 2005

Age category (years)	Mortality rate (per 10,000 inhabitants)			
	Male	Female		
50–54	41.0	31.1		
55–59	65.1	46.5		
60-64	113.7	69.4		
65–69	190.9	103.1		
70–74	330.6	181.5		
75–79	584.7	328.2		
80-84	1,005.2	607.6		
85-89	1,710.1	1,193.8		
90–94	2,690.0	2,085.7		
≥95	4,245.0	3,532.0		

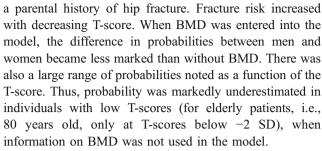


Table 6 shows that Northern European countries (including the Netherlands) yielded the highest lifetime probabilities for hip fracture (with the highest rate seen in Sweden) in individuals from the age of 50 years. In contrast, much lower incidence rates were recorded in China, Mexico, and Mediterranean countries.

Discussion

In this paper, we describe the FRAX® model developed for the Netherlands, which can be used to assess individual 10-year probabilities of hip fracture, as well as any osteoporotic fracture in Dutch patients. It has been calibrated to the total Dutch population, based on nationwide incidence rates for hip fracture and mortality. The model became available in July 2010 at the FRAX® website (http://www.sheffield.ac.uk/FRAX).

Previous clinical risk scores in Holland have been developed in cohorts that were representative for only a small Dutch region, and these risk scores have not been validated externally. Pluijm et al. proposed a clinical risk score to estimate fracture risk in Dutch women, using information from two different Dutch cohort studies [26]. Although the risk score is simple to use, there are some limitations to the model. The cohorts included patients from small regions and may therefore not be representative of the country. Although one of the two models included multiple cities throughout the country, the majority of fracture cases originated from a specific area in the city of Rotterdam, which is not comparable to patients from the general population [26]. Furthermore, men had not been included in these cohorts, limiting the use of the risk score to women only. Finally, there may have been substantial underrecording of several risk factors for fracture (such as rheumatoid arthritis, smoking, alcohol intake, and oral glucocorticoid use) in these GP-based cohorts. Compared to pharmacy dispensing data (representative sample of the total Dutch population, with a similar age), the prevalence of oral glucocorticoid use was found to be 1.5-2.2-fold lower in these GP-based registries (2%) [16]. More recently, van Geel et al. developed a fracture risk model in a cohort comprising postmenopausal women, inhabitants of the southern part of the Netherlands [27]. This clinical risk score is the simplest to use, as it only includes three



Table 3 Age- and gender-stratified 10-year probabilities (percent) of osteoporotic fracture in absence or presence of at least a single clinical risk factor, without information on BMD

	Males					Females					
	Age (ye	ars)									
Clinical risk factor	50	60	70	80	90	50	60	70	80	90	
No risk factor	1.5	2.3	3.6	5.5	5.5	1.8	3.4	6.9	12	13	
Previous fracture	3.2	4.7	7.0	9.0	8.8	4.1	7.1	13	20	21	
Parental hip fracture	3.0	4.4	6.0	12	13	3.7	6.6	11	24	26	
Current smoking	1.6	2.4	3.9	6.0	5.8	2.0	3.7	7.7	14	14	
Glucocorticoid use ^a	2.4	3.7	5.7	8.1	7.7	3.1	5.7	11	20	19	
Rheumatoid arthritis	2.0	3.1	5.2	8.3	8.5	2.5	4.8	9.8	18	19	
Secondary osteoporosis ^b	2.0	3.1	5.2	8.3	8.5	2.5	4.8	9.8	18	19	
Alcohol use ^c	1.8	2.8	4.6	7.3	7.5	2.2	4.2	8.7	16	17	

BMI is set at 25 kg/m²

risk factors in the final model. A major strength, compared to the other Dutch fracture models, is the consideration of the time window in which a prior fracture could have occurred. Like the model described by Pluijm et al., the van Geel model also is limited to women only and may not be representative for the entire country. A third model, introduced by the Dutch Institute for Healthcare Improvement (CBO), aims to identify high-risk patients for fracture by calculating a fracture risk score based on weighted widely recognized risk factors [28]. However, in contrast to the other Dutch fracture models, these weights are based on expert opinion and have not been developed and validated in clinical studies using Dutch patients' data. Therefore, these estimated weights may not reflect real-life weights. This CBO model is currently used in the national Dutch guidelines for fracture prevention [28]. The use of FRAX in these guidelines is limited: FRAX risk assessment is only

recommended in patients with multiple clinical risk factors (CBO score \geq 4), and a T-score between -2.0 SD and -2.5 SD, but without evidence of a recent fracture.

The importance of calibrating FRAX to an individual country is illustrated by the marked differences in lifetime risks of hip fracture in 50-year-old males and females between countries worldwide. In line with previous reports, we found much higher incidences for hip fracture in European countries (including the Netherlands), as compared to those in countries like China, Mexico, and those in the Mediterranean area [29–31]. Possible explanations for this decreased incidence rate in the latter countries as compared to the Netherlands include lower life expectancy, in particular in Latin America (as most hip fractures occur after the age of 65 years) [30], variations in reversible lifestyle factors, and genetics [32, 33]. High prevalence rates in Scandinavian countries (including Sweden) may to

Table 4 BMD- and gender-stratified 10-year probabilities of osteoporotic and hip fracture for a 60-year-old patient with a BMI of 25 kg/m², rheumatoid arthritis, and a parental history of hip fracture

BMD	Males		Females		
	10-year probability (%) of	10-year probability (%) of		
T-score	Osteoporotic fracture	Hip fracture	Osteoporotic fracture	Hip fracture	
Not taken into account	5.9	0.8	8.9	1.3	
1	4.5	0.1	6.1	0.1	
0	5.2	0.3	6.9	0.2	
-1	6.6	0.7	8.1	0.5	
-2	9.5	2.2	11	1.6	
-3	15	6.5	17	5.0	
-4	28	18	29	15	



^a Current exposure to oral glucocorticoids or prior exposure for a period of at least 3 months at a daily dose of at least 5 mg prednisolone (or equivalent doses of other glucocorticoids)

^b Includes patients diagnosed with diabetes mellitus type I, osteogenesis imperfecta, untreated long-standing hyperthyroidism, hypogonadism or premature menopause (<45 years), chronic malnutrition or malabsorption, and chronic liver disease

^c Exposure to at least three units of alcohol daily (one unit equals 8–10 g alcohol)

Table 5 BMD- and gender-stratified 10-year probabilities of osteoporotic and hip fracture for an 80-year-old patient with a BMI of 25 kg/m², rheumatoid arthritis, and a parental history of hip fracture

BMD	Males		Females		
	10-year probability (%) of	10-year probability (%) of		
T-score	Osteoporotic fracture	Hip fracture	Osteoporotic fracture	Hip fracture	
Not taken into account	19	16	36	29	
1	5.6	3.1	7.1	2.3	
0	8.2	5.4	11	4.9	
-1	12	9.2	17	10	
-2	19	16	27	20	
-3	30	26	45	38	
-4	43	40	67	62	

some degree be explained by icy condition in the winter [34] and high smoking frequency/alcohol intake (in particular in Denmark) [35].

The use of FRAX as a clinical tool demands a consideration of intervention thresholds. These thresholds, determined by fracture probability, should be recommended based on clinical imperatives and validated by the costeffectiveness of a possible FRAX-based strategy. In the UK, the National Osteoporosis Guideline Group has described management algorithms that are based on FRAX [36]. These guidelines describe fracture risk thresholds at which BMD assessment or osteoporosis treatment should be carried out. In a post hoc analysis, they demonstrated cost-effectiveness of this strategy, based on a willingness to pay (WTP) threshold set at £20,000 for each qualityadjusted life year gained [37]. However, these intervention thresholds may not apply to the Netherlands, since the cost of osteoporosis and BMD measurement, and the WTP in the Netherlands, may differ from those in the UK. In addition, the willingness to trade-off risks for benefits of

Table 6 Lifetime probability of hip fracture in males and females from the age of 50 years

Country	Lifetime risk at ≥50 years (%)		
	Males	Females	
China	1.9	2.4	
Mexico	3.8	8.5	
China (Hong Kong)	4.1	8.8	
Portugal	3.6	10.1	
Spain	4.2	12.0	
France	3.6	12.7	
UK	4.8	14.0	
Turkey	3.5	14.6	
USA	6.0	15.8	
Netherlands (present study)	5.2	17.3	
Sweden	13.1	28.5	

fracture prevention may vary among individual patients. Using FRAX, both the clinician and the patient can discuss fracture probability and weigh the risks and benefits of starting fracture prevention (although Dutch cost-effectiveness studies need to be conducted to determine clear intervention thresholds).

As of 2010, it remains unclear whether the implementation of FRAX screening indeed would lead to reduced fracture rates, compared to conventional patient management, though a substantial body of indirect evidence suggests that FRAX identifies individuals who respond to pharmacotherapy [38]. In order to assess the clinical usefulness of FRAX screening, the "Screening of Older Women for Prevention of Fracture" trial is currently being conducted [39]. In this British trial, effectiveness (reduction of fracture incidence) and costeffectiveness of FRAX screening in women aged 70-85 years are being evaluated. In the Netherlands, the Salt Osteoporosis Study is currently being carried out to assess the 3-year efficacy of FRAX-based screening in women aged 65 years or more with at least one clinical risk factor for fracture [40]. The randomized clinical trial will compare the fracture incidence in patients who have been screened for high fracture risk using FRAX® (and have received treatment options based on this) with the fracture incidence of patients who received care based on current Dutch guidelines.

The major strength of FRAX® is that it has been developed in nine different cohorts and has been externally validated in 14 studies comprising of several million individuals [6, 41–43]. In addition, higher predictive validity for fracture outcome is obtained by combining both data on clinical risk factors and BMD levels. A meta-analysis showed that the combination of clinical risk factors and BMD provides higher specificity and sensitivity than either alone [6]. Current models are limited to either the use of clinical risk factors or BMD alone, possibly diminishing their predictive validity [6, 26, 27]. A third strength is the use of a continuous scale for age and body weight, as fracture risk increases even above the fixed age and body weight thresholds used by many other models [44, 45].



Furthermore, in contrast to the current local Dutch models, the Dutch FRAX tool has been calibrated to the total Dutch population, using nationwide incidence rates for hip fracture and mortality rates.

A limitation of the Dutch FRAX® is that, as of 2010, the tool has not been prospectively validated in the Netherlands (i.e., the predictive value of FRAX in the Netherlands). Notwithstanding, the model is constructed using national rather than regional information on hip fracture and death rates. An additional limitation is that the incidence rates of hip fracture were derived from the year 2004/2005 and were therefore not completely up to date. Unfortunately, Dutch national hip fracture data are no longer reliable after 2005. Due to a change in law, Dutch hospitals are no longer required to record their hospitalization rates by ICD9 code and send them to the national registry [9]. In order to overcome this limitation, a future study has been designed, in which hip fracture rates will be updated by linkage of various Dutch epidemiological registries.

A third limitation of FRAX in general is that it makes no use of several other important clinical risk factors for fracture (such as previous vertebral fractures, a history of falls, vitamin D deficiency, and use of psychotropic drugs) [10, 11, 18, 46, 47]. Although the model does take prior fractures into account, the number and recency of these fractures have not been included as predictors in the model, because of the lack of data available in the construct cohorts [19], but they probably are important. For instance, a Dutch retrospective cohort study showed that the incidence of new clinical fractures was higher among patients who had sustained multiple baseline fractures, when compared to those who had sustained only a single fracture at baseline [48]. In addition, in the FRAX ® model, current use of oral glucocorticoids was not specified by cumulative or daily dose, which may be more accurate to use in order to predict osteoporotic fractures [49, 50]. To overcome this limitation, a recent study has shown a methodology to adjust conventional FRAX estimates of hip and osteoporotic fracture probabilities based on knowledge of the daily glucocorticoid dose in an individual

The FRAX model assumes that the weight of each clinical risk factor on the risk of death and fracture is the same as that derived from the cohorts used in the construction of FRAX rather than on empirical data from the Dutch population. In the absence of national data, the assumption is reasonable, particularly since the weight of the clinical risk factors has been validated in an international perspective [6].

Finally, in contrast to the UK, cost-effectiveness has not been evaluated in the Netherlands, using FRAX® as a decision tool for BMD assessment or to start drug treatment [36]. Therefore, it is currently unclear at which fracture risk

threshold interventions (such as BMD measurement or treatment with calcium and bisphosphonate) should be recommended in the Netherlands. Furthermore, fracture risk estimation by FRAX is limited to treatment-naive patients only.

In conclusion, this paper describes the development of the Dutch FRAX model. This tool allows the estimation of 10-year absolute risks of hip and osteoporotic fracture in Dutch residents. The calibrated model is based on the original FRAX methodology, which has been externally validated in several independent cohorts. It is the first model that has been calibrated to the total Dutch population, using nationwide incidence rates for hip fracture and mortality rates. Despite some limitations [19, 52], its strengths make the Dutch FRAX tool a good candidate for implementation into clinical practice.

Conflicts of interest Arief Lalmohamed, Anthonius de Boer, and Frank de Vries work at a division that received unrestricted funding for pharmacoepidemiological research from GlaxoSmithKline, the private—public-funded Top Institute Pharma (www.tipharma.nl, includes co-funding from universities, government, and industry), the Dutch Medicines Evaluation Board, and the Dutch Ministry of Health. John Kanis, Helena Johansson, Johannes Jacobs, and Willem Lems have no competing interests with regard to this work.

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