

# Anti-Cancer Activity Based on the High Docetaxel Loaded Poly(2-Oxazoline)s Micelles

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**Purpose:** Nanocarriers, with a high drug loading content and good safety, to achieve desirable therapeutic effect are always the goals for industry and research.

**Methods and Results:** In the present study, we developed a docetaxel loaded poly-2-oxazoline polymer micellar system which employed poly-2-butyl-2 oxazoline and poly-2-methyl-2 oxazoline as the hydrophobic chain and hydrophilic chain, respectively. This micellar system achieves a high load up to 25% against the docetaxel, and further demonstrates an IC<sub>50</sub> as low as 40% of the commercialized docetaxel injection in vitro and a double maximum tolerated dose in MCF-7 cells in vivo.

**Conclusion:** The high drug loading content, superior safety, and considerable anti-cancer activity make this newly developed docetaxel loaded poly(2-oxazoline) micelle go further in future clinical research.

**Keywords:** poly(2-oxazoline)s, micelle, docetaxel, high loading, anti-cancer activity

## Introduction

Cancer is a major public health problem worldwide, with a rapidly growing incidence and mortality. It was estimated that by 2018 there would be 18.1 million new cancer cases and 9.6 million cancer-related deaths reported.<sup>1</sup> Taxanes are a class of anti-cancer drugs extracted or semi-synthesized from the bark of Taxus plants. Taxanes promote the assembly of microtubules and inhibit the depolymerization of microtubules by binding to the  $\beta$ -protein and blocking cells in the G2/M phase, resulting in the inhibition of mitosis and proliferation of cancer cells.<sup>2,3</sup> Its active monomers, including paclitaxel (PTX), docetaxel (DTX), and cabazitaxel (CBZ), have been successfully developed and used clinically in advanced ovarian, breast, and non-small cell lung cancers.<sup>4,5</sup>

In 2014, ASCO reviewed taxol-therapy in a sixty-year study of breast cancer as a landmark finding that taxanes significantly reduced breast cancer recurrence and mortality rate.<sup>6</sup> The toxic side effects and low drug loading of the taxane formulations remain the main problems in clinical applications. The active drug content in Taxol<sup>®</sup> is only 1% and the remaining 99% is polyoxyethylene castor oil and ethanol. The main excipients in docetaxel and cabazitaxel are Tween 80 and ethanol. These excipients can cause serious allergic reactions, hemolysis, and cholestasis.<sup>7,8</sup> Although the drug loading in albumin-bound paclitaxel nano-form, Abraxane<sup>®</sup>, is higher than that of Taxol<sup>®</sup>, it is still less than 10%. Moreover, compared to Taxol<sup>®</sup>, the main excipient albumin disables radiotherapy during administration and causes peripheral neuropathy.<sup>9-11</sup> Improving tumor accumulation is a critical mission of nanomedicine-based drug delivery.<sup>12</sup> In

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recent years, some polymeric nanocarriers have spanned up to focus on the improvement of antitumor efficacy through high drug loading content.<sup>13–15</sup>

Polymeric micelles based on amphiphilic block polymers represent a class of nanocarriers with well-defined core-shell nanostructures that facilitate the loading of hydrophobic chemotherapeutic drugs. In addition, their nanosize enables passive accumulation in tumor tissues, resulting in increased antitumor effects owing to the enhanced permeation and retention (EPR) effect.<sup>16–18</sup> As a type of polymeric micelles, poly(2-oxazoline)s (POx) have recently attracted considerable attention in biomedical applications due to their tunable properties and structure, which compare favorably to polyethers such as PEG.<sup>19–21</sup> Furthermore, poly(2-ethyl-2-oxazoline) (PEtOx) has been approved by the FDA as an indirect food additive.<sup>22</sup> Poly(2-methyl-2-oxazoline)-block-poly(2-butyl-2-oxazoline)-block-poly(2-methyl-2-oxazoline) (PMeOx-bPBuOx-b-PMeOx) is a poly(2-oxazoline)s micelle (POx) based triblock copolymer. Kabanov and co-workers reportedly loaded POx with almost 50 wt.% of paclitaxel (PTX) in stable and injectable formulations,<sup>23</sup> which exhibited a significantly increased therapeutic efficacy *in vivo* against ovarian cancer.<sup>15</sup> The high loading and high stability of the poly(2-oxazoline)s micelles may be due to the block copolymers comprising poly(2-butyl-2-oxazoline) as hydrophobic core.<sup>24</sup>

In the present study, we developed a docetaxel loaded poly-2-oxazoline polymer micellar system which employed poly-2-butyl-2-oxazoline and poly-2-methyl-2-oxazoline as the hydrophobic chain and hydrophilic chain, respectively. Followed by self-assembly in an aqueous solution and achieves a high load up to 25% against the docetaxel. The anti-cancer activity was investigated in MCF-7 and A549 cells and compared with the commercially available docetaxel injection. The newly docetaxel loaded poly-2-oxazoline micelles demonstrated a promising therapeutic effect in the breast cancer MCF-7 cells *in vitro* and *in vivo*, with good safety, stability, and a considerably higher maximum tolerated dose compared to the clinically approved docetaxel injection, indicating a potential for the future development.

## Materials and Methods

### Materials

DTX drug was purchased from Dalian Meilun Biotechnology Co. Ltd. (Dalian, China). Docetaxel injection (DJ) was purchased Qilu Pharmaceutical Co. Ltd. (Jinan, China). Amphiphilic triblock poly(2-oxazoline)s were purchased from Advanced Polymer Materials Inc. (Montreal,

Canada). Coumarin-6 was purchased from Sigma-Aldrich (Shanghai, China). MCF-7 and A549 cells were purchased from the Institute of Biochemistry and Cell Biology (Shanghai, China). Dulbecco's modified eagle medium (DMEM), fetal bovine serum (FBS), and penicillin-streptomycin solution (5 kU/mL) were purchased from Thermo Fisher Scientific (Shanghai, China). 1,1'-Diocadecyltetramethyl indotricarbocyanine iodide (DiR) was purchased from Biotium (CA, USA). All animal experiments were approved by the ethics committee of Beijing Institute of Pharmacology and Toxicology, and were performed in accordance with the Guidelines on Laboratory Animal Welfare issued by Ministry of Science and Technology of the People's Republic of China.

### Methods

#### Preparation of DTX Loaded Poly(2-Oxazoline)s Micelles (DPM) and Blank Poly(2-Oxazoline)s Micelles (BPM)

The thin-film hydration method was used to prepare BPM and DPM. Briefly, 10 mg/mL POx ethanol stock solution and 10 mg/mL DTX ethanol stock solution were prepared by ultrasound using 10 mg POx and 10 mg DTX dissolved in 1 mL of ethyl alcohol. Next, precision transferred 100  $\mu$ L of POx stock solution and 40  $\mu$ L of DTX stock solution were mixed and heated over 47°C steam to form a thin film. Subsequently, 40  $\mu$ L of normal saline was added, hydrated at 60°C, and followed by high-speed centrifugation at 10,000 rpm for 5 min to prepare the DPM. The BPM were prepared in the same manner as DPM without the addition of DTX.

#### Particle Size, Stability and Transmission Electron Microscopy (TEM)

The particle size of DPM (1 mg/mL) and BPM (1 mg/mL) were measured by dynamic light scattering (Zetasizer Nano ZS90, Malvern) at 25°C, and the stability at 1, 3, 6, 9, 12, 15 days was investigated using the same method.

BPM, DPM and the DPM, stored at 4°C for 10 days, eliminated NaCl by dialysis and were examined using a transmission electron microscopy (TEM, Jeol, Japan).

#### Drug Loading Content (DL) and Encapsulation Efficiency (EE)

DL and EE were measured using the HPLC method using an Ultimate 3000 (Thermo Fisher, US) with a UV detector. All reagents were of HPLC grade and were filtered and degassed prior to use. A C18 reversed-phase column (150 mm  $\times$  4.6 mm, 5  $\mu$ m) was used. The injection volume

was 20  $\mu\text{L}$ . The flow rate was set at 1 mL/min. The mobile phase consisted of acetonitrile in water (50:50, v/v). The UV detection was performed at 228 nm and the column temperature was 30°C. DPM solution (1 mg/mL) was diluted 10-fold using the mobile phase. Samples were filtered through a 0.45  $\mu\text{m}$  filter prior to injection. DL and EE values were calculated using the following formula:

$$\text{Drug loading content (DL)} = \frac{\text{DTX loaded in micelles}}{\text{Total weight of micelles}} \times 100\%$$

$$\text{Encapsulation efficiency (EE)} = \frac{\text{DTX loaded in micelles}}{\frac{\text{Theory amount of DTX loaded in micelles}}{\text{DTX loaded in micelles}}} \times 100\%$$

### Measurement of Critical Micelle Concentration (CMC) of DPM

The CMC was determined using the standard procedure.<sup>25,26</sup> Briefly, a pyrene solution in acetone ( $5.0 \times 10^{-5}$  M) was added dropwise to a brown volumetric flask. Next, the acetone was completely evaporated at room temperature. The polymer solutions at appropriate concentrations ( $10^{-3}$ – $10^3$   $\mu\text{g/mL}$ ) in the assay buffer were added to the vials to obtain a final concentration of  $5 \times 10^{-7}$  M pyrene. The solutions were incubated at 37°C, at 50 rpm for 6 h in the dark. The pyrene fluorescence spectra were recorded using the F-7000 fluorescence spectrometer (Hitachi, Japan) at  $\lambda_{\text{ex}}=333$  nm,  $\lambda_{\text{em}}=360$ – $390$  nm, step width 0.5 nm, and an integration time of 0.1 s. The CMC value is assumed where a steep increase in fluorescence intensity is observed. Furthermore, the fluorescence intensity of the I1 (373nm) band was compared to the intensity of the I3 (384 nm) band, estimating the polarity of the pyrene probe environment.

### In vitro Drug Release Study

In order to investigate the in vitro drug release profile of DTX from DPM, 0.9 mL of DPM was transferred into a dialysis bag (MWCO 3500 Da). The dialysis bag was immersed in 45 mL of PBS at pH 7.4 and pH 5.5, with a stirring speed of 100 rpm to achieve sink conditions at 37°C. At selected time intervals, 1 mL of the external solution was withdrawn and replaced with the same volume of fresh PBS. The samples were filtered and measured using HPLC as previously described.

### Cellular Uptake Assay

In this assay, coumarin-6 was used as a fluorescent probe and loaded into the micelles (C6-POx). The preparation

was as described in section 2.2.1 except the stock concentration of C6 and POx was 50  $\mu\text{g/mL}$  and 1mg/mL, respectively. The micelles were prepared using 10 mL from each of the two stock solutions. The particle size of C6-POx was measured using dynamic light scattering (Zetasizer Nano ZS90, Malvern) at 25°C. The concentration of C6 was measured using the F-7000 fluorescence spectrometer (Hitachi, Japan) at  $\lambda_{\text{ex}}=450$  nm and  $\lambda_{\text{em}}=508$  nm, and subsequently, DL was calculated.

Confocal laser scanning microscopy (CLSM) was used to observe the cellular uptake of C6-POx. MCF-7 and A549 cells were seeded in confocal dishes at a density of  $2 \times 10^5$  per dish and grown for 12 h at 37°C in 5%  $\text{CO}_2$ . C6-POx micelles were diluted with saline to 20  $\mu\text{g/mL}$  and with DMEM (10% FBS) to 1  $\mu\text{g/mL}$ . Next, the medium was replaced, C6 and C6-POx micelles were added into a dish and incubated for 2 h and 4 h at 37°C in the dark. After removal of the culture medium, cells were washed with PBS and fixed with fresh 4% paraformaldehyde, followed by treatment with 4', 6-diamidino-2-phenylindole (DAPI) for nuclear staining. Next, the cells were sealed with the mounting medium and visualized using a confocal laser scanning microscope (Leica, US). The fluorescence intensity was calculated using the Image J software.

### Cell Viability Assay

The cytotoxicity of BPM, DPM, and DJ was assessed in MCF-7 and A549 cells. MCF-7 and A549 cells were seeded in 96-well plates at a density of  $6 \times 10^3$  cells per well overnight under 5%  $\text{CO}_2$ , at 37°C for 12 h. Next, the depleted medium was replaced with fresh culture medium containing DPM and DJ at various concentrations (0  $\mu\text{g/mL}$ –100  $\mu\text{g/mL}$  DTX) or blank micelles at various concentrations (0  $\mu\text{g/mL}$ –1000  $\mu\text{g/mL}$ ), and incubated for 48 h. Cell viability was determined using the CCK-8 assay according to the manufacturer's protocol (Dojindo, Japan). Briefly, 10  $\mu\text{L}$  of CCK-8 solution was added to each well of the plate and incubated for 2 h in the incubator. A microplate reader (Thermo Fisher Scientific, Waltham, MA) was used for optical density (OD) determination at 450 nm. Each treatment condition was repeated in quintuplicate and all data have been expressed as the means  $\pm$  SD.

### Cell Apoptosis

The rate of apoptosis in the MCF-7 and A549 cells was determined using the Annexin V-FITC/PI double dyeing method. MCF-7 and A549 cells were seeded in 6-well plates at a density of  $3 \times 10^5$  cells per well overnight

under 5% CO<sub>2</sub>, at 37°C for 12 h. After treatment with DPM, DJ (both DTX equivalent 20 ng/mL and 350 ng/mL), and BPM (50 ng/mL and 875 ng/mL) for 48 h, the cells were trypsinized, harvested, washed with PBS, and stained with Annexin V-FITC and propidium iodide (PI) according to the manufacturer's protocol. Each sample was analyzed using an analytical flow cytometer (FACSCalibur; BD Biosciences, US).

### In vivo Distribution Assay

DiR, a near-infrared fluorophore, was used to investigate the vivo distribution of BPM and DPM. The DiR loaded micelle preparation is as mentioned in section 2.2.1 except the stock concentrations of DiR and POx were 200 µg/mL and 2 mg/mL, respectively. The micelles were prepared by using 5 mL from the two stock solutions. The particle size of DiR-POx was measured by dynamic light scattering (Zetasizer Nano ZS90, Malvern) at 25°C. The concentration of DiR was measured using the UV-VIS spectrophotometer (TECHCOMP, China) at 749 nm and DL was calculated.

The xenograft tumor model was generated by injecting 0.2 mL of the MCF-7 cell suspension ( $2 \times 10^6$ ) into the right flank of male BALB/C nude mice. Once tumors had grown to approximately 400 mm<sup>3</sup> in size, the mice were injected with saline, DiR, and DiR-POx (DiR equivalent 15 µg) through the caudal vein and scanned at 2, 4, 6, 8, 12, and 24 h post-inoculation using IVIS imaging system (IVIS Lumina II, Caliper Life Sciences, Hopkinton, MA) with a filter (excitation at 745 nm and emission at 780 nm). The exposure time was set to 1 s per image. The mice were sacrificed 24 h after administration and the heart, liver, spleen, lungs, kidneys, and tumors were isolated, washed in saline, and immediately imaged. Images were analyzed using the Living Imaging software (Caliper Life Sciences, Hopkinton, MA).

### In vivo Maximum Tolerated Dose (MTD) and Anti-Tumor Effects

The MTD was investigated by dose escalation in healthy nude mice injected through the caudal vein (q3d×4) with BPM (125 mg/kg POx), DPM (DTX equivalent: 5 mg/kg~50 mg/kg), and DJ (DTX equivalent: 5 mg/kg~20 mg/kg). The body weights of the nude mice were recorded and MTD is defined as the dose at which the weight loss of the highest dose group is less than 15%.<sup>27</sup>

The xenograft tumor model was generated as described in In vivo Distribution Assay. Once the tumors had grown to approximately 200 mm<sup>3</sup> in size, mice with visible MCF-7

tumors were randomly divided into five groups and injected through the caudal vein (q3d × 4) with saline, BPM (50 mg/kg POx), DJ (10 mg/kg DTX), DPM (10mg/kg DTX), and DPM (20 mg/kg DTX). The longest diameter (D) and shortest diameter (d) were measured every 2 days using a Vernier caliper. The body weights of the nude mice were also recorded. Tumor volume (V) is calculated as:  $V = D \times d^2 / 2$ . Mice were sacrificed on day 24, and tumors were excised from mouse carcass and weighed. Inhibition rate of tumor (IRT) is calculated as:  $IRT (\%) = |(W_{EG} - W_{CG}) / W_{EG} \times 100\%$ . “W<sub>EG</sub>” means the weight of mice in the experimental group and “W<sub>CG</sub>” means the weight of mice in the control group.

### In vivo Cell Apoptosis and Histological Analysis

After the mice were sacrificed, tumors were isolated, fixed in 4% paraformaldehyde, and subsequently embedded in paraffin. Subsequently, the samples were stained using the TdT-mediated dUTP Nick-End Labeling (TUNEL) method or the hematoxylin and eosin (H&E) method to observe cell apoptosis and histological changes.

## Results

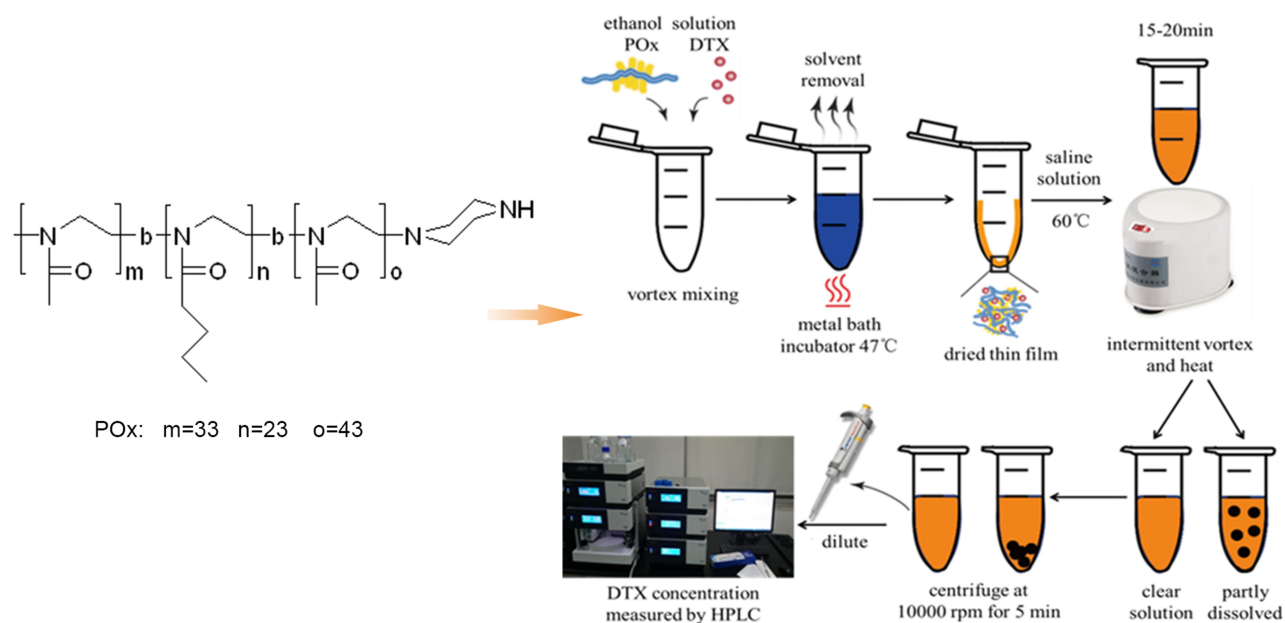
### Preparation and Characterization of DPM and BPM

The DPM and BPM were prepared by the thin-film hydration method (Figure 1). As shown in Table 1, the ratio of drug to carrier was 2:5, the DL was  $24.67 \pm 0.98\%$ , and the EE was  $86.31 \pm 3.44\%$ . The DLS analysis indicated that the diameter of BPM was  $21.84 \pm 0.31$  nm, and the average diameter of DPM increased to  $48.92 \pm 0.33$  nm. Within 15 days, the average diameter of DPM was stable around 50 nm (Figure 2A) and the polymer dispersity index (PDI) < 0.2 (Figure 2B). The TEM images (Figure 2A) demonstrated that DPM and BPM were spherical, the blank micelles have a particle size of about 20 nm, and the drug-loaded micelles have a particle size of about 35 nm, consistent with the DLS results. In addition, the drug-loaded POx micelles, placed at 4°C for 10 days, were observed under TEM, and the shape of DPM was still near-spherical (Figure 2A). The CMC of POx was  $1.34 \pm 0.48$  µg/mL.

### Release Behavior of DPM and DJ in vitro

The DTX release profiles of DPM and DJ were investigated at pH 5.5 and pH 7.4. As shown in Figure 3, the release rate of DPM in the initial stage was higher than that of DJ in both pH environments, followed by a slower release. At pH 5.5, the cumulative release rate of DPM at 24 h was as high as





**Figure 1** Chemical structure of amphiphilic poly(2-oxazoline)s (POx) triblock copolymers and the standard operating procedure for small scale micelle production (1–5mg) (blue: ethanol solution, yellow: DI water solution, black: undissolved drug).

81.42 ± 1.87% or more, while the cumulative release rate of DPM was approximately 62.6 ± 3.78% at pH 7.4.

## Cellular Uptake

The DLS analysis indicated that the average diameter of C6-POx was 24.60 ± 1.13 nm and the PDI was 0.222 ± 0.015 nm. The C6 loading content was 3.75 ± 0.38% measured using a fluorescence spectrometer.

CLSM was performed to analyze the cellular uptake of C6-POx in MCF-7 and A549 cells. As shown in Figure 4, the nuclei were stained with DAPI, and the green fluorescence corresponded to the intracellularly released C6. In MCF-7 cells (Figure 4A), after incubation with C6-POx for 2 h, green fluorescence was observed in the perinuclear regions of the cytoplasm and the intensity in the C6-POx treated group was stronger than that in the free C6 treated group (C6-POx: 64.75, free C6: 25.88). After 4 h of incubation (Figure 4B), the green fluorescence intensity (C6) in the free C6 treated group was stronger than that observed at 2 h. However, the green fluorescence intensity in the C6-POx treated group (64.00) was considerably

stronger than the free C6 treated group (43.67). In A549 cells, no difference in the green fluorescence intensity between the free C6 and C6-POx group was observed at 2 h (Figure 4C). Furthermore, no obvious change in the green fluorescence intensity developed 4 h after administration (Figure 4D).

## Cell Viability Assay

The cytotoxicity of DPM and BPM was investigated in MCF-7 and A549 cells. As shown in Figure 5A, the cell viability of MCF-7 and A549 cells treated with 1~500 µg/mL BPM was greater than 95%, and the cell viability was maintained at 80% when the POx concentration was increased to 1000 µg/mL for 48 h.

Figure 5B and D report the cytotoxicity of DPM in MCF-7 cells 48 h after incubation. The IC<sub>50</sub> of DJ is 2.5-fold that of the DPM treated group (DJ: 0.042 ± 0.003 µg/mL vs DPM: 0.016 ± 0.005 µg/mL, p < 0.01). However, no difference was observed between the DPM and DJ treated groups in the A549 cells (Figure 5C and E)

**Table 1** Particle Size Distribution, CMC, Encapsulation Efficiency and Drug Loading Content of the Prepared Micelles

	Size <sup>b</sup> (nm)	PDI <sup>b</sup>	EE (%)	DL (%)	CMC <sup>c</sup> (µg/mL)
BPM	21.84 ± 0.31	0.17 ± 0.003	–	–	1.34 ± 0.48
DPM <sup>a</sup>	48.92 ± 0.33	0.16 ± 0.001	86.31 ± 3.44	24.67 ± 0.98	–

**Notes:** <sup>a</sup>Feed ratio of DTX to POx is 2:5 (w/w). <sup>b</sup>Measured by dynamic light scattering (DLS). <sup>c</sup>Using pyrene as a fluorescence probe.

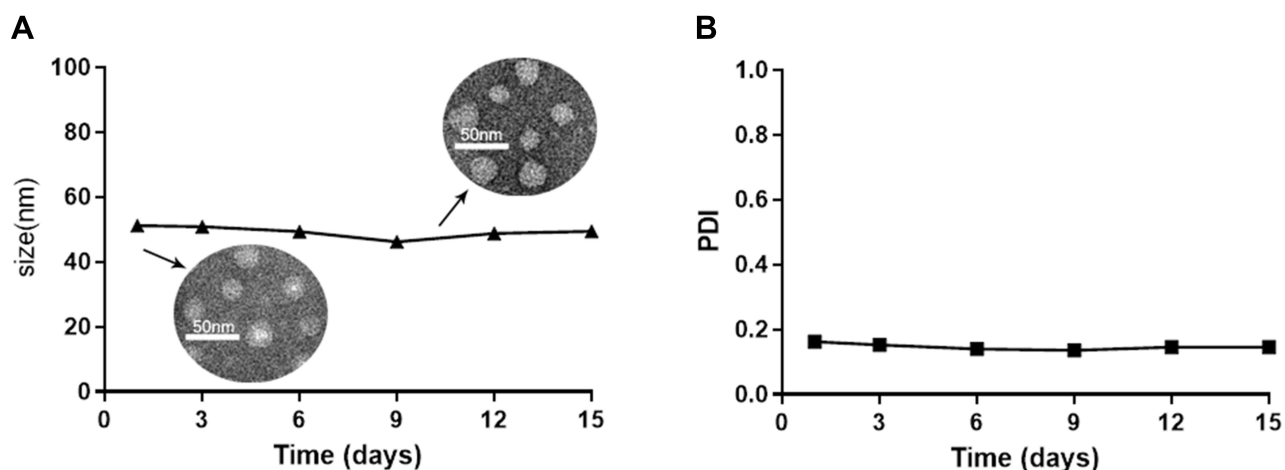


Figure 2 Stability of the DTX loaded micelles determined by the size (A) and PDI (B) measurements over time.

### Cell Apoptosis in vitro

Cell apoptosis was detected using the Annexin V-FITC/PI double staining method. The control and BPM treated groups (Figure 6A and B) showed negligible apoptotic and necrotic cells (less than 10% in both MCF-7 and A549 cells). The upper and lower right quadrants indicated the late apoptotic cells and early apoptotic cells, respectively. In the MCF-7 cell line, the DPM treated group demonstrated a higher number of apoptotic cells than the DJ treated group (DPM: 24.52 ± 1.92% vs DJ: 18.11 ± 0.83%, p < 0.01) (Figure 6A and C). In A549 cells (Figure 6B and D), no significant differences were observed between the cell apoptosis rates of DJ and DPM (DJ: 12.50 ± 1.77% vs DPM: 15.41 ± 2.83%, p > 0.05).

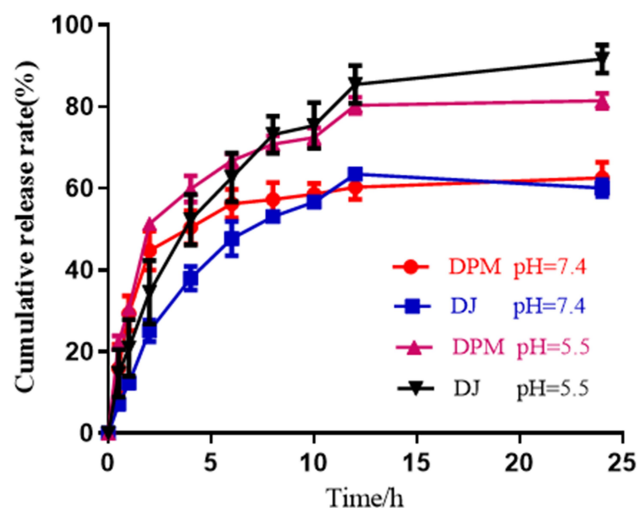
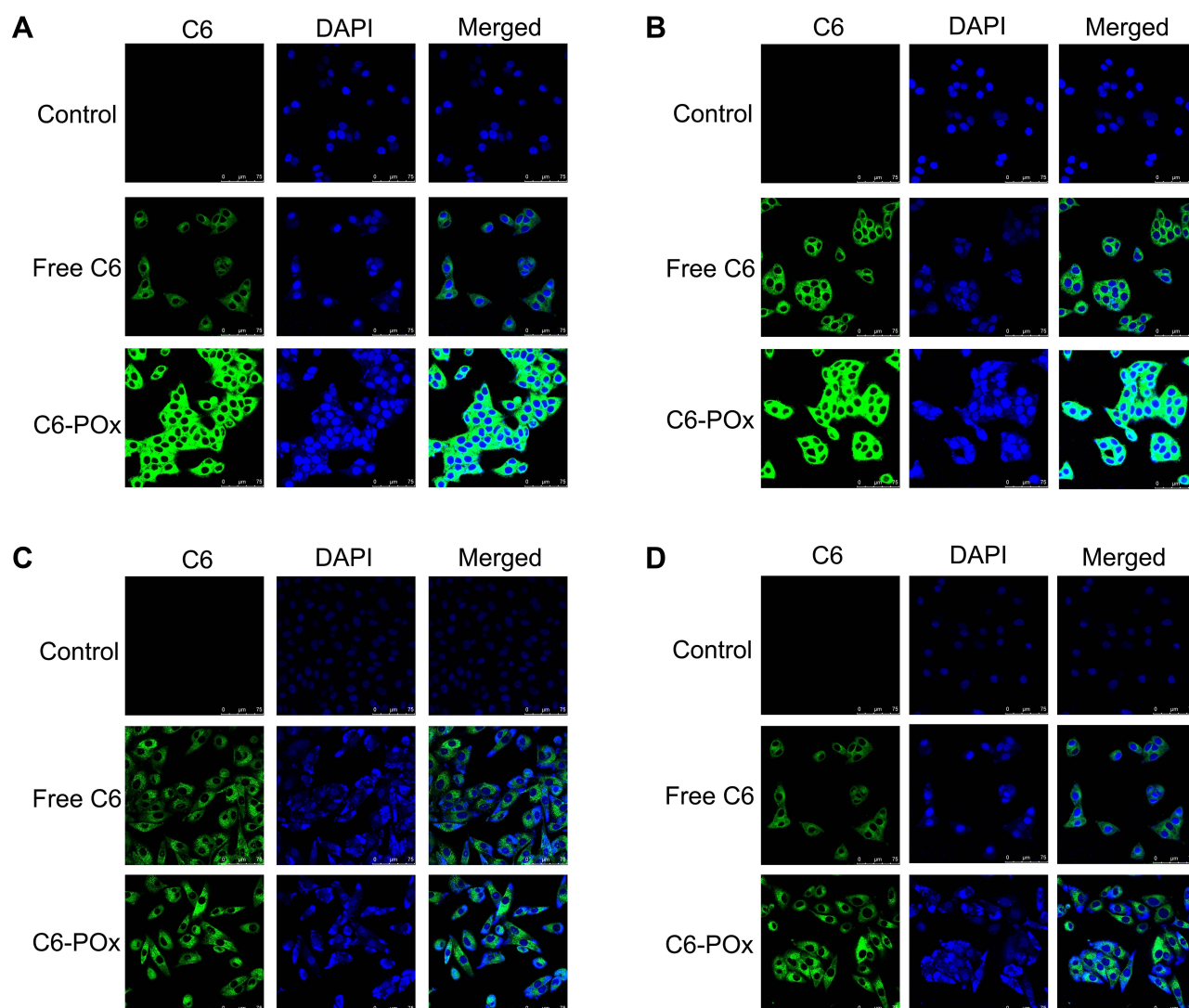


Figure 3 Drug release profiles of DTX from different formulations at 37°C in PBS buffer at pH 5.5 and pH 7.4. Data are expressed as mean ± SD (n= 3).

### Biodistribution of DiR-POx in Tumor-Bearing Mice

Following the preparation of DiR-POx, DLS indicated that the average diameter was 28.26 ± 0.61 nm (PDI: 0.275 ± 0.014) and the DL was 8.52 ± 0.69%. The free DiR and DiR micelles (DiR-POx) were administered using a caudal vein injection. As shown in Figure 7A, the nude mice injected with normal saline failed to be detected by the fluorescence signal at any given time point. A detectable fluorescent signal in the liver was present at all time points; however, no signal was detected in the tumor of the free DiR treated group. After 2 h, the nude mice injected with DiR-POx micelles demonstrated significant DiR fluorescence signals differentiated from the surrounding tissues, and the fluorescence intensity increased with the prolongation of injection time. Compared to the observations at 12 h, the fluorescence intensity at 24 h did not decrease significantly and was maintained at a high level.

After 24 h of administration, the three nude mice groups were sacrificed by cervical dislocation. The heart, liver, spleen, lung, kidney, and tumors of the nude mice were carefully dissected, and the fluorescence signal intensity of each tissue was quantitatively detected using the software of the IVIS imaging system. As shown in Figure 7B, no fluorescence signal was generated in the tissues of nude mice injected with normal saline. The lungs and liver of the nude mice injected with free DiR demonstrated strong fluorescence signals, with almost no fluorescence signal detected at the tumor site. The tumor and liver fluorescence signals in nude mice treated with DPM were significantly stronger than that of other tissues, and the intensity of the tumor



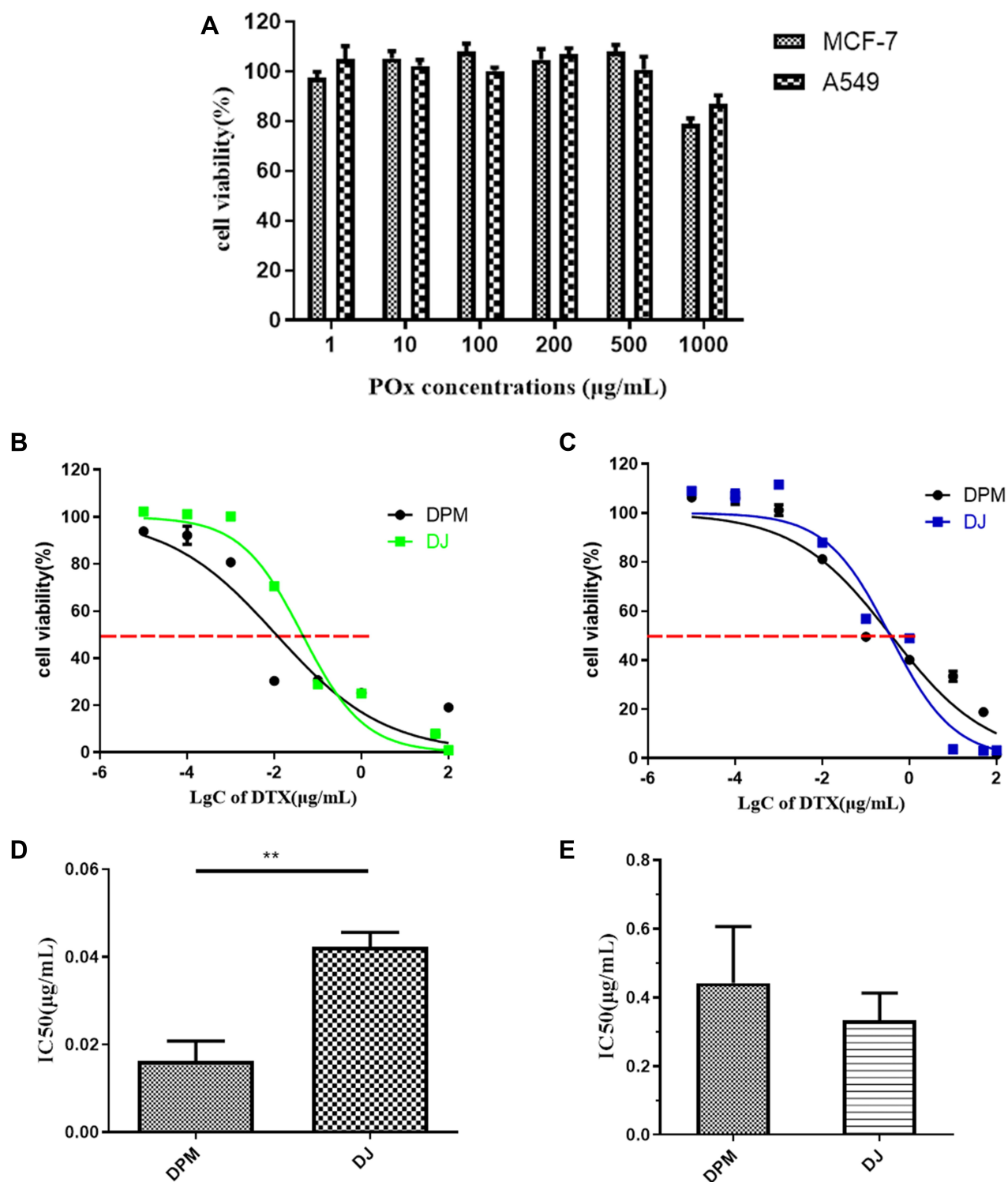
**Figure 4** The cell uptake of C6-POx towards MCF-7 and A549 cells by laser scanning confocal microscopy. ((A) MCF-7 cells, treated for 2h; (B) MCF-7 cells, treated for 4h; (C) A549 cells, treated for 2h; (D) A549 cells, treated for 4h).

fluorescence signal was 40-fold higher than that of the free DiR group ( $p < 0.001$ )(Figure 7C).

### Maximum Tolerated Dose (MTD) Profiles of DPM

The MTD values for the nude mice were examined by the dose escalation method, and the changes in body weight were recorded daily during the administration period. As shown in Figure 8A, following normal saline and BPM injections, the body weights of nude mice indicated a fluctuating upward trend. Similarly, the weight of nude mice in both the DJ and DPM groups at 5 mg/kg (DTX equivalent) fluctuated and increased. Furthermore, as the DTX equivalent dose increased, the

bodyweight of the nude mice decreased. At the 10 mg/kg dose (DTX equivalent), the weight of nude mice in the DJ group decreased to less than 90% of the initial body weight, while the body weight of nude mice in the DPM group remained above 95%. In case of the 20 mg/kg dose (DTX equivalent), the nude mice in the DJ group reported a loss of appetite and the body weight less than 80% of the initial weight, while the DPM group still demonstrated 85% of the initial weight without abnormal behavior. The nude mice in the DPM group expressed a loss of appetite and weight as the DTX equivalent dose increased to 30 mg/kg, with more serious symptoms emerging such as significant weight loss and malformations. As shown in Figure 8B, the MTD for the DPM group was 20 mg/kg, twice that of the DJ group.



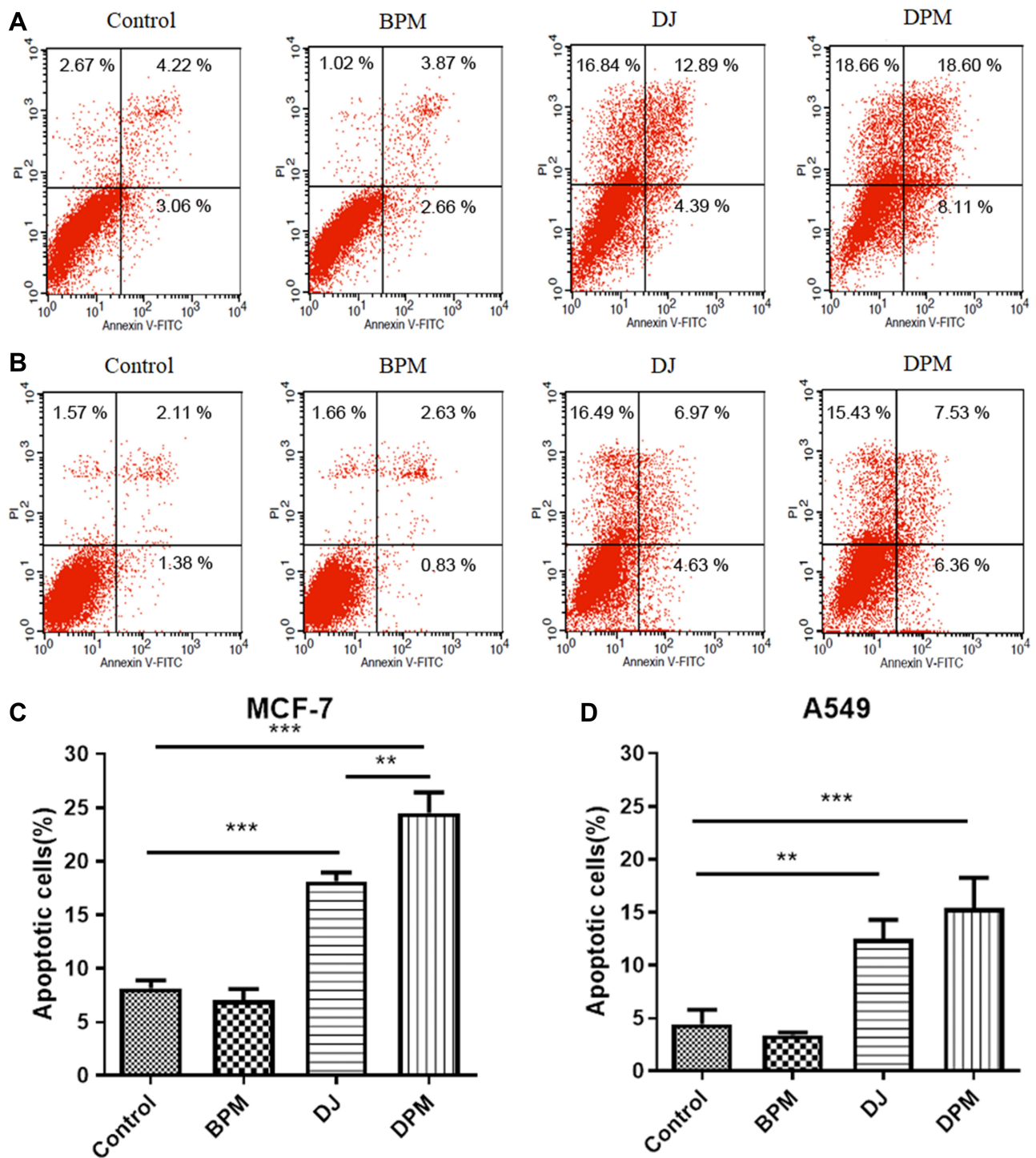
**Figure 5** In vitro cytotoxicity evaluation. (A) Blank POx micelles, (B) DPM and DJ in MCF-7 cells, (C) DPM and DJ in A549 cells, (D) IC<sub>50</sub> in MCF-7 cells, (E) IC<sub>50</sub> in A549 cells. Data are represented as mean ± SD (n=3). \*\*p < 0.01.

### Antitumor Effects in vivo

As shown in Figure 9A, the tumor growth curve demonstrated no difference between the saline and BPM groups, with almost no inhibitory effect on tumor growth. The DJ (MTD) group, DPM (1/2 MTD)

group, and DPM (MTD) group all expressed inhibitory effects on tumor growth. The DPM (MTD) group demonstrated the most significant tumor growth inhibition. On the 24th day, the tumor volume in the DPM (MTD) group was only 3.2% of the saline group (p <

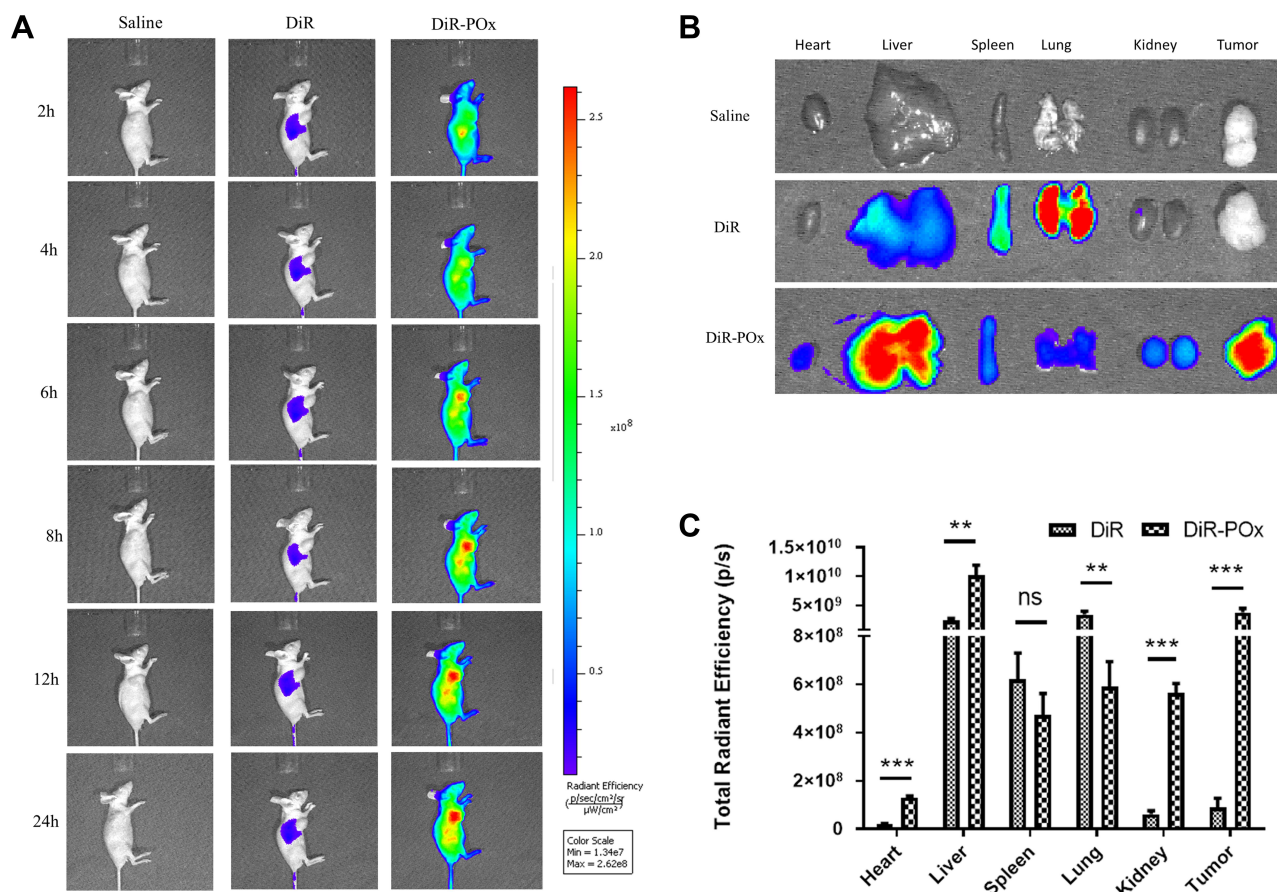




**Figure 6** Cell apoptotic assay. MCF-7 cells (A and C) and A549 cells (B and D) were treated with different formulations for 48h and measured by flow cytometry using V-APC kit and PI staining. Data are represented as mean  $\pm$  SD (n=3). \*\*p<0.01, \*\*\*p<0.001.

0.001), 13.9% ( $p < 0.001$ ) of the DJ group, and 21.2% ( $p < 0.01$ ) of the DPM ( $1/2$  MTD) group. In addition, the tumor volume in the DJ (MTD) group and the DPM ( $1/2$  MTD) group was 23.2% and 15.2% of the saline group, respectively.

At the end of the administration, the mice were sacrificed, the tumors were isolated, and the tumor inhibition rate (IRT) was calculated based on the tumor weight. As shown in Figure 9B and Figure 9C, the tumor masses in the saline group and the BPM group



**Figure 7** The evaluation of the distribution of DiR loaded micelles in MCF-7 tumor-bearing nude mice after tail vein injection of the formulations. **(A)** Images taken at 2, 4, 8, 12, 24h after administration of DiR and DiR loaded micelles, respectively. **(B)** Ex vivo fluorescence images of organs and tumors were collected at 24h post-injection of formulations. **(C)** Total fluorescence signals of the ex vivo organs and tumors uptake DiR by Imaging Software. Data are expressed as mean ± SD (n=3). \*\*\*p<0.001, \*\*p<0.01, nsIndicates p>0.05.

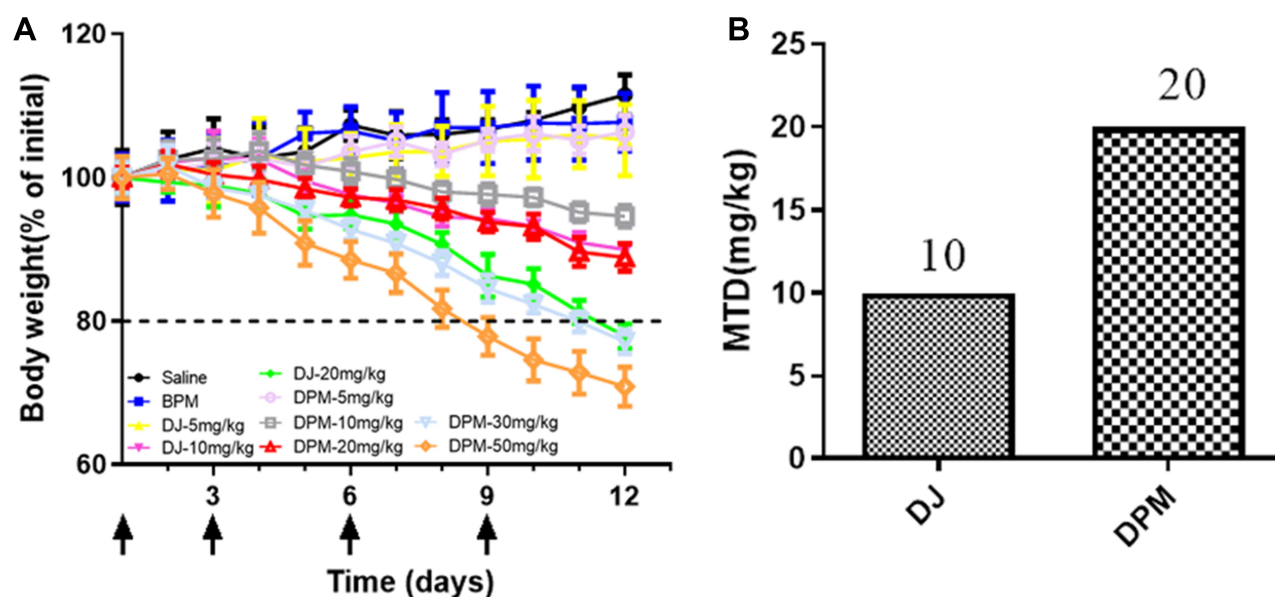
were the largest,  $2.01 \pm 0.36$  g and  $1.91 \pm 0.29$  g, respectively. The mean tumor weight in the DPM (MTD) group was the least ( $0.05 \pm 0.02$  g), which significantly differed from the DJ and DPM ( $1/2$  MTD) group ( $p < 0.01$ ). The three groups demonstrated different tumor inhibition levels. The DPM group demonstrated the strongest antitumor effect at the MTD dose, and the IRT was higher than that at  $1/2$  MTD dose ( $97.76 \pm 1.03\%$  vs  $88.47 \pm 6.84\%$ ,  $p < 0.01$ ). In addition, DJ (MTD) and DPM ( $1/2$  MTD) were administered at the same DTX equivalent dose, however, the IRT of the DPM group was higher than that of the DJ group ( $88.47 \pm 6.84\%$  vs  $79.10 \pm 3.52\%$ ,  $p < 0.05$ ).

The safety profiles of DTX loaded micelles were evaluated in terms of changes in body weight. As shown in Figure 9D, no treatment, except for treatment with DJ ( $p < 0.01$ ), decreased body weight ( $> 15\%$  of initial weight), and no difference was observed between the BPM and saline treated groups.

### Histological Analysis and Cell Apoptosis in vivo

The in vivo antitumor effect of drug-loaded micelles was examined by HE staining. As shown in Figure 10A, the tumor tissues of the saline and BPM groups demonstrated intensive tumor cells, high karyoplasmic ratio, and less cytoplasm. The nucleus shrinkage in the tumor tissue was observed in the DJ and DPM treated group and the nuclear area was further reduced, with more cytoplasm produced in the latter group.

Cell apoptosis in the tumor tissues was observed using TUNEL staining. In Figure 10B, the brown-yellow particles refer to the positive expression of apoptotic cells, with no increase in the BPM group compared to the saline group. The brown-yellow particles in the drug-administered group increased significantly, indicating that the apoptotic cells increased in the two doses of the DPM treated group and were higher than the DJ treated group.



**Figure 8** MTD in tumor-bearing nude mice. **(A)** Mice body weight change (% of initial) after repeated administration of different DTX formulation. **(B)** The maximum tolerated dose of BPM and DJ. Data are expressed as mean  $\pm$  SD (n=4).

## Discussion

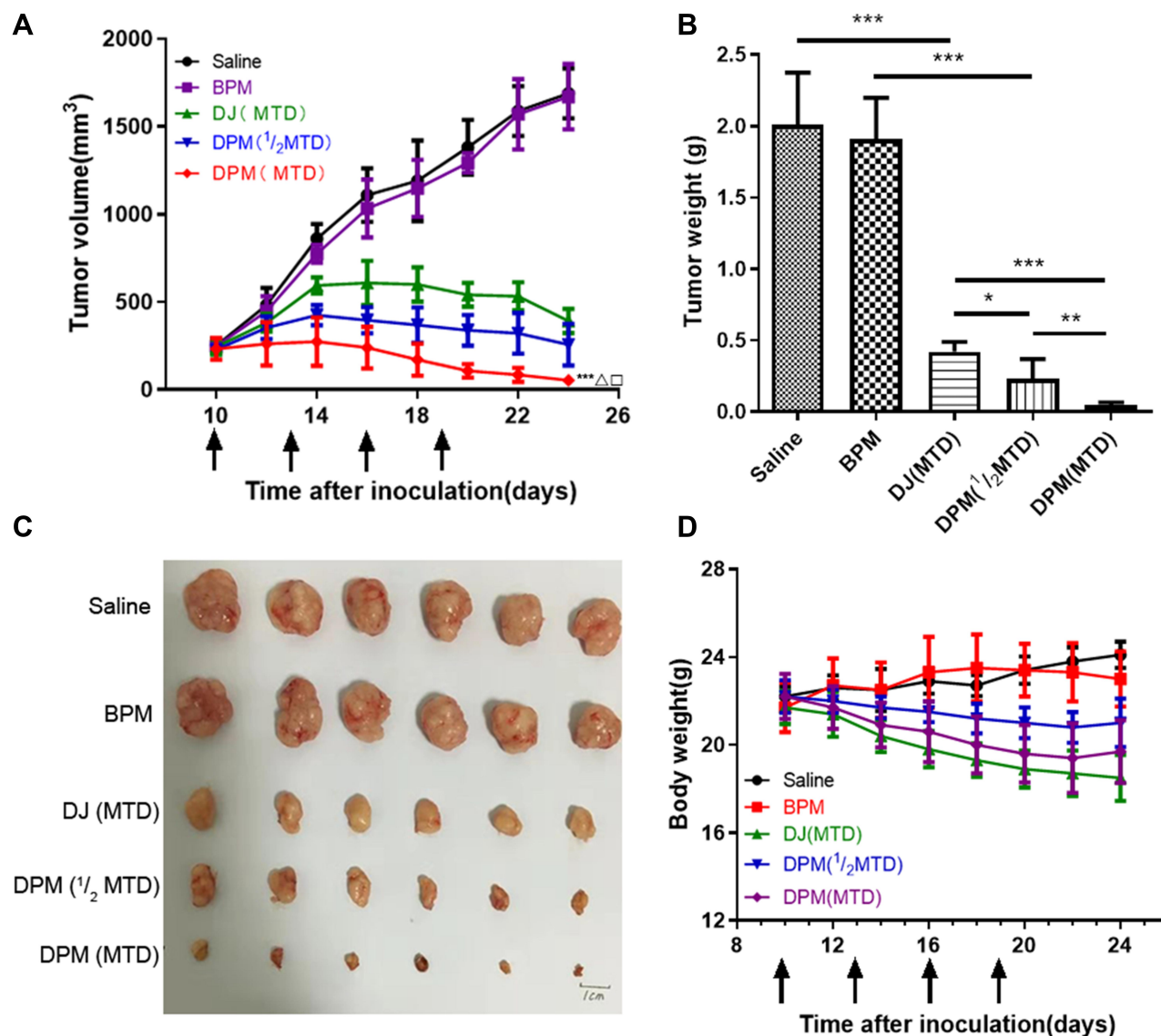
The nano-polymer delivery system has been considerably developed at the laboratory level, and its advantages can be summarized as follows:<sup>28–33</sup> (1) A suitable particle size range (< 200 nm) and a small dispersion index prevents quick elimination by the kidney and permits accumulation at the tumor site by the EPR effect; (2) The hydrophilic outer shell stabilizes and protects the micelles against recognition and phagocytosis by the reticuloendothelial system, prolonging blood circulation; (3) The micelle surface can be modified to precisely target the desired tissue; (4) Good biocompatibility of the micellar material makes it possible to degrade into non-toxic substances, to be absorbed or excreted by the body. However, poor clinical use has been reported due to the low drug loading content and the instability of the micelles in vivo.<sup>34,35</sup>

In this study, the DTX loaded poly-2-oxazoline micelles were designed to overcome these two flaws. The DL of DTX was observed as high as  $24.67 \pm 0.98\%$  in the DPM group owing to the hydrogen bond that exists between poly-2-oxazoline micelles and taxanes,<sup>36</sup> higher than that reported with the new clinical drug Genexol-PM (17%).<sup>37</sup> In addition, the DTX release profiles of DPM and DJ at pH 5.5 reportedly simulate the tumor cell microenvironment,<sup>38,39</sup> and DTX can be released from the DPM in large amounts at the tumor site in a sustainable manner.

Coumarin-6 has been widely used as a fluorescent probe to replace the hydrophobic drug and has been loaded in micelles to investigate cellular uptake.<sup>40</sup> The MCF-7 cell uptake of POx was not time-dependent, with a greater C6 cell-mediated entry by the POx micelles than the free C6 owing to the change in the cellular uptake patterns. It has been reported that the cellular uptake of the free drug is usually by a passive transfer pattern. Polymer micellar systems alter this cellular uptake to an endocytosis pattern, leading to a higher uptake and facilitate the resolution of the multi-drug resistance problem.<sup>34</sup> Interestingly, in the A549 cells, no difference was observed between the cellular uptake of C6 mediated by the polymer micellar system and the uptake of free C6. This may be attributable to the differential protein expression in the MCF-7 and A549 cells.<sup>41</sup>

The BPM exhibited low cytotoxicity in the cell viability assay, indicating the good biocompatibility of POx micelles. Cell viability inhibition of the DJ and DPM groups was concentration-dependent, with the increasing drug concentration, cell viability decreased. The group treated with DPM demonstrated an  $IC_{50}$  as low as 40% of the DJ treated group in MCF-7 cells, indicating promising clinical use. Cellular apoptosis demonstrated by the BPM, DPM, and DJ was measured by the sum of the early apoptotic and late apoptotic cells.<sup>42</sup> Compared to the control group, the effect of BPM on tumor cell apoptosis was negligible, further illustrating the safety of the poly-





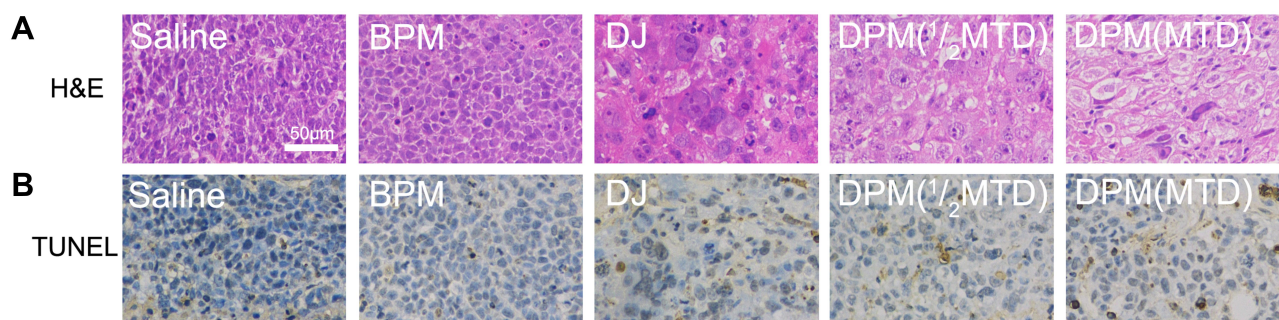
**Figure 9** Anti-tumor efficacy of DTX formulations in MCF-7 tumor-bearing nude mice. **(A)** Tumor growth curve of mice administrated every three days for four times via tail vein. **(B)** The weight of the excised tumors from all groups. **(C)** The images of excised tumor, scale bar 1cm. **(D)** Changes in body weight of tumor-bearing nude mice in each group during anti-tumor efficacy study. Data are expressed as mean  $\pm$  SD (n= 6). **\*\*\***p<0.001, vs saline;  **$\Delta$** p<0.001, vs DJ(MTD);  **$\square$** p<0.01, vs DPM (1/2MTD).

2-oxazoline micelles. In the MCF-7 cells, an increase in cell apoptosis was detected in the DJ treated and DPM treated groups, which is in agreement with previous reports demonstrating that DTX induces cancer cell apoptosis, resulting in cell death.<sup>43</sup> In the MCF-7 cells, the higher amount of cell apoptosis detected in the DPM treated group than the DJ group could be attributed to the high uptake of the DPM.<sup>44</sup> Based on the overall cytological screening results, the drug-loaded micelles expressed a more powerful effect in the MCF-7 cells compared to the commercially available DTX injections. Additionally, the MCF-7 cells were used to establish

a nude mouse model of breast cancer xenografts for further in vivo evaluations.

The growing research on nano-polymeric micellar delivery systems has demonstrated that antitumor drugs can be delivered to the tumor site by passive targeting, using the tumor EPR effect to achieve accumulation at the tumor site.<sup>39</sup> It is important to investigate the in vivo distribution of drug-loaded nanoformulations by fluorescence imaging to assess the effectiveness and safety.<sup>45</sup> Notably, the iodide fluorescent dye (DiD or DiR) has become the preferred fluorescent probe in fluorescence imaging owing to its hydrophobicity, and ability to be





**Figure 10** H&E and TUNEL assay. (A) Histological examination of tumors by hematoxylin and eosin (H&E) staining. (B) TUNEL staining from mice treated with different formulations. Images were taken with 200 × magnification, scale bar 50 μm.

loaded and avoid interference with inherent fluorescence in small animals.<sup>46</sup> In this study, a hydrophobic near-infrared DiR fluorescent probe was used to trace the distribution of docetaxel loaded poly-2-oxazoline micelles. We demonstrated that DiR can be used to simulate the *in vivo* distribution of the poly-2-oxazoline delivery system. Furthermore, DiR in the micellar delivery system accumulated at the tumor site in large quantities compared to the free DiR. This was attributed to the fluorescent probe micelles loaded with poly-2-oxazoline maintaining small particle size characteristics, escaping rapid elimination by the kidney, and accumulating at the tumor site by the tumor EPR effect.<sup>18,34</sup> Hence, this indicates that the micellar delivery system is conducive to the accumulation of drugs at the tumor site. Liver serves important scavenger functions executed by heterogeneous cells (eg, Kupffer and endothelial cells) and related receptors to clear the nanomedicine as foreign materials.<sup>12</sup> In this study, the low liver uptake of the DiR-POx micelles, which means the accumulation in tumor, could be beneficial to improve the bioavailability and the therapeutic effect of DPM.

The CMC of POx was at the low level of  $10^{-3}$  mg/mL, with a spherical shape of about 20 nm for POx at 1 μg/mL diluted 25,000 times from the initial solution. CMC is an important feature for the characterization of micellar systems, especially the stability. When the amphiphilic polymer reaches the CMC or higher in aqueous solution, it can self-assemble to form a micellar system. A low CMC indicates good anti-serum dilution stability,<sup>47</sup> which can be used as the polymer micelle delivery system, retaining the micellar morphology during blood circulation. Moreover, the CMC value is still very important for *in vivo* application. The polymer micelle delivery system is generally administered using the caudal vein for *in vivo* evaluation, with the carrier concentration diluted by more than 25 times in the blood

circulation.<sup>48</sup> A micelle may collapse, resulting in drug deposition and side effects.<sup>49</sup> The POx micelle system demonstrated a low CMC and it was observed that the concentration of the POx at the lowest dose was still hundreds of times larger than CMC, indicating good stability *in vivo*. It is well known that most hydrophobic drugs loaded in the micellar delivery system are cytotoxic anti-cancer drugs, and their efficacy is closely related to the dose.<sup>34</sup> In this study, the MTD of the poly-2-oxazoline drug-loading system in nude mice was explored and was determined to be twice that of the commercially available docetaxel injection. Undoubtedly, a higher antitumor efficacy can be expected if a greater drug dose is administered and delivered to the tumor. In this regard, DPM may have a clear advantage over the docetaxel injection. Furthermore, the subsequent pharmacodynamic experiments confirmed that the antitumor effect of the drug was improved with an increasing dose. The changes in the nude mice body weight, tumor weight, and the pathological features of HE staining proved the safety of the POx micelles and the effectiveness of DPM compared to commercially available docetaxel injection.

## Conclusion

In the present study, we demonstrated the successful development of docetaxel loaded with self-assembling poly(2-oxazoline)s micelles in the breast cancer MCF-7 cells, both *in vitro* and *in vivo*. Our results indicate that the amphiphilic micellar system possesses high stability and is capable of entrapping docetaxel with a high drug loading content. The antitumor effect of docetaxel loaded poly(2-oxazoline) micelles was significantly better than that of the docetaxel injection, with no obvious systemic toxicity. Therefore, this newly developed docetaxel loaded poly(2-oxazoline) micelle presents great potential to improve breast cancer treatment and necessitates further investigations.

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## Disclosure

The authors report no conflicts of interest in this work.

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