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Contents lists available at ScienceDirect

**Public Health** 

journal homepage: www.elsevier.com/locate/puhe

Original Research

# Non-adherence to COVID-19 lockdown: who are they? A crosssectional study in Portugal



RSPH

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## ARTICLE INFO

Article history: Received 4 October 2021 Received in revised form 21 June 2022 Accepted 4 July 2022 Available online 11 July 2022

Keywords: COVID-19 Lockdown Adherence Prevention Non-pharmacological interventions ABSTRACT

*Objectives:* The SARS-CoV-2 virus has spread worldwide, leading governments to implement mitigation measures. Understanding the reluctance to adhere to non-pharmacological interventions might help promote adherence to these measures. This study aimed to identify factors associated with non-adherence to the first lockdown in Portugal. *Study design:* Cross-sectional study.

*Methods:* This study used data from a Portuguese community-based survey entitled 'COVID-19 Barometer: Social Opinion'. Data were collected on risk perception, health status and social experiences using a snowball sampling technique. The event of interest corresponded to participants who reported not staying home during the lockdown period, serving as a proxy for non-adherence to lockdown. Logistic regression was used to identify factors associated with non-adherence to the first lockdown.

*Results:* Responses from 133,601 individual questionnaires that were completed during the first week of the first lockdown in 2020 were analysed. A minority of participants (5.6%) reported non-adherence to lockdown (i.e. leaving home for reasons other than essential situations). Working in the workplace was the factor with the strongest association of non-adherence to the lockdown. Several other factors were also associated with non-adherence to the first lockdown; namely, being a man, being a student, having a low level of education, having a low income, living alone or with a high-infection-risk professional (e.g. doctor, nurse, pharmaceutical, health technician, firefighter, police officer, military, essential services worker), perceiving the risk of getting COVID-19 to be high, not having social support in case of infection, feeling agitated, sad or anxious every day, and considering the preventive measures to be unimportant or inadequate.

*Conclusions:* Non-adherence to lockdown was associated with socio-economic, trust and perception factors. Future research should investigate the mechanisms underlying these associations to help identify the population groups who are most at risk of non-adherence.

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## Introduction

At the end of 2019, a new coronavirus (SARS-CoV-2), which causes COVID-19, was found and rapidly spread worldwide. As of 19 May 2022, 519, 467, 357 cases and 6,277,833 deaths have been reported due to the SARS-CoV-2 virus.<sup>1</sup> Restrictive measures were implemented to control the transmission of the virus, such as

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banning gatherings, closing public spaces, limiting working hours, introducing remote working as the preferred work environment, and promoting infection control measures, such as respiratory etiquette, frequent hand washing, reduction of facial touch and physical distancing.<sup>2</sup> These measures reduced further COVID-19 transmission and mortality in several countries,<sup>3–5</sup> including Portugal.<sup>6</sup>

Lockdowns have been one of the most widely implemented non-pharmaceutical interventions adopted by governments to help reduce the spread of SARS-CoV-2.<sup>7,8</sup> In Portugal, the first national lockdown started on 22 March 2020 and people were asked to stay at home and leave only for essential reasons. Although the

https://doi.org/10.1016/j.puhe.2022.07.001



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effectiveness of lockdowns relies on community adherence,<sup>9–11</sup> citizens behave differently to restrictions.<sup>12,13</sup> However, few studies have investigated which factors are associated with adherence to lockdown. To the best of the authors' knowledge, only a few studies have explored factors associated with non-adherence to lockdown.<sup>14,15</sup> These studies identified several factors related to stay-at-home order adherence, including age, education, geographical location, religious/spiritual beliefs, number of children, perceptions of physical health and social-emotional support.

In addition, several studies have explored the role of adherence to protective behaviours, such as the use of a mask,<sup>16</sup> handwashing<sup>17</sup> and social distancing.<sup>18</sup> Several factors associated with non-adherence to protective behaviours were similar to those identified in studies exploring non-adherence to lockdown. Some of the factors found associated with protective behaviours were gender,<sup>14,19–22</sup> age,<sup>21–23</sup> geographical location,<sup>21,24</sup> education level,<sup>22,24,25</sup> household composition,<sup>14,20</sup> income,<sup>19,24</sup> work status,<sup>21,24,26</sup> health status,<sup>20,27</sup> health-related risk perception,<sup>11,20,22</sup> perceived effectiveness of government 'lockdown' measures<sup>14</sup> and trust in government and health authorities.<sup>28</sup> Beliefs in specific conspiracy theories and political ideology, voting and political identification were also associated with less compliance with social distancing measures.<sup>29</sup> In qualitative exploratory research, nonadherence to social distancing and social isolation during the COVID-19 pandemic was associated with financial losses, unclear government communication about physical distancing, observation of non-adherence in other individuals, and uncertainty about social reintegration and the future.<sup>19</sup>

The development and availability of a vaccine against COVID-19 and a high vaccination acceptance in several countries led to a reduction of non-pharmaceutical interventions. However, vaccination coverage varies between countries and continents.<sup>30</sup> In addition, the need for a booster vaccination and the emergence of new variants might impact the current epidemiological situation. Thus, understanding adherence to non-pharmaceutical interventions remains crucial in the case of new outbreaks. A better understanding of the factors that are associated with compliance to lockdown measures could also help target health promotion messages to those non-adhering.<sup>31,32</sup> Hence, this study aimed to assess and identify factors associated with non-adherence to the first lockdown in Portugal.

# Methods

## Study design and participants

Data were collected from the community-based survey entitled 'COVID-19 Barometer: Social Opinion'.<sup>33</sup> The questionnaire was administered online through the Microsoft Forms software program (Microsoft Corp). Invitations to participate were sent to existing contact networks and mailing lists, posted and promoted on social networks, and promoted to vulnerable groups through partnerships with patient associations, public health doctors and other healthcare professional groups. A snowball sampling technique was used, asking participants to forward the link to the questionnaire. The questionnaire was pretested to verify response times, ensure comprehensibility and resolve operational errors.

The survey asked questions on risk perception, health status and social experiences. Participants who answered the questionnaire between 21 and 27 March 2020 were included; participants not living in Portugal or who were aged <16 years were excluded.

#### Instruments

This study was interested in the responses to the question regarding preventive measures (i.e. 'What recommendations from the health authorities did you take to prevent COVID-19 infection?'). The multiple option choice answers were: 'No recommendations adopted': 'Leave home only in essential cases': 'Avoid touching common surfaces, such as handrails and door handles': 'Wash hands regularly'; 'Cover mouth and nose when sneezing or coughing'; 'Avoid contact with feverish or ill people'; 'Avoid touching face'; 'Avoid sharing food or personal utensils'; 'Cook food properly'; 'and 'Prefer not to answer'. The dependent variable corresponds to the option 'Leave home only in essential cases'. The outcome was categorised into two categories: 'Yes', corresponding to participants who stayed at home, and 'No', corresponding to participants who did not stay home (serving as a proxy for nonadherence to lockdown), which was the event of interest in this study. The questionnaire had another question assessing whether individuals stayed at home: 'Are you at home, leaving only in situations of absolute necessity', which was considered for a sensitivity analysis.

Independent variables were divided into five dimensions: demographic, social, labour, health and perceptions (see Supplementary Table S1). These variables were selected based on the literature review on adherence to preventive measures during a pandemic.<sup>23,34–37</sup>

#### Statistical analyses

Variables were described using absolute and relative frequencies, and multicollinearity was checked using the variance inflation factor. Logistic regression was fitted for each dimension (Supplementary Table S1). We estimated crude odds ratios (ORs) and adjusted odds ratios (aORs), and their corresponding 95% confidence intervals (95% CIs). Each regression was adjusted for the demographic dimensions of age, gender, education and region. A complete case analysis was performed because there was very little missing data.

The questionnaire had two questions whose interpretation could be similar (i.e. 'What recommendations from the health authorities did you take to prevent COVID-19 infection' and 'Are you at home, leaving only in situations of absolute necessity'). Thus, a sensitivity analysis was carried out only using data from individuals who answered the first question with 'Leave home only in essential cases' and the second question positively.

The level of significance considered for all analyses was 5%. The data analysis was performed using SPSS Statistics 26 Software (IBM).

#### Ethical approval

Before completing the questionnaire, participants read the informed consent. Only participants who gave their informed consent could see the questionnaire and were included in the study. Participation in this study was voluntary and anonymous. Participants were not asked for personal information. The study was conducted in agreement with the Declaration of Helsinki, and the Ethics Committee of the National School of Public Health approved the protocol (approval number: CE/ENSP/CREE/3/2020).

## Results

Data from 133,601 individuals were obtained from a community-based survey. Only 5.6% of participants reported non-adherence to lockdown (i.e. leaving home for reasons other than essential situations). Supplementary Table S2 presents the characteristics of the study population. Overall, more women (64.3%) and individuals with a university degree (69.0%) participated in the study. The majority of participants were aged between 26 and 65 years (86.4%) and lived in the Lisbon and Tagus Valley (47.5%) and the North (24.1%).

# Demographic dimension

Men were more likely to non-adhere to lockdown than women (aOR: 1.43, 95% CI: [1.36; 1.50]). Individuals living in the Centre region were more likely to non-adhere to lockdown than individuals living in the Lisbon and Tagus Valley (aOR: 1.16, 95% CI: [1.08; 1.24]). Working-age adults (aged 26–65 years) were less likely to non-adhere to lockdown than young adults (aged 16–25 years) (aOR: 0.87, 95% CI: [0.80; 0.94]). Individuals with a higher level of education were also less likely to non-adhere to lockdown than individuals without education or with only a basic education (see Fig. 1 and Table 1).

In the sensitivity analysis, different results were observed regarding age and region. Older individuals were less likely to nonadhere to lockdown than young adults (Table 2).

## Health dimension

Individuals who felt agitated, sad or anxious some days were less likely to non-adhere to lockdown than individuals who never experienced these feelings (aOR: 0.87, 95% CI: [0.89; 0.93]). On the other hand, individuals who felt agitated, sad or anxious every day were more likely to non-adhere to lockdown than those who had never experienced these feelings (aOR: 1.22, 95% CI: [1.12; 1.33]). Individuals with a reasonable or good perception of their health status were less likely to non-adhere than individuals who perceived their health status as very bad (Fig. 1 and Table 1).

In the sensitivity analysis, health perception was no longer significant. However, we found that participants with comorbidities were less likely to non-adhere than participants without comorbidities (Table 2).

#### Social dimension

Individuals living alone or with high-infection-risk professionals were more likely to non-adhere to lockdown (aOR: 1.27, 95% CI: [1.18; 1.36] and aOR: 1.35, 95% CI: [1.26; 1.44], respectively). Individuals without social support or who do not need social support were more likely to non-adhere to lockdown than individuals who would receive social support in case of infection (aOR: 1.44, 95% CI: [1.19; 1.74] and aOR: 1.26, 95% CI: [1.02; 1.55], respectively) (Table 1).

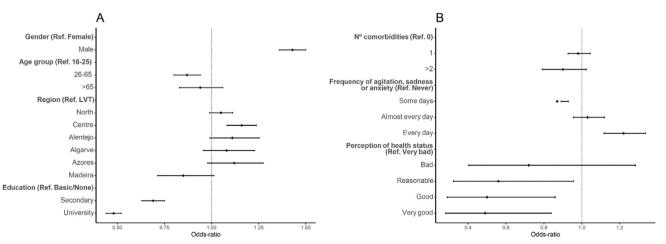
The results were similar in the sensitivity analysis. Individuals living with the elderly or with people with chronic diseases were less likely to non-adhere to lockdown than individuals who were not living with the elderly or with people with chronic diseases (Table 2).

#### Labour dimension

Individuals with higher monthly household incomes were less likely to non-adhere to lockdown than individuals with a monthly household income below €650 (Fig. 2 and Table 1). Individuals who were slightly concerned about losing income were less likely to non-adhere to lockdown than individuals who were not concerned about losing income (aOR: 0.83, 95% CI: [0.76; 0.91]). Retired individuals and students were more likely to non-adhere to lockdown than workers (aOR: 1.83, 95% CI: [1.40; 2.40], and aOR: 1.69, 95% CI: [1.43; 1.99], respectively). High-infection-risk professionals were less likely to non-adhere to lockdown than non-high-infection-risk professionals (aOR: 0.92, 95% CI: [0.86; 0.99]). This study also found a strong association between working at the workplace and remote work (aOR: 4.80, 95% CI: [4.47; 5.15]). Individuals working at the workplace were more likely to non-adhere to lockdown than individuals who were working remotely. Individuals who suspended their working activities were also more likely to non-adhere to lockdown than individuals who were working remotely (aOR: 1.23, 95% CI: [1.12; 1.34]) (Fig. 2 and Table 1).

The results were similar in the sensitivity analysis. No evidence was found of an association for individuals who suspended their work activities and retired individuals (Table 2).

## Perceptions dimension



Individuals who perceived the measures implemented by the health authorities as very important were less likely to non-adhere

Fig. 1. Forest plot for non-adherence to lockdown. Adjusted odds ratio (adjusted for gender, age group, education and region) and the respective 95% confidence intervals are denoted by black dots and black lines, respectively. A — Forest plot of the demographic dimension. B — Forest plot of the health dimension.

# Table 1

Crude and adjusted odds of non-adherence to lockdown. Odds ratios were adjusted for the demographic dimensions (i.e. gender, age group, education and region)<sup>a</sup>.

Dimension	Crude OR	95% CI	Adjusted OR	95% CI
Demographic dimension (N $=$ 132,888)				
Gender (Ref. Female)	1.51	[1.44; 1.58]	1.43	[1.36; 1.50]
Age group, in years (Ref. 16–25)				
26-65	0.83	[0.77; 0.90]	0.87	[0.80; 0.94]
>65	1.01	[0.90; 1.14]	0.94	[0.83; 1.06
Region (Ref. Lisbon and Tagus Valley)	4.07	[4 04 4 4 4]	1.05	10.00 1.11
North	1.07	[1.01; 1.14]	1.05	[0.99; 1.11
Centre	1.19	[1.12; 1.28]	1.16	[1.08; 1.24
Alentejo	1.14	[1.02; 1.28]	1.11	[0.99; 1.25
Algarve Azores	1.11 <b>1.19</b>	[0.98; 1.26] [1.05; 1.36]	1.08 1.12	[0.96; 1.23 [0.98; 1.27
Madeira	0.85	[0.72; 1.02]	0.85	[0.58, 1.27
Education (Ref. Basic/No education)	0.05	[0.72, 1.02]	0.05	[0.71, 1.01
Secondary	0.67	[0.62; 0.73]	0.69	[0.63; 0.75
University	0.44	[0.41; 0.48]	0.48	[0.44; 0.52
Social dimension ( $N = 130,119$ )		- · · ·		-
Living alone (Ref. No)	1.23	[1.15;1,32]	1.27	[1.18; 1.36
Living with the elderly or people with chronic illness (Ref. No)	0.98	[0.93; 1.03]	1.01	[0.95; 1.06
Living with a high-infection-risk professional (Ref. No)	1.31	[1.23; 1.40]	1.35	[1.26; 1.44
Social support in case of infection (Ref. Yes)	1.51	[1.23, 1.10]	1.55	[1.20, 111
None	1.53	[1.27; 1.84]	1.44	[1.19; 1.74
Unsure	1.13	[0.97; 1.32]	1.10	[0.94; 1.28
Not needed	1.29	[1.05; 1.58]	1.26	[1.02; 1.55
Other	1.25	[1.05; 1.48]	1.22	[1.02; 1.46
Labour dimension ( $N = 99,574$ )				
Monthly household income (Ref. <650€)				
651-1000€	0.83	[0.74; 0.93]	0.81	[0.72; 0.91
1001-1500€	0.59	[0.53; 0.66]	0.62	[0.55; 0.70
1501-2000€	0.51	[0.45; 0.57]	0.57	[0.51; 0.65
2001-2500€	0.46	[0.41; 0.53]	0.53	<b>[0.47; 0.6</b> ]
> 2501€	0.48	[0.42; 0.53]	0.57	[0.51; 0.65
Unknown	0.71	[0.59; 0.85]	0.85	[0.70; 1.04
Fear of losing income (Ref. Not concerned)		10.00 0.011	0.00	
Slightly concerned	0.74	[0.68; 0.81]	0.83	[0.76; 0.91
Concerned	0.88	[0.81; 0.95]	0.95	[0.88; 1.04
Very concerned	0.91	[0.84; 0.98]	0.97	[0.88; 1.05
Occupation (Ref. Worker) Self-employed	0.81	[0.75, 0.97]	0.93	[0.96, 1.01
Homemaker	0.94	[0.75; 0.87] [0.58; 1.54]	1.01	[0.86; 1.01 [0.60; 1.72
Retired	1.18	[0.92; 1.52]	1.01 1.83	[0.00, 1.72 [1.40; 2.40
Unemployed	0.89	[0.69; 1.15]	1.05	[0.79; 1.41
Student	0.99	[0.88; 1.14]	1.69	[1.43; 1.99
Other	1.06	[0.95; 1.19]	1.08	[0.95; 1.22
Working mode (Ref. Remote work)				
At workplace	4.75	[4.48; 5.04]	4.80	[4.47; 5.15
Suspended professional activity	1.32	[1.22; 1.43]	1.23	[1.12; 1.34
High-infection-risk professional (Ref. No)	1.96	[1.85; 2.08]	0.92	[0.86; 0.99
Health dimension ( $N = 129,691$ )				
Number of comorbidities (Ref. 0)				
1	1.04	[0.98; 1.10]	0.98	[0.93; 1.04
$\geq 2$	1.08	[0.95; 1.21]	0.90	[0.79; 1.02
Frequency of agitation, sadness or anxiety (Ref. Never)				10.00.000
Some days	0.84	[0.79; 0.89]	0.87	[0.89; 0.93
Almost every day	1.02	[0.95; 1.10]	1.03	[0.95; 1.12
Every day Perception of health status (Ref. Very bad)	1.22	[1.12; 1.33]	1.22	[1.12; 1.33
Bad	0.60	[0.35; 1.01]	0.72	[0.40; 1.28
Reasonable	0.44	[0.33; 1.01] [0.27; 0.71]	0.72	[0.32; 0.96
Good	0.37	[0.23; 0.61]	0.50	[0.29; 0.86
Very good	0.35	[0.22; 0.58]	0.49	[0.28; 0.84
Perceptions dimension ( $N = 126,301$ )		-		
Perception of the importance of the measures implemented by healt	h authorities (Ref. Not im	portant)		
Not very important	0.63	[0.46; 0.86]	0.76	[0.54; 1.07
Important	0.48	[0.36; 0.64]	0.75	[0.54; 1.02
Very important	0.25	[0.19; 0.34]	0.44	[0.33; 0.61
Perception of the adequacy of measures implemented by the govern		_		
Perception of the adequacy of measures implemented by the govern Not very adequate	0.44	[0.38; 0.50]	0.67	•
Perception of the adequacy of measures implemented by the govern		[0.38; 0.50] [0.28; 0.37] [0.25; 0.34]	0.67 0.64 0.61	[0.56; 0.79 [0.54; 0.76 [0.50; 0.74

#### N. de Noronha, M. Moniz, A. Gama et al.

Table 1 (continued)

Dimension	Crude OR	95% CI	Adjusted OR	95% CI
Confidence in the government's response to the pandemic	(Ref. Not confident)			
Not very confident	0.60	[0.54; 0.65]	0.86	[0.77; 0.97]
Confident	0.47	[0.43; 0.52]	0.81	[0.71; 0.92]
Very confident	0.49	[0.44; 0.56]	0.83	[0.70; 0.98]
Confidence in the capacity of health services to respond to	the pandemic (Ref. Not confident)			
Not very confident	0.64	[0.57; 0.71]	0.91	[0.80; 1.04]
Confident	0.61	[0.55; 0.67]	1.00	[0.88; 1.15]
Very confident	0.69	[0.60; 0.78]	1.12	[0.96; 1.31]
Self-perceived risk to get COVID-19 infection (Ref. Low/No	risk)			
Moderate	1.34	[1.25; 1.41]	1.38	[1.29; 1.47]
High	2.40	[2.25; 2.57]	2.37	[2.21; 2.55]
Unknown	1.21	[1.11; 1.32]	1.22	[1.10; 1.35]
Self-perceived risk to develop severe disease (Ref. Low/No	risk)			
Moderate	1.14	[1.07; 1.20]	1.00	[0.94; 1.06]
High	1.36	[1.28; 1.45]	0.97	[0.90; 1.05]
Unknown	1.05	[0.97; 1.14]	0.95	[0.86; 1.04]
Perceived risk to the population (Ref. Low/No risk)				
Moderate	0.43	[0.35; 0.53]	0.50	[0.41; 0.62]
High	0.40	[0.33; 0.48]	0.45	[0.36; 0.55]
Unknown	0.43	[0.33; 0.55]	0.52	[0.39; 0.69]

OR, odds ratio; CI, confidence interval.

<sup>a</sup> Bold indicates significant result.

to lockdown than individuals who perceived them as not important (aOR: 0.44, 95% CI: [0.33; 0.61]). Individuals who perceived the measures implemented to be inadequate were more likely to non-adhere than individuals who found them adequate or not very adequate. Similarly, individuals without any confidence in the government's response to the pandemic were more likely to non-adhere than individuals who had some level of confidence. Regarding the risk of getting COVID-19, individuals who perceived their risk to be moderate, high or were unsure were more likely to non-adhere to lockdown than those who perceived their risk as low or null. On the other hand, individuals who perceived the population risk of getting COVID-19 as moderate, high or unsure were less likely to non-adhere than those who perceived the population risk as low or null (Fig. 2 and Table 1).

Results were similar in the sensitivity analysis (Table 2).

# Discussion

The main objective of this study was to identify factors associated with non-adherence to the first lockdown in Portugal. The present study found that a minority of participants (5.6%) reported non-adherence to lockdown (i.e. leaving home for reasons other than essential situations). The study found that the following factors were associated with non-adherence to lockdown: being a man, having a lower level of education, living alone, living with a professional at high risk of getting infected, having no support in case of infection, belonging to a low-income household, being a student, continuing to work at the workplace, having a higher risk perception of becoming infected, feeling agitated, sad or anxious every day, not having confidence in the government and considering mitigation measures as unimportant or inappropriate.

## Demographic, social and labour dimensions

Participants who continued working at the workplace had an almost five-fold increased likelihood of non-adherence to lockdown than participants who worked from home. Other studies have also indicated that work-related conditions might influence preventive behaviours.<sup>26</sup> Workers who cannot transition to remote working were less likely to adhere to lockdown or adopt preventive behaviours, such as social distancing.<sup>24,38</sup> It could be hypothesised that travelling to work could lead to other unnecessary trips. This idea could also be corroborated by the fatalism effect, in which an individual believes that their exposure to risk makes it practically inevitable that they will be infected, thereby reducing the adoption of preventive behaviours.<sup>39–41</sup> As remote working was one of the most implemented lockdown measures, further studies should replicate the present study findings to ascertain the real effect of remote working on adherence to lockdown.

Individuals with lower household incomes were also more likely to non-adhere to the lockdown, which is in line with results from other studies.<sup>24,34</sup> This finding could represent individuals with lower incomes who might work in precarious conditions and who were unable to work from home or those who have fewer savings to help them during lockdowns.<sup>24,34</sup> Providing support or means of subsistence for certain families can be a way of mitigating these differences. The literature shows that compliance with public health recommendations is higher when living standards are maintained. Governments that provide economic reassurance (e.g. wage compensation or temporarily suspending reimbursements to the state),<sup>26</sup> food support<sup>19</sup> or free Internet access at home<sup>25</sup> might experience higher rates of adherence to lockdown. Similarly, individuals with lower levels of education were also more likely to non-adhere to the lockdown. However, this association was not found in all studies.<sup>22,24,42</sup> The present study also showed that students were more likely to non-adhere to lockdown, and men were more likely to non-adhere. Both findings have been documented in other studies.<sup>14,19,20,23,24,26</sup>

Socially, individuals without a support system in case of infection or individuals living alone were more likely to non-adhere to the lockdown. These results were corroborated by other studies.<sup>14,26</sup> Surprisingly, living with a high-infection-risk professional (e.g. doctor, nurse, pharmaceutical, health technician, fire-fighter, police officer, military, essential services worker) increased the odds of non-adherence, which might be explained by the increased exposure to risk and the fatalism effect discussed previously.<sup>39–41</sup>

## Health and perception dimensions

A strong association was found between the self-perceived risk of getting infected and non-adherence to lockdown. This

# Table 2

Crude and adjusted odds of the sensitivity analysis of non-adherence to lockdown. Odds ratios were adjusted for the demographic dimensions (i.e. gender, age group, education and region)<sup>a</sup>.

Dimension	Crude OR	95% CI	Adjusted OR	95% CI
Demographic dimension (N = 120,975)				
	1.81	[1 60, 1 04]	1.76	[1 64, 1 90]
Gender (Ref. Female) Age group, in years (Ref. 16—25)	1.81	[1.69; 1.94]	1.70	[1.64; 1.89]
26-65	0.91	[0.81; 1.04]	0.95	[0.84; 1.08]
>65	0.30	[0.23; 0.39]	0.26	[0.20; 0.34]
Region (Ref. Lisbon and Tagus Valley)		[]		[,]
North	1.02	[0.94; 1.12]	0.98	[0.89; 1.07]
Centre	1.23	[1.11; 1.36]	1.17	[1.06; 1.29]
Alentejo	1.30	[1.10; 1.53]	1.23	[1.04; 1.45]
Algarve	1.05	[0.86; 1.27]	0.99	[0.82; 1.20]
Azores	1.12	[0.91; 1.37]	1.00	[0.82; 1.23]
Madeira	0.49	[0.35; 0.69]	0.46	[0.33; 0.65]
Education (Ref. Basic/No education)				
Secondary	0.75	[0.66; 0.86]	0.73	[0.64; 0.84]
University	0.44	[0.39; 0.50]	0.45	[0.40; 0.51]
Social dimension ( $N = 118,481$ )				
Living alone (Ref. No)	1.26	[1.14; 1.39]	1.25	[1.12; 1.39]
Living with the elderly or people with chronic illness (Ref. No)	0.83	[0.77; 0.91]	0.86	[0.79; 0.94]
Living with a high-infection-risk professional (Ref. No)	1.40	[1.27; 1.54]	1.44	[1.30; 1.60]
Social support in case of infection (Ref. Yes)				
None	1.71	[1.31; 2.22]	1.62	[1.23; 2.12]
Unsure	1.24	[1.00; 1.54]	1.22	[0.97; 1.53]
Not needed	1.40	[1.03; 1.89]	1.34	[0.98; 1.83]
Other	1.09	[0.83; 1.43]	1.08	[0.82; 1.44]
Labour dimension ( $N = 107,076$ )				
Monthly household income (Ref. <650€)				
651-1000€	0.96	[0.82; 1.13]	0.94	[0.79; 1.11]
1001-1500€	0.61	[0.52; 0.72]	0.66	[0.55; 0.78]
1501-2000€	0.55	[0.47; 0.65]	0.67	[0.56; 0.81]
2001-2500€	0.49	[0.41; 0.59]	0.62	[0.51; 0.75]
>2501€	0.50	[0.42; 0.59]	0.68	[0.56; 0.81]
Unknown	0.61	[0.46; 0.81]	0.95	[0.69; 1.29]
Fear of losing income (Ref. Not concerned)	0.00			
Slightly concerned	0.66	[0.59; 0.74]	0.78	[0.68; 0.88]
Concerned	0.72	[0.65; 0.81]	0.81	[0.72; 0.92]
Very concerned Occupation (Ref. Worker)	0.73	[0.66; 0.81]	0.88	[0.78; 1.00]
Self-employed	0.66	[0.59; 0.74]	0.90	[0.80; 1.02]
Retired	0.27	[0.14; 0.55]	0.58	[0.29; 1.20]
Unemployed	0.35	[0.20; 0.60]	0.88	[0.47; 1.63]
Student	0.59	[0.47; 0.74]	2.29	[1.73; 3.03]
Other	0.97	[0.82; 1.14]	1.03	[0.85; 1.24]
Working mode (Ref. Remote work)				[, . ]
At workplace	19.5	[17.49; 21.74]	21.89	[19.32;24.81
Suspended professional activity	1.14	[0.96; 1.36]	1.15	[0.95; 1.39]
High-infection-risk professional (Ref. No)	2.96	[2.74; 3.21]	0.74	[0.67; 0.81]
Health dimension ( $N = 118,140$ )				
Number of comorbidities (Ref. 0)				
1	0.81	[0.74; 0.89]	0.82	[0.74; 0.90]
≥2	0.66	[0.53; 0.83]	0.66	[0.52; 0.83]
Frequency of agitation, sadness or anxiety (Ref. Never)				
Some days	0.73	[0.67; 0.80]	0.77	[0.70; 0.84]
Almost every day	0.98	[0.87; 1.09]	1.01	[0.89; 1.13]
Every day	1.25	[1.11; 1.41]	1.28	[1.13; 1.46]
Perception of health status (Ref. Very bad)				
Bad	0.57	[0.21; 1.51]	0.66	[0.22; 1.94]
Reasonable	0.66	[0.27; 1.63]	0.80	[0.29; 2.19]
Good	0.63	[0.26; 1.54]	0.75	[0.27; 2.04]
Very good	0.64	[0.26; 1.57]	0.76	[0.28; 2.07]
Perceptions dimension ( $N = 115,046$ )				
Perception of the importance of the measures implemented by health	,	<b>1</b> ,	0 = 1	For 100 1 11
Not very important	0.64	[0.42; 0.96]	0.74	[0.47; 1.19]
Important	0.48	[0.33; 0.70]	0.79	[0.51; 1.21]
Very important	<b>0.20</b>	[0.13; 0.28]	0.37	[0.24; 0.57]
Perception of the adequacy of measures implemented by the governme				
Not yory adoquato	0.25	[0 20+ 0 42]	0.56	
Not very adequate Adequate	0.35 0.23	[0.30; 0.42] [0.19; 0.27]	0.56 0.54	[0.45; 0.70] [0.43; 0.69]

#### N. de Noronha, M. Moniz, A. Gama et al.

Table 2 (continued)

Dimension	Crude OR	95% CI	Adjusted OR	95% CI
Confidence in the government's response to the pandemic (Re	f. Not confident)			
Not very confident	0.53	[0.47; 0.61]	0.87	[0.73; 1.03]
Confident	0.36	[0.32; 0.41]	0.77	[0.64; 0.93]
Very confident	0.28	[0.23; 0.34]	0.67	[0.51; 0.87]
Confidence in the capacity of health services to respond to the	pandemic (Ref. Not confident	)		
Not very confident	0.59	[0.50; 0.69]	0.91	[0.76; 1.09]
Confident	0.51	[0.44; 0.59]	1.02	[0.85; 1.23]
Very confident	0.52	[0.43; 0.63]	1.15	[0.91; 1.44]
Self-perceived risk to get COVID-19 infection (Ref. Low/No risk	:)			
Moderate	2.06	[1.85; 2.29]	2.22	[1.98; 2.48]
High	5.00	[4.49; 5.56]	5.49	[4.89; 6.18]
Unknown	1.18	[1.00; 1.39]	1.26	[1.04; 1.52]
Self-perceived risk to develop severe disease (Ref. Low/No risk	)			
Moderate	1.19	[1.10; 1.31]	0.94	[0.86; 1.03]
High	1.05	[0.94; 1.16]	0.64	[0.60; 0.72]
Unknown	0.88	[0.78; 1.00]	0.85	[0.73; 0.99]
Perceived risk to the population (Ref. Low/No risk)				
Moderate	0.39	[0.29; 0.51]	0.43	[0.32; 0.58]
High	0.32	[0.25; 0.42]	0.34	[0.26; 0.46]
Unknown	0.32	[0.22; 0.46]	0.41	[0.27; 0.64]

OR, odds ratio; CI, confidence interval.

<sup>a</sup> Bold indicates significant result.

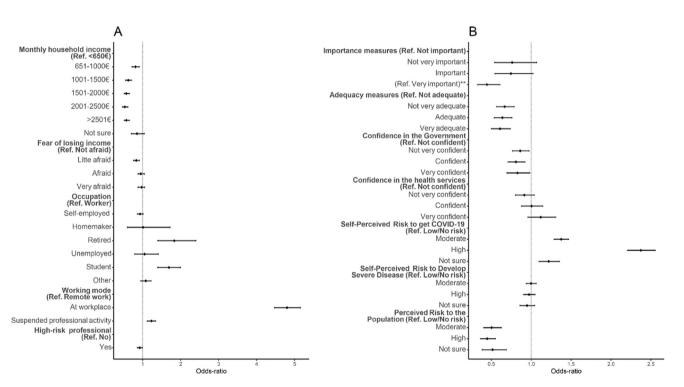


Fig. 2. Forest plot for non-adherence to lockdown. Adjusted odds ratio (adjusted for gender, age group, education and region) and the respective 95% confidence intervals are denoted by black dots and black lines, respectively. A – Forest plot of the labour dimension. B – Forest plot of the perceptions dimension.

association has been shown in other studies and could also be related to the fatalism effect.<sup>39–41</sup> Having a high self-perceived risk of getting infected, or being unsure of their risk, seems intuitively more associated with adopting protective behaviours. However, the literature shows that fear associated with a higher perception of risk can trigger paradoxical actions, exacerbate already existing stressors of the COVID-19 pandemic and produce unintended consequences, such as denial, backlash, avoidance, defensiveness, depression, anxiety, increased risk behaviour and a feeling of lack of control.<sup>43</sup> Nevertheless, fear is a natural response to the pandemic.

Thus, communication messages should explore fear using an optimistic approach. Some studies suggest that exploiting the fear of being infected can be helpful in certain situations, such as pandemics, especially alongside effective messages by the health authorities encouraging the adoption of preventive behaviours.<sup>45</sup>

The idea of infecting vulnerable people can trigger the adoption of behaviours such as physical distancing.<sup>46</sup> In this situation, people are more likely to make sacrifices, such as staying at home to protect individuals they can relate to. This idea is corroborated by the 'Victim Effect', which refers to the likelihood of helping

strangers with whom we empathise compared with unknown individuals. This effect persists even when anonymity is maintained,<sup>47</sup> for instance, personalising risk communication referring to our grandparents instead of individuals aged >65 years.<sup>47–49</sup>

Individuals who did not trust the government were found to have an increased likelihood of non-adherence to the lockdown. This association has been shown in other studies<sup>14</sup> and during the H1N1 influenza outbreak.<sup>50</sup> According to the Trust and Cooperation Model, trust is an important factor in risk management because it affects public judgment when assessing the harm and benefits of a measure. Hence, people with high levels of trust in institutions are more likely to accept recommendations.<sup>51</sup> A lack of confidence in the capability of authorities to manage a public health crisis can feed uncertainty and scepticism about their recommendations.<sup>52</sup> Risk communication should therefore focus on building trust in close collaboration with health services and the media.<sup>11</sup>

The present study also suggests that poor health perception was positively associated with non-adherence to lockdown. This is in line with other analyses that showed that neither having a previous medical condition increased the adoption of preventive behaviours<sup>24</sup> nor having a previous health problem was a significant factor in self-protection.<sup>53</sup>

## Limitations and strengths

The present study does not represent the Portuguese population because more women, participants living in Lisbon and Tagus Valley and participants with higher levels of education responded to the questionnaire, which does not correspond to the national demographics.<sup>54</sup> The results may also be subject to sampling bias as some households in Portugal do not have access to the Internet (or have only limited access). The present study sample is likely to include more respondents sensitive to health issues (i.e. a nonresponse bias). Another limitation of this work is related to the dependent variable. Participants might have understood 'essential cases' differently. These limitations might affect the generalisability of the current results. Nevertheless, the study included a large sample, allowing a better understanding of non-adherence to lockdown in the studied population. The dissemination of an online questionnaire is a safe and effective way to reach the population, which is an essential factor to consider during a pandemic. Another advantage relates to the variety of themes analysed in the questionnaire, which provides a better view of how these factors might be interconnected and should be further explored.

# Future work and challenges

Although several countries have a high COVID-19 vaccination rate, the need for booster vaccinations and the emergence of new strains might bring further restrictions. Some authors have studied COVID-19 vaccine booster hesitancy and found that acceptance decreases over time.<sup>55–57</sup> Thus, it is important to fully understand non-adherence to lockdowns and other preventive measures. Although the present study sheds some light on certain factors associated with non-adherence, human intentions change over time, especially during a pandemic. A longitudinal study is essential to assess changes in the population responses to the pandemic (i.e. the factors associated with non-adherence to the lockdown identified in the present study may not be present at other stages of the pandemic).

# Conclusion

Understanding the factors associated with non-adherence to lockdown can support the development of specific policies to mitigate social and economic inequalities and communication messages tailored to priority populations.

## **Author statements**

## Acknowledgements

We thank all the participants who answered the questionnaire for their contribution to this study.

# Ethical approval

The study was conducted in agreement with the Declaration of Helsinki, and the Ethics Committee of the National School of Public Health approved the protocol (approval number: CE/ENSP/CREE/3/ 2020).

Funding

None declared.

Competing interests

None declared.

# Data availability statement

The data underlying this article will be shared on reasonable request to the corresponding author.

## Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.puhe.2022.07.001.

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