Research Article

Analysis of Plasma EBV-DNA and Soluble Checkpoint Proteins in Nasopharyngeal Carcinoma Patients after Definitive Intensity-Modulated Radiotherapy

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Background. Tumor immunotherapy and immunological checkpoint-related proteins are research hotspots. Intensity-modulated radiotherapy (IMRT) is the main treatment for nasopharyngeal carcinoma (NPC). Hence, the evaluation of its effect is very important. The aim of this study was to assess the relationship between the concentrations of soluble checkpoint proteins, plasma EBV-DNA, and cytokines in NPC patients treated with IMRT. *Methods.* In this study, the plasma samples of 37 NPC patients and 40 healthy controls were collected. Luminex MAGPIX was used to detect the concentrations of 32 plasma targets, including soluble programmed cell death 1 (sPD-1). RT-qPCR was used to measure EBV-DNA. *Results.* The concentrations of 33 plasma targets were detected in NPC patients before and after IMRT to explore the changes after IMRT. The results showed that IMRT could increase the expression of sPD-1 and significantly reduce the level of EBV-DNA in the plasma of NPC patients. The expression level of sPD-1 in TNM I/II patients was significantly higher than that in III/IV patients. Besides, the concentrations of 12 other targets were significantly different after IMRT, including LAG-3, PD-L1, TIM-3, IFN- γ , IL-12p70, IL-1 β , IL-5, IL-6, TNF- α , IL-10, IL-17A, and IL-22. High sPD-1 patients had longer survival than those with low sPD-1. Also, patients with lower EBV-DNA and TNM grades I and II/III had longer survival than those with higher EBV-DNA or TNM IV. *Conclusions.* This study demonstrated that the concentration of sPD-1 was significantly increased and EBV-DNA was significantly reduced in the NPC patients after IMRT. Plasma EBV-DNA level was a highly specific and sensitive biomarker for NPC diagnosis. Both sPD-1 expression and EBV-DNA concentration in plasma were related to the survival of patients.

1. Introduction

Nasopharyngeal carcinoma (NPC) is a malignant tumor of the upper and lateral wall of the nasopharyngeal cavity, which exhibits marked racial and geographical differences. It is prevalent in northern Africa, southeast Asia, and southern China. The age-standardized rates (ASRs) of NPC are as high as 20/100,000 person-year in southern China, but less than 1/100,000 in most areas worldwide [1].

As nodal metastasis is frequently found in probably 75% of NPC patients, blood biomarkers for early diagnosis of NPC

are urgently needed [2]. The critical relationship between cytokines and immunity is involved in the growth, survival, inflammation, and development of tumor cells.

Several cytokines and proteins have been identified as potential markers for diagnosis and prognosis of NPC. Serum level of interleukin-6 (IL-6) was reported to predict overall survival (OS), disease-free survival (DFS), distant metastasis-free survival (DMFS), and lung metastasis-free survival (lung-MFS) in NPC patients [3]. High plasma soluble CD40 ligand (sCD40L) level was significantly related to stage progression and poor survival of NPC patients [4]. After extensive literature review, we identified 29 cytokines, CD molecules, and soluble proteins that are closely related to tumors, including Th1/Th2 and Treg-related factors. The Epstein-Barr virus (EBV) is linked to host immune cells and NPC risk. Plasma EBV-DNA was identified as a useful marker for the detection, monitoring, and prognosis of NPC [5, 6].

The immune checkpoint regulates the immune response of T cells through a dual signaling mechanism and plays a vital role in maintaining autoimmune tolerance. Immunological checkpoints have achieved good clinical results as drug targets, especially programmed death 1 (PD-1) and PD-L1. PD-1, due to its diverse roles in regulating immune responses during malignancy, has become an important focus in tumor immunotherapy in recent years. PD-1 pathway causes immune suppression of tumors through downregulation of effector T cells [7].

sPD-1 is a soluble form of PD-1, which competitively blocks the regulatory properties of PD1/PD-L1, and thus improves antitumor immunity. In preclinical studies, sPD-1 was detectable in healthy individuals, and its levels were increased in patients with autoimmune diseases and cancer [8]. Given the convenience of plasma extraction, widespread suppression of all combinations of PD-1/PD-L1, and stability of plasma sPD-1 level, sPD-1 was considered as a new biomarker and effective potential immunotherapy target.

In the present study, expression levels of 32 plasma checkpoint proteins, cytokines, and EBV-DNA were detected in NPC patients before and after intensity-modulated radiotherapy (IMRT), which is the preferred treatment due to the radiosensitivity of NPC.

2. Materials and Methods

2.1. Study Population. A total of 37 patients with NPC who received IMRT in Taizhou Central Hospital (Zhejiang, China) and 40 healthy volunteers were enrolled in this study between July 14, 2015, and July 28, 2016. Patient data including age, gender, date of initial diagnosis, pathological diagnosis, TNM stage, treatment regimen, and date of death or last follow-up was collected (Table 1). There was no significant difference in age and gender between the NPC patients and healthy controls. The disease stage was determined according to the seventh TNM staging system of the International Union for Cancer Control (UICC) and the American Joint Committee for Cancer (AJCC).

Of the 37 cases, three (8.11%) were stage I, 13 (35.14%) were stage II, 17 (45.95%) were stage III, and four (10.81%) were stage IV. All the patients were followed-up, and the average of follow-up period was 29.0 months (range, 2.4-42.7 months). During the entire follow-up period, there were five cancerrelated deaths (13.6%) including one, two, and two patients with stages II, III, and IV, respectively.

2.2. Samples and Methods. Plasma samples of patients and controls were prepared from the peripheral blood by centrifugation at 3000 rpm for 10 minutes and then stored at -80°C until use. A total of 32 targets including soluble

TABLE 1: Characteristics of nasopharyngeal carcinoma patients.

	Patient (n=37)	Control (n=40)
Age (year)		
Median	53	50
Range	37-90	20-73
Gender		
Male	25	24
Female	12	16
Tumor status		
T_1	10	
T_2	13	
T ₃	12	
T_4	2	
Nodal status		
N ₀	5	
N_1	15	
N_2	17	
Metastasis status		
M_0	34	
M_1	3	
TNM stage		
Ι	3	
II	13	
III	17	
IV	4	

checkpoint proteins and plasma cytokines were detected by Immuno-Oncology Checkpoint 14-Plex Human Procarta-Plex[™] Panel 1 (eBioscience, Carlsbad, USA) and ProcartaPlex Human Th1/Th2/Th9/Th17/Th22/Treg cytokine kit (eBioscience, Carlsbad, USA) using Luminex MAGPIX. EBV-DNA was extracted from plasma and measured by RT-qPCR using ABI 7500 and EBV nucleic acid qPCR detection kit (Daan gene, Guangzhou, China). All the experiments were conducted as per the manufacturer's instructions.

2.3. Statistical Analysis. Statistical analysis was performed with SPSS17.0 software (IBM, Armonk, NY, USA), and GraphPad Prism 6 (GraphPad® Software, Inc., San Diego, CA, USA) was used to generate the graphs. Differences in the 32 targets and EBV-DNA between NPC patients before and after IMRT were analyzed with paired-samples t-test, and Wilcoxon Signed Rank test was used when the data was skewed. Independent-samples t-test and Mann-Whitney U-test were used to compare the targets between NPC patients and controls. Survival probabilities were calculated using the Kaplan-Meier method, and the differences between survival curves were analyzed by the log-rank test. *P*<0.05 was considered significant.

3. Results

3.1. Soluble Checkpoint Proteins and Plasma Cytokine Levels in NPC Patients and Healthy Controls. Luminex was used

TABLE 2: Changes in	soluble checkpoint	proteins and	plasma cvtoki	ines before and a	fter IMRT in NPC.

	Pre-IMRT radiotherapy	Post-IMRT radiotherapy	Control	р1	<i>p2</i>	р3
BTLA	77.0±59.6	76.5±58.9	344.1±102.3	0.948	≤0.001	≤0.001
GITR	12.1±16.0	11.5±15.9	13.3±7.4	0.709	0.022	0.009
HVEM	4.1±11.6	7.7±21.3	94.0±18.3	0.325	≤0.001	≤0.001
IDO	14.3±16.7	14.7±18.5	13.9 ± 5.0	0.737	0.084	0.019
LAG-3	121.4±79.5	79.7±45.5	64.8±28.6	≤0.00	≤0.001	0.087
sPD-1	12.4±7.8	30.0±20.6	69.7±38.8	≤0.001	≤0.001	≤0.001
sPD-L1	4.7±3.2	11.1±3.3	13.7±5.6	≤0.001	≤0.001	0.017
sPD-L2	1571.6±719.3	1463.3±797.8	2901.9±1026.1	0.388	≤0.001	≤0.001
TIM-3	1702.8±867.8	2643.3±1243.8	2005.6±633.4	≤0.001	0.087	0.005
CD28	58.6±98.6	53.2±83.3	292.0±76.2	0.315	≤0.001	≤0.001
CD80	99.9±114.4	92.6±110.6	526.4±454.5	0.191	≤0.001	≤0.001
CD137	8.8±29.4	9.6±35.9	48.8±38.1	0.715	≤0.001	≤0.001
CD27	358.7±377.0	369.9 ± 355.4	480.3±368.7	0.731	0.049	0.004
CD152	18.0±20.5	17.6±20.6	21.7±9.8	0.386	0.002	0.003
IFN- γ	65.6±37.4	15.2±8.8	29.2±16.9	≤0.001	≤0.001	≤0.001
IL-12p70	6.3±0.6	3.7±1.2	3.5±0.8	≤0.001	≤0.001	0.607
IL-13	14.0 ± 12.3	17.7±9.8	14.7±8.9	0.154	0.566	0.070
IL-1 β	6.6±6.7	6.1±5.1	3.1±5.2	0.005	0.002	≤0.001
IL-2	22.8±23.2	19.6±14.0	10.2±15.9	0.563	0.027	≤0.001
IL-4	14.2±7.8	11.2±5.0	16.7±5.7	0.782	0.119	≤0.001
IL-5	13.5±7.9	7.2±6.0	7.2±3.3	≤0.001	≤0.001	0.036
IL-6	86.2±111.7	15.3±11.2	9.0±17.6	≤0.001	≤0.001	≤0.001
TNF-α	$40.8 {\pm} 40.7$	19.0 ± 8.9	9.6±11.8	≤0.001	≤0.001	≤0.001
GM-CSF	12.4 ± 15.1	13.5±10.9	11.1±12.0	0.417	0.319	0.240
IL-18	57.6±79.1	49.8±28.0	63.4±42.5	0.405	0.076	0.104
IL-10	12.6±19.0	3.5±3.6	0.8 ± 0.2	≤0.001	≤0.001	≤0.001
IL-17A	9.3±9.3	1.9±1.5	1.6±1.1	≤0.001	≤0.001	0.597
IL-21	6.7±16.4	6.3±7.5	4.1±5.7	0.208	0.182	0.029
IL-22	153.0±102.6	81.6±59.3	75.0±20.9	≤0.001	≤0.001	0.171
IL-23	14.3±6.0	17.0±18.4	15.6±3.4	0.904	0.127	0.024
IL-27	15.1±28.6	12.0±11.0	23.1±20.1	0.517	≤0.001	≤0.001
IL-9	8.3±7.0	5.5±3.9	14.8±11.8	0.209	≤0.001	≤0.001

p1, pre-IMRT and post-IMRT.

p2, pre-IMRT and control.

p3, post-IMRT and control.

to determine the expression levels of soluble checkpoint proteins and cytokines. Plasma proteins and cytokines were compared between NPC patients before IMRT and healthy controls, and the results showed that 24 out of 32 plasma proteins and cytokines had statistical significance (Table 2), namely, BTLA ($p \le 0.001$), GITR (p = 0.022), HVEM ($p \le 0.001$), LAG-3 ($p \le 0.001$), sPD-1 ($p \le 0.001$), sPD-L1 ($p \le 0.001$), sPD-L2 ($p \le 0.001$), CD28 ($p \le 0.001$), CD80 ($p \le 0.001$), CD137 ($p \le 0.001$), CD27 (p = 0.049), CD152 (p = 0.002), IFN- γ ($p \le 0.001$), IL-12p70 ($p \le 0.001$), IL-1 β (p = 0.002), IL-2 (p = 0.027), IL-5 ($p \le 0.001$), IL-6 ($p \le 0.001$), TNF- α ($p \le 0.001$), IL-10 ($p \le 0.001$), IL-17A ($p \le 0.001$), IL-27 ($p \le 0.001$),

After IMRT, 24 out of 32 plasma proteins and cytokines were significantly altered in NPC patients as compared to healthy donors (Table 2). Of these, nearly half were significantly lower than controls after IMRT, including BTLA ($p \le 0.001$), GITR (p = 0.009), HVEM ($p \le 0.001$), sPD-1 ($p \le 0.001$), sPD-L1 (p = 0.017), sPD-L2 ($p \le 0.001$), CD80 ($p \le 0.001$), CD137 ($p \le 0.001$), CD27 (p = 0.004), CD152 (p = 0.003), IFN- γ ($p \le 0.001$), and IL-4 ($p \le 0.001$). The remaining factors were increased after IMRT as compared to controls, namely, IDO (p = 0.019), TIM-3 (p = 0.005), CD28 ($p \le 0.001$), IL-1 β ($p \le 0.001$), IL-2 ($p \le 0.001$), IL-5 (p = 0.036), IL-6 ($p \le 0.001$), TNF- α ($p \le 0.001$), IL-10 ($p \le 0.001$), IL-21 (p = 0.029), and IL-23 (p = 0.024) (Figure 1).



FIGURE 1: Comparison of 32 plasma checkpoint protein expression in NPC patients before and after IMRT with controls (*p < 0.05, **p < 0.01, and **p < 0.001).

Plasma soluble checkpoint proteins and cytokines were also compared between pre- and post-IMRT groups, and 13 out of 32 showed statistical significance (Table 2). Nine of them were significantly reduced after IMRT, including IFN- γ ($p \le 0.001$), IL-12p70 ($p \le 0.001$), IL-1 β (p = 0.005), IL-5 ($p \le 0.001$), IL-6 ($p \le 0.001$), TNF- α ($p \le 0.001$), IL-10 ($p \le 0.001$), IL-17A ($p \le 0.001$), and IL-22 ($p \le 0.001$), while four proteins were increased, namely, LAG-3 ($p \le 0.001$),



FIGURE 2: Comparison of EBV-DNA level in NPC patients before and after IMRT with controls (*p < 0.05, **p < 0.01, and ***p < 0.001).



FIGURE 3: ROC curve analysis of EBV-DNA levels for distinguishing between NPC patients and controls. The area under the ROC curve is 0.912 ($p \le 0.001$).

sPD-1 ($p \le 0.001$), sPD-L1 ($p \le 0.001$), and TIM-3 ($p \le 0.001$) (Figure 1).

3.2. Differences in EBV-DNA between NPC Patients and Healthy Controls. EBV-DNA was significantly higher in NPC patients before IMRT than after (2780±3998.8 vs. 366.7±1043.6 pg/ml, $p \le 0.001$) and healthy controls (2780±3998.8 vs. 162.9±505.3 pg/ml, $p \le 0.001$), but no difference was found between NPC patients after IMRT and healthy donors (Figure 2). This result indicated that IMRT was effective in decreasing EBV-DNA in NPC patients. ROC curve was used to distinguish the plasma levels of EBV-DNA between NPC patients and controls (Figure 3). The area under ROC curve was 0.912 (95% CI: 0.841-0.983, $p \le 0.001$). Furthermore, there was no significant difference in EBV-DNA between TNM I/II and III/IV NPC patients.

3.3. Relationship between Plasma Proteins, Cytokines Expression Levels, and EBV-DNA in NPC Patients and Clinicopathological Stages. We compared the differences of plasma soluble proteins, cytokines and EBV-DNA between TNM I/II and III/IV NPC patients before IMRT, and only PD-1 showed significant difference. The expression level of PD-1 in TNM I/II patients was significantly higher than that in III/IV patients (16.0 ± 5.9 vs. 9.7 ± 8.1 pg/ml, p=0.012), suggesting that sPD-1 may be a biomarker for the development of NPC.

3.4. Correlation between Proteins, Cytokines and EBV-DNA in Plasma, and Prognosis of NPC Patients. To investigate the relationship between plasma targets and the clinical outcome of NPC patients, patient survival based on plasma proteins and cytokines, EBV-DNA, age, gender, and TNM stage was analyzed. Briefly, patient gender was stratified to male and female and age, and the detected targets were stratified to below and above the median values, and TNM stage was stratified to I, II+III, and IV, respectively. Patients with high sPD-1 (>10.19 pg/ml, n=18) had better survival than those with low sPD-1 (n=19, p=0.021) (Figure 4(a)). The survival time of the high sPD-1 patients was 31.0 ± 3.2 months, and that of the low sPD-1 patients was 27.1 ± 10.8 months.

Among other factors, only EBV-DNA and TNM stage were significantly associated with patient survival. Patients with higher EBV-DNA (>1132.56 copies/ml, n=18) had poor survival than those with lower EBV-DNA (n=19, 26.7±11.0 months vs. 31.1±3.3 months, p=0.015) (Figure 4(b)). Besides, patients with TNM I (n=3) and II/III (n=30) had significantly longer survival time than those with TNM IV (n=4, 28.1±2.1 months vs. 30.2±7.0 months vs. 20.5±14.6 months, p=0.026) (Figure 4(c)).

4. Discussion

NPC is a malignant tumor prevalent in southern China, especially in Guangdong, Guangxi, Hainan, Jiangxi, and Fujian provinces [9]. Though IMRT improves disease control and survival of NPC patients, early diagnostic and effective prognostic markers post-IMRT are urgently needed.

EBV-encoded RNAs could be detected in all NPC cells but not in normal nasopharyngeal epithelium which suggested that EBV activation is necessary for the pathogenesis of NPC. Latent and lytic cycles are the main forms of EBV infection, whereby EBV proteins evade host immune response using multiple strategies. Lytic EBV proteins block the secretion of many antiviral cytokines, such as BZLF1. BZLF1 could inhibit JAK/STAT signaling and IFN- α production by inducing SOCS3, which inhibits type I IFN response. Expression of LMP1, a latent EBV gene product, may recruit Treg to upregulate chemokine CCL20 via activation of NF- $\kappa\beta$ signaling. CCL20 assists tumor cells in immune evasion by increasing the migration of CD4⁺Foxp3⁺ Tregs towards tumor location [6, 10–12].

Kanakary et al. [13] found that EBV in plasma had higher specificity and sensitivity than EBV in PBMCs in EBV^+ disease, even among immunocompromised patients. In this study, we detected plasma EBV-DNA in pre and



FIGURE 4: *Kaplan-Meier survival analysis of NPC patients*. (a) Comparison of the OS between patients with sPD-1 concentrations above and below the median (10.19 pg/ml, p=0.021). (b) Comparison of the OS between the patients with EBV-DNA levels above and below the median (1132.56 copies/ml, p=0.015). (c) Comparison of the OS between TNM I, II+III, and IV patients (p=0.026). Early stage, I; middle stage, II+III; late stage, IV.

post-IMRT groups of NPC patients as compared to controls. Data showed that EBV-DNA was higher in NPC patients before IMRT than in groups after IMRT and controls. The results strongly suggested that EBV-DNA level in plasma was a highly specific and sensitive biomarker to differentially diagnose NPC patients from healthy individuals. Moreover, NPC patients with higher EBV-DNA in plasma before IMRT had a poorer prognosis than those with low EBV-DNA. This result was consistent with a previous study [2]. However, there was no disparity in EBV-DNA concentration between different TNM stages (TNM I/II vs. III/IV) for pre-IMRT NPC patients in the present study. In contrast, Prayongrat et al. noted a significant relationship between pre-IMRT EBV-DNA level and disease stage [14]. These discrepancies can be resolved by wider population study. Furthermore, the present study found that the concentration of EBV-DNA in NPC patients after IMRT was significantly correlated with locoregional failure, distant metastasis, and death. Post-IMRT EBV-DNA was suggested to be a predictor for various phases of IMRT [5, 14].

PD-1 is a member of the CD28/B7 family and acts as an immune checkpoint in various malignant tumors. PD-1 gene is characterized by the presence of alternative mRNA splicing mechanisms that encode both membrane-bound (flPD-1, PD-1 Deltaex2, PD-1 Deltaex2, 3, and PD-1 Deltaex2, 3, 4) and soluble (PD-1 Deltaex3) isoforms [15]. The activation of PD1/PD-L1 pathway leads to T cell tolerance, exhaustion and apoptosis, and enhancement of immunosuppressive Treg cell function, by which tumors evade immune surveillance [7]. Since tumor-cell surface PD-L1 is upregulated by local high-dose radiotherapy, concomitant PD-1 inhibition was recommended in radio-immunotherapy [16, 17]. This therapy was found to be effective in murine models and some tumor case reports [17–20].

sPD-1, which lacks exon 3, is generated by mRNA alternative splicing. Preclinical studies hypothesized that sPD-1 blocks the regulatory properties of PD-1/PD-L1, followed by restoration of T cell function, and proliferation and enhancement of immune-mediated tumor control [8]. Mice transfected with sPD-1 showed a strong antitumor immune response with delayed tumorigenesis and suppressed and regressed tumor progression [21, 22].

In this study, sPD-1 was demonstrated to have a significant relationship with TNM stage and prognosis of NPC patients after IMRT. sPD-1 concentration was significantly increased in patients after IMRT. Patients with higher sPD-1 had a longer survival time than those with low sPD-1. This result suggested that sPD-1 could improve antitumor immunity. Few clinical studies have analyzed the relationship between sPD-1 and clinicopathological characteristics of patients with malignancy. In Chang's [23] research, a high level of sPD-1 was correlated with a favorable OS and DFS, indicating that sPD-1 was an independent prognostic factor for patients with hepatocellular carcinoma. An increase in sPD-1 during erlotinib treatment was associated with prolonged PFS and OS in non-small-cell lung cancer [8]. In contrast, sPD-1 levels did not indicate adverse outcome in patients with advanced pancreatic cancer [24]. The relationship between sPD-1 and prognosis of various cancers needs further research.

The study of tumor microenvironment has been a research hotspot in recent years. LAG-3 suppresses T cell activation and cytokine secretion to maintain immune homeostasis, which shows a synergy between PD-1 and immune responses [25]. IFN- γ plays important antiviral, antitumor and immune regulation roles. The PD-1/PD-L1 pathway is one of the most representative IFN-induced immune escape systems. In Imai's research [26], pancreatic ductal adenocarcinoma cells promoted PD-L1 expression and epithelial-mesenchymal transition in the presence of IFNy. Elevated IL-6 levels were positively correlated with poor OS, DFS, DMFS, and lung-MFS in NPC patients [3]. IL-6 was found to be necessary for myeloid PD-L1 induction through a signal transducer and activator of STAT3dependent mechanism in glioblastoma [27]. In orthotopic murine glioma models, the inhibition of IL-6 signaling led to reduced myeloid PD-L1 expression, diminished tumor growth, and increased survival. LAG-3, IFN-y, and IL-6 levels were significantly reduced after IMRT for NPC in the present study. IL-10 suppressed the secretion of cytokines from Th1 cells and stimulated the proliferation and activity of CD8⁺ T cells in human immune microenvironment. IL-10 reduced macrophage activation and subsequent Th17 cell responses by inhibiting several cytokines (including IL-6) and the common IL-12p40 pathway shared by IL12 and IL-23 [28]. Therefore, numerous cytokines and soluble proteins constitute a complex and variable tumor microenvironment. In this study, we detected several immunological factors in pre- and post-IMRT groups of NPC patients. Nine soluble checkpoint proteins were dramatically reduced, including TIM-3, IFN-*γ*, IL-12p70, IL-1β, IL-5, TNF-α, IL-10, IL-17, and IL-22, while two (sPD-L1; TIM-3) were observably increased after IMRT.

5. Conclusions

This study found that four soluble checkpoint proteins were increased while 10 were reduced in pre- and post-IMRT groups of NPC patients. Dramatically reduced EBV-DNA after IMRT was closely related with favorable OS. ROC curve suggested that EBV-DNA level in plasma was an effective diagnostic marker for NPC patients. Increased sPD-1 was correlated with early TNM stage and longer survival.

Data Availability

The data used to support the findings of this study are included within the article.

Ethical Approval

This study was approved by the Ethics Committee of Taizhou Central Hospital.

Consent

Written informed consent was obtained from all participants of the study.

Conflicts of Interest

No conflicts of interest exist in the submission of this manuscript, which is approved by all authors.

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