## Recent Adaptive Events in Human Brain Revealed by Meta-Analysis of Positively Selected Genes

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### Abstract

**Background and Objectives:** Analysis of positively-selected genes can help us understand how human evolved, especially the evolution of highly developed cognitive functions. However, previous works have reached conflicting conclusions regarding whether human neuronal genes are over-represented among genes under positive selection.

*Methods and Results:* We divided positively-selected genes into four groups according to the identification approaches, compiling a comprehensive list from 27 previous studies. We showed that genes that are highly expressed in the central nervous system are enriched in recent positive selection events in human history identified by intra-species genomic scan, especially in brain regions related to cognitive functions. This pattern holds when different datasets, parameters and analysis pipelines were used. Functional category enrichment analysis supported these findings, showing that synapse-related functions are enriched in genes under recent positive selection. In contrast, immune-related functions, for instance, are enriched in genes under ancient positive selection revealed by inter-species coding region comparison. We further demonstrated that most of these patterns still hold even after controlling for genomic characteristics that might bias genome-wide identification of positively-selected genes including gene length, gene density, GC composition, and intensity of negative selection.

*Conclusion:* Our rigorous analysis resolved previous conflicting conclusions and revealed recent adaptation of human brain functions.

Citation: Huang Y, Xie C, Ye AY, Li C-Y, Gao G, et al. (2013) Recent Adaptive Events in Human Brain Revealed by Meta-Analysis of Positively Selected Genes. PLoS ONE 8(4): e61280. doi:10.1371/journal.pone.0061280

Editor: Marc Robinson-Rechavi, University of Lausanne, Switzerland

Received September 21, 2012; Accepted March 12, 2013; Published April 9, 2013

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Funding: Funding was provided by the "National Outstanding Young Investigator" award from the Natural Science Foundation of China (31025014) and Johnson and Johnson (scholarship). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

**Competing Interests:** This work was supported by Johnson and Johnson (scholarship), but this does not alter the authors' adherence to all the PLOS ONE policies on sharing data and materials.

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### Introduction

Humans differ from our closest relative species such as chimpanzees and bonobos in many features including anatomy, physiology, and cognitive functions [1,2]. Positive selection plays important roles in evolution, especially in creating new phenotypes from ancestral ones [3-5]. Identification and analysis of positivelyselected genes help us comprehend how unique human features evolved [6–9]. The past decades have seen many efforts to explain whether, how and when human Central Nervous System (CNS) evolved, particularly in identifying the events of adaptive evolution in human brain-related genes [10-14]. However, previous works have reached conflicting conclusions. Wang et al. reported that about 15% positively-selected genes were in the Gene Ontology (GO) category of neuronal functions, indicating overrepresented human brain-related evolution [11], but other works did not find such enrichments in neuronal GO categories [12-16]. Nielsen et al. also observed that genes under positive selection did not show an excess tendency of brain expression [14].

Genome-wide identification of genes under positive selection has been based on two types of data, inter-species divergence and intra-species polymorphism, either independently or in combination [17]. In divergence-based analyses, the sequences of proteincoding regions from related species were aligned and compared, and the loci with more function-altering changes in one or more lineages are considered to be under positive selection [15,18]. In contrast, the polymorphism-based approaches, such as  $F_{\mathrm{ST}}$  and iHS, using population genetic data from a single species, aimed to identify sites that meet the pattern of selective sweep, and contained both the positively-selected targeting allele and the linked neutral alleles [19-21]. Recently, many researchers have noted that these two approaches show considerable detection bias: divergence-based approaches focus on detecting fixed adaptive coding changes that occurred near the human-chimp split, while polymorphism-based approaches detect more recent adaptive events in both coding and regulatory regions [3,4,6,22-25]. Sabeti et al. described this detection bias in detail, and proposed a grouping rule for existing identification approaches [24].

In this paper, we resolved the conflicting conclusions about positive selection of human neuronal genes. By using a metaanalysis approach, we demonstrated that brain-related genes were enriched among positively-selected genes identified by polymorphism-based genomic scan but not divergence-based coding region comparison, suggesting recent brain adaptation in the human lineage. We further showed that most of our observations could not be accounted for by the potential detection biases induced by gene length, gene density, GC composition and intensity of negative selection. Our conclusions were shown to be robust when different datasets, parameters, and analysis pipelines were used.

### **Materials and Methods**

## Collection of human positively-selected genes and genomic regions

We integrated human positively-selected genes identified in previous academic publications, and then grouped them by different identification approaches. To the best of our knowledge, no meta-analysis protocol in studying human positive selection existed, and we developed our meta-analysis pipeline in accordance with the PRISMA Statement (see details in Text S1) [26]. In particular, the candidate publication list was retrieved by (i) querying "(positive OR natural OR nonneutral OR adaptive) AND (selection OR evolution) AND genom\* AND human" in PubMed with publication date prior to 2011 and (ii) viewing more than 100 review papers about natural selection. Among more than 3700 publications retrieved, twenty-seven publications identified human positively-selected genes at the whole-genomic level were collected (Figure 1). We ruled out publications on single-gene analysis in order to avoid ascertainment bias. The gene list was then extracted from these 27 articles, using the identification criteria defined by the original authors (see details in Text S2), and unofficial gene symbols were curated by Gene Name Service [27]. These genes were then divided into four groups referring to approaches used to identify positive selection, following the general dividing rule in two reviews [24,28]: Group 1, a high proportion of function-altering mutations; Group 2, a reduction in genetic diversity; Group 3, a different allele frequency between subpopulations; and Group 4, a long haplotype (**Dataset S1**). Grossman et al. developed a composite method integrating multiple signatures of intra-species polymorphism to identify 179 positively-selected genes [21] which were specifically assigned to Group "composite" (Dataset S1). We also created a stringent subset of positively-selected genes by collecting only genes identified by two or more studies within each group, and we used it to confirm that our main conclusions still remained.

#### Analysis of gene expression data

Two different datasets of expression data, one from mRNA-SEQ and one from cDNA microarray experiments, were analyzed independently to confirm the results.

mRNA-SEQ data was downloaded from http://genes.mit.edu/ burgelab/mrna-seq/, which contained transcriptional data of up to 23115 genomic loci in 22 human tissue or cell-line samples, and the RPKM algorithm was applied to evaluate expression levels [29]. The nine tissues obtained from the same source and with comparable reads depth, including adipocyte, brain, heart, liver, lymph node, skeletal muscle, testis, breast, and colon, were used for further analyses. We defined whether the expression of a gene was biased in any tissue by cutting an estimated 2.5% upper-tail of the expression spectrum among all tissues [30]. A gene with expression value in a tissue larger than M+2×MAD would be considered biased-expressed in this tissue, where M and MAD were defined as follows:

### M = median(x)

#### MAD = median(|x - M|)

where x indicates the expression values for the corresponding gene among all tissues [31]. To rule out artifacts from thresholds, we later re-set the threshold to " $3 \times$ median" and obtained similar results (**Figure S1A and B**).

A cDNA microarray dataset GSE1133, which profiled 79 human tissues and cell lines [32], was downloaded from NCBI GEO database [33] and analyzed with R and Bioconductor [34]. Specifically, we used GCRMA for background subtraction, normalization and probe summarization, followed by using Microarray Suite version 5.0 (MAS5; Affymetrix) to call presence or absence. We chose seven tissues which had corresponding mRNA-SEQ data, including adipocyte, brain, heart, liver, lymph node, skeletal muscle, and testis, with two additional tissues, lung and pancreas, to constitute a nine-tissue group. The expression data of 17 CNS regions were also extracted. Probe sets without a MAS5 presence call in any of the nine tissues were excluded. We confirmed that this filtering did not change our conclusions (Figure S1C). The same "M+2×MAD" threshold was set to classify whether the gene expression was considered to be biased in a specific tissue. To convert transcriptomic data from probe-setlevel into gene-level, the probe-set IDs were converted into Ensembl gene identifiers by using the annotation file of U133A and GNF1H downloaded from BioGPS [35], and a gene with at least one probe-set supporting biased expression in a tissue was considered as biased in that tissue at the gene-level. After probe-togene conversion, 16832 genes were annotated with Boolean tags indicating whether the gene's expression was biased in each of the nine tissues, and this gene-level data was used in subsequent analyses.

#### Measures of genomic characteristics of human genes

To acquire the information of gene coordinate and structure, the latest Ensembl gene annotation files were downloaded from the UCSC genome browser [36]. Exon, intron and UTR were considered in calculating gene length. Gene density of each gene was measured as the number of genes locating within 100 kb upstream and downstream of a given gene [37]. GC composition was also calculated for each gene together with its 100 kb flanks in both sides. The negative selection intensity on each gene was estimated by dN/dS ratio between human and chimpanzee, downloaded from Ensembl release 69 annotation via BioMart [38].

## Tissue expression enrichment analysis and permutation analysis

We mapped 4357 grouped positively-selected genes into two tissue expression datasets, mRNA-SEQ and cDNA microarray. Genes without expression data were excluded from subsequent statistical tests. A  $2 \times 2$  contingency table was built for each tissue and each group of positively-selected genes by considering (i) whether a gene was biased expression in certain tissue and (ii) whether it was identified as positively-selected in a certain group. Two-tailed Fisher's exact test was carried out for each contingency table. To adjust Fisher's exact test for multiple testing, the Benjamini and Hochberg FDR corrected P-value was calculated for each test [39]. Since Fisher's exact test is sensitive to the total gene number, we also calculated odds ratios (OR) to evaluate the degree of under-representation or enrichment between positive selection and tissue-biased expression.

The correlation between positive selection and brain expression might be accounted for by the genomic characteristics such as gene length, gene density, GC composition and intensity of negative selection. To explore the influence of such factors, we recalculate the OR after controlling each factor separately by using



Figure 1. The flow diagram of data collection in accordance with the PRISMA Statement. doi:10.1371/journal.pone.0061280.g001

the strategy of "permutation in quantiles", referring to Enard *et al.* [37]. In detail, we first divided the genes into several classes, delimited by the quantiles of one of the four factors, then permutated whether a gene was positively-selected within each class, and finally re-calculated the OR after each permutation. The distributions of permutated log10(OR) were generated by 1000 replicates of permutation, and the mean and standard deviation (s.d.) were calculated for each distribution. **Figure S2 and S3** showed that dividing genes into 15 classes was sufficient in both mRNA-SEQ and cDNA microarray datasets since the mean and s.d. of log10(OR) were not altered much when more classes were used.

# Functional category enrichment analysis and permutation analysis

Functional category enrichment analysis was performed by GOstats [40] in R and Bioconductor environment [34]. The grouped positively-selected genes, which had unique Ensembl ID identified by different approaches, were applied as the input separately, whereas all human Ensembl genes were considered as the background. A hypergeometric test method was applied to calculate the statistical significance of the enriched functional categories of Cellular Component, Biological Process, and Molecular Function. We performed Benjamini and Hochberg FDR correction to adjust for multiple testing [39], and only categories with corrected P-values <0.05 were reported.

To control the influence of gene length, gene density, GC composition, and dN/dS, the same "permutation in quantile" strategy was carried out onto functional category enrichment analysis. Similar to tissue expression enrichment analysis, we divided all the human genes into 15 classes delimited by the quantiles of each factor, permutated whether the gene was belong to the groups of positively selected genes, and finally calculated the ORs for each statistically enriched GO category reported in any of the four groups. The permutated distribution of null hypothesis did not changed much using more classes (Figure S4). Because those extremely small GO categories may be vulnerable to the stochastic process of permutation, only categories containing ten or more annotated human genes were reported. For each GO category, the mean and s.d. of the permutated log10(OR) distribution were estimated by 1000 replicates of permutation. Then the one-tailed P-value was calculated as the probability of observing the real log10(OR) or larger from the fitted normal distribution, and was further adjusted by Benjamini and Hochberg FDR correction [39].

#### Results

### Integration and grouping of genes and genomic regions under positive selection

After reviewing extensive literature on positive selection, we compiled a list of 4357 genes under positive selection. Except for 179 genes extracted from Grossman *et al.* [21] which were

identified by a "composite" method integrating multiple signatures of intra-species polymorphism, the remaining genes were then divided into four groups based on the signatures of positive selection according to Sabeti *et al.* [24] and Hurst *et al.* [28]: Group 1, a high proportion of function-altering mutations; Group 2, a reduction in genetic diversity; Group 3, a different allele frequency between subpopulations; and Group 4, a long haplotype. Group 1 was dominantly based on inter-species divergence, whereas the latter three groups were based on intra-species polymorphism [17]. Group 1 had 1141 human positively-selected genes, and Group 2, 3 and 4 had 1033, 1058 and 1660 genes respectively (**Table S1**). Given an estimated total human gene number of ~22000 [41], 19.8% of human genes were identified as positivelyselected in at least one study, implying that the false positive rate was potentially high [4,6,42-45].

## Genes highly expressed in the brain show enrichments in recent positive selection

We analyzed the tissue expression patterns of each of these four gene groups using mRNA-SEQ data of nine tissue samples [29]. Fisher's exact test and subsequent FDR correction [39] were carried out to quantify the correlation between positive selection and tissue-biased expression. As shown in Figure 2A, Group 1 positively-selected genes showed enrichment in adipocyte against the background of all known human genes (corrected P-value  $=2.2\times10^{-4}$ ) but under-representation in brain and heart (corrected P-value =  $4.6 \times 10^{-6}$  and  $3.3 \times 10^{-2}$ , respectively); Group 2 showed under-representation in adipocyte (corrected Pvalue =  $2.1 \times 10^{-2}$ ; Group 3 showed enrichment in brain (corrected P-value  $= 6.1 \times 10^{-3}$ ); Group 4 showed enrichment in brain (corrected P-value =  $1.9 \times 10^{-6}$ ) and under-representation in breast (corrected P-value =  $1.9 \times 10^{-2}$ ). This result indicated that some tissues may have been positively-selected within a certain time period in the human lineage. Analysis of cDNA microarray data [32] confirmed a similar pattern for genes with brain-biased expression: Group 1 genes were under-represented in the brain (corrected P-value =  $1.2 \times 10^{-4}$ ), whereas Group 3 and 4 genes were enriched in the brain (corrected P-value =  $1.1 \times 10^{-2}$ and  $4.0 \times 10^{-2}$ , respectively) (**Figure 2B**). Group "composite" also showed enrichment of high expression in brain (corrected P-value =  $5.7 \times 10-2$  in mRNA-SEQ and  $3.4 \times 10-2$  in cDNA microarray).

Existing methods to identify genes under positive selection may have relatively high rates of false positives. To confirm the validity of the pattern we observed above, we repeated the analyses using only genes identified by two or more studies to be positively selected in each group. The odds ratio analysis showed that Group 1 genes became more under-represented in brain-biased expression (OR = 0.36 vs. 0.58) and Group 3 and 4 showed stronger enrichment (OR = 2.56 vs. 1.39 and 2.35 vs. 1.47, respectively) (**Figure 2C**).

We also looked at different CNS regions separately and studied positive selection of genes expressed in 17 different CNS regions using the cDNA microarray dataset [32]. Fifteen of 17 regions showed statistical patterns similar to the whole brain, that is, they might have undergone positive selection in recent human evolution (**Figure 2D**). However, two CNS regions, cerebellum and cerebellar peduncles, did not follow this pattern. None of their corrected P-values for Group 4 reached the significance level of 0.05 in these two regions. In fact, cerebellum and its related regions contributed less to human high-level cognitive functions [46]. This implied that recent positive selection events occurred in the vast majority but not all CNS regions.

### Functional categories related to brain also show enrichment in recent positive selection

We next analyzed which functional categories were enriched in each of the four groups of genes under positive selection. As shown in **Table S2**, GO terms of Cellular Components related to the extracellular communication were enriched in Group 1, whereas components related to brain functions such as "synapse", "synapse part" were enriched in both Group 3 and Group 4. In addition, enriched GO terms of Biological Process further supported the observations: immune-related functions were enriched in Group 1, whereas neuron-related functions, including brain development and synapse communication were enriched in Group 3 and 4 (**Table S2**).

Together, these results showed that enriched functions in Group 1, representing ancient positively-selected coding changes in human history, implied adaptions to unacquainted pathogens. The enriched functions related to brain in Group 3 and 4 indicated that recent adaptive events on human CNS might contribute to the rapid evolution in cognitive functions.

## The brain under-representation and enrichment could not be explained by the detection biases induced by genomic characteristics

The relatively high false positive rate of existing identification approaches for positively-selected genes raised concerns that the observed brain enrichment and under-representation may be due to some detection biases towards or against brain-related genes. To address this concern, we first analyzed the genomic characteristics of these four groups of positively-selected genes with respect to gene length, gene density, GC composition and intensity of negative selection. Group 1 had almost the same median gene length compared with all human genes, whereas all other groups based on intra-species had significantly longer gene length (Figure 3A). Group 3 and 4 had smaller gene density and all groups except Group 1 had less nucleotide composition of C and G than genome background (Figure 3B and 3C). Consistent with the signatures of ancient positive selection, Group 1 genes showed a significant excess of dN/dS to genome-wide average; however, this is not the case in other groups of genes (Figure 3D). In summary, Group 1 positively-selected genes clearly differed from other intra-species groups in all the four genomic characteristics, suggesting an unnegligible detection bias between interspecies and intra-species identification approaches.

We next addressed whether these detection biases could account for the observations that brain-biased expression was underrepresented in Group 1 and enriched in Group 3 and 4. To quantify the influence of such detection biases, all human genes were divided into 15 classes by quantiles of one of the four genomic characteristics, and then permutated whether a gene was positively-selected within each class individually (see details in Materials and Methods, referring to [37]). Analysis of mRNA-SEQ dataset showed that the observed OR of Group 1 was significantly smaller than expected by chance after controlling any of the four genomic characteristics (Figure 4A). On the other hand, although the observed ORs in Group 3 and 4 did not reach the significance level of 0.05 when we controlled gene length, they were larger than the averages in permutated distributions when controlling all four genomic factors (Figure 4C and 4D). The same conclusions could also be drawn from cDNA microarray dataset (Figure S5). These results suggested that the underrepresentation of brain-expressed genes in Group 1 could not be explained by the influence of any of the four factors, whereas the observed enrichment in Group 3 and 4 was, to some extent,



**Figure 2. Positively-selected genes of Group 3 and 4 but not Group 1 were highly expressed in brain.** (A) Enrichment pattern of positively-selected genes in nine human tissues based on mRNA-SEQ dataset. Blue, red, green and purple bars indicate positively-selected genes in Groups 1, 2, 3 and 4, respectively. The sign of y-axis represents the under-representation (–) or enrichment (+). The bars with significant corrected P-value are marked by asterisks. (B) Brain tissue also shows significant absence (corrected P-value <0.05) for Group 1 and enrichment (corrected P-value <0.05) for Group 3 and 4 based on cDNA microarray dataset. The FDR corrected P-value is labeled on each bar. (C) Using the stringent subset of positively selected genes supported by two or more studies in each group (red square; n = 85, 79, 11 and 89, respectively), the pattern is even stronger than using all positively selected genes (blue diamond; n = 1141, 1033, 1058 and 1660, respectively), based on mRNA-SEQ dataset. (D) Fifteen of 17 CNS regions show significant absence (corrected P-value <0.05) for Group 1 genes and enrichment (corrected P-value <0.05) for Group 3 and 4 genes. The two exceptional tissues are cerebellum and cerebellar peduncles. doi:10.1371/journal.pone.0061280.q002

affected by the gene length bias. Nevertheless, it still could be seen that the real enrichment level was higher than expected by chance, and more analysis might be required to verify the recent positive selection in human brain evolution.

To address this issue, we further applied the same "permutation in quantiles" strategy to functional categories enrichment analysis. **Figure 5A** showed that all the immune-related GO terms remained significant in Group 1 genes even after we controlled those four genomic characteristics. Although gene length could account for the enrichment of some previously-reported GO terms in Group 3 and 4, they were still significantly enriched in most of the brain-related GO terms reported (**Figure 5B and 5C**). This indicated that recent positive selection had indeed occurred in those genes contributing to some certain brain-related functions, even after controlling the potential detection biases induced by all the four genomic characteristics.

#### Discussion

We present a clearer picture of positive selection in the human lineage, with the conclusion that major evolutionary changes in different tissues and different functional groups occurred predominantly at particular time periods, some near the chimpanzeehuman divergence and others much more recently. Our analyses of expression patterns and functional categories consistently support recent adaptation in the human brain. After controlling the notable detection biases induced by four genomic characteristics, we could still observe an excess of recent brain evolution from expression data, and these results were further supported by functional category enrichment analysis. Our results provide explanations of previously conflicting results about the evolution of brain-related positively-selected genes.

Previous studies based on inter-species divergence have reported that brain-related GO categories were not enriched in human



**Figure 3. The genomic characteristics varied among groups of positively-selected genes.** Boxplots of grouped positively-selected genes by one of the four genomic characteristics: gene length (A), gene density (B), GC composition (C), and dN/dS (D). Wilcoxon two-sample test was carried out between each group pairs, and the P-value was further adjusted by Bonferroni correction. Group 1 genes, primarily identified from interspecies divergence, are distinguished from other three polymorphism-based groups by all four characteristics. doi:10.1371/journal.pone.0061280.g003



**Figure 4.** The observed under-representation of brain expression in Group 1 could not be accounted for all the four genomic characteristics, based on mRNA-SEQ dataset. The permutated OR distributions were generated by 1000 replicates after controlling gene length (black), gene density (blue), GC composition (yellow), and dN/dS (brown) for Group 1 to 4 positively selected genes (A-D). Group 1's real OR is significant smaller than expected by chance and it departs from all of the four permutated distributions. Although the real ORs of Group 3 and 4 fall within the 95% confidence interval after controlling gene length, they are larger than the averages of all the four permutated distributions. doi:10.1371/journal.pone.0061280.g004

positive selection [12–16], and they are consistent with our finding that Group 1 positively-selected genes indeed showed underrepresentation of brain-biased expression. It has been noticed that such divergence-based approaches focused on searching signals of positive selection in protein-coding regions and lacked the power to detect adaptive changes in regulatory regions. Notably, Haygood *et al.* had reported brain-related enrichment by scanning the evolutionary substitutes in promoter regions between human and chimpanzee [47]. Although we have shown that divergencebased identification approaches seem not to suffer a lot from genomic context, we cannot exclude the possibility that other factors might contribute to the under-representation of brainrelated genes, such as more frequent evolutionary changes occurred in regulatory regions of brain-related genes instead of their protein-coding regions.

One may raise the concern that our enrichment analysis might have more power to detect brain-related processes if brain-related genes are with a larger number and are well-annotated in GO database. Here, we addressed this concern from two aspects. Firstly, we demonstrated that the number of brain-expressed genes (n = 3188) was not the most among all the tissues we used (n ranges from 1201 to 5529); in addition, GO annotation did not show preference for brain-expressed genes, compared with all human genes (87% vs. 86%). Secondly, in addition to Fisher's exact test, we used OR as an alternative estimate which is less sensitive to the number of input gene, and our findings of brain enrichment pattern remained unchanged (**Figure 2C and Table S3**). As a result, the observed brain enrichment is unlikely to be led by the difference of statistical power.

It should be noted again that existing genome-wide approaches to identify positively-selected genes have relatively high false positive rate; thus the statistical signals of under-representation or enrichment might be diluted, which made us potentially missed some true signals. This might be an explanation why we could not find any enrichment signals in Group 2 positively-selected genes. Our results also emphasize that the characteristics of genomic context should be considered seriously when we interpret the result generated from such genome-wide scans. For instance, the genes

		CO torm name		correct	ed P-value	e after peri	nutation	
^	GO:0005576	extracellular region		5.2E-7	5.2E-7	2.2E-6	3.8E-4	
A	GO:0006955 GO:0006952	immune response defense response		4.5E-5 4.6E-5	4.6E-5 4.6E-5	4.7E-5 6.1E-5	1.6E-3 2.7E-3	
	GO:0004872	receptor activity		4.3E-5	4.6E-5	4.7E-5	9.0E-3	
	GO:0004888 GO:0038023	signaling receptor activity		2.1E-4 2.1E-4	4.0E-4	4.0E-4	3.9E-2	
	GO:0008009 GO:0004871	chemokine activity signal transducer activity		1.2E-3 2.2E-4	1.2E-3 4.0E-4	1.6E-3 4.0E-4	3.4E-3 1.7E-2	
	GO:0060089 GO:0042379	molecular transducer activity		2.2E-4 1.7E-3	4.0E-4 2.0E-3	4.0E-4 2.3E-3	1.7E-2 4.0E-3	
	GO:0005615	extracellular space		1.1E-3	1.1E-3	1.6E-3	3.7E-3	
	GO:0005125 GO:0005126	cytokine receptor binding		3.5E-3	2.6E-3 3.2E-3	4.6E-3 5.6E-3	8.2E-3	
	GO:0045087 GO:0044421	innate immune response extracellular region part		2.0E-3 1.2E-3	1.8E-3 1.1E-3	2.8E-3 1.6E-3	1.8E-2 3.8E-3	
	GO:0002376 GO:0051707	immune system process		1.1E-3 2.1E-3	1.1E-3 3.0E-3	1.2E-3 2.6E-3	6.8E-3 1.9E-2	
	GO:0009607	response to biotic stimulus		2.6E-3	3.2E-3	3.0E-3	2.6E-2	
	GO:0002002 GO:0050776	regulation of immune response		3.2E-3 4.0E-3	3.5E-3	4.8E-3	1.9E-2	
	GO:0051704 GO:2000242	multi-organism process negative regulation of reproductive process		2.2E-3 3.9E-3	3.5E-3 4.0E-3	3.4E-3 4.9E-3	6.3E-3 2.5E-2	
	GO:0071944 GO:0005102	cell periphery		1.2E-3 2.3E-3	1.6E-3 2.8E-3	2.0E-3 3.5E-3	1.6E-3 3.4E-3	
	GO:0050896	response to stimulus		1.2E-3	1.6E-3	1.9E-3	5.7E-4	
В	GO:0045202 GO:0044456	synapse		9.0E-3 1.0E-2	1.5E-3 2.5E-3	1.9E-3 3.5E-3	3.4E-3 5.0E-3	
_	GO:0043195	terminal button		4.3E-3	6.5E-4	4.9E-4	4.9E-4	
	GO:0050896	response to stimulus		2.6E-3	1.7E-3	1.1E-3	5.1E-3	
	GO:0043679 GO:0021543	axon terminus pallium development		1.2E-2 1.3E-2	5.8E-3 1.1E-2	5.1E-3 9.0E-3	5.1E-3 9.0E-3	
	GO:0021987 GO:0021537	cerebral cortex development telencephalon development		1.3E-2 1.2E-2	7.7E-3 1.2E-2	5.4E-3 1.1E-2	5.7E-3 1.4E-2	
	GO:0007264	small GTPase mediated signal transduction		1.1E-2	5.7E-3	5.4E-3	1.2E-2 1.2E-2	
	GO:0050794	regulation of cellular process		5.5E-3	3.0E-3	2.5E-3	6.3E-3	
	GO:0043005 GO:0044306	neuron projection terminus		4.7E-2 1.4E-2	7.4E-3 7.7E-3	5.4E-3 5.8E-3	6.3E-3	
	GO:0007399 GO:0051716	nervous system development cellular response to stimulus		3.8E-2 7.0E-3	4.1E-3 3.3E-3	2.5E-3 2.5E-3	9.0E-3 7.3E-3	
	GO:0033267	axon part		1.9E-2	1.1E-2 8.2E-5	9.7E-3	1.2E-2	
	GO:0008092	cytoskeletal protein binding		3.8E-2	6.9E-3	6.4E-3	1.3E-2	
	GO:0005515 GO:0097060	synaptic membrane		4.2E-2	4.2E-3 1.1E-2	2.5E-3 1.1E-2	1.4E-2	
	GO:0030054 GO:0007165	cell junction signal transduction		6.9E-2 1.2E-2	8.2E-3 4.2E-3	5.8E-3 3.9E-3	1.3E-2 1.0E-2	
	GO:0032501 GO:0007265	multicellular organismal process		1.5E-2 1.5E-2	4.2E-3 1.2E-2	3.2E-3	9.0E-3 2.6E-2	
	GO:0007420	brain development		1.1E-2	1.0E-2	9.2E-3	1.5E-2	
	GO:0043197 GO:0044309	neuron spine		5.3E-2	1.2E-2 1.2E-2	1.1E-2 1.1E-2	1.8E-2	
	GO:0007154 GO:0004970	cell communication ionotropic glutamate receptor activity	~	1.9E-2 1.2E-2	4.2E-3 6.5E-4	3.4E-3 6.5E-4	1.0E-2 2.5E-3	
	GO:0042995 GO:0001655	cell projection		7.6E-2 1 2E-2	7.0E-3 1.4E-2	5.4E-3	1.1E-2 2.2E-2	
	GO:0008066	glutamate receptor activity		2.1E-2	2.8E-3	1.9E-3	2.5E-3	
	GO:0005085 GO:0005234	extracellular-glutamate-gated ion channel activity		4.0E-2 1.2E-2	7.9E-4	1.4E-2 1.1E-3	2.5E-3	
	GO:0005737 GO:0030695	cytoplasm GTPase regulator activity	~~+	3.7E-2 8.6E-2	5.5E-3 1.2E-2	3.4E-3 1.1E-2	9.0E-3 2.1E-2	
	GO:0050789 GO:0044463	regulation of biological process		8.4E-3 5.6E-2	5.0E-3 1.0E-2	4.3E-3 8.5E-3	1.0E-2 1.4E-2	
	GO:0005856	cytoskeleton		3.3E-2	6.4E-3	5.6E-3	1.0E-2	
	GO:0060589	nucleoside-triphosphatase regulator activity		9.8E-2	1.3E-2	1.2E-2	2.4E-2	
	GO:0005231 GO:0010878	cholesterol storage		2.5E-3	6.4E-3 5.7E-4	5.8E-3 8.2E-5	6.4E-3 2.5E-3	
	GO:0019915 GO:0007417	lipid storage central nervous system development		9.1E-3 2.2E-2	5.8E-3 1.2E-2	5.4E-3 1.0E-2	9.7E-3 1.7E-2	
	GO:0022008	neurogenesis kidney development		7.1E-2 1 7E-2	1.0E-2 2.2E-2	5.8E-3	1.6E-2 3.3E-2	
	GO:0065007	biological regulation	<hr/>	1.3E-2	6.1E-3	5.7E-3	1.2E-2	
	GO:0005230 GO:0005829	cytosol		1.4E-2	8.2E-3	8.5E-3	1.5E-2	
-	GO:0007010	cytoskeleton organization		2.3E-2	1.1E-2	1.0E-2	1.6E-2	
С	GO:0003313 GO:0042995	cell projection		4.4E-3	1.6E-4	9.9E-5	5.1E-4	
	GO:0006069 GO:0071944	ethanol oxidation cell periphery		5.1E-9 6.2E-4	3.5E-8 8.6E-5	2.0E-6 1.7E-4	5.1E-9 4.3E-4	
	GO:0005886 GO:0044459	plasma membrane plasma membrane part		5.9E-4 3.5E-3	8.4E-5 4.1E-4	1.3E-4 8.4E-5	3.9E-4 6.2E-4	
	GO:0006067	ethanol metabolic process		6.0E-7	2.9E-6	3.6E-5	2.9E-6	
	GO:0044456	synapse part		1.8E-2	1.8E-3	1.6E-3	2.6E-3	
	GO:00045202 GO:0005623	cell		2.0E-2 3.7E-4	3.0E-5	8.2E-4 2.8E-5	5.2E-4	
	GO:0044464 GO:0005488	cell part binding		3.7E-4 1.4E-2	3.0E-5 3.7E-4	2.8E-5 3.0E-5	5.1E-4 6.8E-4	
	GO:0034308 GO:0044463	primary alcohol metabolic process cell projection part		8.0E-6 1.7E-2	3.6E-5 1.9E-3	2.1E-4 1.3E-3	4.0E-5 2.1E-3	
	GO:0032879	regulation of localization		2.4E-3	1.3E-3	8.7E-4	1.3E-3	
	GO:0019904 GO:0023052	signaling		1.3E-2	1.3E-3	4.8E-4	1.1E-3	
	GO:0019226 GO:0007154	cell communication		1.4E-2 1.5E-2	2.2E-3 1.5E-3	1.6E-3 5.3E-4	3.0E-3 1.4E-3	
	GO:0035637 GO:0031258	multicellular organismal signaling		2.0E-2 8.8E-5	2.5E-3 4.5E-5	1.9E-3 4.5E-5	3.5E-3 8.4E-5	
	GO:0044433 GO:0005737	cytoplasmic vesicle part		4.4E-3	3.0E-3	2.4E-3	5.1E-3 2.3E-3	
	GO:0042060	wound healing		3.6E-3	3.1E-3	3.9E-3	5.9E-3	
	GO:0005516 GO:0051179	localization		7.5E-3	2.0E-3	1.2E-3	3.5E-3	
	GO:0008066 GO:0032501	glutamate receptor activity multicellular organismal process		2.8E-2 2.4E-2	2.8E-3 3.8E-3	2.3E-3 3.5E-3	3.0E-3 4.9E-3	
	GO:0005501 GO:0022892	retinoid binding		5.1E-4 1.2E-2	1.2E-3 4.0E-3	1.6E-3	2.5E-3 5.9E-3	
	GO:0005856	cytoskeleton		4.4E-2	1.7E-3	1.8E-3	4.8E-3	
	GO:0007268 GO:0015075	ion transmembrane transporter activity		2.1E-2	5.4E-3	3.9E-3	7.3E-3	
	GO:0065008 GO:0019840	regulation of biological quality isoprenoid binding	<>	7.4E-3 8.7E-4	5.5E-3 2.0E-3	3.0E-3 2.4E-3	5.8E-3 3.8E-3	
	GO:0004713 GO:0017124	protein tyrosine kinase activity SH3 domain binding		2.4E-2 3.0E-2	1.3E-2 1.2E-2	1.4E-2 1.1E-2	2.2E-2 1.4E-2	
	GO:0008289 GO:0051716	lipid binding cellular response to stimulus		7.0E-2	4.7E-3	5.1E-3 2 1E-3	9.8E-3 4.7E-3	
	50.0001710		0.8 -0.4 0 0.4 0.8 1.2 1	2.00-2	4.72-5	2.16-3	4.72 0	
		·	log10(OR)					

Figure 5. All the immune-related and most of the brain-related GO terms remain significant in Group 1 and Group 3 and 4 after controlling the influence of four genomic characteristics. The log10-transformed real OR and four permutated OR distributions after controlling the factor of gene length (black, above), gene density (blue, below), GC composition (yellow, below), and dN/dS (blue, above) were plotted for each statistically enriched GO term in Group 1 (A), Group 3 (B), and Group 4 (C). The FDR corrected P-values were also calculated after controlling those four factors separately, and those corrected P-values larger than 0.05 were marked in grey. All the immune-related GO terms in Group 3 and 4 remain statistically significant. doi:10.1371/journal.pone.0061280.g005

with larger gene size would have more chance to overlap with any windows in genomic scans. The lessons learned from this study might inform future genome-wide studies. Strictly speaking, the genes under positive selection that we analyzed here are in fact genes under "putative" positive selection.

After controlling gene length, the permutation results of both tissue expression and functional categories enrichment analyses suggested an excess of brain-related adaptation in Group 3 and 4, whereas the significance level reached 0.05 in many neuronal GO terms but not in brain-biased expression. This raised a possibility that, if the recent adaptive brain evolution had occurred only in some particular brain functions, the enrichment signal might be diluted when globally considering all brain-expressed genes. The hierarchical GO system provided us an opportunity to test the enrichment in many subclassified gene functions, which may lead to higher sensitivity to detect the enrichment signal of positive selection.

In this study, we primarily addressed the conflicts about adaptation in the human brain. However, genes involved in testis and spermatogenesis were also reported having experienced adaptive evolution identified by inter-species divergence [14,23]. We found a weak enrichment of testis-biased expression in Group 1 from mRNA-SEQ dataset (corrected P-value = 0.07 and OR = 1.19), but it was not supported by cDNA microarray dataset (corrected P-value = 0.69 and OR = 0.90). The discordance between two expression datasets asks for further studies in the future. We did not find testis enrichment in the latter three groups from either mRNA-SEO or cDNA microarray dataset. Consistent with the previous study [12], we found that immune-related functions were enriched only in Group 1 positively-selected genes, but not in the latter three groups. By developing a method to identify adaptive evolution at single SNP resolution, Fumagalli et al. found that immune-related functions were involved in subpopulation divergence and adaptation [48]. This discovery supports our point that reducing the false positive rate of identification approaches might provide more insights into human evolution.

With the advances of next-generation sequencing, the statistical power to detect events of positive selection will be benefited when more primate genomes are sequenced and individual human genomes are re-sequenced at greater coverage [24]. Eventually, continuous progress in this area will enable us to decode a clearer picture of human evolution.

#### **Supporting Information**

Figure S1 Enrichment patterns of human brain tissue from expression data with alternative parameters and analysis pipelines. The enrichment patterns were generated from mRNA-SEQ (A) and cDNA microarray (B, C) datasets. The x-axis represents four groups of positively-selected genes and the sign of y-axis represents the under-representation (-) or enrichment (+). The bars with significant corrected P-value are marked by asterisks. In panel A and panel B, the threshold of biased-expressed was "3×median", instead of "median+2× MAD". In panel C, the cDNA microarray data was pretreated without filtering out absent probe sets in MAS5 presence call of all nine tissues. The absence (corrected P-value <0.05) for Group 1 positively-selected genes and enrichment (corrected P-value <0.05) for Group 3 and 4 in brain tissue remain in all panels. This result implies that our result is robust under varied datasets, thresholds and analysis pipelines. (TIF)

**Figure S2 Performance of "permutation in quantiles" in tissue expression enrichment analysis, based on mRNA-SEQ dataset.** The OR distribution was generated by 1000 replicates of permutation with varied number of quantiles. Black, blue and orange lines denotes the mean, mean±s.d. and 95% confidence intervals for each permutated log10(OR) distribution while the red line denotes the observed log10(OR). Row 1–4 represent Group 1, 2, 3 and 4 positively selected genes, and column 1–4 represent controlling the genomic characteristics of gene length, gene density, GC composition or dN/dS. The permutated OR distribution remain almost the same when the number of classes is larger than 15.



Figure S3 Performance of "permutation in quantiles" in tissue expression enrichment analysis, based on cDNA microarray dataset. The OR distribution was generated by 1000 replicates of permutation with varied number of quantiles. Black, blue and orange lines denotes the mean, mean±s.d. and 95% confidence intervals for each permutated log10(OR) distribution while the red line denotes the observed log10(OR). Row 1–4 represent Group 1, 2, 3 and 4 positively selected genes, and column 1–4 represent controlling the genomic characteristics of gene length, gene density, GC composition or dN/dS. The permutated OR distribution remain almost the same when the number of classes is larger than 15. (TIF)

**Figure S4 Performance of "permutation in quantiles" in functional category enrichment analysis.** The mean (A) and s.d. (B) of each significant GO term in Group 1 was generated by 1000 replicates of permutation with varied number of classes delimited by the factor of dN/dS. The mean and s.d. of permutated OR distribution is not altered much when the number of class is larger than 15.

(TIF)

Figure S5 The observed under-representation of brain expression in Group 1 could not be accounted for all the four genomic characteristics, based on cDNA microarray dataset. The permutated OR distributions were generated by 1000 replicates after controlling gene length (black), gene density (blue), GC composition (yellow), and dN/dS (brown) for Group 1 to 4 positively selected genes (A–D). Group 1's real OR is significant smaller than expected by chance and it departs from all of the four permutated distributions. Although the real ORs of Group 3 and 4 fall within the 95% confidence interval after controlling gene length, they are larger than the averages of all the four permutated distributions. (TIF)

 Table S1
 Summary of grouped positively-selected genes

 by different approaches.

(DOCX)

**Table S2 Enriched GO terms in different groups of human positively-selected genes.** (DOCX)

Table S3 Odds ratios of brain-biased expression enrichment analysis for each groups of positively-selected genes.

(DOCX)

**Text S1** The checklist of the PRISMA Statement. (DOCX)

Text S2 The detailed description of identification approaches for 27 literatures identifying human positively selected genes. (DOCX)

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Dataset S1 The detailed information about human positively-selected genes in our collection.

#### Acknowledgments

We are grateful to Drs. Manyuan Long, Yong Zhang, Peace Cheng, Qing-Rong Liu, Michael Walker, Iain Bruce, Phil Green and Wei-Wei Zhai for their valuable comments and suggestions.

#### **Author Contributions**

Conceived and designed the experiments: YH LW. Performed the experiments: YH. Analyzed the data: YH CX AYY GG CYL LW. Contributed reagents/materials/analysis tools: CX AYY GG. Wrote the paper: YH AYY CX CYL LW.

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